

Observational consequences of non-minimally coupled chaotic inflation

Alexander Westphal
DESY

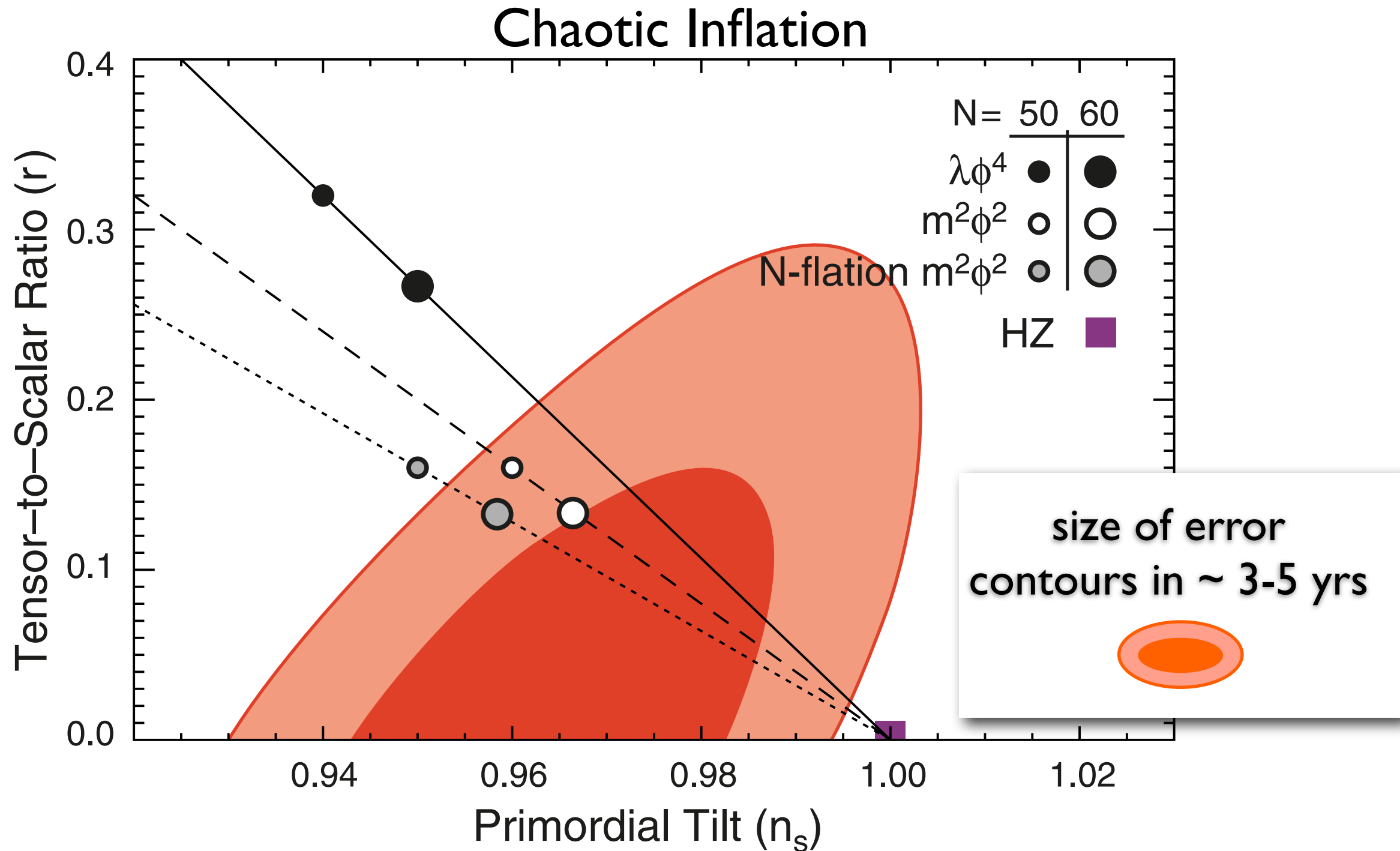
(arXiv: 1101.2652)

with: Andrei Linde and Mahdiyar Noorbala

where I want to take you ...

- the start:
 - inflation - short summary
 - why large-field inflation (Φ moves more than M_P)?
- review of general chaotic inflation in supergravity
- summary of Higgs inflation
- non-minimal chaotic inflation in supergravity
 - short-cutting into Jordan frame supergravity
 - scalar potential - 2 examples
 - parameter scan -- predictions

present status: WMAP 7yr + BAO + H_0

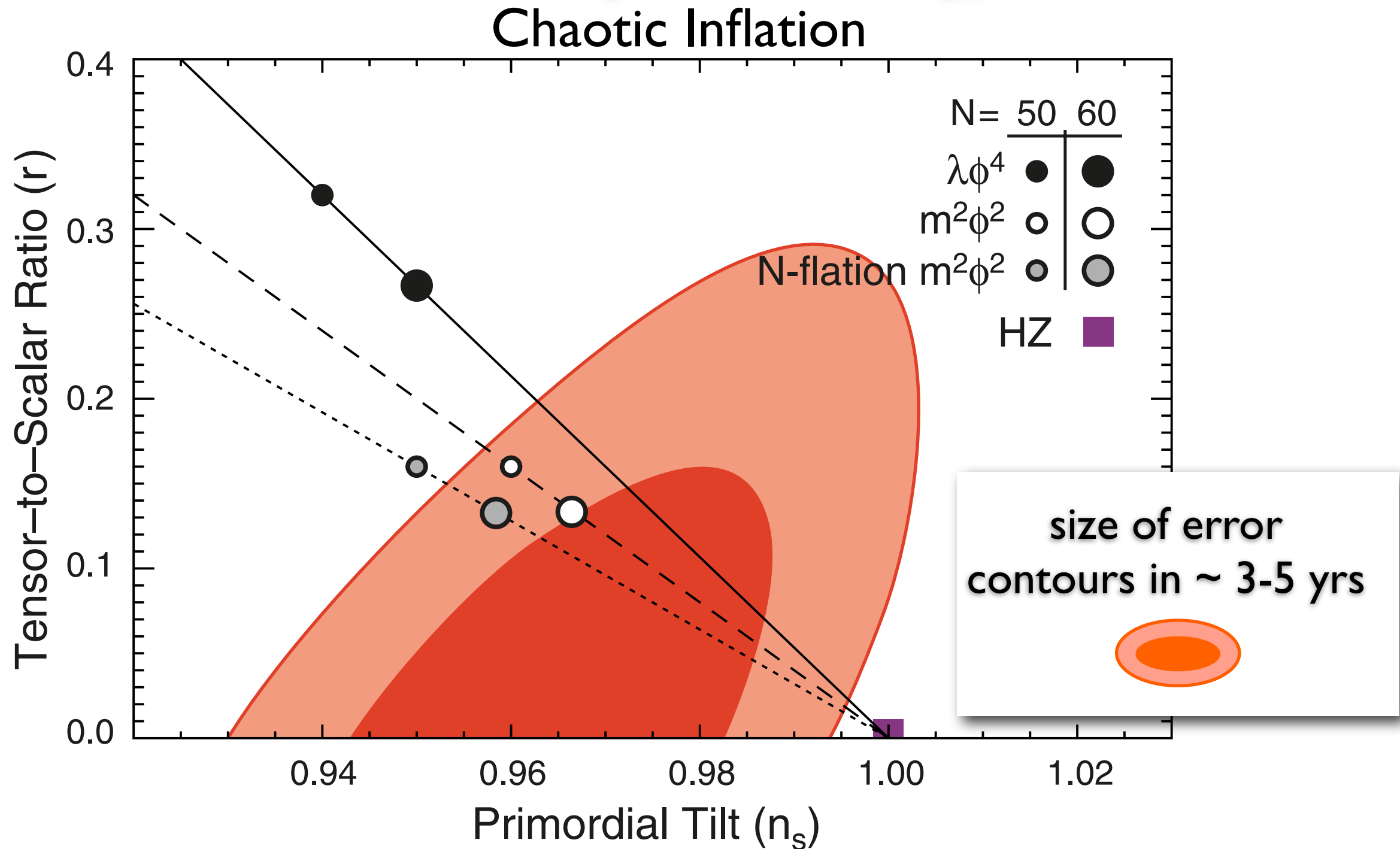


expect dramatic improvement in next 5 yrs:

Planck & BICEP2 taking data, Keck Array ('10...)

SPIDER, Clover, QUIET-II, EBEX, PolarBEAR ...

We live in the Golden Age of cosmology!

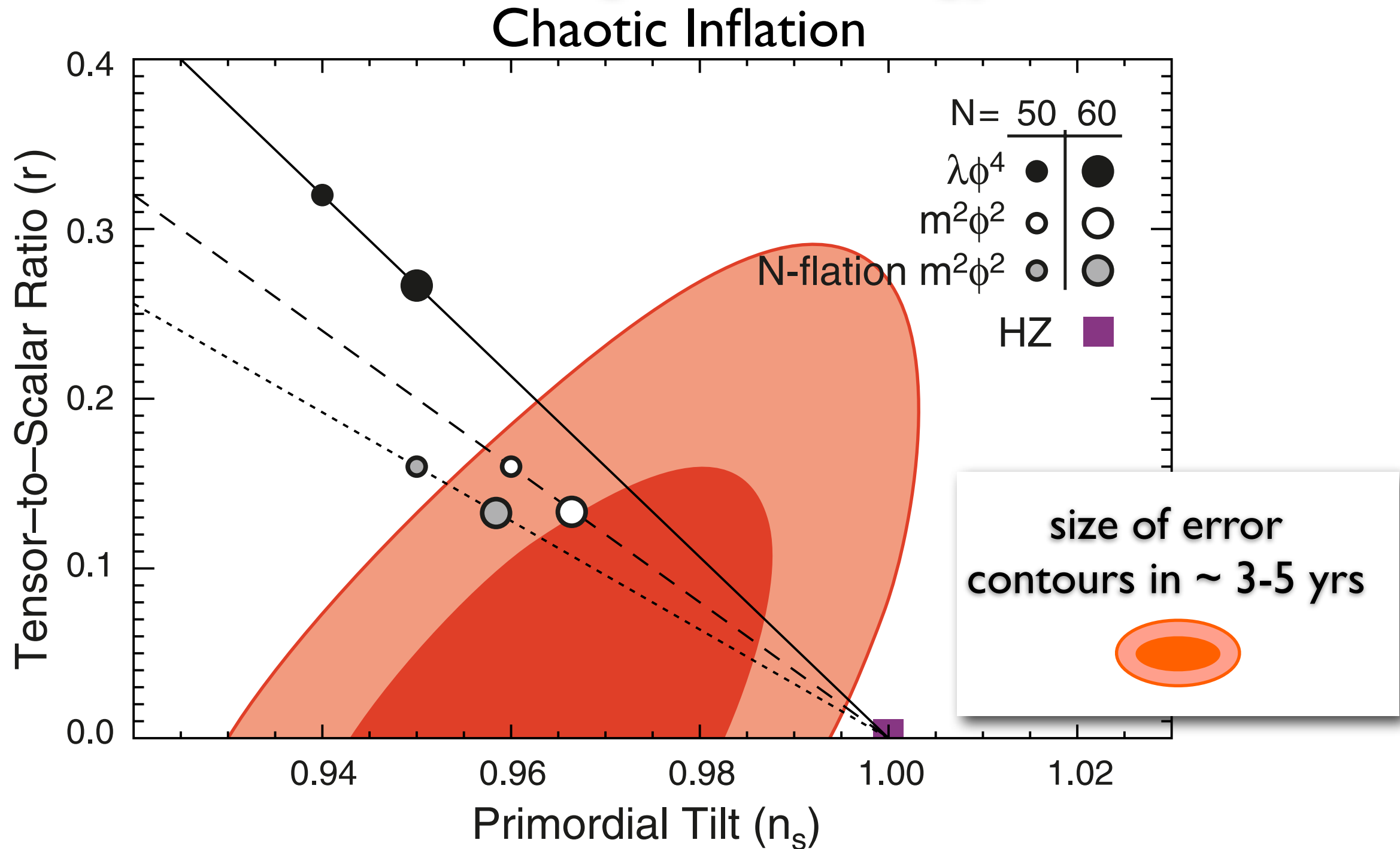


expect dramatic improvement in next 5 yrs:

Planck & BICEP2 taking data, Keck Array ('10...)

SPIDER, Clover, QUIET-II, EBEX, PolarBEAR ...

We live in the Golden Age of cosmology!



expect dramatic improvement in next 5 yrs:

Planck & BICEP2 taking data, Keck Array ('10...)

SPIDER, Clover, QUIET-II, EBEX, PolarBEAR ...

Inflation ...

Inflation ...

- inflation: period quasi-exponential expansion of the very early universe

Inflation ...

- inflation: period quasi-exponential expansion of the very early universe
- driven by the vacuum energy of a slowly rolling light scalar field:

e.o.m.: $\ddot{\phi} + 3H\dot{\phi} + V' = 0$

Inflation ...

- inflation: period quasi-exponential expansion of the very early universe
- driven by the vacuum energy of a slowly rolling light scalar field:

e.o.m.: $\ddot{\phi} + 3H\dot{\phi} + V' = 0$

scale factor grows exponentially : $a \sim e^{Ht}$ if : $\ddot{\phi} \ll \dot{\phi}$



Inflation ...

- inflation: period quasi-exponential expansion of the very early universe
- driven by the vacuum energy of a slowly rolling light scalar field:

e.o.m.: $\ddot{\phi} + 3H\dot{\phi} + V' = 0$

scale factor grows exponentially : $a \sim e^{Ht}$ if : $\ddot{\phi} \ll \dot{\phi}$

$$\Rightarrow \epsilon \equiv -\frac{\dot{H}}{H^2} \simeq \frac{1}{2} \left(\frac{V'}{V} \right)^2 \ll 1 \quad , \quad \eta \equiv \frac{\ddot{\phi}}{\epsilon H \dot{\phi}} \simeq \frac{V''}{V} \ll 1$$

with the Hubble parameter $H^2 = \frac{\dot{a}^2}{a^2} \simeq const. \sim V$


Smoking Gun for Inflation ...

Smoking Gun for Inflation ...

- inflation generates metric perturbations:
scalar (us) & tensor


Smoking Gun for Inflation ...

- inflation generates metric perturbations:
scalar (us) & tensor


$$\mathcal{P}_S \sim \frac{H^2}{\epsilon} \sim \left(\frac{\delta\rho}{\rho} \right)^2$$
$$\sim k^{n_S-1}$$

Smoking Gun for Inflation ...

- inflation generates metric perturbations:
scalar (us) & tensor



$$\mathcal{P}_S \sim \frac{H^2}{\epsilon} \sim \left(\frac{\delta\rho}{\rho} \right)^2$$

$$\sim k^{n_S-1}$$

$$n_S = 1 - 6\epsilon + 2\eta$$

Smoking Gun for Inflation ...

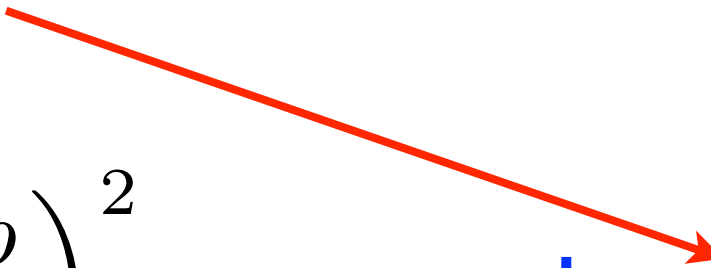
- inflation generates metric perturbations:
scalar (us) & tensor


$$\mathcal{P}_S \sim \frac{H^2}{\epsilon} \sim \left(\frac{\delta\rho}{\rho} \right)^2$$

$$\sim k^{n_S-1}$$


$$n_S = 1 - 6\epsilon + 2\eta$$

and


$$\mathcal{P}_T \sim H^2 \sim V$$

Smoking Gun for Inflation ...

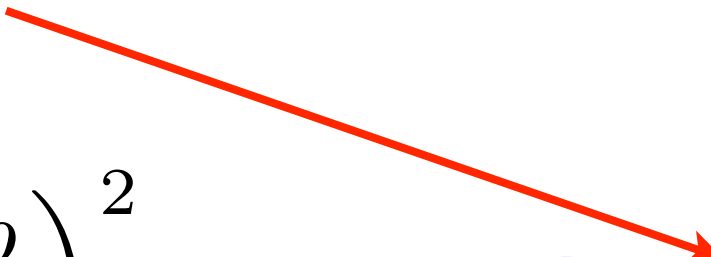
- inflation generates metric perturbations:
scalar (us) & tensor


$$\mathcal{P}_S \sim \frac{H^2}{\epsilon} \sim \left(\frac{\delta\rho}{\rho} \right)^2$$

$$\sim k^{n_S-1}$$

$$n_S = 1 - 6\epsilon + 2\eta$$

and


$$\mathcal{P}_T \sim H^2 \sim V$$

window to GUT scale &
'smoking gun': alternatives (e.g. ekpyrosis)
have no tensors



Smoking Gun for Inflation ...

- inflation generates metric perturbations:
scalar (us) & tensor



$\mathcal{P}_S \sim \frac{H^2}{\epsilon} \sim \left(\frac{\delta\rho}{\rho} \right)^2$ and $\mathcal{P}_T \sim H^2 \sim V$

$\sim k^{n_S-1}$ window to GUT scale &
'smoking gun': alternatives (e.g. ekpyrosis)
 $n_S = 1 - 6\epsilon + 2\eta$ have no tensors

- but: if field excursion sub-Planckian, no
measurable gravity waves: [Lyth '97]

$$r \equiv \frac{\mathcal{P}_T}{\mathcal{P}_S} = 16\epsilon \leq 0.003 \left(\frac{50}{N_e} \right)^2 \left(\frac{\Delta\phi}{M_P} \right)^2$$

why strings?

- *large field* model of inflation, i.e. “chaotic inflation”

$$\Delta\phi > M_P \quad \Rightarrow \quad r > 0.01$$

- with control of ε & η over a **super-Planckian field distance** - avoid generic $\dim \geq 6$ operators:

$$\delta V \sim V(\phi) \frac{(\phi - \phi_0)^2}{M_P^2}$$

need UV-complete
theory: e.g. strings

- idea: arrange for **approximate shift symmetry** of ϕ ,
broken only by the inflaton potential itself
[Linde '83]

**chaotic inflation in supergravity ...
... you can see the shift symmetry**

supergravity

- 4D limit of string theory often is a N=1 supergravity

scalars ϕ_i with fermion partners $\psi_i \rightarrow$ chiral superfields Φ_i

graviton in a multiplet together with the gravitino Ψ_μ

- action in superspace:

$$\begin{aligned} S &= - \int d^4x d^2\theta 2\mathcal{E} \left[\frac{3}{8} (\bar{\mathcal{D}}^2 - 8R) e^{-\frac{1}{3}K(\bar{\Phi}_i, \Phi_i)} + W(\Phi_i) \right] + h.c. \\ &= - \int d^4x d^2\theta 2\mathcal{E} \left[3R - \frac{1}{8} (\bar{\mathcal{D}}^2 - 8R) K(\bar{\Phi}_i, \Phi_i) + \dots + W(\Phi_i) \right] + h.c. \end{aligned}$$

$$\Rightarrow S \sim - \int d^4x \sqrt{-g} \left[R + \frac{\partial^2 K}{\partial \bar{\Phi}_i \partial \Phi_j} \partial_\mu \bar{\Phi}_i \partial^\mu \Phi_j - V(\Phi_i) \right] + \text{fermions}$$

supergravity

- 4D limit of string theory often is a N=1 supergravity

scalars ϕ_i with fermion partners $\psi_i \rightarrow$ chiral superfields Φ_i

graviton in a multiplet together with the gravitino Ψ_μ

- action in superspace:

$$\begin{aligned}
 S &= - \int d^4x d^2\theta 2\mathcal{E} \left[\frac{3}{8} (\bar{\mathcal{D}}^2 - 8R) e^{-\frac{1}{3}K(\bar{\Phi}_i, \Phi_i)} + W(\Phi_i) \right] + h.c. \\
 &= - \int d^4x d^2\theta 2\mathcal{E} \left[3R - \frac{1}{8} (\bar{\mathcal{D}}^2 - 8R) K(\bar{\Phi}_i, \Phi_i) + \dots + W(\Phi_i) \right] + h.c. \\
 \Rightarrow S &\sim - \int d^4x \sqrt{-g} \left[R + \frac{\partial^2 K}{\partial \bar{\Phi}_i \partial \Phi_j} \partial_\mu \bar{\Phi}_i \partial^\mu \Phi_j - V(\Phi_i) \right] + \text{fermions}
 \end{aligned}$$

scalar potential in supergravity ...

- scalar potential $V(\phi_i)$ determined by Kahler potential K and superpotential W :

$$V(\phi_i) = e^K \cdot \left[(K_{i\bar{j}})^{-1} D_i W D_{\bar{j}} \bar{W} - 3|W|^2 \right]$$

$$\text{with } D_i W = \partial_i W + W \partial_i K$$

- canonical kinetic terms for:

$$K = \sum_i \bar{\Phi}_i \Phi_i \quad \text{or} \quad K = \sum_i \frac{1}{2} (\bar{\Phi}_i + \Phi_i)^2$$

chaotic inflation in supergravity ...

- the simplest model of chaotic inflation in supergravity [Kawaski, Yamaguchi, Yanagida, '00]

$$K = \frac{1}{2}(\bar{\Phi} + \Phi)^2 + \bar{S}S \qquad W = mS\Phi$$

- shift symmetry $\Phi \rightarrow \Phi + i a$
- all fields except the inflaton $\text{Im}(\Phi)$ stabilized at zero VEV

$$\langle \text{Re } \Phi \rangle = \langle S \rangle = 0 \qquad m_S^2 = m_{\text{Re } \Phi}^2 = m^2$$

$$\langle W \rangle = 0 \quad , \quad V(\phi) = m^2 \phi^2 \quad , \quad \phi \equiv \text{Im } \Phi$$

general chaotic inflation in supergravity ...

- general chaotic inflation in supergravity [Kallosh & Linde & Rube '10] - just change

$$W = S f(\Phi)$$

- general large field inflaton potential

$$\langle \text{Re } \Phi \rangle = \langle S \rangle = 0 \qquad V(\phi) = |f(\phi)|^2$$

- however, $\text{Re}(\bar{\Phi})$, S sometimes tachyonic at zero VEV \Rightarrow change K [Kawaski, Yamaguchi, Yanagida, '00 ; Lee '10]

$$K = \frac{1}{2}(\bar{\Phi} + \Phi)^2 + \bar{S}S - c_1(\bar{S}S)^2 - c_2 \bar{S}S(\bar{\Phi} + \Phi)^2$$

general chaotic inflation in supergravity ...

- gives contributions to the mass of S and $\text{Re}(\Phi)$ for sufficient $c_1, c_2 > 1$

$$(K_{\bar{S}S})^{-1} = 1 + 4c_1 \bar{S}S + c_2 (\text{Re } \Phi)^2 + \dots$$

$$\Rightarrow m_S^2 \sim -m^2 + c_1 \mathcal{O}(1) |f(\phi)|^2$$

$$m_{\text{Re } \Phi}^2 \sim -m^2 + c_2 \mathcal{O}(1) |f(\phi)|^2$$

- so we get

$$V(\phi) = |f(\phi)|^2$$

$$\langle \text{Re } \Phi \rangle = \langle S \rangle = \langle W \rangle = 0$$

with

$$m_S^2, m_{\text{Re } \Phi}^2 \gg |f(\phi)|^2 = V(\phi) \sim H^2$$

Higgs inflation ...

Higgs inflation ... [Shaposhnikov & Bezrukov, '06]

essential idea: consider a non-minimally coupled scalar field with Higgs potential - called Jordan frame gravity with frame function $\Omega^2(\phi)$

$$S = \int d^4x \sqrt{-g_J} \left[\Omega^2(\phi) R + \frac{1}{2} \partial_\mu \phi \partial^\mu \phi - V_J(\phi) \right] \quad , \quad V_J(\phi) = \lambda (\phi^2 - v^2)^2$$

one can Weyl transform this into Einstein frame

$$g_{\mu\nu}^J \quad \longrightarrow \quad g_{\mu\nu}^E = \Omega^2(\phi) g_{\mu\nu}^J \quad , \quad \Omega^2(\phi) = (1 + \xi \phi^2)$$

$$\Rightarrow \quad S = \int d^4x \sqrt{-g_E} \left[R + Z^{-1}(\phi) \partial_\mu \phi \partial^\mu \phi - V_E(\phi) \right]$$

$$\text{with} \quad Z^{-1}(\phi) = \frac{1 + \xi \phi^2 + 6\xi^2 \phi^2}{(1 + \xi \phi^2)^2}$$

Higgs inflation ... [Shaposhnikov & Bezrukov, '06]

the scalar potential in Einstein frame is

$$V_E(\phi) = \frac{1}{\Omega^4(\phi)} V_J(\phi) = \frac{\lambda}{4} \frac{(\phi^2 - v^2)^2}{(1 + \xi\phi^2)^2} \longrightarrow \frac{\lambda}{4\xi^2} = \text{const.}$$

$$\text{for } \phi \gg \frac{1}{\sqrt{\xi}} \gg v$$

$Z^{-1}(\phi)$ defines a canonically normalized scalar

$$\frac{d\varphi}{d\phi} = \sqrt{Z^{-1}(\phi)} \Rightarrow \phi \sim \frac{1}{\sqrt{\xi}} e^{\varphi/\sqrt{6}}$$

$$\Rightarrow V_E(\varphi) \simeq \frac{\lambda}{4\xi^2} \left(1 - 2e^{-\frac{2}{\sqrt{6}}\varphi}\right) \Rightarrow \begin{cases} n_s = 1 - \frac{2}{N} = 0.967 \\ r = 16\epsilon = \frac{12}{N^2} = 0.003 \end{cases}$$

Higgs inflation ... [Shaposhnikov & Bezrukov, '06]

COBE normalization ($\delta\rho/\rho \sim 10^{-5}$ at $N = 60$ efolds)
can be satisfied for electro-weak parameters

$$\lambda = \mathcal{O}(1) \quad , \quad v \sim 100 \text{ GeV} \quad \text{IF} \quad \xi \sim 5 \times 10^4$$

quantum consistency still under discussion ...

[Lerner & McDonald '09, Barbon & Espinosa '09, Burgess, Lee & Trott '10, Hertzberg '10, Giudice & Lee '10, more ...]

- SM Higgs has couples with would-be Goldstone bosons:
gravity induces UV strong coupling scale

$$\mathcal{L}_E \supset (\partial\vec{\phi})^2 + \frac{\xi^2}{M_P^2} (\vec{\phi} \cdot \partial\vec{\phi})^2 + \dots \quad \Rightarrow \quad \Lambda_{UV} \sim M_P/\xi \ll M_P/\sqrt{\xi} \sim \phi_{end}$$

- transformation to Einstein frame does not help:
“unitary gauge” - strong coupling problem shifted into
gauge field sector of the SM; exception: singlet

**non-minimally coupled inflation in
supergravity ...**

non-minimal inflation in supergravity ...

[Ferrara, Kallosh, Linde, Marrani & Van Proeyen '10]

we can port non-minimal inflation into supergravity by identifying

$$\Omega^2(\bar{\Phi}, \Phi) = -3e^{-\frac{1}{3}K(\bar{\Phi}, \Phi)}$$

$$\begin{aligned} - \int d^4x d^2\theta \mathcal{E} \frac{3}{4} (\bar{\mathcal{D}}^2 - 8R) e^{-\frac{1}{3}K(\bar{\Phi}, \Phi)} &\rightarrow \int d^4x d^2\theta \mathcal{E} \frac{1}{4} \Omega^2(\bar{\Phi}, \Phi) (\bar{\mathcal{D}}^2 - 8R) \\ &\rightarrow \int d^4x \sqrt{-g_J} \Omega^2(\phi) R \end{aligned}$$

canonical kinetic terms in Jordan frame

$$\Rightarrow \Omega^2(\Phi) = -3 + \bar{\Phi}\Phi + (J(\Phi) + h.c.)$$

non-minimal inflation in supergravity ...

[Ferrara, Kallosh, Linde, Marrani & Van Proeyen '10]

2 cases

$$J(\Phi) = 0 \quad \Rightarrow \quad \Omega^2(\phi)R \rightarrow \frac{1}{6}\phi^2 R \quad \text{"conformal coupling"}$$

$$J(\Phi) = -\frac{3}{4}\chi \Phi^2 \quad \Rightarrow \quad \Omega^2(\phi)R \rightarrow (1+\xi(\text{Re } \Phi)^2)R \quad \text{"non - minimal coupling"}$$

$$\text{with } \chi = \pm \frac{2}{3}(1 + 6\xi)$$

we can rewrite

$$\Omega^2 = -3 + \bar{\Phi}\Phi + (J(\Phi) + h.c.) = -3 - \frac{1}{4} \left(1 + \frac{3}{2}\chi\right) (\bar{\Phi} - \Phi)^2 + \frac{1}{4} \left(1 - \frac{3}{2}\chi\right) (\bar{\Phi} + \Phi)^2$$

$$\chi = \pm \frac{2}{3} \leftrightarrow \xi = 0 \quad \text{recovers minimal coupling and shift symmetry!}$$

non-minimal chaotic inflation in supergravity ...

[Kallosh, Linde & Rube '10 ; Linde, Noorbala & AW '11]

we can now go to Einstein frame, and forget about Jordan frame by taking

$$\begin{aligned} K &= -3 \ln \left(-\Omega^2/3 \right) = -3 \ln \left[1 - \frac{1}{3} \bar{\Phi} \Phi + \frac{1}{4} \chi \left(\bar{\Phi}^2 + \Phi^2 \right) \right] \\ &= -3 \ln \left[1 + \frac{1}{12} \left(1 + \frac{3}{2} \chi \right) (\bar{\Phi} - \Phi)^2 - \frac{1}{12} \left(1 - \frac{3}{2} \chi \right) (\bar{\Phi} + \Phi)^2 \right] \end{aligned}$$

if we now add back S and the quartic terms in K

$$K = -3 \ln \left[1 - \frac{1}{3} \bar{\Phi} \Phi - \frac{1}{3} \bar{S} S + \frac{1}{4} \chi \left(\bar{\Phi}^2 + \Phi^2 \right) + c_1 (\bar{S} S)^2 \right]$$

then with $W = S f(\Phi)$ we are back with generalized

non-minimal chaotic inflation in supergravity !

non-minimal chaotic inflation in supergravity ...

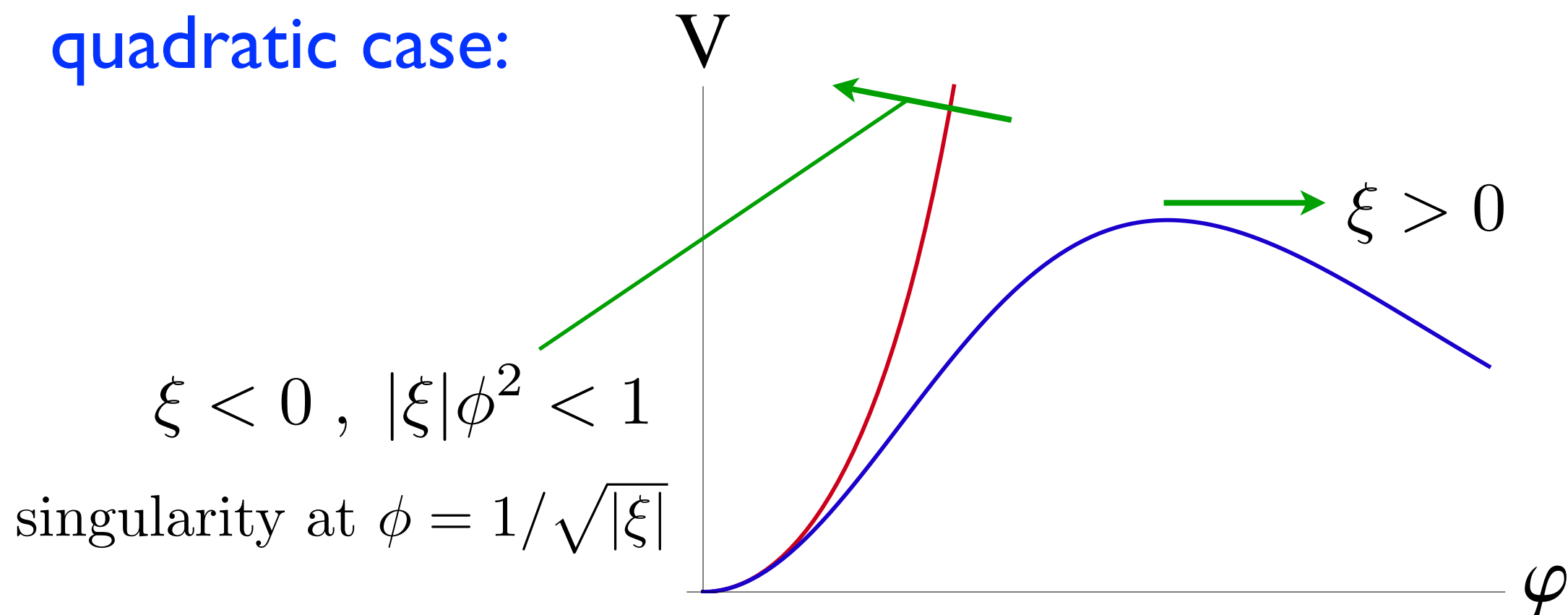
[Linde, Noorbala & AW '11]

look at 2 examples, get n_s and r as function of v , ξ

$$f(\Phi) = m\Phi \quad \rightarrow \quad V_E(\phi) = \frac{m^2 \phi^2}{(1 + \xi \phi^2)^2}$$

$$f(\Phi) = \frac{\lambda}{4}(\Phi^2 - v^2)^2 \quad \rightarrow \quad V_E(\phi) = \frac{\frac{\lambda^2}{4}(\phi^2 - v^2)^2}{(1 + \xi \phi^2)^2}$$

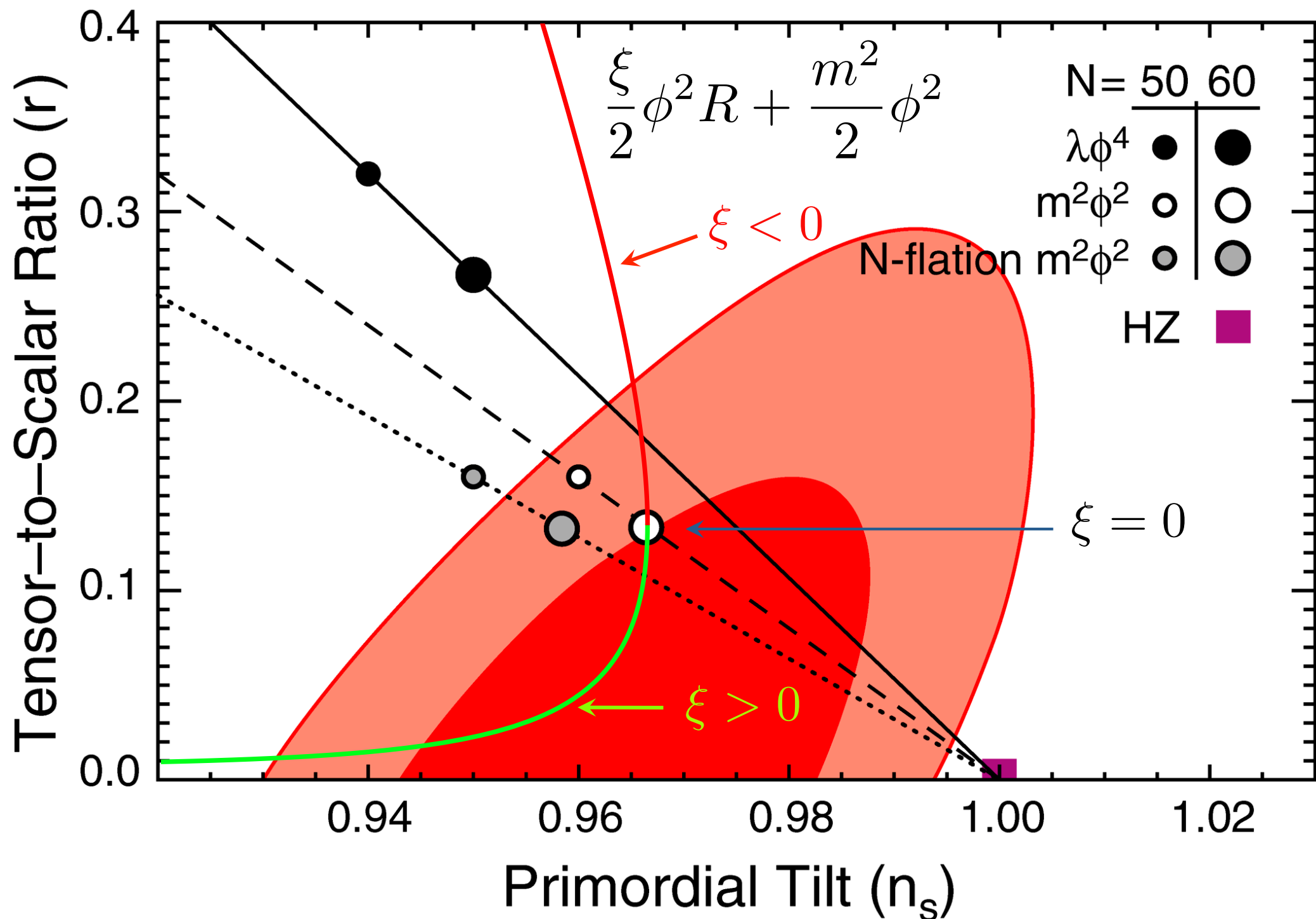
quadratic case:



non-minimal chaotic inflation in supergravity ...

[Linde, Noorbala & AW '11]

quadratic case:

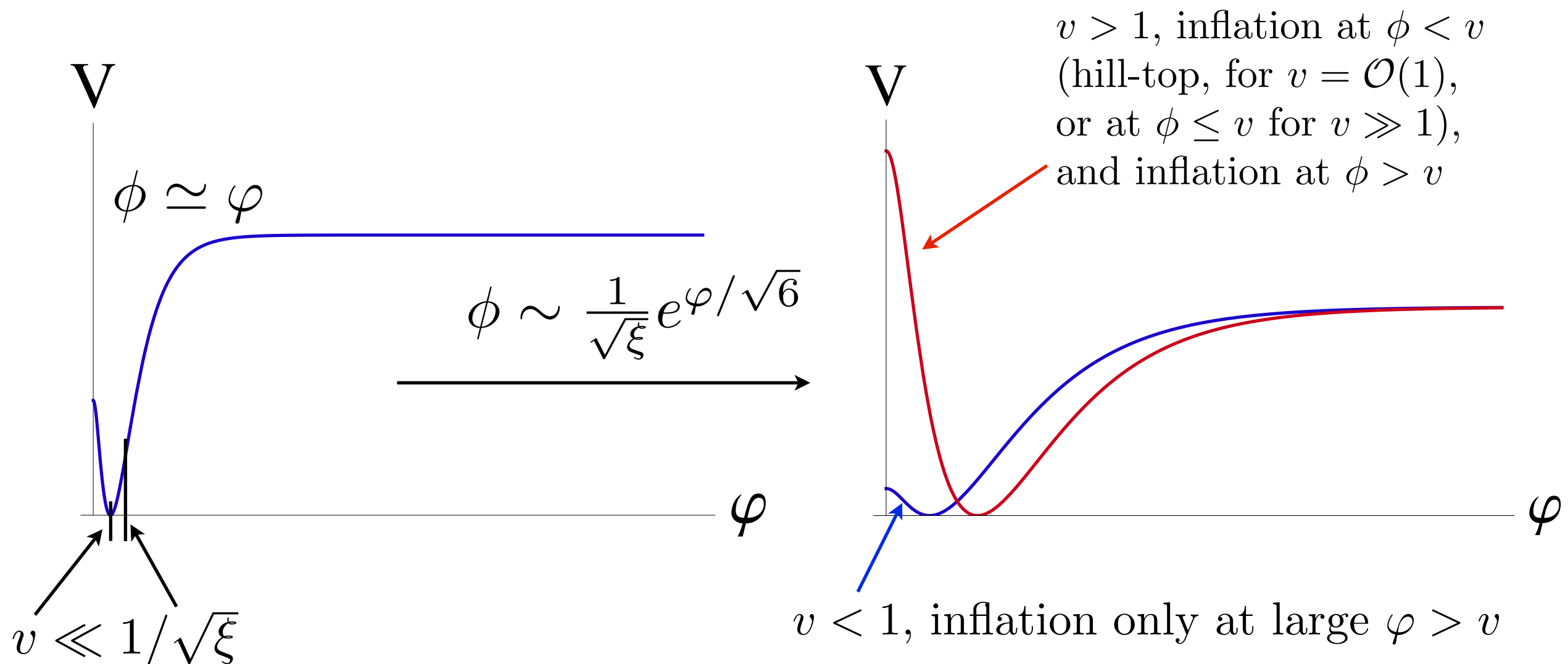


non-minimal chaotic inflation in supergravity ...

[Linde, Noorbala & AW '11]

quartic case I, II: $\phi < v$ (I) and $\phi > v$ (II), with

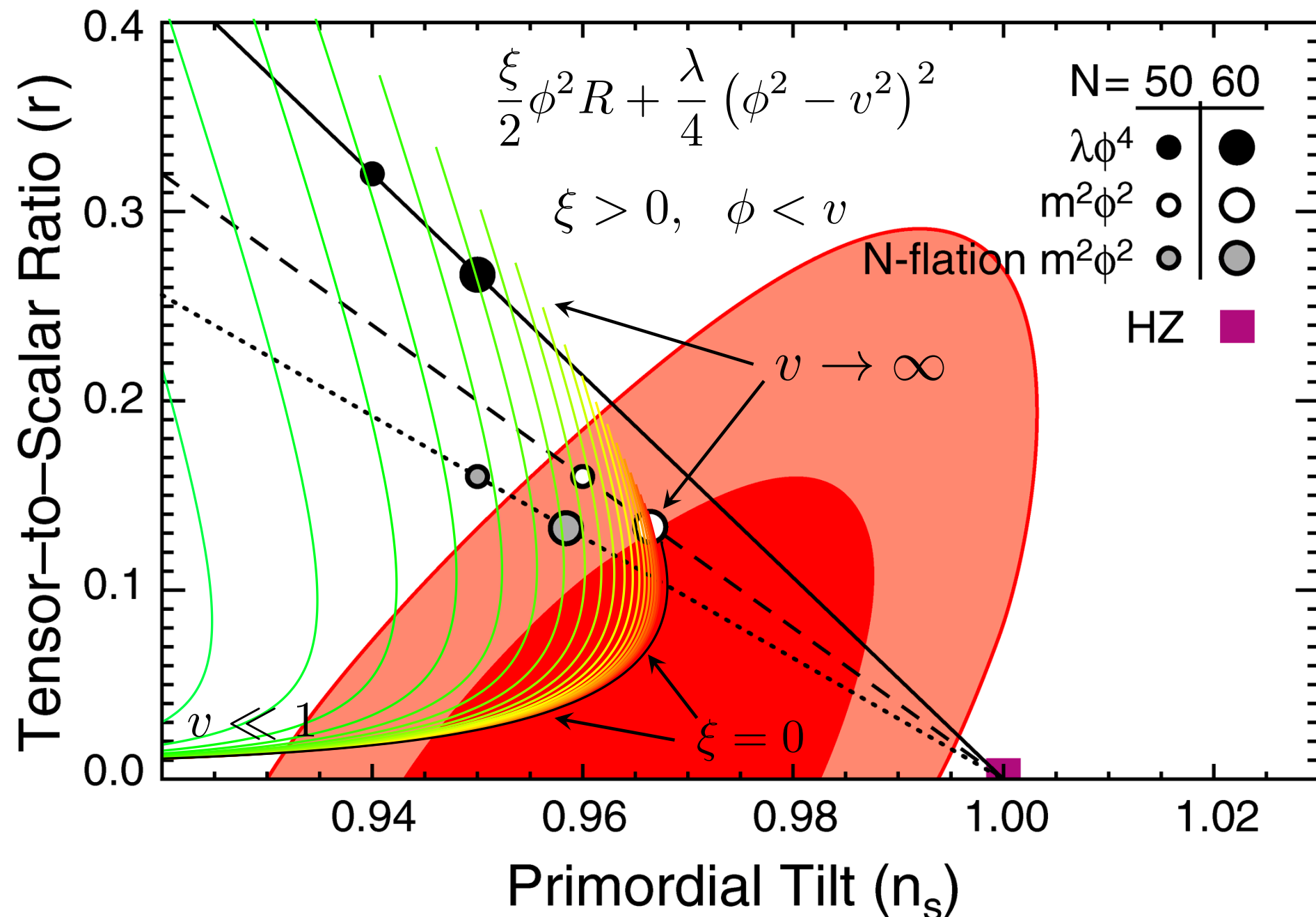
$\xi > 0$, like Higgs inflation, but we scan both ξ and v



non-minimal chaotic inflation in supergravity ...

[Linde, Noorbala & AW '11]

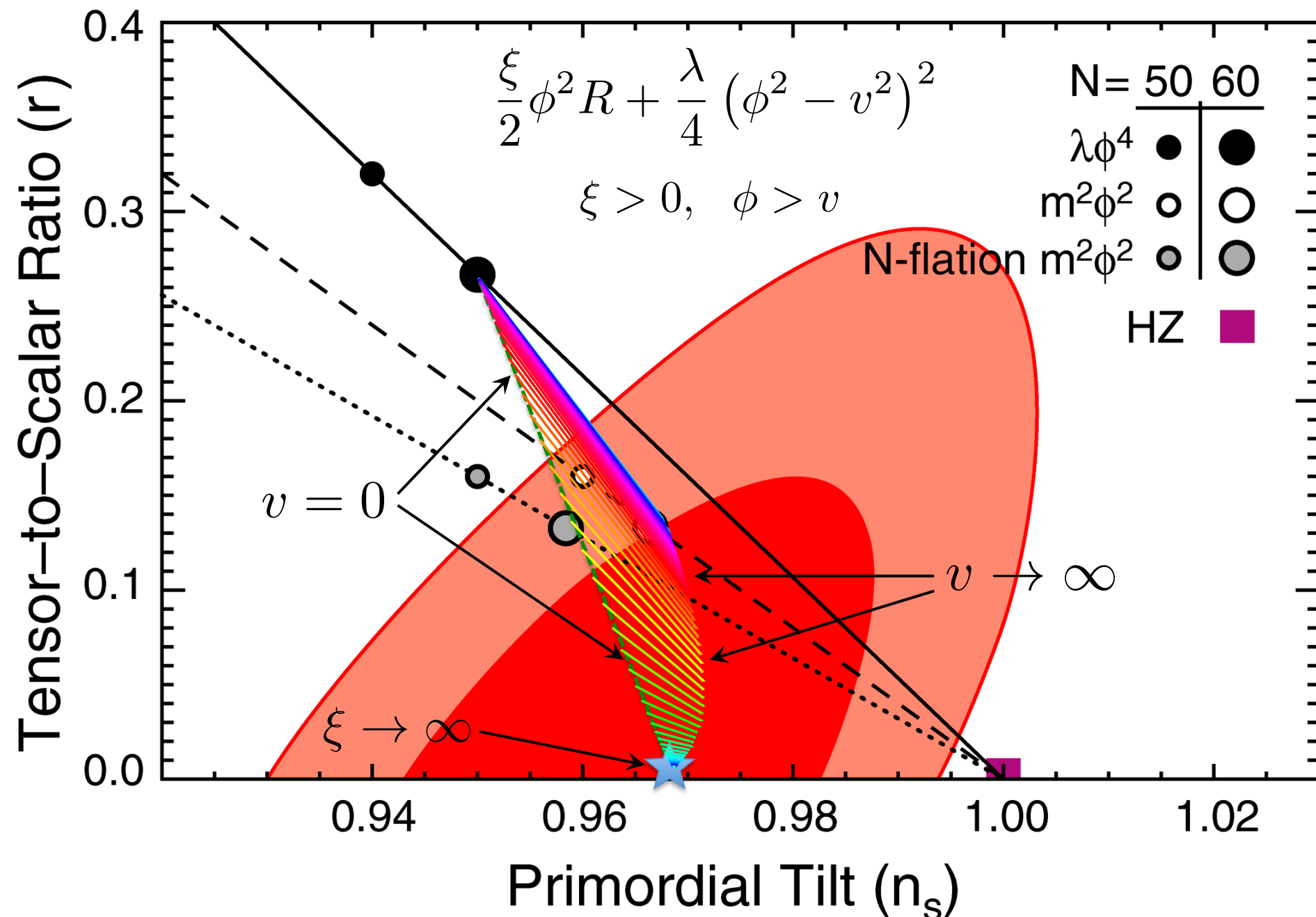
quartic case I: $\xi > 0$, $\phi < v$



non-minimal chaotic inflation in supergravity ...

[Linde, Noorbala & AW '11]

quartic case II: $\xi > 0$, $\phi > v$



non-minimal chaotic inflation in supergravity ...

[Linde, Noorbala & AW '11]

quartic case II: $\phi < v$ and $v < \phi < 1/\sqrt{|\xi|}$, with

$\xi < 0$, again singularity at $\phi = 1/\sqrt{|\xi|}$

unexpected coincidence - take: $v^2|\xi| < 1$, but $v^2|\xi| \rightarrow 1$

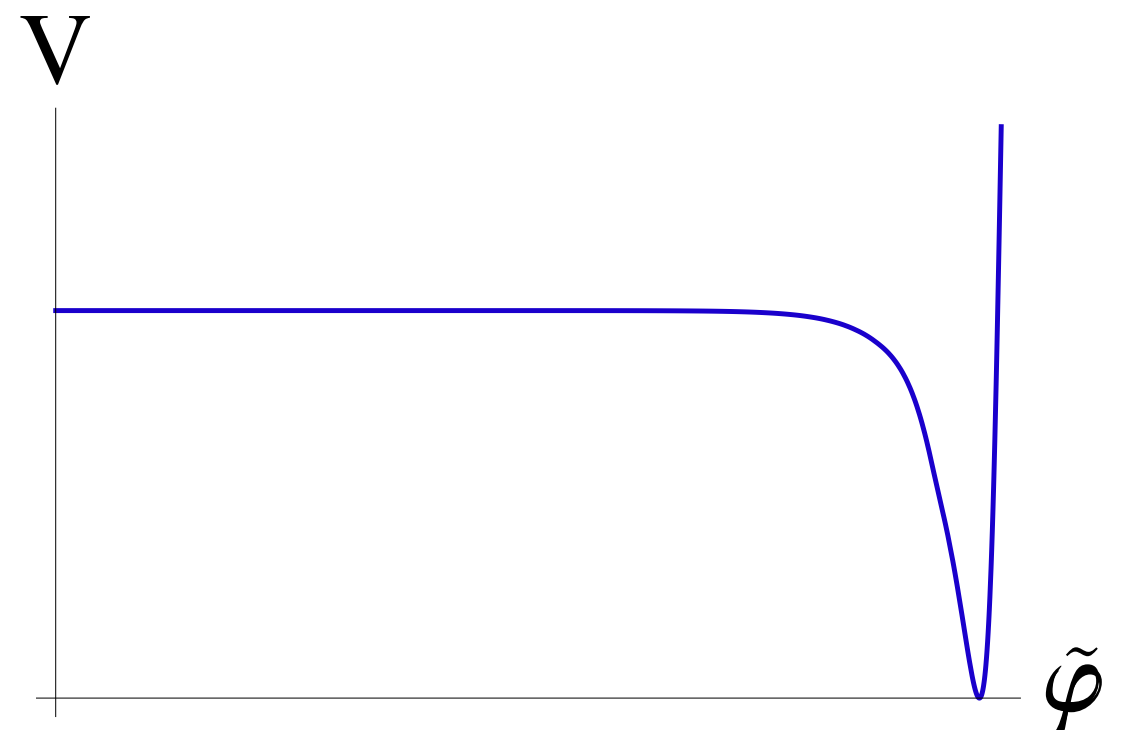
then in terms of the correct canonical inflaton:

$$V \simeq \frac{\lambda}{4\xi^2} \left(1 - 2e^{-\frac{2}{\sqrt{6}}\tilde{\varphi}} \right)$$

where $\tilde{\varphi} = \varphi_0(v) - \varphi$ and again

$$\begin{cases} n_s = 1 - \frac{2}{N} = 0.967 \\ r = 16\epsilon = \frac{12}{N^2} = 0.003 \end{cases}$$

for $|\xi|v^2 \rightarrow 1$



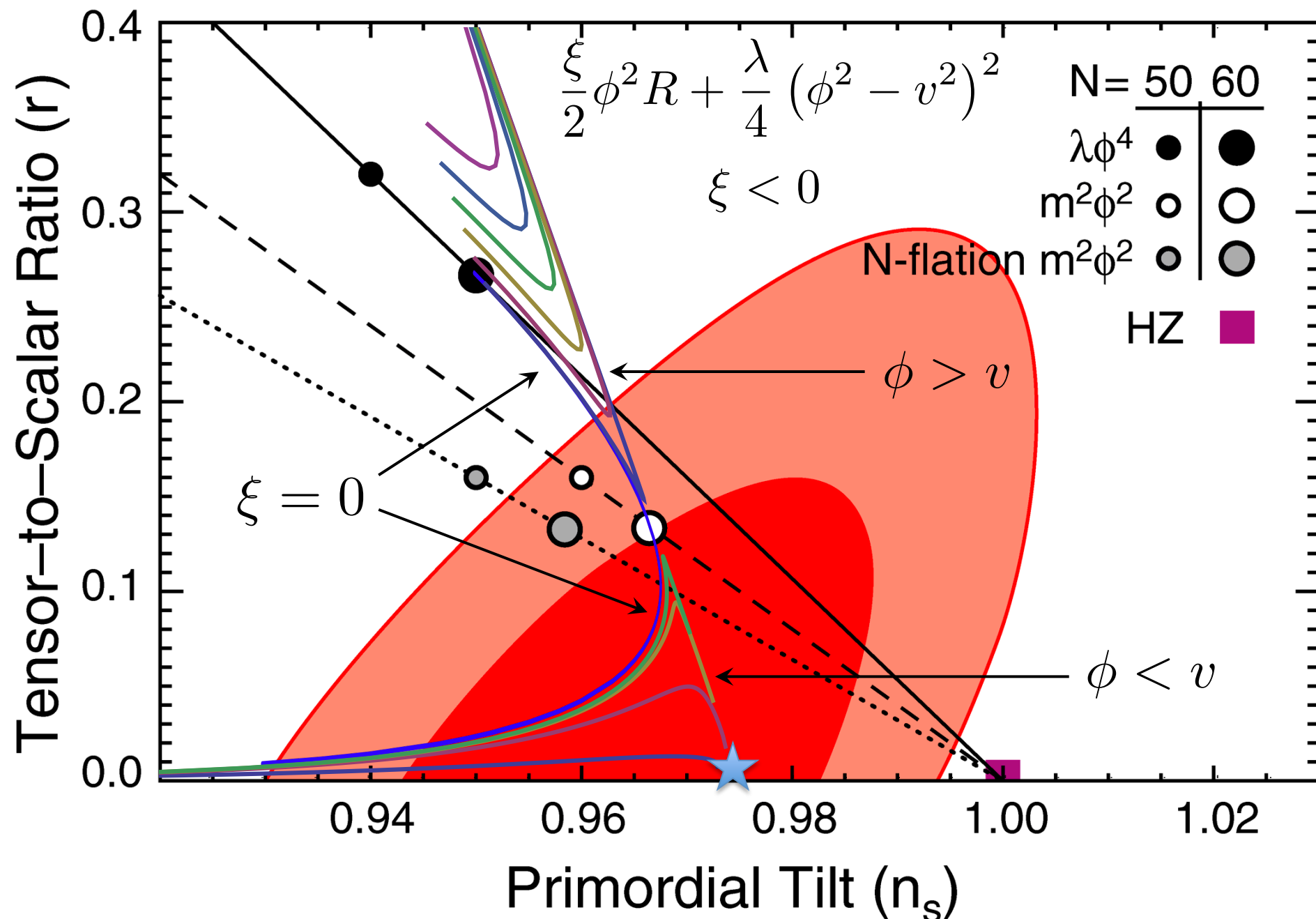
mirror-Higgs inflation

non-minimal chaotic inflation in supergravity ...

[Linde, Noorbala & AW '11]

quartic case III: $\phi < v$ and $v < \phi < 1/\sqrt{|\xi|}$, with $\xi < 0$

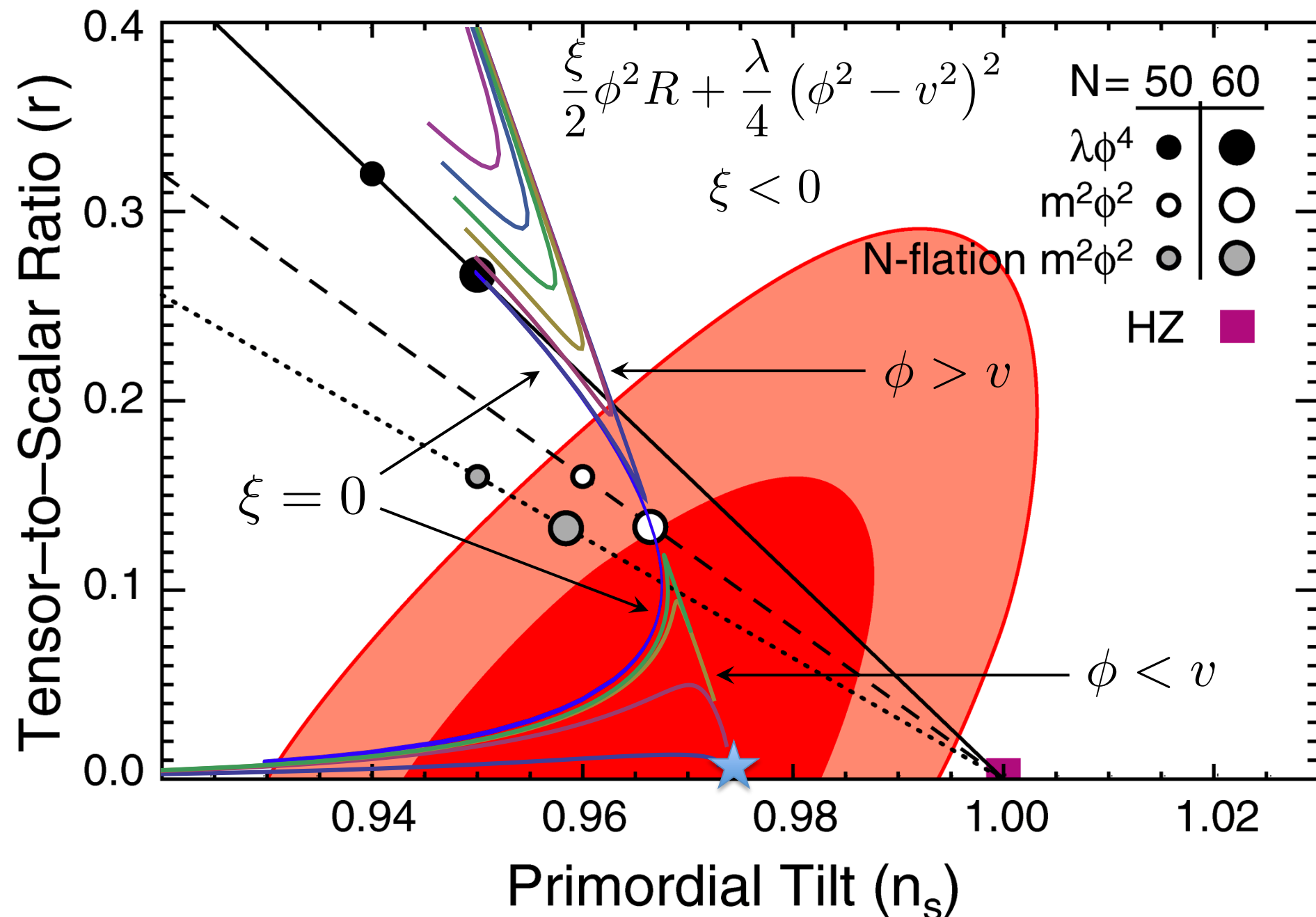
$$V = \frac{\xi}{2} \phi^2 R + \frac{\lambda}{4} (\phi^2 - v^2)^2$$



non-minimal chaotic inflation in supergravity ...

[Linde, Noorbala & AW '11]

quartic case III: $\phi < v$ and $v < \phi < 1/\sqrt{|\xi|}$, with $\xi < 0$

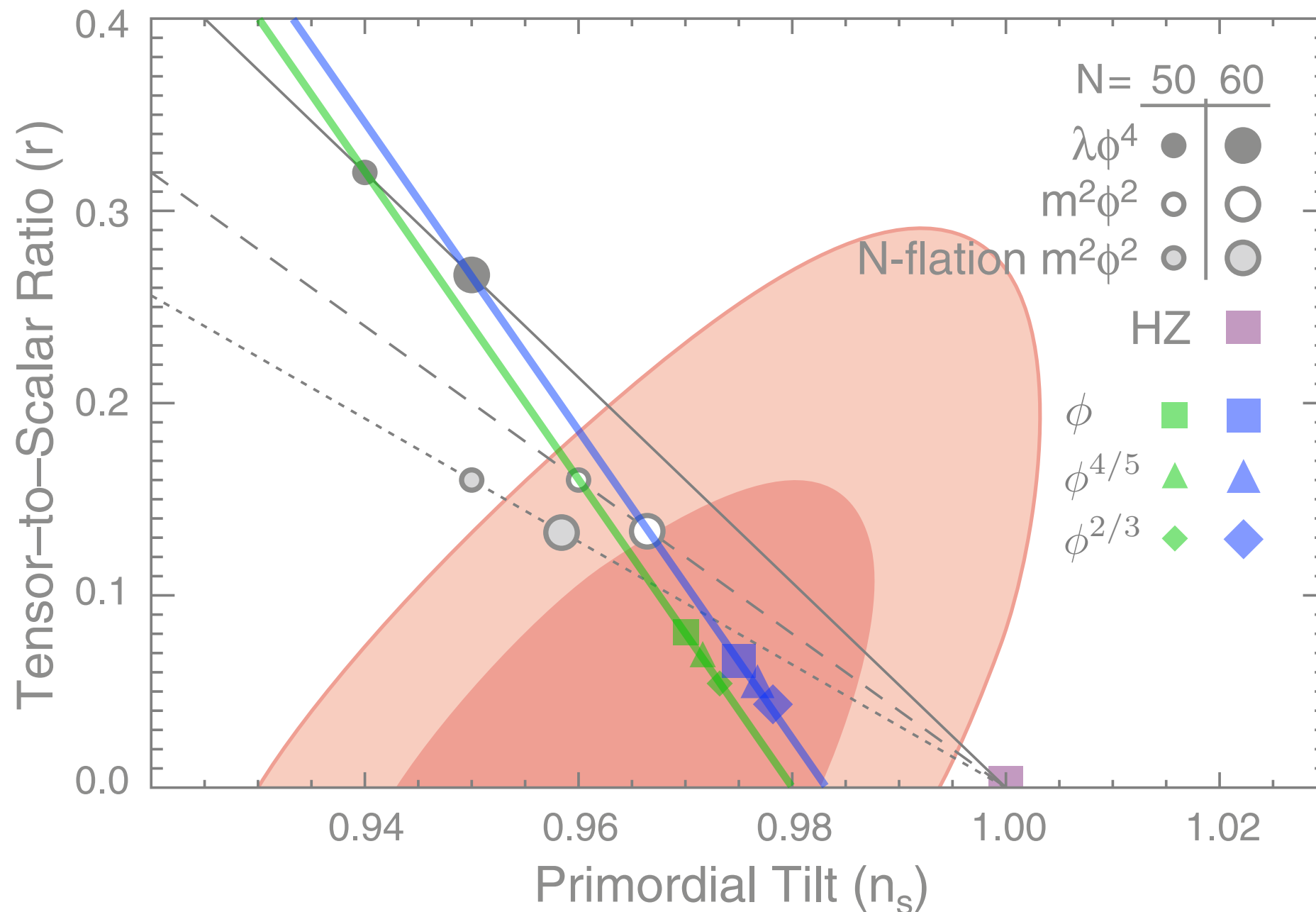


non-minimal chaotic inflation in supergravity ...

[Linde, Noorbala & AW '11]

quartic case III: $\phi < v$ and $v < \phi < 1/\sqrt{|\xi|}$, with $\xi < 0$

WMAP 7yr + BAO + H_0



summary ...

- non-minimally coupled inflation (a la Higgs inflation) can be embedded in a general class of chaotic inflation models in supergravity based on a shift symmetry; this includes large-field inflation with the observational boon of gravity waves
- a non-minimal coupling provides a modification to the scalar potential, without explicitly adding terms
- scanning the non-minimal coupling can render a *quartic* potential consistent with observational data, and cover significant parts of the patch of the (n_s, r) -plane allowed by the WMAP 7-year data

