

# Factorisation in diffraction



Representing H1 and ZEUS experiments



Hadron structure '09

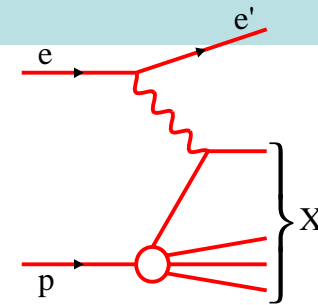
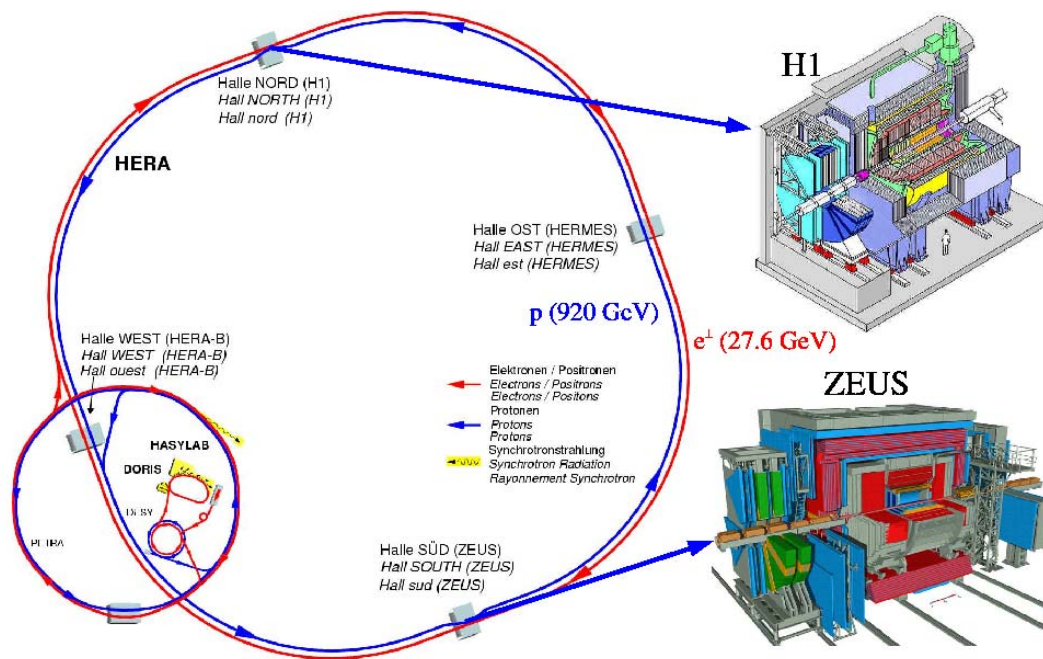
Tatranská Štrba



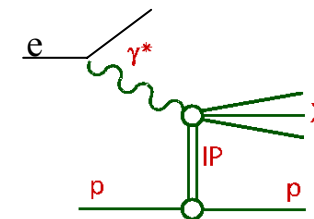
**Alice Valkárová**  
Charles University, Prague

# HERA collider experiments

- 27.5 GeV electrons/positrons on 920 GeV protons  $\rightarrow \sqrt{s}=318$  GeV
- two experiments: H1 and ZEUS
- HERA I: 16 pb<sup>-1</sup> e-p, 120 pb<sup>-1</sup> e+p
- HERA II:  $\sim 550$  pb<sup>-1</sup>,  $\sim 40\%$  polarisation of e<sup>+</sup>, e<sup>-</sup>
- closed July 2007, still lot of excellent data to analyse.....



**DIS:** Probe structure of proton  $\rightarrow F_2$



**Diffractive DIS:** Probe structure of color singlet exchange  $\rightarrow F_2^D$

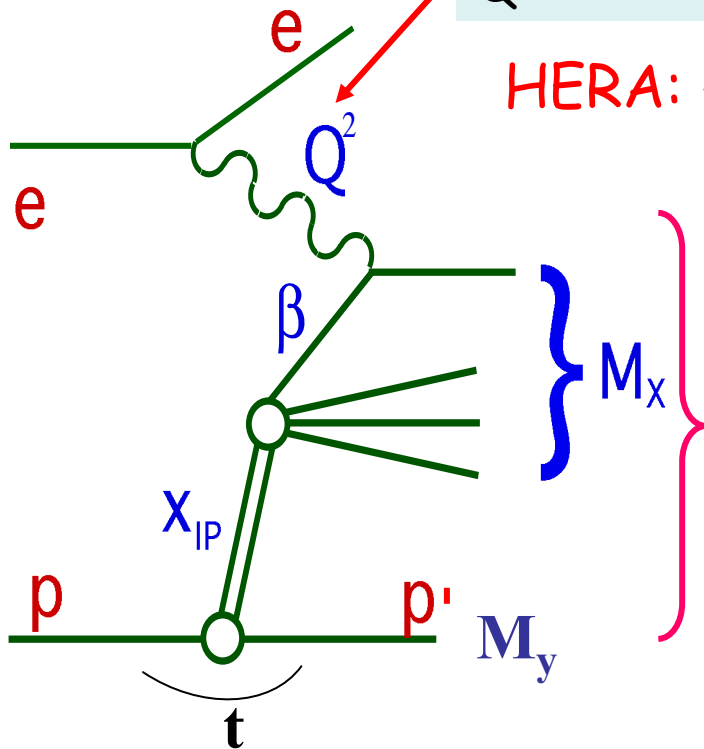
# Diffraction and diffraction kinematics

Two classes of diffractive events:

$Q^2 \sim 0 \rightarrow$  photoproduction

$Q^2 \gg 0 \rightarrow$  deep inelastic scattering (DIS)

HERA:  $\sim 10\%$  of low- $x$  DIS events are diffractive



$$x_{IP} = \frac{q \cdot (p - p')}{q \cdot p} \approx \frac{Q^2 + M_X^2}{Q^2 + W^2} \longrightarrow$$

$W$  momentum fraction of color singlet exchange

$$\beta = \frac{x}{x_{IP}} \approx \frac{Q^2}{Q^2 + M_X^2} \longrightarrow$$

fraction of exchange momentum, coupling to  $\gamma^*$

$$t = (p - p')^2 \longrightarrow \text{4-momentum transfer squared}$$

# Diffractive Event Selection

1) Proton Spectrometers:

**ZEUS**: LPS (1993-2000)

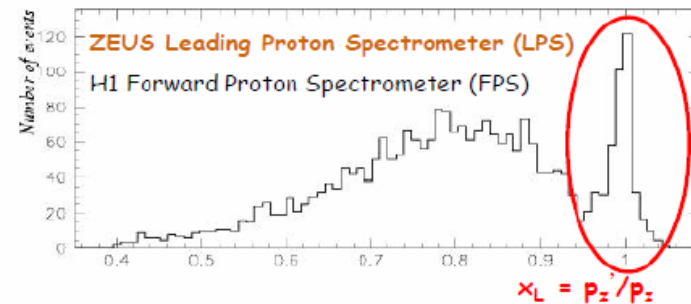
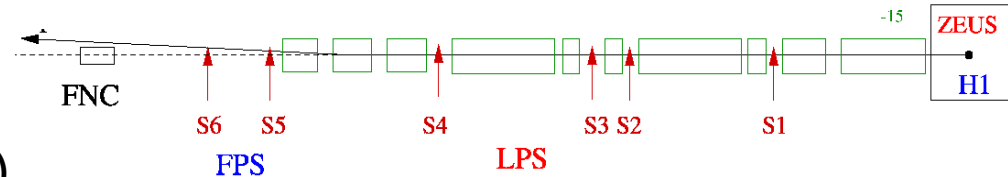
**H1**: FPS (1995-2007), VFPS (2004-07)

$t$  measurement

access to high  $x_{IP}$  range

free of p-dissociation background at low  $x_{IP}$

small acceptance  $\rightarrow$  low statistics ☠



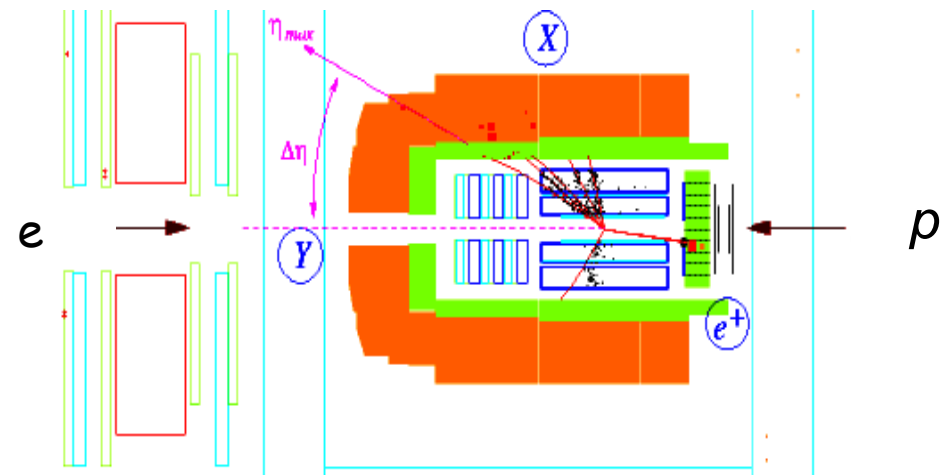
2) Large Rapidity Gap, **H1, ZEUS**:

Require no activity beyond  $\eta_{\max}$

$t$  not measured,

very good acceptance at low  $x_{IP}$

p-diss background  $\sim 20\%$  ☠



# What is QCD factorisation?

Factorisation holds for inclusive and non-inclusive processes when:

- photon is point-like ( $Q^2$  is high enough)
- higher twist corrections are negligible

$$\sigma^D(\gamma^* p \rightarrow Xp) = \sum_{parton\_i} f_i^D(x, Q^2, x_{IP}, t) \cdot \sigma^{\gamma^* i}(x, Q^2)$$

$f_i^D \rightarrow$  DPDFs - obey DGLAP, universal for diff. ep DIS (inclusive, dijet, charm)

$\sigma^{\gamma^* i} \rightarrow$  universal hard scattering cross section (same as in inclusive DIS)

It allows to extract DPDFs from the (DIS) data

H1 and ZEUS -QCD fits assuming **Regge factorisation** for DPDF

$$f_i^D(x, Q^2, x_{IP}, t) = f_{IP/p}(x_{IP}, t) \cdot f_i^{IP}(\beta = x/x_{IP}, Q^2)$$

$$f_{IP/p}(x_{IP}, t) = \frac{e^{Bt}}{x_{IP}^{2\alpha(t)-1}}$$

pomeron flux factor

pomeron PDF



# How to profit from factorisation?

- to extract DPDFs from inclusive **DIS** and to estimate cross sections for dijet and  $D^*$  production - then compare with data 

## tests of factorisation

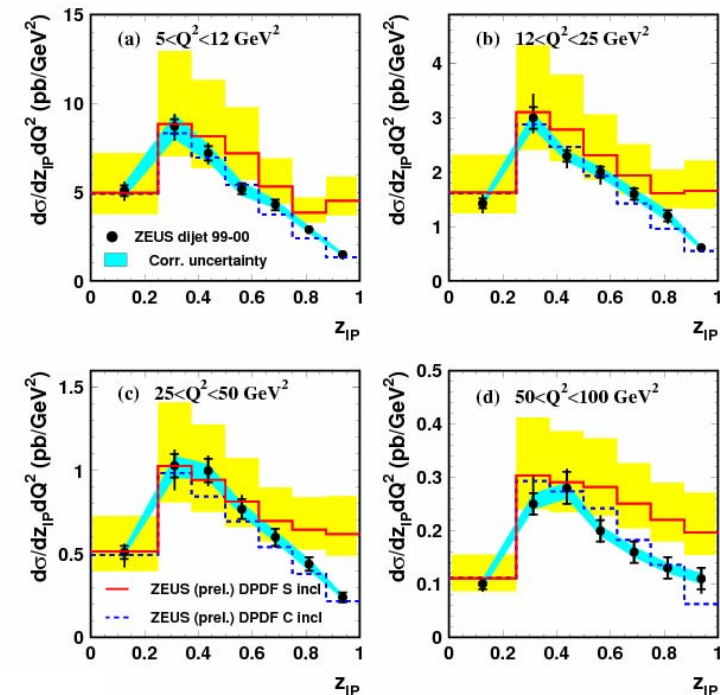
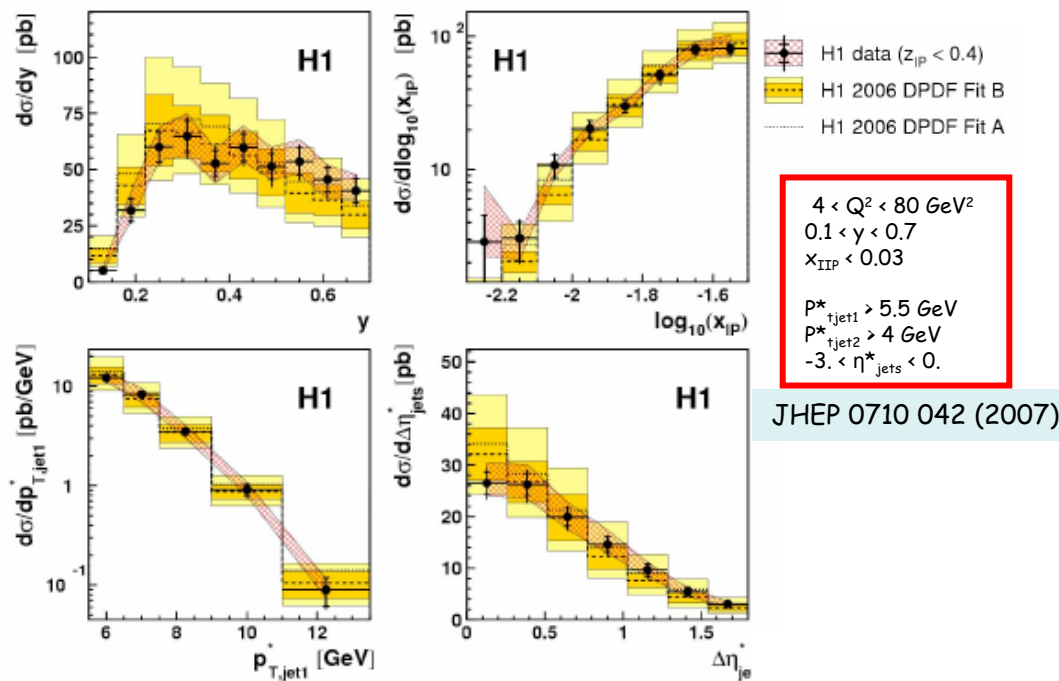
- to extract DPDFs from inclusive and semi-inclusive **DIS** (dijets,  $D^*$ ) - only semi-inclusive data are sensitive to gluon contribution, mainly at large  $z_{IP}$

Used by H1 and ZEUS

semi-inclusive data  dijets in DIS

EPJ C52 (2007) 813

## ZEUS



# H1 QCD inclusive+dijet fit

$$z\Sigma(z, Q_0^2) = A_q z^{B_q} (1-z)^{C_q}$$

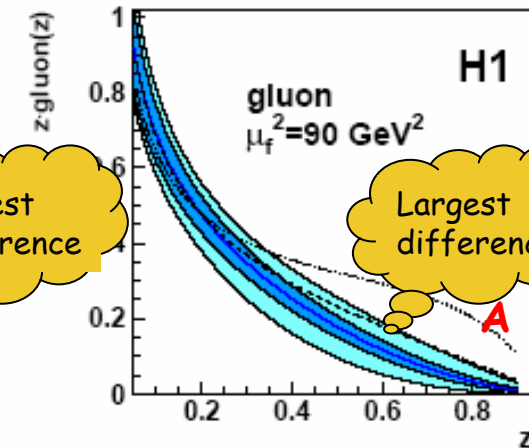
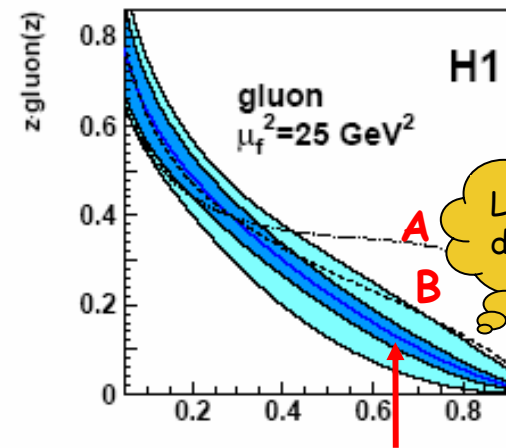
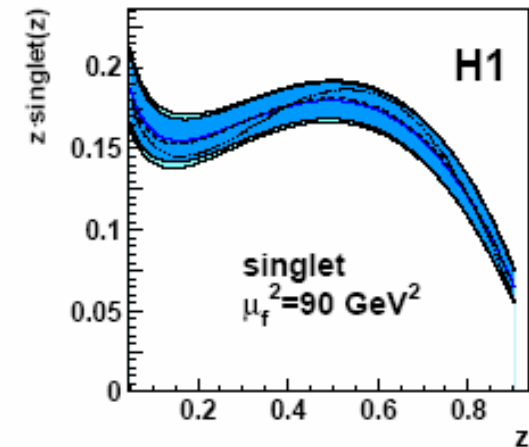
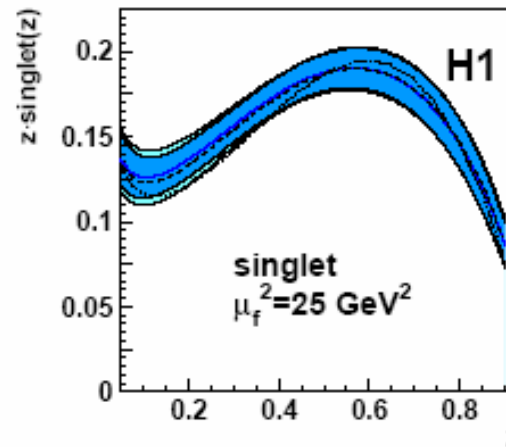
Inclusive fits A and B

Fit **jets** uses dijets in DIS

No difference for quarks,  
large difference for gluons  
at large  $z_{\text{IP}}$ .

JHEP 0710:042,2007

- H1 2007 Jets DPDF
- exp. uncertainty
- exp. + theo. uncertainty
- ..... H1 2006 DPDF fit A
- ..... H1 2006 DPDF fit B



Fit A

Fit B

$$z_g(z, Q_0^2) = A_g (1-z)^{C_g}$$

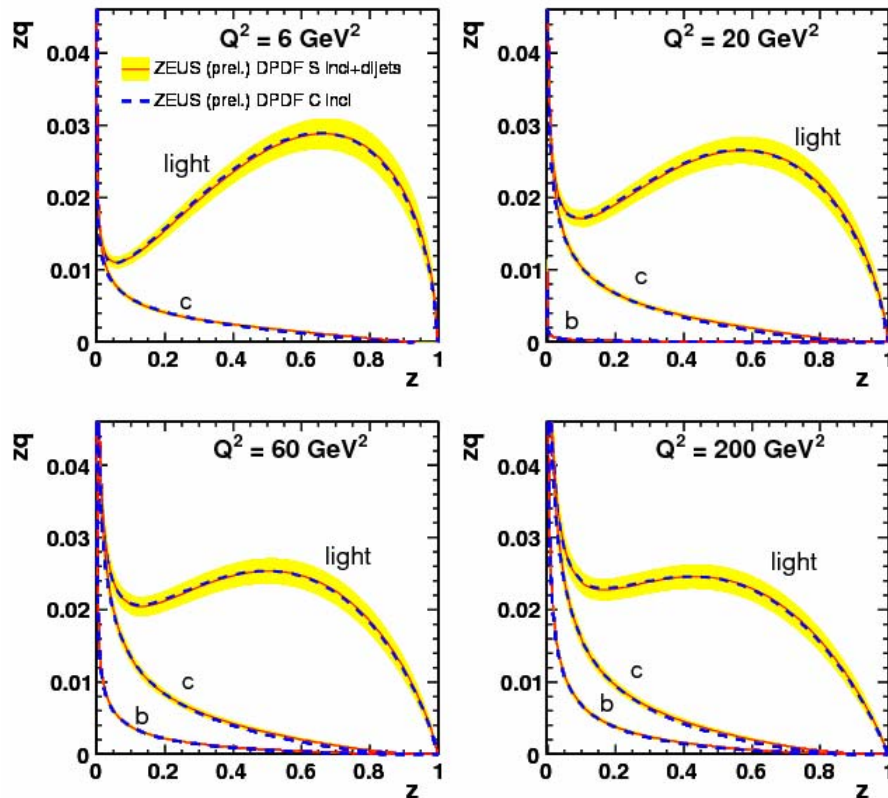
$$z_g(z, Q_0^2) = A_g$$

# ZEUS QCD inclusive+dijet fit

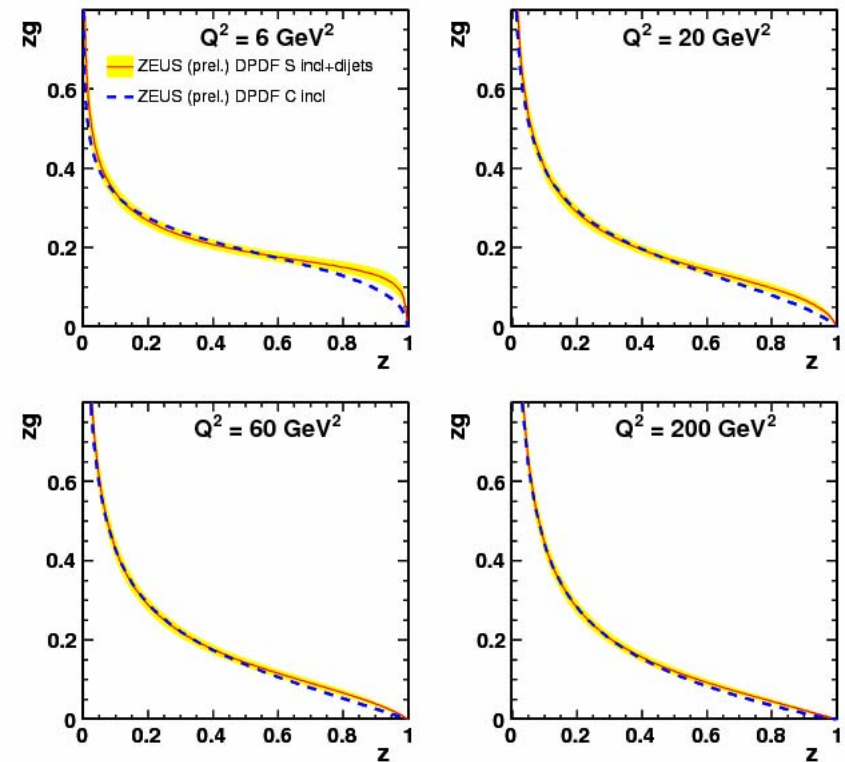
ZEUS fit C is the fit with same conditions as H1 fit B

The results of fits of both experiments are similar.

ZEUS



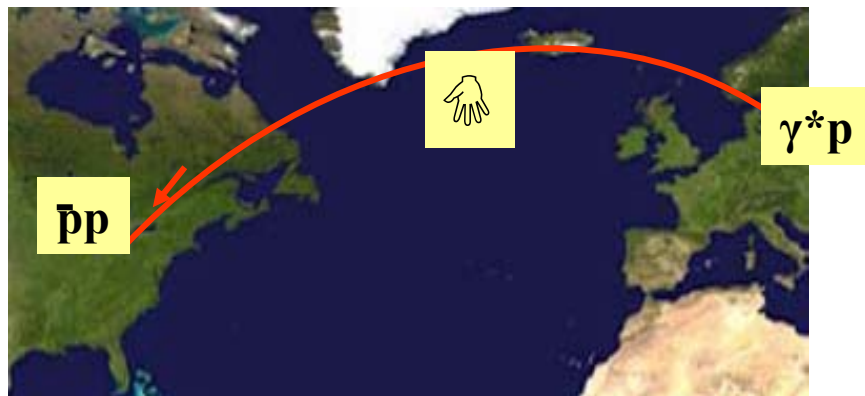
ZEUS





# Factorisation in hadron-hadron collisions

Factorisation broken by  $\beta$ -dependent factor  $\sim 10$ ,  $S \sim 0.1$

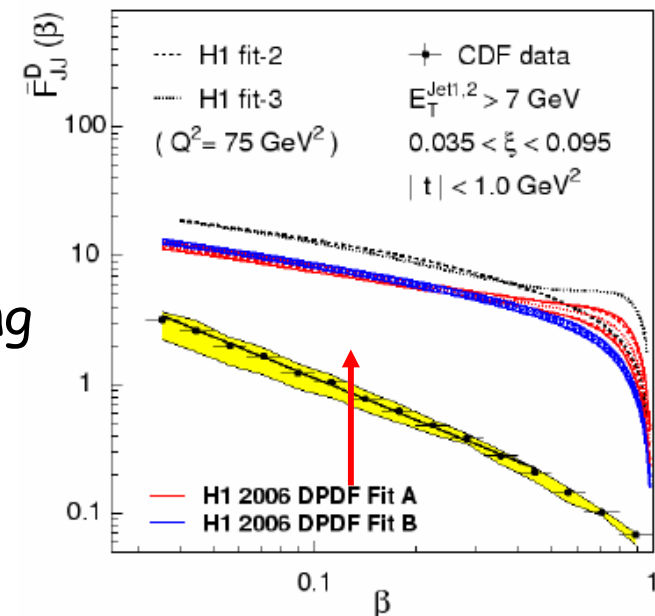


Exporting DPDFs from  
HERA to Tevatron.....

Successfully explained by terms of rescattering  
and absorption

(see Kaidalov, Khoze, Martin, Ryskin: Phys. Lett. B567 (2003), 61)

Must be understood for LHC...e.g. CEP Higgs,  
( $S=1-3\%$ ), related to underlying event.....



$x_{IP}$  integrated effective DPDFs  
from CDF single diff. dijets (run I)

# Tests of factorisation - HERA

- **dijets in DIS - factorisation holds** - H1 → JHEP 0710 042 (2007)  
ZEUS → EPJ C52 (2007) 813
- **D\* in DIS and photoproduction** - H1 Coll. EPJ C50 (2007) 1  
ZEUS Coll. EPJ C51 (2007) 301

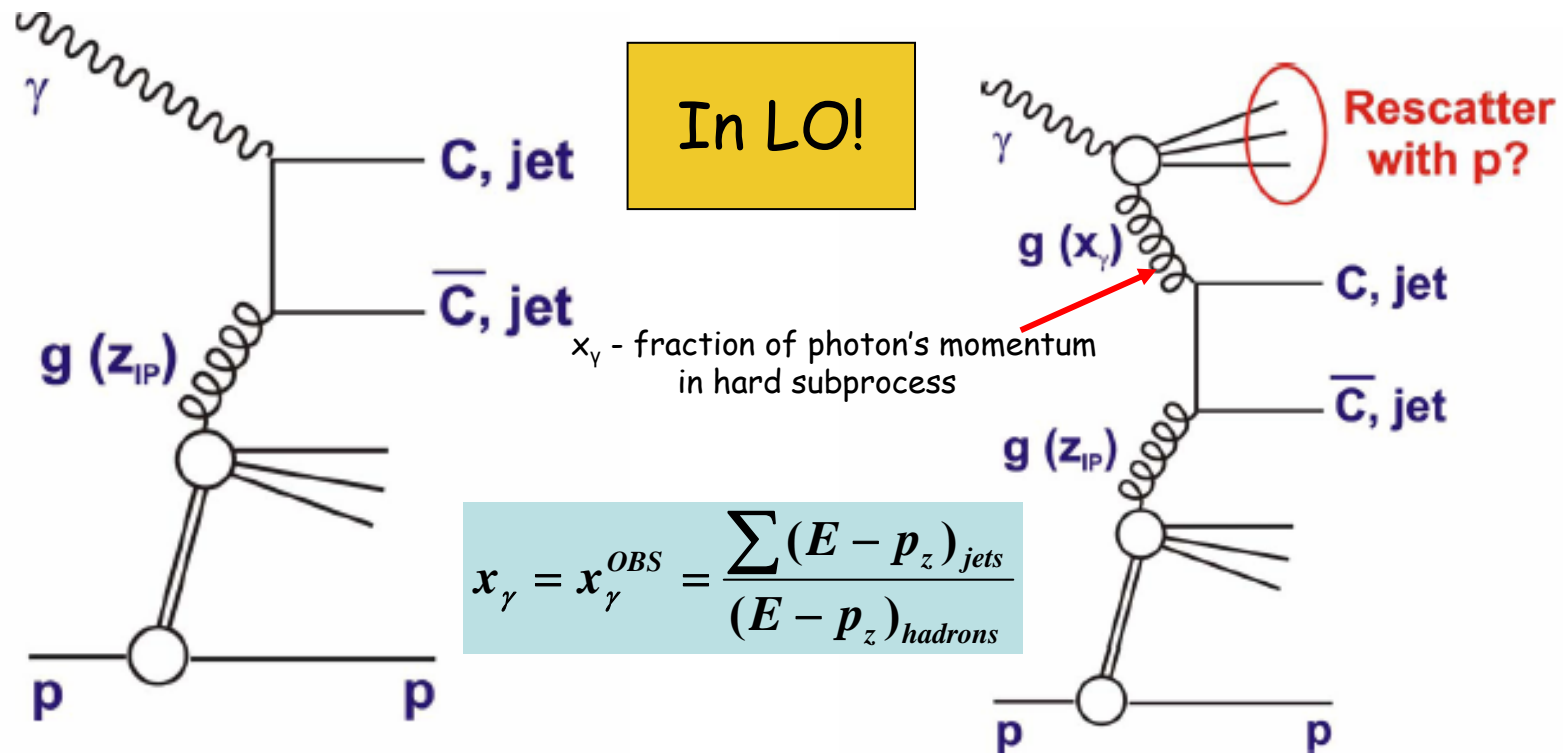
H1 double ratio  $R_{\text{DIS}}^{\text{YP}} = (\text{data/theory})^{\text{YP}} / (\text{data/theory})_{\text{DIS}}$

$$R_{\text{DIS}}^{\text{YP}} = 1.15 \pm 0.40 (\text{stat.}) \pm 0.09 (\text{syst.})$$

↓  
within large errors no evidence for suppression,  
**factorisation holds**

- what about dijets in photoproduction???

# Photoproduction, $\gamma^*p, Q^2 \rightarrow 0$



direct photoproduction ( $Q^2 \approx 0$ ):  
photon directly involved in hard scattering

$$x_\gamma = 1$$

(at parton level)

31.08.2009

resolved photoproduction ( $Q^2 \approx 0$ ):

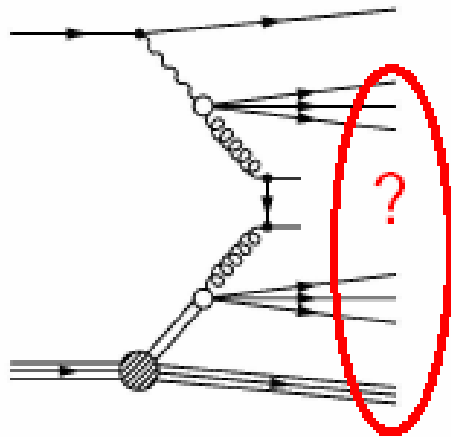
photon fluctuates into hadronic system, which takes part in hadronic scattering, dominant at  $Q^2 \approx 0$

$$x_\gamma < 1$$

(at parton level)

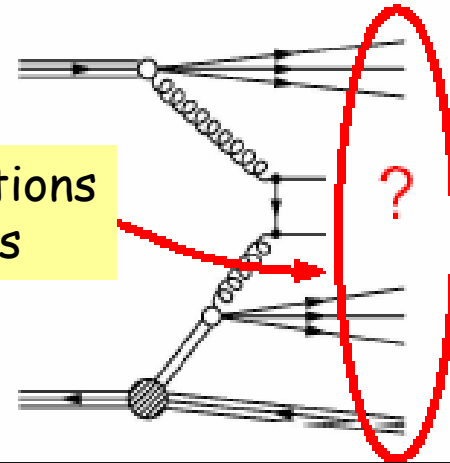
# Photoproduction as hadronic process

HERA resolved photoproduction



Secondary interactions  
between spectators

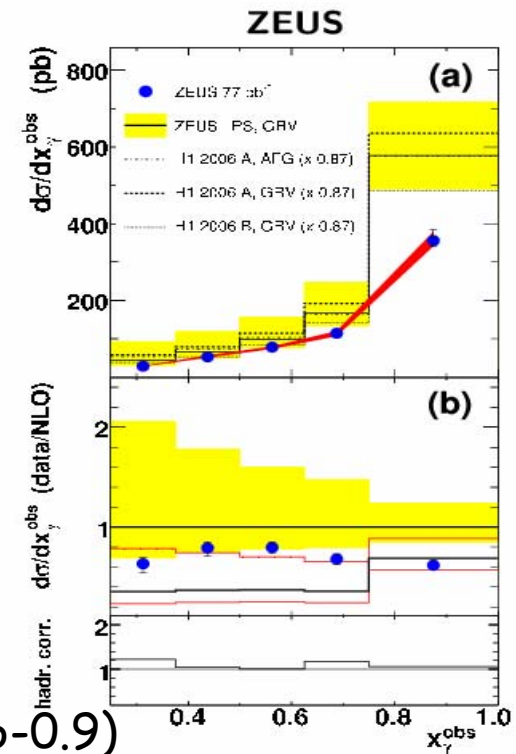
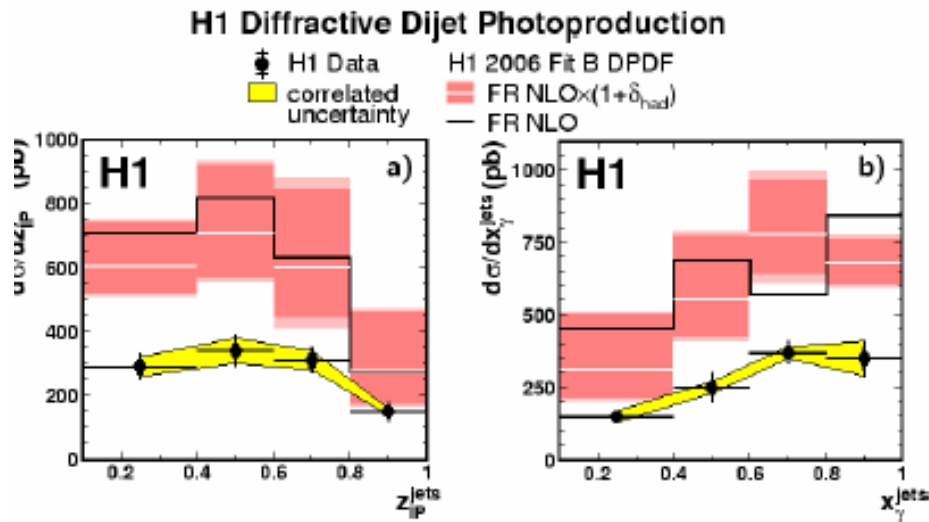
Tevatron



Typical models that describe suppression at Tevatron assume secondary interactions of spectators as the cause:

resolved contribution expected to be suppressed by factor 0.34  
see Kaidalov et al.

# 2007 - DIS 07....



H1:  $E_{\text{tjet1}} > 5 \text{ GeV}$  suppression of factor  $\sim 0.5$   
 ZEUS:  $E_{\text{tjet1}} > 7.5 \text{ GeV}$  weak (if any) suppression (0.6-0.9)

Neither collaboration sees difference between the resolved and direct regions, in contrast to theory!

Possible explanation of differences between H1 and ZEUS (DIS 2007)  
 Different phase space of both analyses .....?



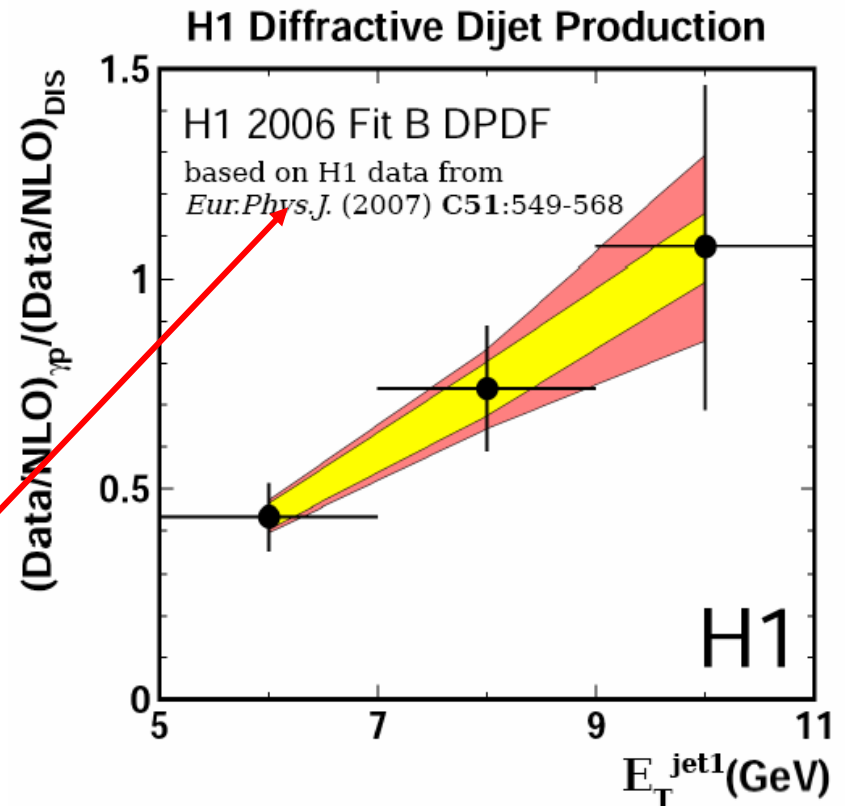
# H1 - double ratio, $E_T$ dependence?

Double ratio of Data/NLO for  
photoproduction and DIS

→

Very useful - full or partial cancellation  
of many uncertainties (energy scales  
for data, DPDFs used...etc ).

Figure extracted from published results



**Double ratio is within errors  $E_T$  dependent!**

# New H1 analysis -two cut scenarios

Tagged dijet photoproduction, data 99/00, three times larger statistics, LRG

To crosscheck previous H1 results

$$E_T^{\text{jet1}} > 5 \text{ GeV}$$

$$E_T^{\text{jet2}} > 4 \text{ GeV}$$

$$-1 < \eta^{(\text{jet 1 and 2})} < 2$$

$$x_{\text{IP}} < 0.03$$

$$\left\{ \begin{array}{l} 0.3 < y_e < 0.65 \end{array} \right.$$

$$\left\{ \begin{array}{l} Q^2 < 0.01 \text{ GeV}^2 \end{array} \right.$$

$$\left\{ \begin{array}{l} |t| < 1 \text{ GeV}^2 \end{array} \right.$$

$$\left\{ \begin{array}{l} M_Y < 1.6 \text{ GeV} \end{array} \right.$$

To approach closest to ZEUS cuts

$$E_T^{\text{jet1}} > 7.5 \text{ GeV}$$

$$E_T^{\text{jet2}} > 6.5 \text{ GeV}$$

$$-1.5 < \eta^{(\text{jet 1 and 2})} < 1.5$$

$$x_{\text{IP}} < 0.025$$

different  
from  
ZEUS

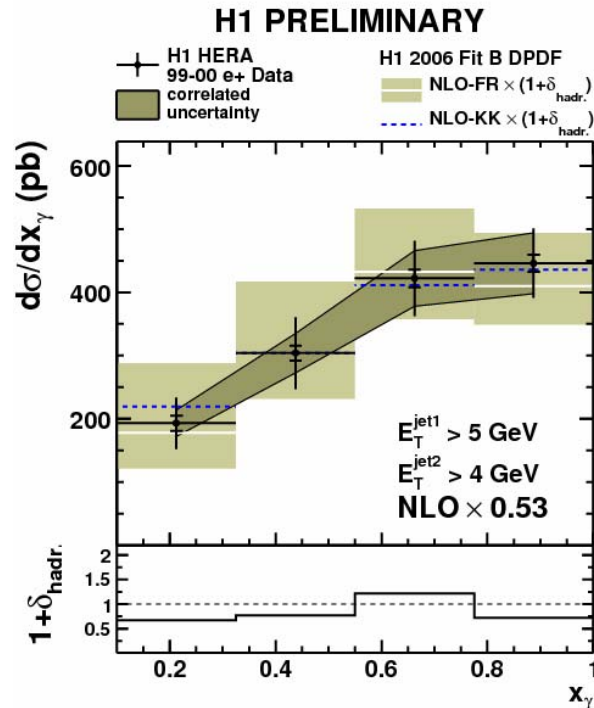
$$\left\{ \begin{array}{l} 0.3 < y_e < 0.65 \dots 0.2 < y_{\text{JB}} < 0.85 \end{array} \right.$$

$$\left\{ \begin{array}{l} Q^2 < 0.01 \text{ GeV}^2 \dots Q^2 < 1 \text{ GeV}^2 \end{array} \right.$$

$$\left\{ \begin{array}{l} |t| < 1 \text{ GeV}^2 \end{array} \right.$$

$$\left\{ \begin{array}{l} M_Y < 1.6 \text{ GeV} \end{array} \right.$$

# Lower $E_T$ cut scenario



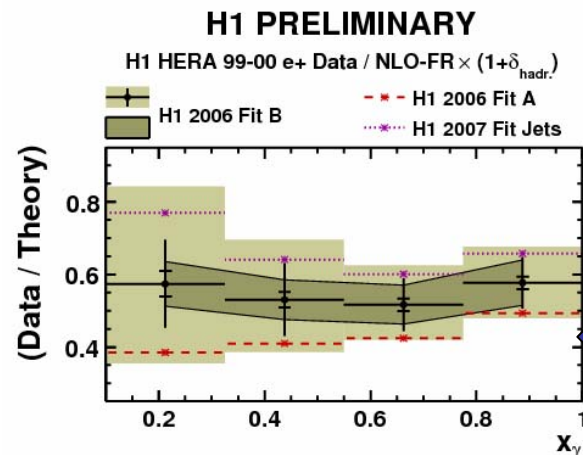
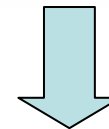
Integrated survival probabilities (ISP)

$$S_{\text{fit B}}^{\text{FR}} = \underline{0.54} \pm 0.01 (\text{stat.}) \pm 0.10 (\text{syst.}) {}^{+0.14}_{-0.13} (\text{scale})$$

$$S_{\text{fit B}}^{\text{KK}} = 0.51 \pm 0.01 (\text{stat.}) \pm 0.10 (\text{syst.})$$

$$S_{\text{fit Jets}}^{\text{FR}} = 0.65 \pm 0.01 (\text{stat.}) \pm 0.11 (\text{syst.})$$

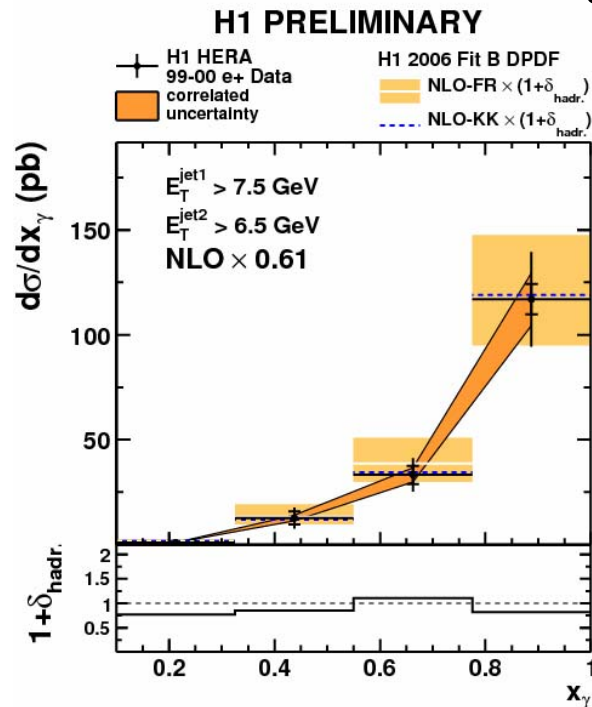
$$S_{\text{fit A}}^{\text{FR}} = 0.43 \pm 0.01 (\text{stat.}) \pm 0.10 (\text{syst.}) \quad 11$$



Within errors no difference in ISP using different DPDFs

No difference in survival probabilities for resolved and direct regions of  $x_\gamma$ , like in previous H1 and ZEUS analyses 16

# Higher $E_T$ cut scenario



Now much more „direct-like“ events than in low  $E_T$  analysis, peak at higher  $x_\gamma$

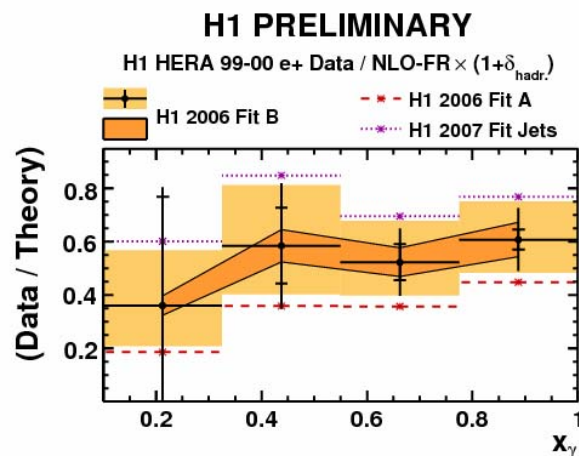
Integrated survival probabilities (ISP)

$$S_{fit\ B}^{FR} = \underline{0.61} \pm 0.03 (stat.) \pm 0.13 (syst.) {}^{+0.16}_{-0.14} (scale)$$

$$S_{fit\ B}^{KK} = 0.62 \pm 0.03 (stat.) \pm 0.14 (syst.)$$

$$S_{fit\ Jets}^{FR} = 0.79 \pm 0.04 (stat.) \pm 0.16 (syst.)$$

$$S_{fit\ A}^{FR} = 0.44 \pm 0.02 (stat.) \pm 0.09 (syst.)$$

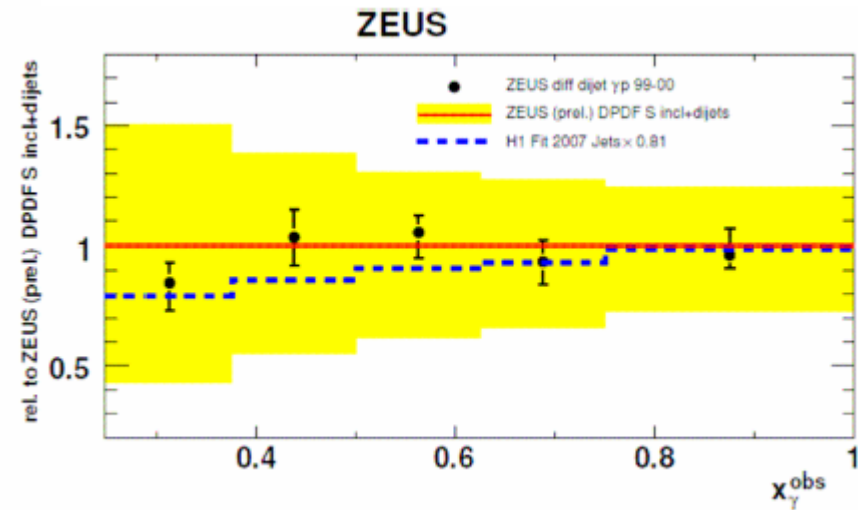
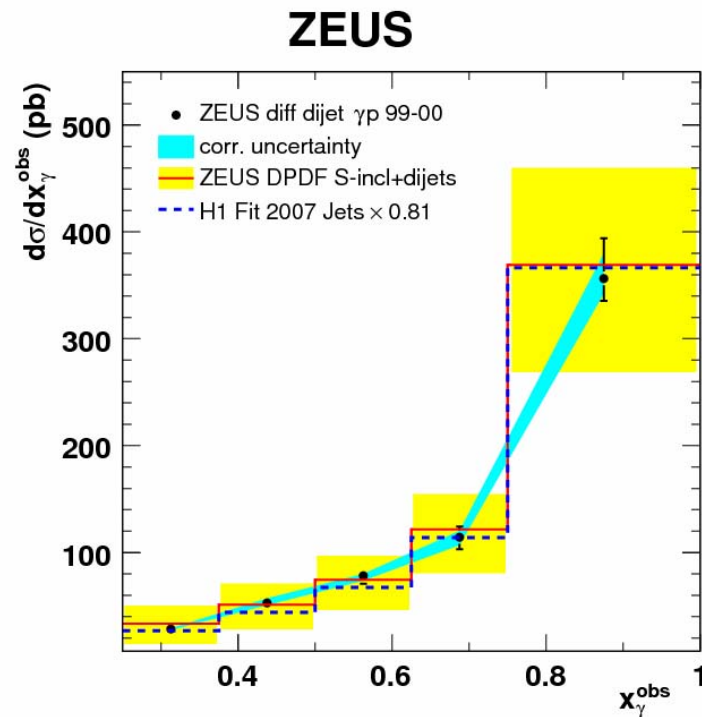


Larger ISP than for lower  $E_T$  cut scenario  
 → more close to ZEUS results!!!

# New ZEUS fit-comparison with old data

Published data: EPJ C55 (2008) 177

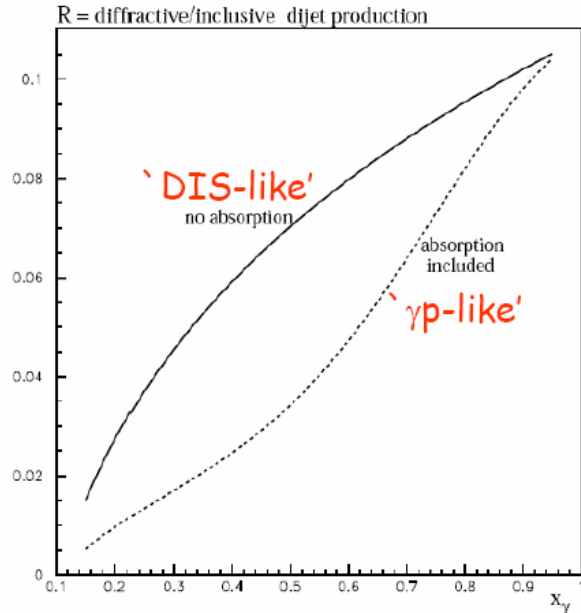
Very good description  $\rightarrow$  no evidence for suppression for ZEUS combined fit and H1 fit jets.





# Ratio diffractive to inclusive

Proposed by Kaidalov et al. Phys.Lett B567 (2003) 61



Full or partial cancellation of PDF uncertainties, scales.....

Distribution of  $x_\gamma$  sensitive to gap survival.

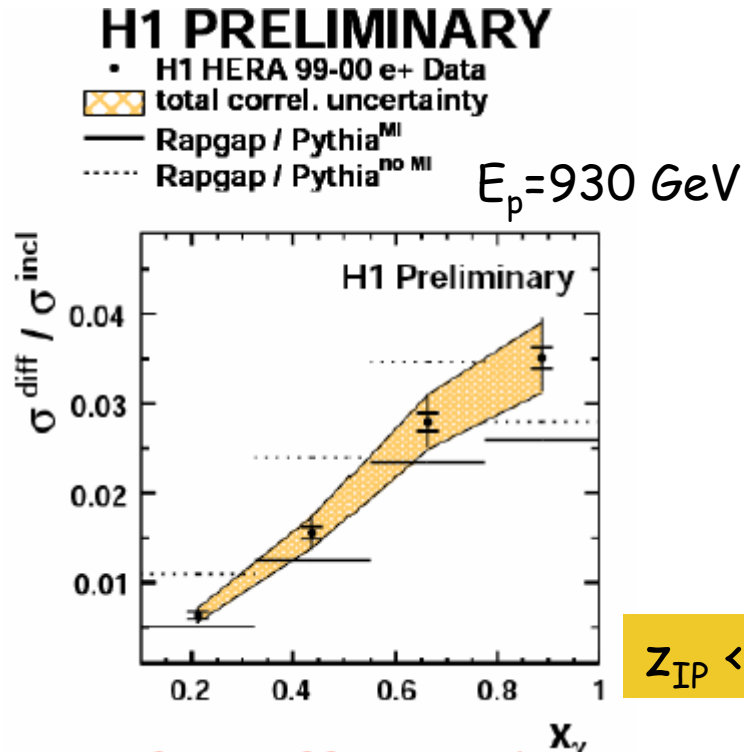
H1 - measured in same kinematic range with same method as diffractive cross sections

Acceptance corrections - PYTHIA

Problem  $\rightarrow$  describes low  $E_+$  inclusive data with inclusion of multiple interactions only, large hadronisation corrections!

With such a low  $E_+$  jets problems also with NLO description of data - see for example H1 inclusive jet paper (EPJ C 129 (2003) 497)

# Ratio diffractive to inclusive



$E_p = 820 \text{ GeV}$

inclusive

$p_T^{\text{jet1}} > 5 \text{ GeV}$

$p_T^{\text{jet2}} > 4 \text{ GeV}$

$-1 < \eta_{\text{lab}}^{\text{jet1,2}} < 2$

$Q^2 < 0.01 \text{ GeV}^2$

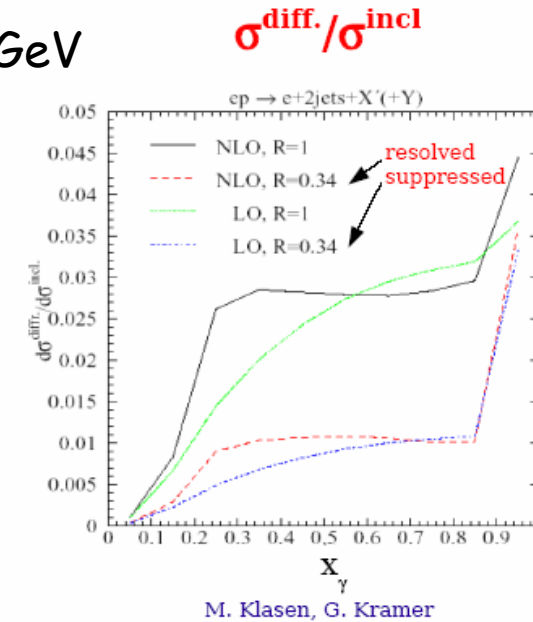
$0.3 < y < 0.6$

diffraction

$x_{\text{IP}} < 0.03$

$M_y < 1.6 \text{ GeV}$

$-t < 1 \text{ GeV}^2$



- comparison to MC models - RAPGAP/PYTHIA
- very different phase space for incl.& diffr.
- large sensitivity to multiple interactions (MI) for inclusive dijets
- better agreement of data ratio with PYTHIA MI

# Summary

- for dijets in photoproduction gap survival probability: significantly less than 1. for events with low  $E_+$  leading jets, for higher  $E_+$  (DPDF H1 fit jets 2007)  $\sim 0.8$ , **consistent with ZEUS results** (ZEUS combined fit 2009)  $\sim 1$ .
- hint that **suppression is dependent on  $E_+$  of the leading jet**,  
→ evidence that gap destruction becomes less likely as  $E_+$  increases
- the evidence that suppression is **not different** for direct and resolved events **remains** (from theory not expected )
- ratio diffractive dijets/inclusive dijets measured for the first time - the multiple interactions play important role for inclusive dijets → interpretation difficult

# Backup

# Tests of factorisation - HERA

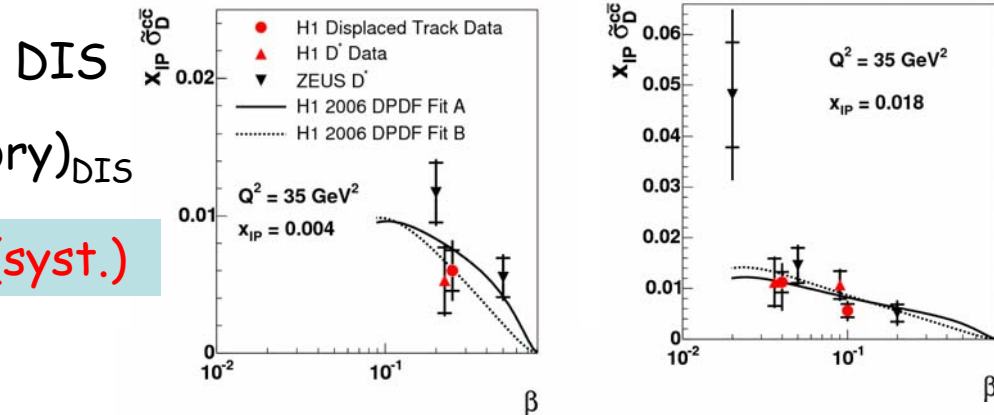
- dijets in DIS - **factorisation holds** - H1 → JHEP 0710 042 (2007)  
ZEUS → EPJ C52 (2007) 813
- D\* in DIS and photoproduction - H1 Coll. Eur.Phys. J C50,1,(2007)

$$R_{\text{DIS}}^{\text{YP}} = (\text{data/theory})^{\text{YP}} / (\text{data/theory})_{\text{DIS}}$$

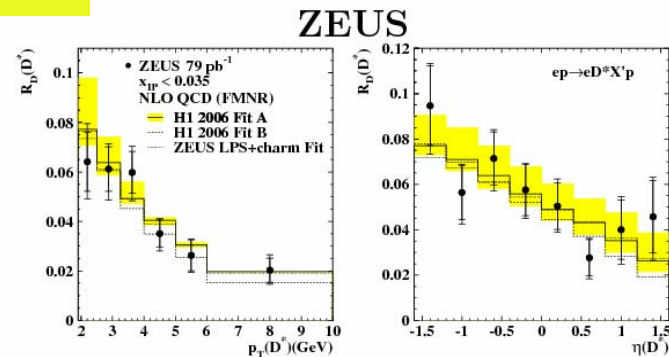
$$R_{\text{DIS}}^{\text{YP}} = 1.15 \pm 0.40 (\text{stat.}) \pm 0.09 (\text{syst.})$$



within large errors  
no evidence for suppression,  
**factorisation holds**

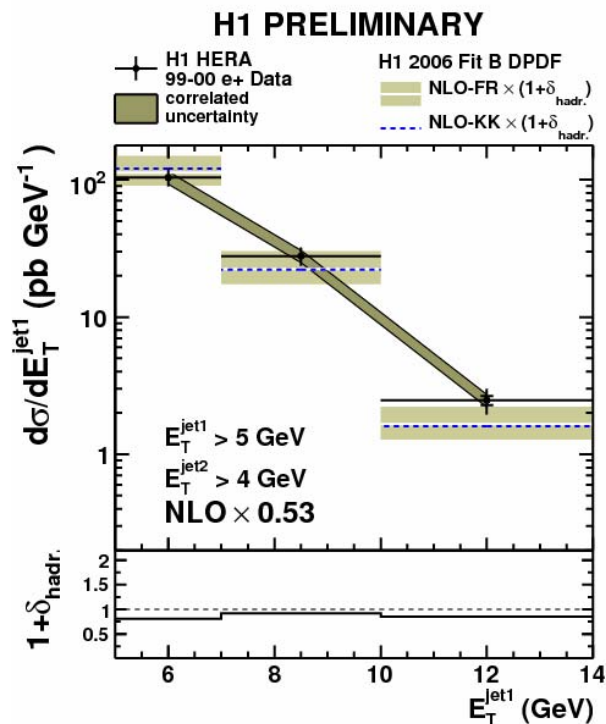


ZEUS coll. EPJ C51 (2007) 301





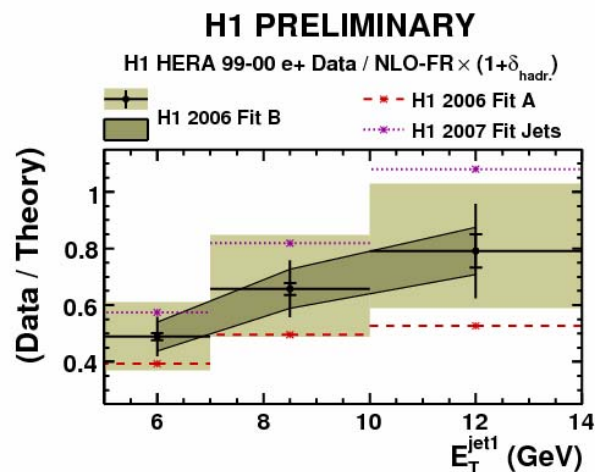
# Lower $E_T$ cut scenario



Another hint of  $E_T$  harder slope for data than NLO

Hadronization corrections

$$\delta_{\text{hadr}} = \text{MC}(\text{hadr}) / \text{MC}(\text{parton})$$



31.06.2009