

# Measurement of the CP asymmetry in $D^0 \to \pi^+\pi^-\pi^0$ decays at Belle II

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We measure the time- and phase-space-integrated CP asymmetry  $\mathcal{A}_{CP}$  in  $D^0 \to \pi^+\pi^-\pi^0$  decays reconstructed in  $e^+e^- \to c\bar{c}$  events collected by the Belle II experiment from 2019 to 2022. This sample corresponds to an integrated luminosity of  $428\,\mathrm{fb}^{-1}$ . We require  $D^0$  mesons to be produced in  $D^{*+} \to D^0\pi^+$  decays to determine their flavor at production. Control samples of  $D^0 \to K^-\pi^+$  decays are used to correct for reconstruction-induced asymmetries. The result,  $\mathcal{A}_{CP}(D^0 \to \pi^+\pi^-\pi^0) = (0.29 \pm 0.27 \pm 0.13)\%$ , where the first uncertainty is statistical and the second systematic, is the most precise result to date and is consistent with CP conservation.

### I. INTRODUCTION

Searches for charge-parity (CP) violation in the charm sector provide a unique opportunity to explore possible physics beyond the standard model (SM) and are complementary to measurements in the strange and beauty sectors, especially for models of new physics where uptype quarks have a special role. CP violation is predicted to be very small in charm transitions because the contribution from the third generation of quarks is highly suppressed [1]. The largest SM asymmetries, which are expected to occur in singly-Cabibbo-suppressed channels, are predicted to be of order  $10^{-4}$ – $10^{-3}$  [2, 3].

Experimental sensitivity has reached the level of these predictions only in recent years: the first and only observation of CP violation in the charm sector was performed in 2019 by the LHCb collaboration [4, 5]. Its origin is not yet understood, with both new physics and unaccounted-for non-perturbative QCD contributions being possible explanations [6–8]. In this context, searching for CP violation in additional channels and improving the precision of previous measurements are essential.

In this paper, we report a measurement of the timeand phase-space-integrated CP asymmetry in  $D^0 \to \pi^+\pi^-\pi^0$  decays using  $e^+e^- \to c\bar{c}$  events collected by Belle II between 2019 and 2022. This dataset corresponds to an integrated luminosity of 428 fb<sup>-1</sup>. The timeintegrated CP asymmetry is defined as

$$\mathcal{A}_{CP}(D^{0} \to \pi^{+}\pi^{-}\pi^{0}) = \frac{\Gamma(D^{0} \to \pi^{+}\pi^{-}\pi^{0}) - \Gamma(\bar{D}^{0} \to \pi^{+}\pi^{-}\pi^{0})}{\Gamma(D^{0} \to \pi^{+}\pi^{-}\pi^{0}) + \Gamma(\bar{D}^{0} \to \pi^{+}\pi^{-}\pi^{0})}, \quad (1)$$

where  $\Gamma$  indicates the decay-time-integrated decay rates, which include  $D^0-\overline{D}^0$  mixing effects. The most precise measurement of this observable to date,  $(0.31 \pm 0.41 \pm 0.17)\%$  where the first uncertainty is statistical and the second systematic, was performed by the BABAR collaboration using about  $82 \times 10^3$  signal candidates reconstructed in a  $385\,\mathrm{fb}^{-1}$  dataset [9]. Other measurements for this channel include an  $\mathcal{A}_{CP}$  measurement by Belle [10], an unbinned statistical test of the Dalitz plot distribution symmetry by LHCb [11], and a time-dependent

 $C\!P$  violation search by LHCb [12]. All are compatible with  $C\!P$  symmetry.

The flavor of the neutral D meson is identified (or "tagged") by requiring that the meson originates from a  $D^{*+} \to D^0 \pi^+$  decay. (Throughout this paper, CP-conjugate decays are implied unless stated otherwise.) In this case, the charge of the  $\pi^+$  identifies the flavor of the  $D^0$  at production. We refer to this low-momentum charged pion as the "tag pion",  $\pi_{\rm tag}$ .

To determine  $\mathcal{A}_{CP}$ , we measure the raw asymmetry between the number of reconstructed decays of the two flavors:

$$\mathcal{A}_{\text{raw}}^{\pi\pi\pi^{0}} = \frac{N(D^{0} \to \pi^{+}\pi^{-}\pi^{0}) - N(\overline{D}^{0} \to \pi^{+}\pi^{-}\pi^{0})}{N(D^{0} \to \pi^{+}\pi^{-}\pi^{0}) + N(\overline{D}^{0} \to \pi^{+}\pi^{-}\pi^{0})}. \tag{2}$$

This asymmetry has contributions from several sources. Given the small magnitude of the contributions (a few percent at most) we can approximate it as a sum:

$$\mathcal{A}_{\mathrm{raw}}^{\pi\pi\pi^{0}} \simeq \mathcal{A}_{CP} + \mathcal{A}_{\mathrm{prod}} + \mathcal{A}_{\varepsilon}^{\pi\pi\pi^{0}} + \mathcal{A}_{\varepsilon}^{\pi_{\mathrm{tag}}}.$$
 (3)

The CP asymmetry  $\mathcal{A}_{CP}$  is the observable of interest; the production asymmetry  $\mathcal{A}_{prod}$  arises from the forward-backward asymmetric  $D^{*+}$  production in  $e^+e^- \to c\bar{c}$  processes; and the terms  $\mathcal{A}_{\varepsilon}^{\pi\pi\pi^0}$  and  $\mathcal{A}_{\varepsilon}^{\pi_{tag}}$  result from asymmetric efficiencies in the reconstruction of the  $D^0$  meson and tag pion, respectively.

To measure  $\mathcal{A}_{\varepsilon}^{\pi_{\text{tag}}}$ , we use two control samples of  $D^0 \to K^-\pi^+$  decays with and without reconstruction of the  $D^{*+} \to D^0\pi^+$  decay: we refer to these as the tagged and untagged samples, respectively. Being dominated by a Cabibbo-favored  $c \to s$  transition, this decay mode is both abundant and self-tagging. The raw asymmetries of these samples are

$$\mathcal{A}_{\text{raw}}^{\text{tagged}} \simeq \mathcal{A}_{\text{prod}} + \mathcal{A}_{\varepsilon}^{K\pi} + \mathcal{A}_{\varepsilon}^{\pi_{\text{tag}}}$$
 (4a)

$$\mathcal{A}_{\text{raw}}^{\text{untagged}} \simeq \mathcal{A}_{\text{prod}} + \mathcal{A}_{\varepsilon}^{K\pi},$$
 (4b)

where we have neglected a possible CP asymmetry, which is expected to be negligible for a Cabibbo-favored decay at our current level of sensitivity  $[2, \, 3]$ . From these we compute

$$\mathcal{A}_{\varepsilon}^{\pi_{\text{tag}}} = \mathcal{A}_{\text{raw}}^{\text{tagged}} - \mathcal{A}_{\text{raw}}^{\text{untagged}}$$
 (5)

which we then subtract from  $\mathcal{A}_{\text{raw}}^{\pi\pi\pi^0}$ .

Given that the  $D^0$  final state is self-conjugate, and that final-state particles have relatively high momenta on average, we expect the  $D^0$  reconstruction asymmetry  $\mathcal{A}_{\varepsilon}^{\pi\pi\pi^0}$  to be negligible. We confirm this in simulation and assign a systematic uncertainty for this choice (see Section VI).

The production asymmetry is caused by  $\gamma - Z^0$  interference and higher-order QED effects in the  $e^+e^- \rightarrow c\bar{c}$ process [13]. It is an odd function of the cosine of the polar angle  $\cos \theta_{\rm CM}$  of the charm quark momentum in the collision center-of-mass (c.m.) frame and reaches a maximum of  $\mathcal{O}(1\%)$ . Since the strong interaction responsible for hadronization and  $D^{*+} \to D^0 \pi^+$  decays is CPconserving,  $\mathcal{A}_{\mathrm{prod}}$  is also an odd function of the  $\cos\theta_{\mathrm{CM}}$  of the  $D^{*+}$  and  $D^{0}$  mesons,  $\cos\theta_{\mathrm{CM}}^{D^{*+}}$  and  $\cos\theta_{\mathrm{CM}}^{D^{0}}$ , which we measure. Because the reconstruction efficiency is not symmetric in  $\cos \theta_{\rm CM}$ , the production asymmetry does not cancel when integrated over all reconstructed  $D^*$ mesons. To cancel  $\mathcal{A}_{\text{prod}}$ , we divide our samples into eight bins of  $\cos\theta_{\text{CM}}^{D^0}$  of the  $D^0$  meson. The bins are chosen to be symmetric around  $\cos\theta_{\text{CM}}^{D^0} = 0$  and small enough such that the efficiency is approximately constant within each bin. We measure the  $D^0 \to \pi^+\pi^-\pi^0$  raw asymmetries separately in each oppositely-signed  $\cos \theta_{\rm CM}^{D^0}$ bin  $\pm i$  (with  $i = 1, \ldots, 4$ ), correct them for the tag pion asymmetries computed in the same bin, and determine  $\mathcal{A}_{CP}$  from the arithmetic average of positive and negative bins to cancel the odd contribution from  $\mathcal{A}_{\text{prod}}$ :

$$\mathcal{A}_{CP}^{i} = \frac{\mathcal{A}_{+i} + \mathcal{A}_{-i}}{2} \,, \tag{6}$$

where  $\mathcal{A}_{\pm i} = \mathcal{A}_{\text{raw},\pm i}^{\pi\pi^0} - \mathcal{A}_{\varepsilon,\pm i}^{\pi_{\text{tag}}}$ . We then obtain  $\mathcal{A}_{CP}$  as the average of the  $\mathcal{A}_{CP}^i$  values.

We ensure that the detection and production asymmetries of signal and control samples are the same by employing the same selection criteria for variables that the asymmetries may depend on, and by using percandidate weights to equalize the distributions of kinematic variables that the asymmetries are sensitive to. To avoid potential bias, the measured values of the raw  $D^0 \to \pi^+\pi^-\pi^0$  asymmetries in each  $\cos\theta_{\rm CM}^{D^0}$  bin were shifted by an undisclosed offset until the entire measurement procedure was finalized, and all systematic uncertainties were computed.

The remainder of this paper is organized as follows. Section II briefly describes the Belle II detector and the simulation samples used. Section III describes the reconstruction process and the selection criteria used for the signal and the two control samples. Section IV describes the weighting procedure that ensures the correct estimation of reconstruction asymmetries. Section V describes the fit procedures used to measure the raw signal asymmetries. Section VI discusses the sources of systematic uncertainty. Finally, Section VII presents our results.

#### II. THE BELLE II DETECTOR AND SAMPLES

The Belle II detector [14, 15], located at the beam interaction region (IR) of the SuperKEKB asymmetricenergy  $e^+e^-$  collider [16], is a large-solid-angle spectrometer. It has a cylindrical geometry and consists of (from inner to outer radius): a silicon vertex detector made of two layers of pixel sensors, plus four layers of double-sided strip sensors [17]; a 56-layer drift chamber; a barrel timeof-propagation detector [18], and a forward-endcap aerogel ring-imaging Čerenkov detector; and an electromagnetic calorimeter made of CsI(Tl) crystals. These subdetectors operate within a 1.5 T magnetic field produced by a superconducting solenoid. An iron flux-return voke outside the solenoid is instrumented with resistive plate chambers and plastic scintillator tiles to detect muons and  $K_{\rm L}^0$  mesons. For the dataset used in this analysis, only part of the second layer of pixel detector was installed, corresponding to one sixth of the azimuthal angle. The longitudinal z axis of the laboratory frame is defined as the central axis of the solenoid, with the positive direction given by the direction of the electron beam.

In this work we use the data sample collected from the beginning of data-taking in 2019 until 2022, which corresponds to an integrated luminosity of 428 fb<sup>-1</sup>. This sample includes collisions with energy on the  $\Upsilon(4S)$  resonance (about 365 fb<sup>-1</sup>), below the  $B\overline{B}$  pair production threshold (about 44 fb<sup>-1</sup>), and at various values around the  $\Upsilon(5S)$  resonance (about 19 fb<sup>-1</sup>). The variation in  $e^+e^- \to c\overline{c}$  cross section over this range of collision energies is less than 5%.

We use simulated Monte Carlo (MC) samples to identify sources of background, optimize selection criteria, develop the kinematic weighting procedure, determine fit models, validate the analysis procedure, and determine some of the systematic uncertainties. These samples correspond to four times the data integrated luminosity. We use EVTGEN [19] interfaced to PYTHIA8 [20] and KKMC [21] to generate  $e^+e^- \to \Upsilon(4S)$  and  $e^+e^- \to q\bar{q}$  events (where q is a u, d, c, or s quark), and to simulate particle decays; TAUOLA [22] to generate  $e^+e^- \to \tau^+\tau^-$  events; Photos [23, 24] to simulate final-state radiation; and GEANT4 [25] to simulate the interaction of particles with the detector material. We take beam-induced backgrounds from delayed Bhabha trigger data, and overlay them on simulated events.

To simulate  $D^0 \to \pi^+\pi^-\pi^0$  decays, we employ a Dalitz distribution model based on an amplitude analysis performed by the BABAR collaboration [26]. Additionally, we scale signal and background components to better match the data distributions. Data–MC differences for signal and charm backgrounds are of order 10% and arise mainly from incorrect fragmentation modeling in the event generator. For backgrounds due to random combinations of final-state particles, discrepancies are of order 1%. The rescaling effectively reduces the largest differences to a few percent. We use the Belle II analysis software framework [27, 28] to process the data and MC

samples.

# III. CANDIDATE RECONSTRUCTION AND SELECTION CRITERIA

We consider only events with at least three tracks (trajectories of charged particles as reconstructed in the drift chamber and vertex detector) that originate from the IR and have transverse momentum  $p_{\rm T}$  greater than 200 MeV/c. These events must also be inconsistent with Bhabha scattering. Section III A describes the reconstruction of the signal sample, while Section III B describes the reconstruction of the control samples.

## A. $D^0 \to \pi^+\pi^-\pi^0$ signal sample

We reconstruct photon candidates from localized energy deposits (clusters) in the calorimeter that are not geometrically matched to a track. These clusters must have a polar angle within the acceptance of the drift chamber (17°  $< \theta < 150^{\circ}$ ) to ensure they are not produced by an undetected charged particle.

To suppress beam background clusters, we require that the clusters include energy deposits from at least two crystals, have energies greater than 100 MeV, and have crystal hit times within 200 ns of the measured  $e^+e^-$  collision time; the difference between the hit times and the collision time must also be less than twice its uncertainty. Since the signal distribution is non-Gaussian, the latter criterion selects 99% of the correctly-reconstructed photons on simulation.

Furthermore, to suppress hadronic clusters, we use selections based on the distribution of the cluster energy in the plane orthogonal to the photon momentum. We use the ratios  $E_1/E_9$  and  $E_9/E_{21}$ , where  $E_1$ , is the energy deposit in the central crystal of the cluster (the one with the highest energy),  $E_9$  is the energy deposit in the  $3 \times 3$  array of crystals around the cluster center, and  $E_{21}$  is the energy deposit in the  $5 \times 5$  array of crystals around the cluster center with the four corners removed. We also use a boosted decision tree classifier that exploits the Zernike moments of the energy deposit distribution among the crystals [29, 30].

We combine pairs of photon candidates to form  $\pi^0 \to \gamma \gamma$  candidates. We require that the invariant mass of the two photons be between 116 MeV/ $c^2$  and 150 MeV/ $c^2$ , and that the  $\pi^0$  momentum be greater than 0.5 GeV/c. This results in a mass resolution of about 6.5 MeV/ $c^2$ .

We require that charged particle tracks originate from within the IR: the point-of-closest-approach of a track to the z axis must be less than 1 cm away from the beam interaction point along the z axis and less than 0.5 cm away in the plane transverse to the z axis. Furthermore, we require the polar angles of the track momenta to be within the acceptance of the drift chamber for consistency.

We combine pairs of opposite-charge pion candidates with  $\pi^0$  candidates to form  $D^0$  candidates. To suppress background with charged kaons misidentified as pions (e.g., from  $D^0 \to K^-\pi^+\pi^0$  decays), we require the charged particles' neural-network-based particle identification (NNPID) output to be greater than 0.2. The NNPID is performed using information from all subdetectors except the pixel detector [31]. This selection is over 95% efficient and has a kaon-to-pion misidentification rate below 15%.

To reject random combinations of pions, we require that the  $D^0$  candidate invariant mass M be between 1.785  ${\rm GeV}/c^2$  and 1.95  ${\rm GeV}/c^2$ . The mass resolution is about  $20~{\rm MeV}/c^2$ . Additionally, to reject  $D^0 \to K_{\rm S}^0 \pi^0$  decays, the invariant mass of the charged pion pair must not be between  $470~{\rm MeV}/c^2$  and  $530~{\rm MeV}/c^2$ .

We combine  $D^0$  candidates with charged pion candidates to form  $D^{*+} \to D^0 \pi^+$  candidates. These charged pions are required to have an NNPID output greater than 0.1; this selection is more than 97% efficient, with a kaonto-pion misidentification rate below 20%. To suppress random combinations of particles, we require that the difference between the invariant masses of the  $D^{*+}$  and  $D^0$  candidates,  $\Delta M$ , be between 140 and 150 MeV/ $c^2$ .

We perform a vertex fit that exploits kinematic and geometric information from the whole decay chain, with the constraint that the  $D^{*+}$  vertex must be in the IR [32]. We keep only candidates for which the fit converges. In events with multiple candidates (about 10% of the total), we only retain the candidate with the lowest  $\chi^2$  value (highest fit probability) resulting from the vertex fit. When a correctly-reconstructed signal candidate is present in a multi-candidate event, this criterion selects it with 61% efficiency.

To suppress  $D^{*+}$  candidates produced in the decay of a B meson, which are subject to different production asymmetries, we require the c.m. momentum of the  $D^{*+}$  candidate to be greater than 1.06 times the maximum kinematically allowed value for a  $D^{*+}$  meson arising from a B decay. The maximum momentum depends on the collision energy and corresponds to about  $2.45\,\text{GeV}/c$  for the majority of the dataset.

Finally, we remove  $D^0$  candidates with  $|\cos\theta_{\rm CM}^{D^0}|$  larger than 0.7 due to the presence of large backgrounds that are difficult to model. The very forward and backward regions of the detector are affected by a much larger combinatorial background, mainly due to beam-induced showers reaching the calorimeter endcaps. Also, the resolution on track parameters is worse at extreme polar angles, and this makes it more difficult to model the background from  $D^0 \to K^-\pi^+\pi^0$  (see Section V A).

The signal selection efficiency calculated from MC samples is 9.0%. Based on this, the expected signal yield in the data sample, about  $270 \times 10^3$  candidates, is more than three times that of the BABAR measurement [9], thanks to the relaxed selection criteria and better detector performance.

### B. $D^0 \to K^-\pi^+$ control samples

We select charged pion and kaon candidates with the same polar angle and impact parameter criteria as those used to select pions in the signal sample.

We form  $D^0$  candidates by combining one kaon candidate with one opposite-charge pion candidate. For these kaons and pions, we require an NNPID output greater than 0.8 to suppress  $D^0$  candidates with the K and  $\pi$ each misidentified as the other, to which we assign the incorrect flavor. This selection is over 80% efficient and has a misidentification rate below 2%. Additionally, we require the K and  $\pi$  transverse momenta to be greater than  $150 \,\mathrm{MeV}/c$ , the  $\cos \theta$  of the  $\pi$  to be greater than -0.6, and the  $\cos \theta$  of the K to be greater than -0.75. These criteria exclude regions of the phase space where the kinematic weighting procedure (described in Section IV) has poor performance. The lower performance arises from lower average track momentum due to the forward boost of the collision c.m., and the lack of particle identification detectors for  $\theta > 120^{\circ}$ .

We require the  $D^0$  candidate invariant mass to be between  $1.8\,\mathrm{GeV}/c^2$  and  $1.92\,\mathrm{GeV}/c^2$ , and the  $D^0$  c.m. momentum to be greater than 1.06 times the maximum kinematically allowed value for a  $D^0$  meson produced in the decay of a B meson.

We use the same selection criteria for  $D^0$  candidates for both the tagged and untagged control samples. This ensures we have the same reconstruction-induced asymmetries in both samples.

To select the untagged sample, we perform a vertexand-kinematic fit [32] on the  $D^0$  candidates and keep only candidates with a fit probability greater than  $10^{-3}$ . In events with multiple candidates (about 10%), we select one candidate randomly.

To form the tagged sample, we begin with the untagged  $D^0$  candidates obtained before applying the vertex fit selection. We combine these  $D^0$  candidates with pion candidates that have the expected charge sign to form  $D^{*+} \to D^0 \pi^+$  candidates. The tag pion selection is the same as that used for the signal sample. This is essential in order to have the same reconstruction asymmetry. We then perform a vertex-and-kinematic fit as for the untagged sample and keep only candidates with a fit probability greater than  $10^{-3}$ . In events with multiple candidates (about 8%), we select one candidate randomly.

# IV. KINEMATIC WEIGHTING OF THE CONTROL SAMPLES

Using the same selection criteria is not sufficient to ensure that the detection and production asymmetries are the same for the different samples. The reconstruction asymmetries also depend mainly on the momenta and polar angles of the particles. Also, the production asymmetry depends on the distribution of the cosine of the  $D^0$  polar angle in the collision c.m. frame. Even

with the same selection criteria applied to the individual final-state particles, these distributions differ due to the correlations among the kinematic variables of different particles in the decay chain.

To remove these differences, we apply per-candidate weights to the tagged and untagged samples in two steps. First, we apply weights to the tagged sample so that its tag pion  $(p_T, \cos \theta)$  distribution matches that of the signal sample. This is done to match  $\mathcal{A}_{\varepsilon}^{\pi_{\text{tag}}}$  of the two samples. Then, we apply weights to the untagged sample so that its distribution of the kaon and pion  $(p_T, \cos \theta)$ , and  $\cos \theta_{\text{CM}}^{D^0}$ , matches that of the weighted tagged control sample. This is done to match  $\mathcal{A}_{\varepsilon}^{K\pi}$  and  $\mathcal{A}_{\text{prod}}$  of the two samples.

The weights are computed using backgroundsubtracted signal and control sample distributions. The background subtraction is performed using the  $_{S}\mathcal{P}lot$ technique [33] and exploiting the signal and background fit models described in Section V. The first weighting is performed directly in two dimensions: the weights are determined from the bin-by-bin ratio of two-dimensional  $(p_{\rm T}^{\pi_{\rm tag}},\cos\theta^{\pi_{\rm tag}})$  histograms, with Gaussian smoothing used to reduce fluctuations. The second weighting is performed by iteratively updating the weights using one-dimensional histogram ratios of one variable at a time, until the change in weights is smaller than the associated statistical uncertainty. This is done because the sample size is insufficient for five-dimensional  $(p_{\mathrm{T}}^{\pi}, \cos \theta^{\pi}, p_{\mathrm{T}}^{K}, \cos \theta^{K}, \cos \theta_{\mathrm{CM}}^{D^{0}})$  histogram weighting.

All these steps are performed independently for each  $\cos\theta_{\rm CM}^{D^0}$  bin. The effect of the weighting is shown in Figure 1 for one  $\cos\theta_{\rm CM}^{D^0}$  bin.

# V. FIT MODEL AND ASYMMETRY DETERMINATION

Asymmetries are extracted through unbinned extended maximum-likelihood fits to the  $D^0$  candidate invariant mass M, and the mass difference between  $D^{*+}$  and  $D^0$  candidates  $\Delta M$ . Asymmetries are obtained separately in eight bins of  $\cos\theta_{\rm CM}^{D^0}$  to correct for the production asymmetry, as described in Section I. The eight bins of  $\cos\theta_{\rm CM}$  are  $\pm[0,0.208), \pm[0.208,0.411), \pm[0.411,0.599), and \pm[0.599,0.7).$ 

A. 
$$D^0 \to \pi^+\pi^-\pi^0$$
 signal sample

For the signal sample, we use the two-dimensional distributions of M and  $\Delta M$  to discriminate four components: correctly tagged  $D^0 \to \pi^+\pi^-\pi^0$  decays; correctly reconstructed  $D^0 \to \pi^+\pi^-\pi^0$  decays paired with an unrelated tag pion;  $D^0 \to K^-\pi^+\pi^0$  decays with the kaon misidentified as a pion; and candidates made from random combinations of final-state particles (referred to as combinatorial background). The probability density function (PDF) of each component is taken to factorize

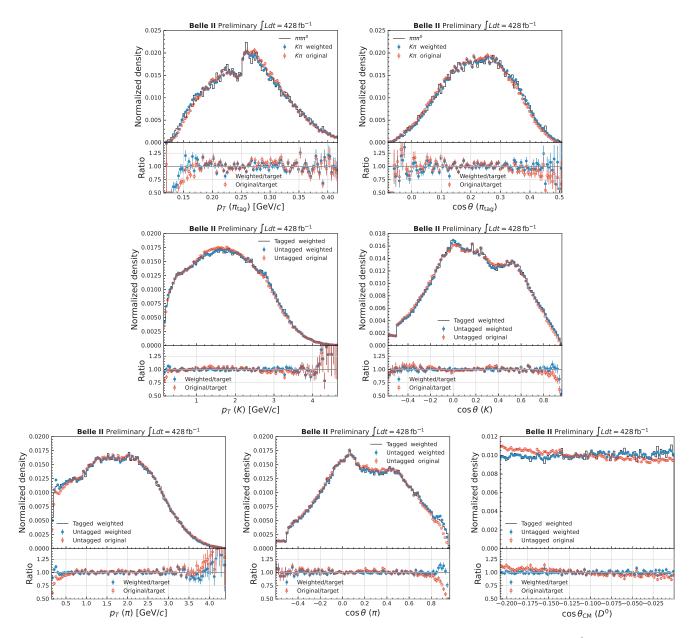


Figure 1. Background-subtracted distributions of the variables used for the kinematic weighting for  $\cos \theta_{\text{CM}}^{D^0} \in [-0.208, 0)$ . Top row:  $p_{\text{T}}$  and  $\cos \theta$  of the tag pion in the signal and tagged samples. Middle row:  $p_{\text{T}}$  and  $\cos \theta$  of the kaon in the untagged and tagged samples. Bottom row:  $p_{\text{T}}$  and  $\cos \theta$  of the pion, and  $\cos \theta_{\text{CM}}^{D^0}$  of the  $D^0$  meson, in the untagged and tagged samples. The black lines show the distribution for the sample that we aim to match, i.e., the signal sample in the top row and the tagged sample in the middle and bottom rows. The circles show the distribution for the other sample before (red empty circles) and after (blue filled circles) applying the weights. The bottom plots of each panel show the ratios between circles and black line.

into the product of two one-dimensional PDFs:

$$p_{i} = p_{i}(M, \Delta M) = p_{i,M}(M) \cdot p_{i,\Delta M}(\Delta M) \tag{7}$$

where j runs over the four components. This assumption neglects small correlations between M and  $\Delta M$  for some backgrounds, and we assign a systematic uncertainty (see Section VI) to account for this.

Correctly-tagged signal peaks in both variables. There are two subcomponents: one where the  $D^0 \to \pi^+\pi^-\pi^0$  decay is correctly reconstructed, and another where one

of the final-state particles in a  $D^0 \to \pi^+\pi^-\pi^0$  decay is misreconstructed. In both cases, since the correct tag pion is used, the correct flavor is assigned. For the first subcomponent, the M and  $\Delta M$  distributions are each described by a Johnson's  $S_U$  PDF [34],

$$p(x|\mu,\lambda,\gamma,\delta) \propto \frac{\exp\left(-\frac{1}{2}\left(\gamma + \delta \sinh^{-1}\left(\frac{x-\mu}{\lambda}\right)\right)^2\right)}{\sqrt{1 + \left(\frac{x-\mu}{\lambda}\right)^2}},$$
 (8)

where  $\mu$  is a location parameter,  $\lambda$  is a width parameter,

and  $\gamma$  and  $\delta$  are shape parameters. For the second, we use the same  $\Delta M$  PDF as the signal but with a different width parameter  $\lambda$  to account for its worse resolution, and a second-order Chebyshev polynomial for M.

The random-tag-pion component shares the correctly-reconstructed signal M PDF but uses a threshold-like function for  $\Delta M$ :

$$p_{\rm rand}(\Delta M) \propto \sqrt{\Delta M - x_0} + p_0 + p_1(\Delta M - x_0), \quad (9)$$

where  $x_0$  is fixed to the nominal charged pion mass [35]. The  $D^0 \to K^-\pi^+\pi^0$  background is modeled by a double-sided asymmetric Crystal Ball PDF [36, 37] for  $\Delta M$ , and a power law for M. The combinatorial component shares the same  $\Delta M$  PDF as the random-tag-pion component, and M is modeled by a second-order Chebyshev polynomial.

The total extended fit function is given by

$$p_{D^{0},\overline{D}^{0}}(M,\Delta M) = n_{\text{sig}} \frac{1 \pm \mathcal{A}_{\text{raw,sig}}}{2} \left[ (1 - f_{\text{Msig}}) p_{\text{Csig}} + f_{\text{Msig}} \cdot p_{\text{Msig}} \right]$$

$$+ n_{\text{sig}} \cdot f_{\text{rand}} \frac{1 \pm \mathcal{A}_{\text{raw,rand}}}{2} p_{\text{rand}} + n_{K\pi\pi^{0}} \frac{1 \pm \mathcal{A}_{\text{raw},K\pi\pi^{0}}}{2} p_{K\pi\pi^{0}} + n_{\text{comb}} \frac{1 \pm \mathcal{A}_{\text{raw,comb}}}{2} p_{\text{comb}}, \quad (10)$$

where the plus sign is for  $D^0$  mesons and the minus for  $\overline{D}{}^0$  mesons. We use  $n_j$  for the yield of the component j (including both  $D^0$  and  $\overline{D}{}^0$  mesons), while  $f_j$  indicates the fraction of (sub)component j with respect to the correctly-tagged signal. The subscript "sig" refers to the signal component, "Csig" to the correctly-reconstructed signal subcomponent, "Msig" to the subcomponent where a signal decay is reconstructed with an incorrect  $D^0$  pion, "rand" to the random-tag-pion component, " $K\pi\pi^0$ " to the  $D^0\to K^-\pi^+\pi^0$  background component, and "comb" to the combinatorial component.

The asymmetry fit is performed simultaneously in all  $\cos\theta_{\rm CM}^{D^0}$  bins and for both flavors. Some parameters are shared among  $\cos\theta_{\rm CM}^{D^0}$  bins. The values of most shape parameters are fixed to the values found when fitting the MC sample. The width in each  $\cos\theta_{\rm CM}$  bin is fixed to the value obtained from MC simulation, but a global scale factor is floated in the fit to account for data–simulation differences. Location parameters are also floated. Finally, the fractions of random-tag-pion and misreconstructed signal components are fixed to their MC values, as they are too small to determine in data:  $f_{\rm rand} \simeq 2\%$  and  $f_{\rm Msig} \simeq 5\%$ . The same applies to the asymmetry of the random-tag-pion component.

Figure 2 shows the M and  $\Delta M$  distributions, for one  $\cos\theta_{\rm CM}^{D^0}$  bin, with fit projections overlaid. The aforementioned residual mismodeling is evident from the datafit pulls; while this may seem a large effect at first, the sample asymmetry is well-reproduced by the fit function, and the impact on the measurement is very small, as discussed in more detail in Section VI. The signal yield integrated over all  $\cos\theta_{\rm CM}^{D^0}$  bins is  $(271.4 \pm 0.7) \times 10^3$ . Figure 3 shows the resulting raw asymmetries as a function of  $\cos\theta_{\rm CM}^{D^0}$ .

# B. Tagged $D^0 \to K^-\pi^+$ sample

We use the  $\Delta M$  distribution to measure the tagged sample asymmetries. We consider only two components: correctly reconstructed and tagged  $D^0 \to K^-\pi^+$  decays, and a background consisting of both correctly reconstructed  $D^0 \to K^-\pi^+$  decays associated to a random tag pion and random combinations of final-state particles. Background due to misreconstructed charm decays, e.g.,  $D^0 \to K^-\mu^+\overline{\nu}_\mu$  where the muon is misidentified as a pion and the neutrino is not reconstructed, is negligible.

The  $D^0 \to K^-\pi^+$  decay distribution is modeled by the sum of one Johnson's  $S_U$  and two Gaussian distributions, with one shared location parameter. Width parameters are different for  $D^0$  and  $\overline{D}^0$  candidates to account for differing momentum scales and resolutions for positive and negative final-state particles. This happens because, due to the detector geometry, positive and negative particle trajectories intersect different detector regions and amounts of material. The background is modeled with a threshold-like function, as in Equation 9.

Each  $\cos\theta_{\mathrm{CM}}^{D^0}$  bin is fitted independently. Figure 4 shows the  $\Delta M$  distribution of the data, for one  $\cos\theta_{\mathrm{CM}}^{D^0}$  bin, with fit projections overlaid. The structure in the asymmetry plot is produced by the aforementioned different  $\Delta M$  resolution for  $D^0$  and  $\overline{D}^0$  mesons. The  $D^0 \to K^-\pi^+$  yield integrated over all  $\cos\theta_{\mathrm{CM}}^{D^0}$  bins is  $(744.4 \pm 1.1) \times 10^3$ .

## C. Untagged $D^0 \to K^-\pi^+$ sample

We use the M distribution to measure the untagged sample asymmetry. We consider only two components: correctly reconstructed  $D^0 \to K^-\pi^+$  decays, and background from all other sources that do not produce a peak in M. Peaking background from "wrong-sign"  $D^0 \to K^+\pi^-$  decays, which arise from doubly Cabibbosuppressed  $D^0 \to K^+\pi^-$  decays and Cabibbo-favored

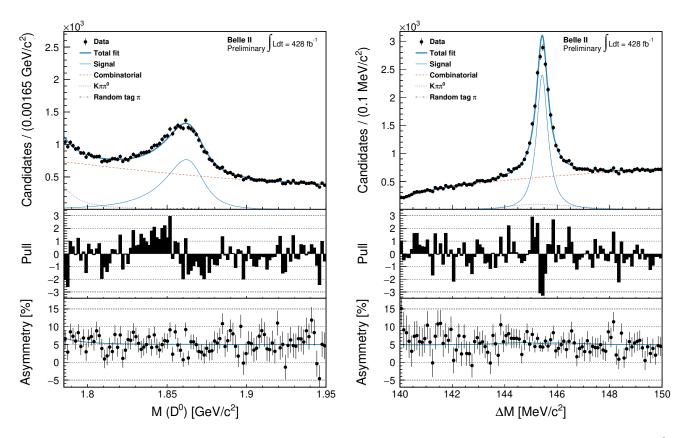


Figure 2.  $D^0 \to \pi^+\pi^-\pi^0$  sample: distributions of the  $D^0$  candidate invariant mass (left) and  $\Delta M$  (right) for  $\cos\theta_{\rm CM}^{D^0} \in [-0.208, 0)$ , with fit functions overlaid. The middle plots of each panel show the pull (difference between data and fit result divided by the data uncertainty). The bottom plots show the  $D^0 - \overline{D}^0$  asymmetry of the data (black points) and of the total PDF (blue line).

 $\overline{D}{}^0 \to K^+\pi^-$  decays preceded by  $D^0-\overline{D}{}^0$  mixing, are neglected. They amount to  $\sim 0.4\%$  of the  $D^0 \to K^-\pi^+$  yield and dilute the measured asymmetry, since they provide the incorrect flavor tag. A systematic uncertainty is assigned for this in Section VI.

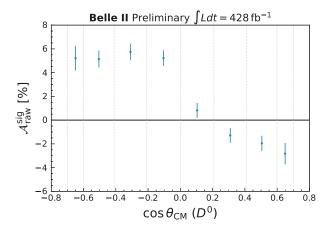


Figure 3.  $D^0 \to \pi^+\pi^-\pi^0$  raw asymmetries from the fit in the eight  $\cos\theta_{\rm CM}^{D^0}$  bins. The error bars show statistical uncertainties.

The  $D^0 \to K^-\pi^+$  decay distribution is modeled by the sum of a Johnson and a Gaussian distribution, with one shared location parameter. Width parameters are different for the two flavors as for the tagged sample. The background is modeled with a first-order polynomial.

Each  $\cos\theta_{\mathrm{CM}}^{D^0}$  bin is fitted independently. Figure 5 shows the M distribution, for one  $\cos\theta_{\mathrm{CM}}^{D^0}$  bin, with fit projections overlaid. The  $D^0 \to K^-\pi^+$  yield integrated over all  $\cos\theta_{\mathrm{CM}}^{D^0}$  bins is  $(3232\pm4)\times10^3$ .

Figure 6 shows the tag pion reconstruction asymmetry computed using Equation 5. As the tagged decays are a subset of the untagged decays, we take into account the correlation between the two control samples when computing the uncertainty on  $\mathcal{A}_{\varepsilon}^{\pi_{\text{tag}}}$ .

D. 
$$\mathcal{A}_{CP}(D^0 \to \pi^+\pi^-\pi^0)$$
 determination

Figure 7 shows the  $\mathcal{A}_{CP}^i$  determinations in the four  $\cos\theta_{\mathrm{CM}}^{D^0}$  bin pairs, computed using Equation 6. Averaging these measurements, we obtain

$$\mathcal{A}_{CP}(D^0 \to \pi^+ \pi^- \pi^0) = (0.29 \pm 0.27)\%,$$
 (11)

where the uncertainty is statistical and includes the uncertainty from  $\mathcal{A}_{\varepsilon}^{\pi_{\text{tag}}}$  (0.12%).

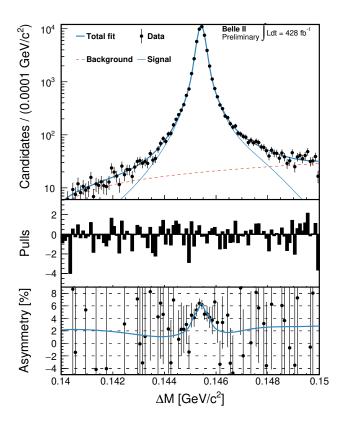


Figure 4.  $D^0 \to K^-\pi^+$  tagged sample:  $\Delta M$  distribution with fit functions overlaid, for  $\cos\theta_{\rm CM}^{D^0} \in [-0.208,0)$ . The middle plot shows the pull, while the bottom plot shows the  $D^0 - \overline{D}^0$  asymmetry of the data (black points) and the total PDF (blue line).

### VI. SYSTEMATIC UNCERTAINTIES

We consider six sources of systematic uncertainty: the  $D^0$  reconstruction asymmetry; the fact that some  $D^0 \to \pi^+\pi^-\pi^0$  fit parameters are fixed to the values determined from simulation; the biases of the fits; the residual mismodeling of the PDFs; the uncertainty arising from the control sample weighting procedure; and the presence of wrong-sign  $D^0 \to K^+\pi^-$  decays in the  $D^0 \to K^-\pi^+$  control samples. Table I summarizes their impact.

We estimate the  $D^0$  reconstruction asymmetry using the MC simulation to be  $(8\pm6)\times 10^{-4}$ , which is consistent with zero as expected. Since the  $D^0\to \pi^+\pi^-\pi^0$  Dalitz distribution model is based on the decay amplitude model measured by the BABAR collaboration [26], we expect the MC to accurately reproduce the kinematic distributions of the  $D^0$  pions. The simulation shows that the  $D^0$  pions typically have large transverse momenta. Previous analyses determined the data–simulation agreement for charge asymmetries to be good in this region of phase space, therefore we can rely on the value obtained from MC. We assign the statistical uncertainty of this determination as the associated systematic uncertainty.

The uncertainty due to fixed PDF parameters for the

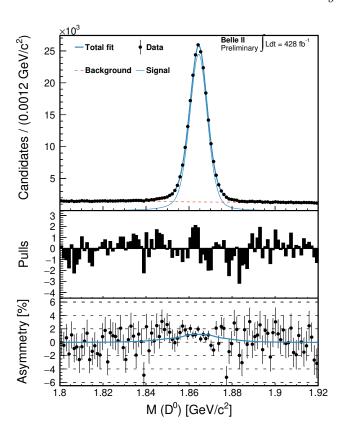


Figure 5.  $D^0 \to K^-\pi^+$  untagged sample: M distribution with fit functions overlaid, for  $\cos\theta_{\rm CM}^{D^0} \in [-0.208,0)$ . The middle plot shows the pull, while the bottom plot shows the  $D^0-\overline{D}^0$  asymmetry of the data (black points) and the total PDF (blue line).

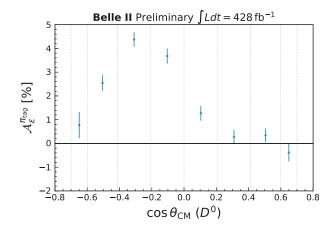


Figure 6.  $\mathcal{A}_{\varepsilon}^{\pi_{\mathrm{tag}}}$  computed from the  $D^0 \to K^-\pi^+$  tagged and untagged raw asymmetries using Equation 5, in the eight  $\cos\theta_{\mathrm{CM}}^{D^0}$  bins. The error bars show statistical uncertainties.

signal channel fit is evaluated by varying these parameters by their uncertainties and repeating the fit. We sample all parameters simultaneously from Gaussian distributions having widths equal to their uncertainties, and repeat this sampling and subsequent fitting 2000 times.

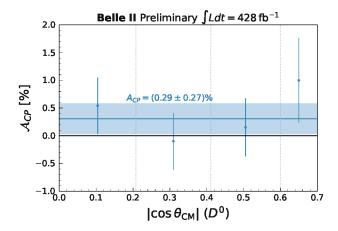


Figure 7.  $\mathcal{A}_{CP}^{i}$  in the four  $\cos\theta_{\mathrm{CM}}^{D^{0}}$  bin pairs, and the average  $\mathcal{A}_{CP}$  value. The uncertainties are statistical and include contributions from both the signal and control channels.

During this sampling, we account for correlations among the parameters. We record the 2000 fitted values of  $\mathcal{A}_{CP}$ , and assign the standard deviation of their distribution as the related systematic uncertainty. The impact of fixing the random-tag-pion fraction, misreconstructed signal fraction, and random-tag-pion asymmetry is evaluated separately using the same procedure and repeating the fit 100 times. To account for possible differences between data and simulation, we conservatively use 25% of the fractions' nominal values as the standard deviations. This corresponds to shifts of  $\sim 0.5\%$  for the random-tagpion fraction and  $\sim 1.3\%$  for the fraction of misreconstructed signal. The sum in quadrature of the standard deviations of the  $\mathcal{A}_{CP}$  values,  $(1.89 \pm 0.06) \times 10^{-4}$ , is assigned as the systematic uncertainty. The dominant contributions to this uncertainty are those from the misreconstructed signal fraction and from the fixed PDF parameters.

Table I. Summary of the systematic uncertainties affecting the  $\mathcal{A}_{CP}$  measurement. The total systematic uncertainty is the quadrature sum of the individual components. The statistical uncertainty is reported for reference.

Source	Uncertainty
Signal channel	
$D^0$ reconstruction asymmetry	0.06%
Fit parameters fixed from MC	0.02%
Fit bias	0.06%
PDF mismodeling	0.03%
Control channel	
Fit bias	0.04%
PDF mismodeling	0.07%
Weighting	0.03%
Wrong-sign $D^0 \to K^+\pi^-$ decays	0.01%
Total systematic	<b>0.13</b> %
Total statistical	<b>0.27</b> %

We evaluate possible fit biases using linearity tests based on pseudoexperiments, which are generated from the PDFs fitted to data with different input values of the signal raw asymmetry. We then compare the fitted asymmetry to the input value, and obtain average differences of  $(-6.2\pm0.6)\times10^{-4}$  for the signal sample,  $(2.2\pm0.4)\times10^{-4}$  for the tagged  $D^0\to K^-\pi^+$  sample, and  $(-3.2\pm0.2)\times10^{-4}$  for the untagged  $D^0\to K^-\pi^+$  sample. We assign the absolute values of these biases as systematic uncertainties, which are added in quadrature.

We evaluate the impact of the residual mismodeling of the PDFs as follows. Most of the mismodeling is due to the assumption that M and  $\Delta M$  PDFs factorize into one-dimensional PDFs, which neglects some existing correlations for some of the components (especially  $D^0 \to K^-\pi^+\pi^0$ ). The mismodeling seen in data is wellreproduced by the simulation. We evaluate a systematic uncertainty using bootstrap subsamples [38], i.e., subsamples obtained by randomly drawing candidates from the MC sample, with replacement. The bootstrap subsamples have the same size as the data. We repeat the fit on 1000 bootstrap subsamples, compute the difference between the fitted asymmetry and its true value, and use the mean of the distribution of differences as the bias estimate. For the signal sample we repeat this procedure five times using: the unmodified MC sample, where  $\mathcal{A}_{CP}$  is zero; a weighted MC sample where the signal raw asymmetry is increased by a factor of two; a weighted MC sample where the signal raw asymmetry is reduced by a factor of two; a weighted MC sample where the true  $\mathcal{A}_{CP}$  is increased to +1%; and a weighted MC sample where the true  $\mathcal{A}_{CP}$  is reduced to -1%. The maximum bias we obtain is  $(2.7 \pm 0.7) \times 10^{-4}$ . For the control samples, we bootstrap tagged and untagged samples together in order to preserve their correlation, and obtain  $(-7.1 \pm 0.4) \times 10^{-4}$ . We assign the absolute values of these biases as systematic uncertainties.

We evaluate the impact of the kinematic weighting of the control samples by repeating the  $\mathcal{A}_{\varepsilon}^{\pi_{\text{tag}}}$  measurement without weights. The difference in  $\mathcal{A}_{CP}$  is  $(-5.8 \pm 1.0) \times 10^{-4}$ . We conservatively assign half of the magnitude of this difference as the systematic uncertainty, covering effects both from  $_{S}\mathcal{P}lot$  weights and final sample weights.

We evaluate the impact of the wrong-sign  $D^0 \to K^+\pi^-$  decays by computing the dilution of the untagged sample asymmetry due to their fraction, assuming a 100% flavor mistag rate. We obtain  $(7.56 \pm 0.03) \times 10^{-5}$ .

We perform several consistency checks. We repeat the measurement on data with five different  $\cos \theta_{\rm CM}^{D^0}$  binnings and find that the  $\mathcal{A}_{CP}$  measurements are all compatible within the statistical uncertainty (taking into account that the samples are fully correlated). We test alternative fit models for the signal channel (different parameter splitting among  $\cos \theta_{\rm CM}^{D^0}$  bins, parameter splitting by flavor, alternative PDFs), and find no statistically significant impact on the  $\mathcal{A}_{CP}$  measurement. Finally, we repeat the measurement after dividing the data sample into four bins of  $D^0$  azimuthal angle and five data-taking

periods. We find all results to be compatible with each other and with the nominal result.

### VII. RESULTS AND CONCLUSIONS

Using  $D^{*+}$ -tagged  $D^0 \to \pi^+\pi^-\pi^0$  decays reconstructed in the Belle II dataset collected between 2019 and 2022, which corresponds to an integrated luminosity of 428 fb<sup>-1</sup>, we measure the time-integrated CP asymmetry in  $D^0 \to \pi^+\pi^-\pi^0$  decays to be

$$\mathcal{A}_{CP} = (0.29 \pm 0.27 \pm 0.13)\%,$$
 (12)

where the first uncertainty is statistical and includes the contribution from the  $D^0 \to K^-\pi^+$  control samples used to correct experimentally-induced asymmetries, and the second uncertainty is systematic. The result is consistent with CP symmetry and with existing measurements [9, 10]. The result is 34% more precise than the current world's best measurement from BABAR [9], despite an increase of only about 10% in integrated luminosity. The increase in precision per unit luminosity can be attributed to the novel candidate selection and analysis strategy employed.

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#### DATA AVAILABILITY

The full Belle II data are not publicly available. The collaboration will consider requests for access to the data that support this article.

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