



Center-of-mass energy dependence of intrinsic- k_T distributions obtained from Drell–Yan production

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Abstract The internal motion of partons inside hadrons has been studied through its impact on very low transverse momentum spectra of Drell–Yan (DY) pairs created in hadron-hadron collisions. We study DY production at next-to-leading order using the Parton Branching (PB) method which describes the evolution of transverse momentum dependent parton distributions. The main focus is on studying the intrinsic transverse momentum distribution (intrinsic- k_T) as a function of the center-of-mass energy \sqrt{s} . While collinear parton shower Monte Carlo event generators require intrinsic transverse momentum distributions strongly dependent on \sqrt{s} , this is not the case for the PB method. We perform a detailed study of the impact of soft parton emissions. We show that by requiring a minimal transverse momentum, q_0 , of a radiated parton, a dependence of the width of the intrinsic- k_T distribution as a function of \sqrt{s} is observed. This dependence becomes stronger with increasing q_0 .

1 Introduction

The transverse momentum distribution of Drell–Yan (DY) lepton pairs, $p_T(\ell\ell)$, at large transverse momentum is well described by calculations at higher orders of the strong coupling α_s , at low transverse momenta of the order of a few GeV the spectrum is described by perturbative resummation, while at very low $p_T(\ell\ell)$ non-perturbative contributions become

important. The resummation region can be treated in form of Transverse Momentum Dependent (TMD) parton distributions or by parton-showers in event generators like HERWIG [1,2], PYTHIA [3,4] or SHERPA [5,6]. The Parton Branching method (PB) [7,8], with PB-TMD distributions obtained from fits to inclusive HERA cross section measurements [9], provides an intuitive connection between parton-shower and TMD resummation.

The precise description of the transverse momentum spectrum of DY lepton pairs at low $p_T(\ell\ell)$ at LHC energies (e.g. [10–17]) as well as at lower energies [18,19] has been a subject for many discussions. An important role in the debate is the contribution of non-perturbative physics to the $p_T(\ell\ell)$ spectrum at very low values, at $p_T(\ell\ell) \lesssim 1\text{GeV}$. In parton-shower approaches of PYTHIA and HERWIG the intrinsic- k_T distribution, the transverse momentum distribution of partons at the hadron scale, plays a crucial role, and the width of this distribution is strongly dependent on the center-of-mass energy [20,21]. On the contrary, predictions based on the PB approach give intrinsic- k_T distributions which are independent (or mildly dependent) of the center-of-mass energy and the DY mass m_{DY} [22]. In Refs. [22,23] it is argued, that this behavior comes essentially from the treatment of soft gluons, which are included in the evolution equation, and are shown to play an important role, both for the inclusive collinear parton densities as well as for the transverse momentum distributions. These soft gluons are neglected in usual parton-shower approaches by the requirement that the emitted partons should have transverse momenta of $q_T > q_0 \simeq \mathcal{O}(\text{GeV})$. In Refs. [24,25] studies are being reported on a determination of the width of the intrinsic- k_T distribution to be used in parton-shower event

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generators PYTHIA and HERWIG from measurements spanning a large range of center-of-mass energies.

In this paper we give explanations of the different behavior of the intrinsic- k_T distributions in PB TMD and parton-shower approaches by including limitations on the value of q_T in calculations for TMD distributions to mimic directly what is happening in a traditional parton-shower approach. It is essential to note, that no new fits for the PB TMD have been performed, since the inclusion of a finite q_T cut would spoil the consistency of the evolution equation and the application of next-to-leading order (NLO) hard scattering cross sections, as shown in Ref. [23]. We will show explicitly that the inclusion of a finite q_T cut leads to the observed energy dependence of the width of the intrinsic- k_T distribution, stressing again the importance of a proper treatment of soft gluons for inclusive distributions.

The paper is organized as follows. In Sect. 2 we introduce the basic concept of the PB method for TMD evolution, as well as the treatment of the small transverse momentum region within this approach. We discuss how the predictions for the transverse momentum of DY lepton pairs change with different intrinsic- k_T distributions for different kinematic limits of q_T . In Sect. 3 we describe fits to DY data and evaluate the width of the intrinsic- k_T distributions at different center-of-mass energies considering different limits of q_T . With Sect. 4 we conclude the paper.

2 PB TMDs and calculation of the DY cross section

The PB method provides an elegant way to solve the DGLAP evolution equations by an iterative method simulating explicitly each individual branching that can occur during the evolution. TMD distributions are obtained with the PB method in a direct way. Essential for this method to work is the Sudakov form factor, defined at scale μ :

$$\Delta_a(\mu^2, \mu_0^2) = \exp\left(-\sum_b \int_{\mu_0^2}^{\mu^2} \frac{d\mathbf{q}'^2}{\mathbf{q}'^2} \int_0^{z_M} dz z P_{ba}^{(R)}(\alpha_s, z)\right), \quad (1)$$

where $P_{ba}^{(R)}(\alpha_s, z)$ are the resolvable splitting functions for splitting of parton a into parton b , with the splitting variable z being the ratio of longitudinal momenta of the involved partons. The splitting functions are explicitly given in e.g. Ref. [7]. The parameter z_M is introduced for numerical stability with $z_M = 1 - \epsilon$ with $\epsilon \rightarrow 0$. It has been shown in Refs. [7, 8] that for ϵ small enough, the DGLAP limit could be reproduced and stable solutions for the inclusive as well as TMD distributions are obtained. The importance of the large z region for inclusive and TMD distributions as well as for a parton-shower has been discussed in detail in [23].

The integral form of the PB evolution equation for a TMD density $\mathcal{A}_a(x, \mathbf{k}, \mu^2)$ for parton a at scale μ is given by:

$$\begin{aligned} \mathcal{A}_a(x, \mathbf{k}, \mu^2) &= \Delta_a(\mu^2) \mathcal{A}_a(x, \mathbf{k}, \mu_0^2) \\ &+ \sum_b \int \frac{d^2\mathbf{q}'}{\pi\mathbf{q}'^2} \frac{\Delta_a(\mu^2)}{\Delta_a(\mathbf{q}'^2)} \Theta(\mu^2 - \mathbf{q}'^2) \Theta(\mathbf{q}'^2 - \mu_0^2) \\ &\times \int_x^{z_M} \frac{dz}{z} P_{ab}^{(R)}(\alpha_s, z) \mathcal{A}_b\left(\frac{x}{z}, \mathbf{k} + (1-z)\mathbf{q}', \mathbf{q}'^2\right), \end{aligned} \quad (2)$$

with x being the longitudinal momentum fraction and \mathbf{k} being the two-dimensional vector of the transverse momentum with $k_T = |\mathbf{k}|$.

The intrinsic- k_T distribution is introduced at the starting scale μ_0 of the evolution through the distribution $\mathcal{A}_a(x, \mathbf{k}, \mu_0^2)$ in Eq. (2), which is a nonperturbative boundary condition to be determined from data. The TMD density $\mathcal{A}_a(x, \mathbf{k}, \mu_0^2)$ is parametrized in terms of a collinear parton density at the starting scale and the intrinsic- k_T distribution described as a Gaussian distribution of width σ , which is a measure of the intensity of initial intrinsic transverse motion:

$$\mathcal{A}_{0,a}(x, \mathbf{k}, \mu_0^2) = f_{0,a}(x, \mu_0^2) \cdot \exp\left(-k_T^2/2\sigma^2\right) / (2\pi\sigma^2). \quad (3)$$

The width of the Gaussian distribution σ is related to the parameter q_s via $q_s = \sqrt{2}\sigma$.

The PB method takes into account angular ordering by relating the evolution scale $|\mathbf{q}'| = q'$ to the transverse momentum q_T :

$$q' = q_T / (1 - z). \quad (4)$$

The transverse momentum of the parton, \mathbf{k} , is the vectorial sum of the intrinsic transverse momentum of the initial parton and all the transverse momenta emitted in the evolution process. The PB evolution equation has been used to determine collinear and TMD distributions by fits to deep-inelastic measurements at HERA [9]. Two different sets were obtained, depending of the scale choice in α_s . In PB-NLO-2018 Set1 the evolution scale q' was used as scale in α_s , as in DGLAP evolution calculations like QCDNUM [26], leading to collinear distributions identical to the ones obtained as HERAPDF. In PB-NLO-2018 Set2 the transverse momentum q_T was used as the scale in α_s , leading to different collinear and TMD distributions. This scale choice for α_s is motivated from angular ordering, and leads to two different regions: a perturbative region, with $q_T > q_0$, and a non-perturbative region of $q_T < q_0$. In order to avoid the divergency at the Landau pole, α_s is frozen for $q_T < 1$ GeV.

The requirement of the perturbative region, $q_T > q_0$, leads directly to a restriction of z as given by Eq. (4):

$$z_{\text{dyn}} = 1 - q_0/q'. \quad (5)$$

Since the Sudakov form factor in Eq. (1) is defined over the whole z region, we can define a perturbative ($0 < z < z_{\text{dyn}}$) and non-perturbative ($z_{\text{dyn}} < z < z_M$) Sudakov form factor [27,28]:

$$\begin{aligned} \Delta_a(\mu^2, \mu_0^2) &= \exp\left(-\sum_b \int_{\mu_0^2}^{\mu^2} \frac{d\mathbf{q}^{\prime 2}}{\mathbf{q}^{\prime 2}} \int_0^{z_{\text{dyn}}} dz z P_{ba}^{(R)}(\alpha_s, z)\right) \\ &\times \exp\left(-\sum_b \int_{\mu_0^2}^{\mu^2} \frac{d\mathbf{q}^{\prime 2}}{\mathbf{q}^{\prime 2}} \int_{z_{\text{dyn}}}^{z_M} dz z P_{ba}^{(R)}(\alpha_s, z)\right) \\ &= \Delta_a^{(P)}(\mu^2, \mu_0^2, q_0^2) \cdot \Delta_a^{(\text{NP})}(\mu^2, \mu_0^2, q_0^2). \end{aligned} \quad (6)$$

In Ref. [22] it was shown that $\Delta_a^{(\text{NP})}$ plays an important role in inclusive and TMD distributions and in Ref. [23] it was pointed out, that neglecting $\Delta_a^{(\text{NP})}$ can significantly affect predictions.

In parton-shower Monte Carlo event generators a minimal transverse momentum of the emitted partons is required, either in HERWIG via the angular ordering condition and parameter Q_g [2] or in PYTHIA via $z_{\text{max}}(Q^2)$ [4]. These cuts on z remove completely $\Delta_a^{(\text{NP})}$ from Eq. (6).

In the following we neglect $\Delta_a^{(\text{NP})}$ (and real emissions with $z > z_{\text{dyn}}$) in the TMD evolution to mimic the behaviour of parton-shower event generators. We do not perform new fits, but use the parameters of the starting distribution of PB-NLO-2018 Set2¹ and obtain new TMD parton densities, from UPDFEVOLV [29], with q_0 values of 1.0 and 2.0 GeV in $z_{\text{dyn}} = 1 - q_0/q'$. We determine the width of the intrinsic Gauss distribution q_s for the different values of q_0 applying the method of Ref. [22], and check whether with $q_0 \sim \mathcal{O}(\text{GeV})$ we obtain an energy dependence of q_s similar to the one observed in HERWIG and PYTHIA.

2.1 DY cross section at NLO

The DY production cross section is obtained at NLO with MADGRAPH5_AMC@NLO [30], as described and applied in Refs. [12,18,22,31] using the integrated versions of the NLO parton densities PB-NLO-2018 Set2. The HERWIG6 subtraction terms in MCatNLO are used since they are based on the same angular ordering conditions as the PB calculations [31]. The PB TMD parton densities are included in the calculation via CASCADE3 [32]. The simulated events (labeled as MCatNLO+CAS3 in the text and figures) were passed through Rivet [33] for comparison with measurements.

The region of low transverse momentum of the DY lepton pair is expected to be sensitive to the intrinsic- k_T distribution. We observe that this depends significantly on the region defined by the soft-gluon resolution scale z_{dyn} which is directly related to q_0 . The sensitivity of the DY cross section on the intrinsic- k_T distribution increases with increas-

ing cut-off q_0 . In Fig. 1 we show a comparison of DY transverse momentum distribution as measured by CMS at $\sqrt{s} = 13$ TeV in the Z peak region [34] with predictions obtained with the PB method with different q_s values for two different scenarios of the soft-gluon resolution scale z_{dyn} (with $q_0 = 1$ GeV and $q_0 = 2$ GeV).

Using data from lower \sqrt{s} , which provide finer binning of the DY cross section at low $p_T(\ell\ell)$, this sensitivity rapidly increases at very small $p_T(\ell\ell)$, as shown in Fig. 2, where the DY cross section measurements at $\sqrt{s} = 38.8$ GeV obtained from E605 [35] are compared to predictions obtained with different q_s for two values of q_0 .

3 Intrinsic- k_T distribution for different q_0 values

The width of the intrinsic- k_T distribution in the PB method has been determined in Ref. [22] using MCatNLO+CAS3 with the TMD set PB-NLO-2018 Set2 where $q_0 = 0.01$ GeV in $z_M = 1 - q_0/q'$. The predictions were compared with a recent measurement from CMS [34] on DY transverse momentum distribution in a wide range of the DY mass m_{DY} , obtained from pp-collisions at $\sqrt{s} = 13$ TeV. A detailed uncertainty breakdown in [22] in the five invariant mass bins allowed for a detailed fit. For comparison also DY measurements at lower \sqrt{s} were shown.

The width parameter q_s in the TMD parton distribution was varied and the predictions were compared to the measurements. To quantify the model agreement to the measurement, χ^2 is calculated:

$$\chi^2 = \sum_{i,k} (m_i - \mu_i) C_{ik}^{-1} (m_k - \mu_k) \quad (7)$$

where m_i and μ_i are measurements and predictions from the i -th bin and C_{ik} is the covariance matrix consisting of three components: a component describing the uncertainty in the measurement, the statistical (bin by bin statistical uncertainties) and scale uncertainties in the prediction.

An optimal q_s value was obtained from the minimum of the χ^2 distribution with the best value for q_s found to be $q_s = 1.0 \text{ GeV} \pm 0.08 \text{ GeV}$. This result was found to be consistent with q_s values obtained from the measurements at lower center-of-mass energies and only a very mild dependence of q_s on \sqrt{s} was observed.

In the following we mimic parton-shower event generators by demanding a finite $q_0 = 1$ and 2 GeV (without performing new fits). With such a treatment we come as close as possible to the treatment in collinear parton-shower event generators. We determine q_s from the experimental data given in Table 1. Since most of the measurements do not provide a detailed uncertainty breakdown, we treat all the uncertainties as uncorrelated. The impact of the intrinsic- k_T distribution at low collision energies has been analyzed using the entire

¹ The PB-NLO-2018 Set2 was produced with $q_0 = 0.01$ GeV.

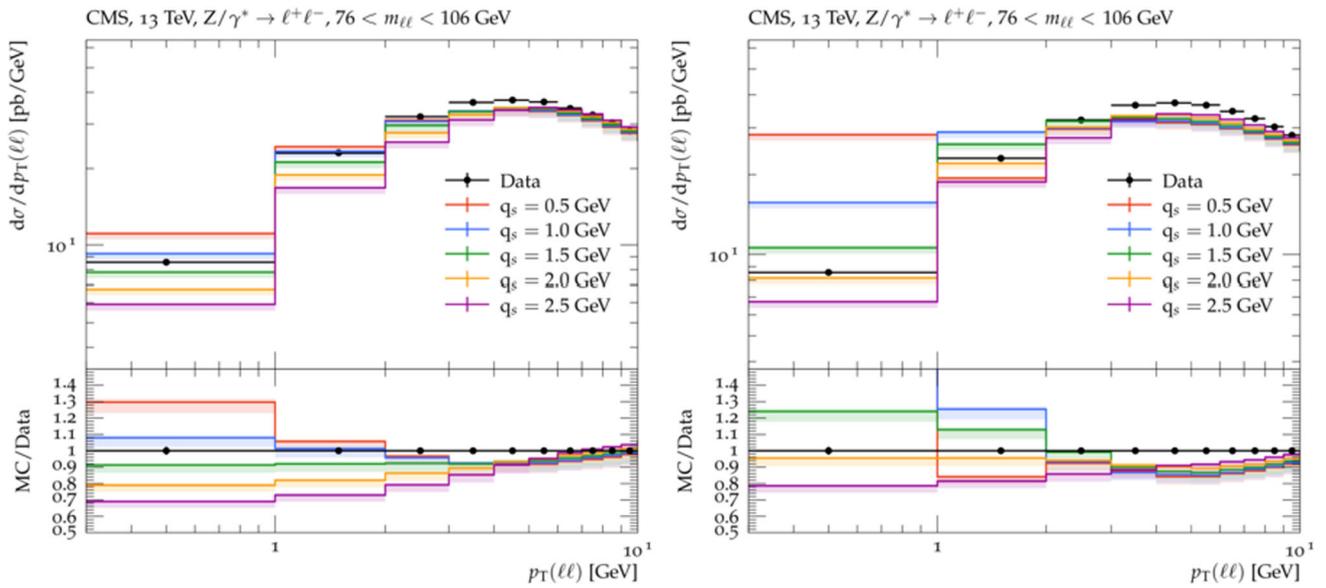


Fig. 1 The DY cross section as a function of $p_T(\ell\ell)$ in the Z-peak region as measured by CMS [34] compared to MCatNLO+CAS3 predictions with different q_s : 0.5, 1.0, 1.5, 2.0, 2.5 GeV, for the two values of q_0 : $q_0 = 1$ GeV (left) and $q_0 = 2$ GeV (right). The bands show the scale uncertainty

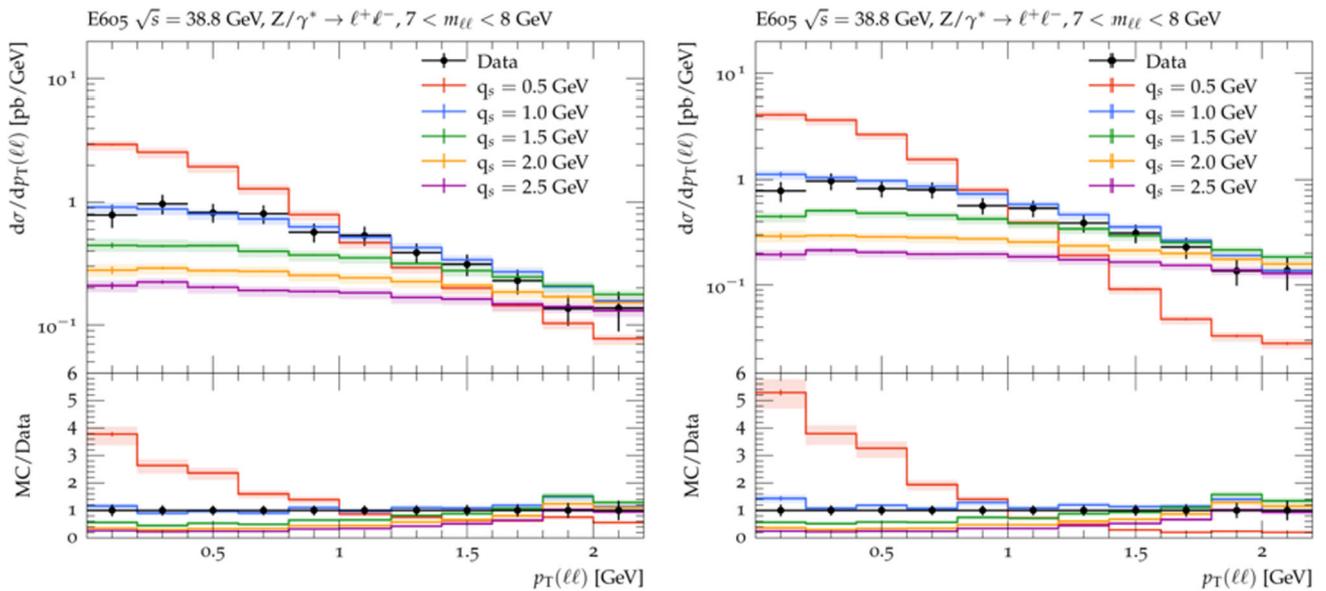


Fig. 2 The DY cross section dependent on $p_T(\ell\ell)$ as measured by E605 [35] compared to MCatNLO+CAS3 predictions with different q_s : 0.5, 1.0, 1.5, 2.0, 2.5 GeV, for the two values of q_0 : $q_0 = 1$ GeV (left) and $q_0 = 2$ GeV (right). The bands show the scale uncertainty

range of $p_T(\ell\ell)$, while at higher center-of-mass energies, we only included bins up to the peak region ($p_T(\ell\ell) \simeq 8$ GeV) in the χ^2 calculation.

Figure 3 shows $\chi^2 - \chi^2_{\min}$ as a function of q_s for $q_0 = 1$ (2) GeV for low collision energies, from about 20 to 200 GeV (27.4 GeV from E288 [36], 38.8 GeV from E605 [35] and 200 GeV from PHENIX [37]) as well as for high collision energies obtained at Tevatron and LHC (1.96 TeV from CDF [38], 8 TeV from ATLAS [39] and 13 TeV from CMS [34]).

The lines shown in the figures present $\chi^2(q_s) - \chi^2_{\min}$ with a cubic spline function interpolated through the points.

From the figures one can see that with increasing collision energy the minimum of $\chi^2(q_s) - \chi^2_{\min}$ shifts to higher values of q_s ranging from 0.8 GeV to about 1.4 GeV for $q_0 = 1$ GeV and to about 2.2 GeV for $q_0 = 2$ GeV. The χ^2/ndf (with ndf being the number of degrees of freedom) for all data sets is around one.

Table 1 List of the measurements used to determine the width of the intrinsic- k_T -distribution. The number of bins in $p_T(\ell\ell)$ used in the fit as well as the collision energies are given

Given name	Number of bins	CM energy [GeV]	References
CMS	8	13,000	[34]
ATLAS	4	8000	[39]
CDF	16	1960	[38]
D0	8	1800	[40]
PHENIX	12	200	[37]
E605	11	38.8	[35]
E288	15	27.4	[36]

Summing up the results from $\chi^2(q_s)$ at different center-of-mass energies, we show q_s as a function of \sqrt{s} in Fig. 4. The uncertainty for each obtained q_s value, which is determined as a position where $\chi^2(q_s)$ has a minimum, is estimated as a

range of q_s in which $\chi^2(q_s) - \chi_{\min}^2 < 1$. The q_s dependence on the center-of-mass energy, \sqrt{s} , for the cases with $q_0 = 1$ GeV and $q_0 = 2$ GeV as well as the results of Ref. [22] for the case $q_0 = 0.01$ GeV are shown. We have performed a linear fit for the $\log(q_s) - \log(\sqrt{s})$ relation. The uncertainty bands around the fitted lines correspond to the 95% CL band, showing the strong anti-correlation of uncertainties between intercept and slope.

We note that with higher q_0 a larger fraction of soft gluons is removed with $z < 1 - q_0/q'$ and therefore a larger contribution from intrinsic- k_T is needed to accurately describe the transverse momentum spectrum in Drell–Yan processes. Consequently, higher q_0 values lead to an increased sensitivity to the intrinsic k_T -distribution, resulting in smaller uncertainty bands.

We observe that limiting the minimal value of transverse momentum of emitted parton at each branching by q_0 , a

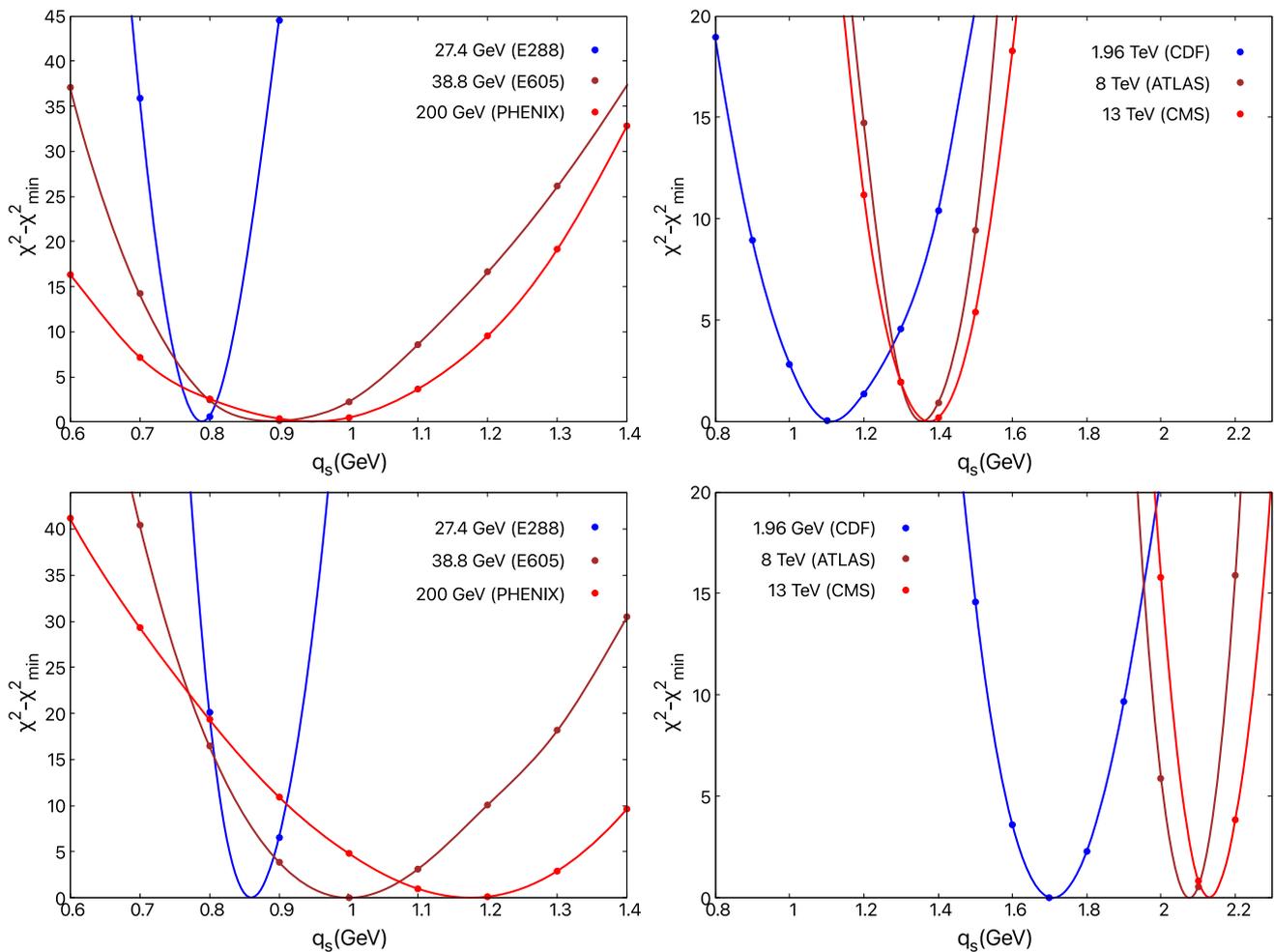


Fig. 3 The $\chi^2 - \chi_{\min}^2$ distribution as a function of q_s obtained from comparison of the MCatNLO+CAS3 prediction for $q_0 = 1$ GeV (upper) and $q_0 = 2$ GeV (lower) with the measurements obtained at: GeV

energies [35–37] (left) and TeV energies [34,38,39] (right). Each line presents a cubic spline through the points

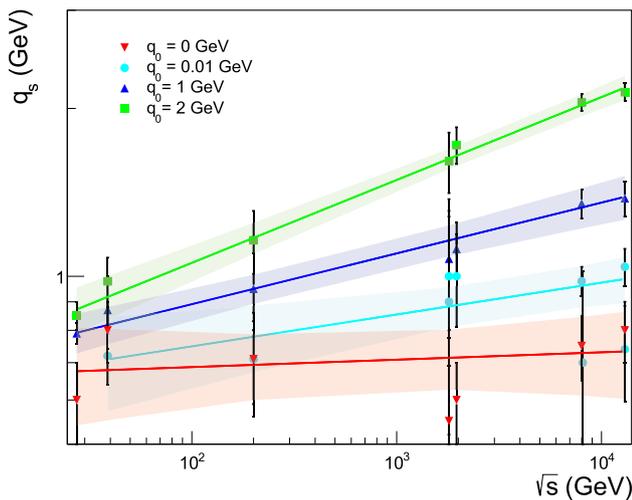


Fig. 4 The q_s value as a function of collision energy, \sqrt{s} , obtained from the measurements presented in [34–40] for $q_0 = 0.000001$ GeV, $q_0 = 1$ GeV and $q_0 = 2$ GeV. Also shown are results obtained from Ref. [22] for $q_0 = 0.01$ GeV. Each line presents the linear fit of $\log(q_s)$ vs $\log(\sqrt{s})$

dependence of q_s on \sqrt{s} is introduced. A linear dependence of $\log(q_s)$ on $\log(\sqrt{s})$ is observed which is confirmed by fits with a slope increasing with increasing q_0 . The result obtained in our previous study in which $q_0 = 0.01$ GeV is consistent with a very mild \sqrt{s} dependence of q_s . In order to confirm our findings, we calculate in addition predictions for $z_M \rightarrow 1$ with $q_0 = 0.000001$ GeV.² The prediction with $q_0 = 0.000001$ GeV clearly shows no \sqrt{s} dependence. We conclude, that the weak \sqrt{s} dependence observed in Ref. [22] comes from $q_0 = 0.01$ GeV used in PB-NLO-2018 Set2 and we confirm that the dependence of the width of the intrinsic- k_T distribution as a function of the center-of-mass energy observed in collinear parton-shower Monte Carlo event generators comes only from the restriction of the transverse momentum of emissions in the parton-shower. No additional non-perturbative effects need to be included.

4 Conclusion

A detailed study was performed to show the importance of soft gluon emissions in TMDs and in parton density functions in general. In this paper we confirm that the center-of-mass energy dependence of the width of the intrinsic- k_T distribution observed in collinear parton-shower Monte Carlo event generators comes from the treatment of soft gluons, and in

particular from the non-perturbative Sudakov region, near the soft-gluon resolution boundary.

We have studied this effect using PB TMD distributions by imposing a cut q_0 restricting the z -integration range, in order to mimic the behavior of parton-shower event generators. In order to stay consistent with the cross section calculations, no new fits were performed, but rather the PB TMD was recalculated imposing different q_0 using the starting distribution of PB-NLO-2018 Set2. We have shown, that by the introduction of a finite resolution scale q_0 a center-of-mass energy dependent width of the intrinsic- k_T distribution is required by DY measurements over a wide range of \sqrt{s} . This dependence is reflected in a linear scaling of $\log(q_s)$ with $\log(\sqrt{s})$ and the slope of this dependence increases with increasing of q_0 .

This study emphasises the important role of soft gluons in inclusive distributions. The inclusion of the non-perturbative region $z \rightarrow 1$ in the evolution equation as well as in the TMD evolution is essential for the description of the low $p_T(\ell\ell)$ region in Drell–Yan production. This non-perturbative region is included by construction in PB-NLO-2018 Set2 and this leads to a width of the intrinsic k_T -distribution independent of the collision energy \sqrt{s} .

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² We have also performed a new fit using $q_0 = 0.000001$ GeV to the same HERA data as used in PB-NLO-2018 Set2 and found no significant differences in the collinear parton densities compared to PB-NLO-2018 Set2.

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