

Effect of Yb doping on the optical and photoelectric properties of CsPbCl₃ single crystals

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ABSTRACT

In this paper, the effect of Yb dopant on the optical and photoelectric properties of CsPbCl₃:Yb single crystals is studied. The position of the main energy level of Yb³⁺ ions relative to the energy band was determined. Two channels of electron photoionization into the conduction band were identified, which are photo-stimulated transition of valence electrons and transition of electrons from the ground level of the Yb³⁺ dopant ion. The crucial role of Yb²⁺ ions in the manifestation of the quantum cutting effect was shown. A non-uniform distribution of ytterbium dopant in the CsPbCl₃:Yb single crystal volume was revealed. It was established that the absolute quantum yield of ytterbium luminescence in CsPbCl₃:Yb single crystals in the near-infrared region at Yb concentration of 2 mol.% reaches 86%.

1. Introduction

The growing interest in alkali metal lead-halide perovskites can be attributed to their remarkable properties, such as high luminescence quantum yield, narrow excitonic emission band, large carrier diffusion length, and extended carrier lifetime [1–5]. These distinctive features make these materials highly attractive for numerous potential applications, particularly in optoelectronic devices. This is facilitated by the high color purity of the emission and its tunability throughout the visible spectrum [6]. It has been reported recently that lead halide perovskite nanocrystals were successfully used as a high-performance γ -ray detector [7]. As for the registration of X-ray radiation, ytterbium-doped perovskite nanocrystals are characterized by higher luminescence output than in the most advanced commercially available scintillators [8]. However, structural disorder is observed in the subsurface layer of nanocrystals (with a size below 10 nm) [9], and also electronic processes in nanocrystals substantially differ from those in single crystals. For ytterbium-doped nanocrystals, even up to 20 wt% doping, crystal phase purity is maintained [10], whereas in the work [8] regions with higher impurity concentrations were observed already at a Yb concentration of 5 wt%. In many recent publications, considerable attention has been

focused on studies of organic-inorganic compounds, which are particularly attractive for use in the field of photovoltaics [11,12]. At the same time, fully inorganic lead-halide perovskites demonstrate superior stability under diverse environmental conditions, radiation resistance against high-power ultraviolet radiation without photobleaching, and an expanded operational temperature range [13]. It is known that doping alkali metal lead-halide perovskite nanocrystals with trivalent lanthanides, especially ytterbium ions, results in a significant increase in the quantum yield of luminescence in the near-infrared spectral region, exceeding 100% [14–16]. This phenomenon can be rationalized in terms of quantum cutting effect [8,17,18]. In this case, energy is transferred from the perovskite absorption region to the efficient silicon conversion region. Moreover, this energy transfer occurs without energy losses due to the quantum cutting effect, further increasing its efficiency [19].

Taking into account the promising prospects of this tandem approach for future applications, it should be noted that a comprehensive understanding of the energy transfer mechanisms in these crystals is of paramount importance, not only for photovoltaic applications but also for developing high-yield scintillators, emphasizing the quantum cutting effect. In addition, it was shown [20] that ytterbium acts as an efficient sensitizer for erbium excitation in these crystals, involving energy

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transfer from ytterbium ions to erbium ions. Noteworthy that these conclusions were drawn primarily from nanocrystal studies, whereas in single crystals the processes of local charge compensation upon introducing a non-isovalent trivalent lanthanide impurity may differ [21].

Furthermore, the utilization of such materials in LEDs, photodetectors, solar cells, displays, and lasers primarily depends on the efficiency of charge carrier transfer [22,23]. Therefore, in addition to the optical studies of CsPbCl₃:Yb single crystals, conducting a comprehensive analysis of photoelectric processes in these materials is essential to understand the diverse photoionization mechanisms involving impurity and intrinsic defect energy levels. Our present study is focused on the experimentally determining of the energy level positions of ytterbium ions in CsPbCl₃:Yb single crystals relative to their energy bands, investigating the luminescence generation mechanism caused by ytterbium ionization, and evaluating the efficiency of energy transfer during photon excitation with energy exceeding the band gap of the studied crystals.

2. Experimental

2.1. Synthesis

CsPbCl₃:Yb single crystals were grown by the Bridgman method using equimolar amounts of separately recrystallized CsCl of purity N4 and PbCl₂, purified through zone melting (30 zones) of purity N3. Yb doping was performed using YbCl₃; the dopant concentration was determined by the load in the charge. All chemicals were purchased from the company Sigma-Aldrich. The synthesis was conducted in quartz vacuum-sealed ampoules at a pressure 10^{-5} Torr; the temperature was kept at 20 °C higher than the melting point for over 12 h. After the growth was completed, the crystals were cooled down to the ambient temperature at a rate of 5 °C per hour. The synthesized crystals appear transparent with a slight yellowish hue.

2.2. Optical and photoelectric measurements

Luminescence studies were carried out using synchrotron radiation at the Superlumi/P66 beamline of the PETRA III synchrotron facility at DESY in Hamburg [24]. The desired spectral range of synchrotron radiation for luminescence excitation was selected using a primary 2-m monochromator with a spectral resolution of 4 Å. Luminescence spectra were analyzed using an ANDOR Kymera monochromator with a spectral resolution of 2 Å. The luminescence intensity was recorded using a Newton 920 CCD camera and a Hamamatsu R6358 photomultiplier tube. The samples were obtained through cleaving of the single crystals, the samples were kept at a temperature of 12 K during measurements.

In addition, some measurements of luminescence and reflection spectra were performed at room temperature using a setup based on a 60 cm focal length monochromator. In this case, luminescence excitation was achieved using LED emission ($\lambda = 360$ nm, $P = 3$ W). Quartz halogen lamp was utilized as a light source for measuring reflection spectra.

In order to study carrier dynamics photodiffusion current (PDC) measurements can be used. PDC is the current generated in a sample by external irradiation when no voltage is applied. The measurement of the spectral dependence of the PDC for CsPbCl₃:Yb crystal was carried out following the methodology described in Ref. [13]. Additionally, we measured the spectra of photoconductivity in these samples by converting Yb³⁺ impurity to its excited ²F_{5/2} state through additional excitation. The spectra were recorded using synchronous detection according to the schematic shown in Fig. 1.

3. Results and discussion

It is known that the energy separation between the ground ²F_{7/2} and

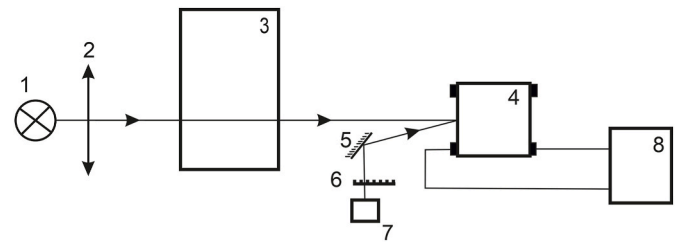


Fig. 1. Schematic for the photoconductivity measurements of CsPbCl₃:Yb single crystal, where Yb³⁺ ions are excited to ²F_{5/2} state by LED irradiation ($\lambda = 850$ nm, $P = 3$ W). 1 – halogen lamp; 2 – focusing lens; 3 – monochromator; 4 – crystal sample; 5 – mirror; 6 – mechanical chopper; 7 – LED; 8 – synchronous detector.

the excited ²F_{5/2} states of the Yb³⁺ ion is approximately 1.3 eV [12]. To excite the Yb³⁺ ion, we employed LED radiation (850 nm, $P = 3$ W) modulated by a mechanical chopper. The photocurrent signal was obtained by illuminating the same spot on the sample's surface with unmodulated monochromatic light. The use of synchronous detection allows recording the photocurrent signal during the presence of the Yb³⁺ ion in the excited ²F_{5/2} state. Thus, the energy of photoionization transitions was determined in the case of the depleted ground ²F_{7/2} level of the Yb³⁺ dopant, namely the transitions that involve energy levels of intrinsic defects and crystal bands, as well as interband transitions.

Fig. 2 depicts the luminescence spectra of the CsPbCl₃:Yb crystal at a temperature of 12 K, excited with synchrotron radiation at a wavelength of 160 nm. The emission band at 417 nm corresponds to the luminescence of free excitons, as observed in the undoped crystal. On the other hand, the bands observed in the range of 970–1030 nm are characteristic for Yb³⁺ ion emission [12]. It should be noted that the intensity of Yb³⁺ luminescence in this sample is nearly five times higher compared to the excitonic luminescence. The fine structure of the spectrum can be attributed to the transitions involving spin-orbit split components of ²F_{5/2} level.

The luminescence spectra of the CsPbCl₃:Yb single crystal (with Yb concentration $N_{Yb} = 5$ mol%) at both room and liquid nitrogen temperatures, excited with synchrotron radiation at $\lambda = 160$ nm are presented in Fig. 3. The band at 417 nm corresponds to the luminescence of free excitons [25], which is observed in the undoped single crystal and the Yb-doped one at 2 mol% concentration. As can be seen from Fig. 3, the Yb³⁺ ion emission is observed in the range of 920–1050 nm. Additionally, a broad, unstructured band with a peak at 560 nm is also observed in the visible spectral range at 300 K (Fig. 3, a), whose intensity increases in comparison with the spectrum at 80 K (Fig. 3, b).

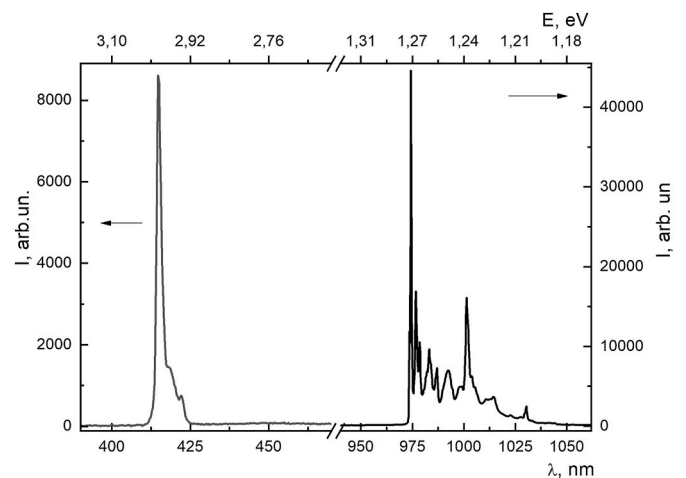


Fig. 2. Luminescence spectrum of CsPbCl₃:Yb single crystal ($N_{Yb} = 2$ mol %) at $T = 12$ K, $\lambda_{exc} = 160$ nm.

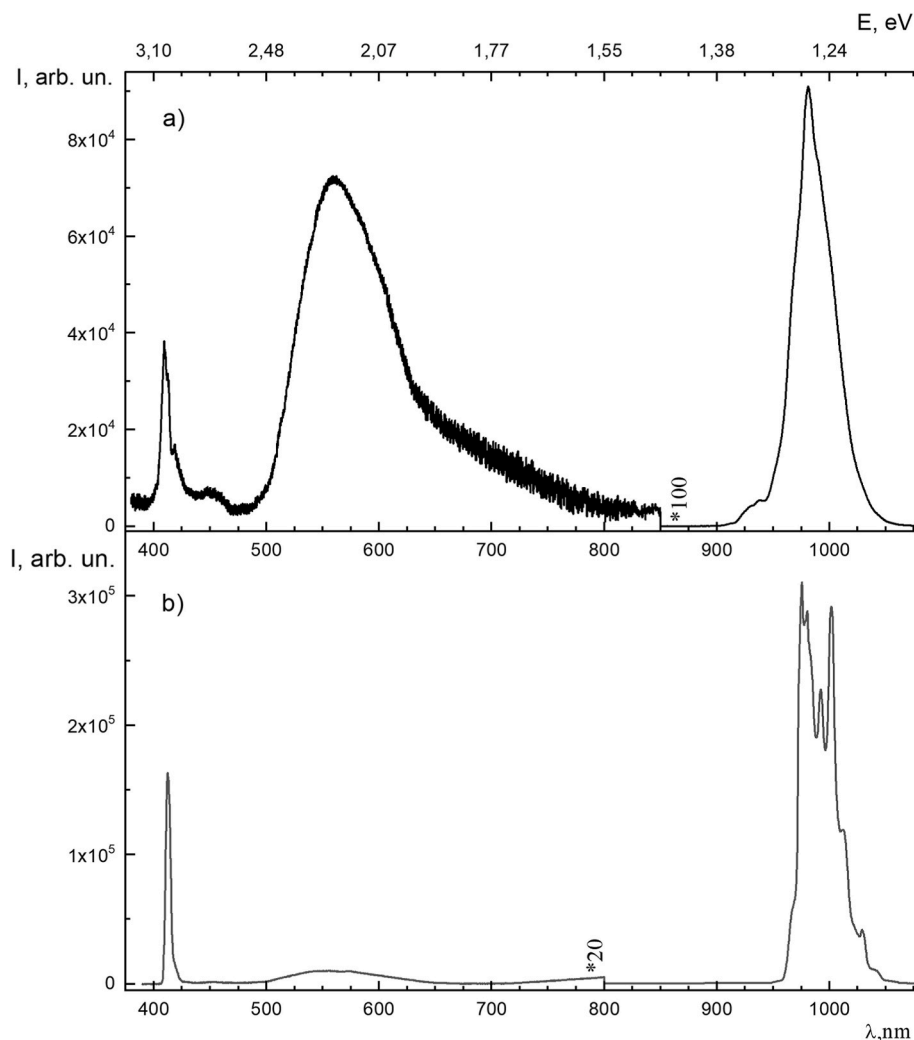


Fig. 3. Luminescence spectrum of CsPbCl₃:Yb single crystal ($N_{Yb} = 5$ mol %) at $T = 293$ K (a) and 80 K (b). $\lambda_{exc} = 160$ nm.

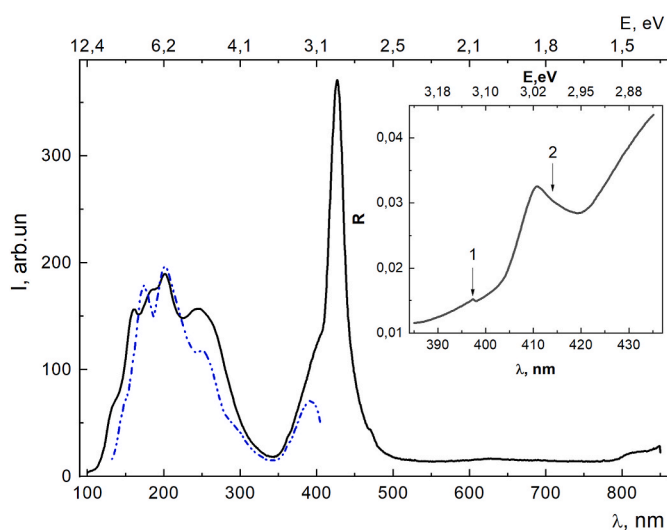


Fig. 4. Luminescence excitation spectrum of CsPbCl₃:Yb 2 mol% single crystal at $T = 293$ K, $\lambda = 980$ nm band (solid curve) and luminescence excitation spectrum of undoped CsPbCl₃ single crystal at $T = 12$ K, $\lambda = 417$ nm band (dashed curve). Inset – reflection spectrum of CsPbCl₃:Yb single crystal at $T = 293$ K.

In Fig. 4, the excitation luminescence spectra of Yb³⁺ ion for the emission band at $\lambda = 980$ nm in the CsPbCl₃:Yb single crystal at room temperature (solid curve) and for the excitonic luminescence at $\lambda = 417$ nm of the undoped CsPbCl₃ single crystal at 12 K (dotted curve) are shown. The intense band at 427 nm of the excitation spectrum of Yb³⁺ luminescence in the doped crystal attracts special attention. The presence of a prominent peak at 427 nm in the excitation spectrum of intracenter luminescence for the 980 nm band is somewhat unexpected and can be explained by the efficient capture of photoionized electrons from the Yb³⁺ excited $^2F_{5/2}$ state. This process involves the realization of the quantum cutting effect.

In the inset of Fig. 4, the reflection spectrum of the doped crystal at room temperature is presented. The anomalous dispersion behavior at 414 nm (marked as 2) corresponds to the excitation of free excitons [26]. Note a weakly pronounced feature of anomalous dispersion at 397 nm (marked as 1) whose nature will be discussed later in this article.

Fig. 5 depicts the spectral dependence of the PDC for the CsPbCl₃:Yb single crystal. It should be noted that these measurements allow determining the energy and type of photoionization transitions. In the experimental geometry used here, when the photon energy is lower than the band gap (monopolar excitation), bands with positive polarity correspond to electron transitions from the energy levels to the conduction band. At the same time, the band of negative polarity in the band-to-band spectral region indicates the hole-type intrinsic conductivity of the studied single crystal sample. The PDC spectrum (Fig. 5) contains two bands: the band at $\lambda = 427$ nm has a positive polarity and

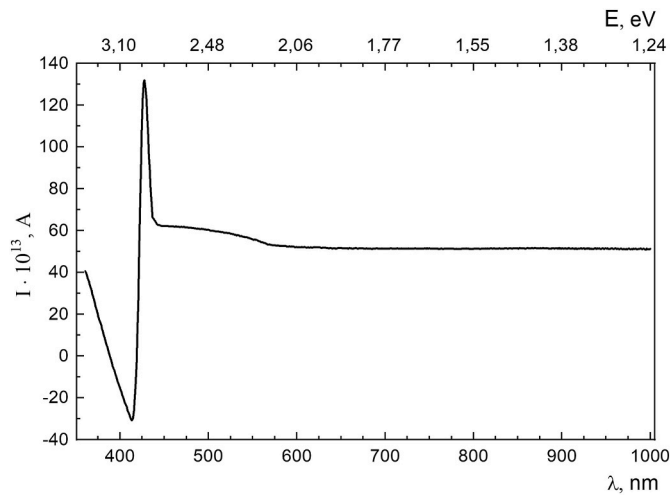


Fig. 5. Spectral dependence of photodiffusion current (PDC) of CsPbCl₃:Yb single crystal at T = 293 K.

the band at $\lambda = 414$ nm a negative polarity. In addition, there is some increase in the intensity of the PDC in the spectral range below 570 nm.

Fig. 6 presents the photoconductivity spectrum of the CsPbCl₃:Yb single crystal, when the Yb³⁺ dopant was excited to ²F_{5/2} state using LED emission with a wavelength of 850 nm. In this case an increase in the signal is observed for $\lambda < 570$ nm, along with a dip in intensity at $\lambda = 427$ nm. Additionally, the bands are observed at 414 nm and 397 nm in the region of $\lambda < 427$ nm. Besides, a broad band of low intensity also appears in the spectral region of $\lambda = 830$ nm.

It is known that doping of CsPbCl₃ single crystals with Yb leads to a slight increase in the crystal's band gap and a remarkable increasing (exceeding 100%) of the quantum yield of Yb³⁺ emission at 980 nm [27]. The structure of luminescence excitation spectra of CsPbCl₃:Yb single crystals in the short-wavelength spectral range of (100–400) nm closely resembles that of the undoped CsPbCl₃ crystals (Fig. 4, dashed curve) despite substantial temperature differences (300 K and 12 K) during measurements. These observations suggest that the primary excitation mechanism of Yb³⁺ ions is related to energy transfer from the crystal matrix, which can occur through both electron-hole and excitonic processes.

Furthermore, the same spectral positions of the Yb³⁺ ion photo-luminescence excitation band at $\lambda = 427$ nm (2.904 eV) and the PDC

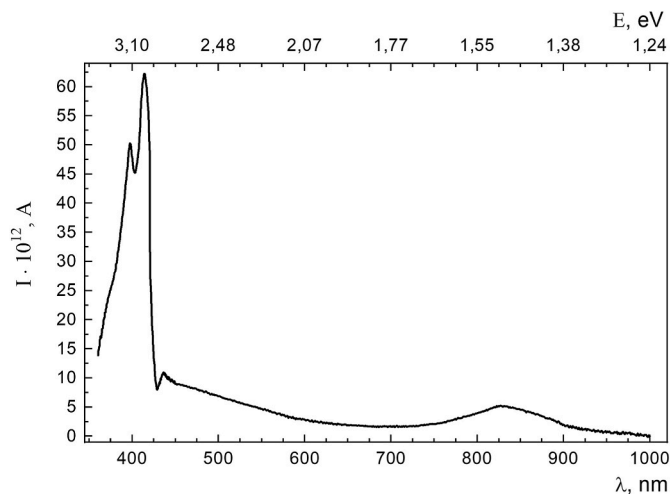


Fig. 6. Photoconductivity spectrum of CsPbCl₃:Yb single crystal, Yb³⁺ dopant (2 mol %) is excited to ²F_{5/2} state using LED emission with a wavelength of 850 nm.

band (Fig. 5) suggest that electron transitions to the conduction band responsible for those spectral features may be associated with the ²F_{7/2} ground state of Yb³⁺ ions. The PDC band at 414 nm (2.994 eV) (see Fig. 5) exhibits a negative polarity and aligns with the exciton excitation band (Inset in Fig. 4, position 2), suggesting exciton dissociation. Similarly, the 397 nm (3.123 eV) band in the PDC spectrum (Fig. 6) with energy that coincide with the region of anomalous dispersion in the reflection spectrum (Inset in Fig. 4, position 1) can be attributed to exciton dissociation in the crystal regions with high Yb concentration. The negative PDC signal in the case of the band-to-band transitions ($\lambda < 409$ nm) (Fig. 5) indicates on a hole-type conductivity in the crystal. The increase in the PDC signal for $\lambda < 570$ nm (2.17 eV) may be associated with the photoionization of electrons from the local complex level to the conduction band.

The exciton excitation band of CsPbCl₃:Yb single crystals (Fig. 4, solid curve) is located on the short-wavelength side of the intense band at 427 nm, which corresponds to photoionization transitions of electrons from the ²F_{7/2} ground level of Yb³⁺ ions to the conduction band. The high intensity of the 427 nm band obscures the position of the maximum in the exciton luminescence excitation band, but it can be detected using photoelectric methods. By considering the energy distance between the ²F_{5/2} and ²F_{7/2} states of Yb³⁺ ions (1.265 eV [8,11]) and using intense LED emission (optical power density of ~ 5 mW/cm²) at 850 nm ($E = 1.459$ eV), the Yb³⁺ ions were excited from the ²F_{7/2} ground state to the excited ²F_{5/2} state, thus depleting the ²F_{7/2} state. In this case the photoconductivity spectrum at 427 nm, associated with electron transitions from the ²F_{7/2} ground state of Yb³⁺ ions to the conduction band, disappears, revealing a small dip in this region (Fig. 6). As a result, the 427 nm band disappears in the photoconductivity spectrum. Instead, a small dip is observed (Fig. 6) in this region. At the same time, a double band with maxima at 414 nm and 397 nm appears in the photoconductivity spectrum, which may be due to the dissociation of excitons formed in regions of the crystal with different concentrations of ytterbium. The excitation energy of excitons in CsPbCl₃:Yb single crystals was precisely determined from our optical and photoelectric measurements and amounts $E = 2.994$ eV (414 nm). Based on the binding energy of excitons 64 meV in the CsPbCl₃ single crystal as reported in Ref. [28] and suggesting that this energy remains constant during doping one can estimate the band gap of CsPbCl₃:Yb single crystal as 3.059 eV.

According to Ref. [25], in Yb-doped CsPbCl₃ crystals, strong Coulomb interaction results in the emerging of uncompensated complexes (Yb_{Pb} + V_{Pb})¹⁻ and their clustering followed by the formation of electrically neutral centers (2Yb_{Pb} + V_{Pb})⁰ that is typical for regions of CsPbCl₃:Yb single crystals containing high concentrations of the dopant. The significantly different intensities of the excitonic bands with anomalous dispersion at 414 nm and 397 nm in the reflection spectra (Fig. 4, inset) indicate a heterogeneous distribution of Yb dopants throughout the crystal volume. As previously mentioned, doping of CsPbCl₃ single crystal with Yb leads to the increase of the band gap. Therefore, the 397 nm band can be attributed to exciton excitation in regions with high Yb dopant concentration.

The existence of inclusions in a form of CsPbCl₃:Yb clusters in the CsPbCl₃ matrix is confirmed by elemental composition analysis using EDX (Fig. 7). The probing electron beam with the diameter of ~ 20 nm allows us to estimate the size of the doped clusters, which do not exceed 1 μ m (taking in to account the penetration depth). Similar clusters have been previously observed in our previous studies for PbI₂:Mn system [29].

In the regions of the CsPbCl₃:Yb single crystal with high dopant concentration, complex electrically neutral centers (2Yb_{Pb} + V_{Pb})⁰ and complexes (Yb_{Pb} + V_{Pb})¹⁻ are formed, where the Yb dopant ion exists in a trivalent state. An increase in the Yb doping concentration results in the appearance of a luminescence band with a maximum at 560 nm. As previously noted, the intensity of this band increases with increasing of the temperature (Fig. 3). A similar band in metal halide perovskites CsMX₃ (M = Ca, Sr; X = Cl, Br, I) has been extensively studied in

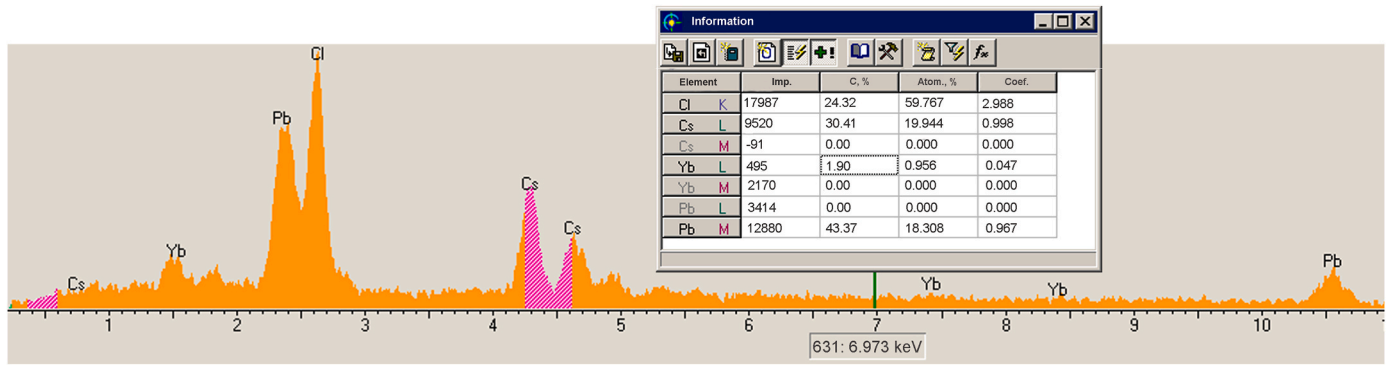


Fig. 7. EDX analysis of CsPbCl₃:Yb (2 mol.%) single crystal.

Ref. [30] and attributed to Yb²⁺ ion emission. The potential existence of ytterbium in the form of Yb²⁺ ions in metal halide perovskites was considered by Dorenbos [31]. The Yb²⁺ ion is characterized by a completely filled 4f shell, thus the excited state of this ion may be associated with 4f¹³5d¹ configuration. It should be noted, the energy transition of 4f¹⁴→4f¹³5d¹ in Yb²⁺ ions coincides with the excitonic emission energy of CsPbCl₃:Yb single crystal, facilitating efficient energy transfer from the crystal matrix to Yb²⁺ ions. The luminescence band with a maximum at 560 nm (Fig. 3) corresponds to the spin-allowed 5d¹→4f¹⁴ transitions, while the long-wavelength tail of this band is caused by the spin-forbidden electron transition 5d¹→4f¹⁴ where the forbiddenness is partially weakened due to electron-phonon interactions. Such electron transitions occur within the millisecond range [27].

The observed photoionization transition with energy $E = 2.904$ eV (427 nm) converts one Yb³⁺ ion to the (2Yb_{Pb} + V_{Pb})⁰ center containing the Yb⁴⁺ ions. Since the energy level of Yb³⁺ ion is located 0.155 eV above the top of the valence band, and the energy level of Yb⁴⁺ ion in the crystal is lower than that of Yb³⁺. Therefore, it falls into the valence band and recharges back to the Yb³⁺ state by capturing an electron. The energy level of Yb²⁺ ion is higher than that of Yb³⁺ and can serve as an efficient trap for excited electrons in the conduction band. Consequently, two pathways for transferring excitation energy from the matrix to Yb³⁺ ions via Yb²⁺ ions exist. As shown in Ref. [29], such energy transfer is particularly effective when the distance between ytterbium ions is close to the exciton Bohr radius, and time of the energy transfer is in the picosecond range. Such a distance between dopant ions is realized in the (2Yb_{Pb} + V_{Pb})⁰ complex, and the prolonged existence of Yb²⁺ ions in the excited state ensures efficient energy transfer simultaneously to two ytterbium cations and their transition to the ²F_{5/2} state [32]. Quantum cutting is achieved because the band gap is greater than twice the energy between the ²F_{7/2} and ²F_{5/2} excited states of Yb³⁺ ions [33, 34]. It was shown [35] that the effective rate of quantum cutting is proportional to the number of Yb dimers, with orthogonal complexes (2Yb_{Pb} + V_{Pb})⁰ [25] being more efficient than linear ones. As a result, two photons at 988 nm are emitted, doubling the luminescence quantum yield. The integral intensity of this emission increases with temperature [12]. It should be noted that the temperature at which the increase begins (~50 K) attributed to lattice thermal vibrations, providing additional confirmation of the involvement of Yb²⁺ ions in the quantum cutting process. Using an integrating sphere and a non-selective radiometer, the absolute quantum yield of 988 nm emission for the CsPbCl₃:Yb single crystal sample (Yb dopant concentration $N_{Yb} = 2$ mol%) was measured, yielding a value of 86%.

The doping of CsPbCl₃ single crystals with ytterbium leads to the formation of various complex centers of the dopant, where lead vacancies contribute to local charge compensation. Each of these centers creates a corresponding energy level within the band gap. The energy of photoionization transitions involving these local levels was precisely determined using photoelectric technique. The combination of

photoelectric and luminescence methods made it possible to detect various electron transitions involving ytterbium ions. However, for complete identification of complex centers, additional studies using other methods are needed.

Based on our experimental studies, an energy diagram of the local energy levels of Yb³⁺ ions relative to the energy bands of CsPbCl₃:Yb single crystals was constructed as it is shown in Fig. 8. The main energy level of Yb³⁺ dopant ion is located at 0.155 eV above the top of the valence band. The observed 830 nm band in the PDC spectrum corresponds to the transition of valence electrons to the energy level of the Yb_{Pb} + V_{Pb} complex, located at ($E_c - 1.5$) eV. The photoionization of electrons from the ($E_c - 2.17$) eV level (Fig. 5) to the conduction band corresponds to the electronic process $Yb^{2+} + h\nu = Yb^{3+} + e^-$.

4. Conclusions

This work is devoted to the studies of Yb dopant influence on the optical and photoelectric properties of CsPbCl₃:Yb single crystals grown by the Bridgman method. The research is aimed on the determination of exact position of the energy level of Yb³⁺ ions relative to the energy bands. The use of mutually complementary optical and photoelectric measurements allows determining the main mechanisms of photoionization of electrons into the conduction band. Two distinct channels of electronic transitions were identified: one involves photostimulated transfer of a valence electron, while the other is due to the transition of

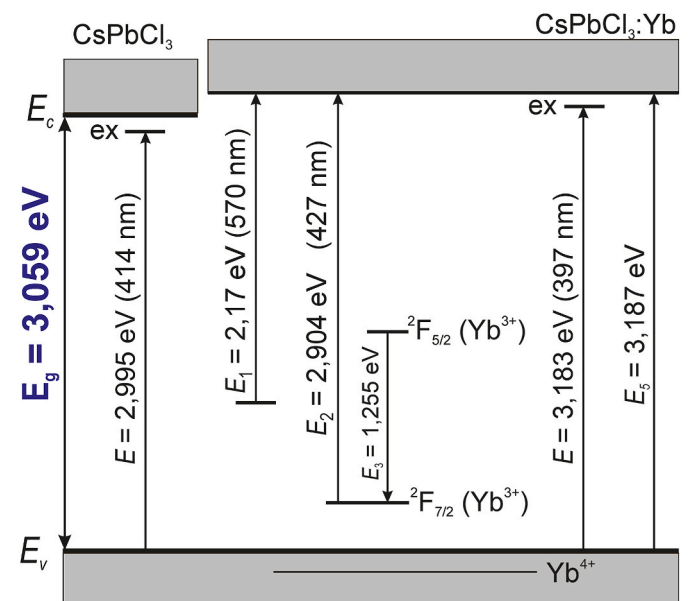


Fig. 8. Energy levels and electron transitions in CsPbCl₃:Yb single crystal.

electrons from the ground level of Yb^{3+} ions.

The key role of the Yb^{2+} ions in the quantum cutting effect is clearly demonstrated. This effect results in the enhancement in the luminescence efficiency of $\text{CsPbCl}_3:\text{Yb}$ single crystals and has significant implications for the development of advanced optoelectronic devices. Furthermore, a non-uniform distribution of ytterbium dopants within the volume of $\text{CsPbCl}_3:\text{Yb}$ single crystals is revealed. In particular, isolated clusters of $\text{CsPbCl}_3:\text{Yb}$ coexist with the pristine CsPbCl_3 matrix, indicating a complex interactions between the dopant ions and the host lattice. These results allow for a deeper understanding of the processes of inclusion of dopants and their diffusion in the crystal structure.

These studies also confirm the influence of ytterbium doping on the band gap of the investigated single crystal. The analysis of the reflection and PDC spectra reveals that the width of the band gap increases as a result of doping of CsPbCl_3 crystals by ytterbium. One of the most important outcomes of this research is the determination of the absolute quantum yield of ytterbium luminescence in $\text{CsPbCl}_3:\text{Yb}$ single crystals. In particular, at Yb concentration of 2 mol.%, a quantum yield of 86% was achieved in the near-infrared region. Such a high quantum yield may have significant perspective for various photonic and optoelectronic technologies. In our opinion, findings, presented in this work, not only contribute to the fundamental understanding of such perovskites as $\text{CsPbCl}_3:\text{Yb}$ but also provide an exciting platform for further exploration in the field of optoelectronic materials, in particular, they are very important for the development of metal halide perovskites with special electronic properties for various applications.

CRediT authorship contribution statement

T.M. Demkiv: Conceptualization, Investigation, Writing – original draft, Writing – review & editing. **YaM. Chornodolsky:** Formal analysis, Funding acquisition, Supervision, Writing – original draft, Writing – review & editing. **T.M. Muzyka:** Funding acquisition, Investigation, Writing – original draft, Writing – review & editing. **S.Z. Malynych:** Formal analysis, Investigation, Supervision, Validation, Writing – original draft. **R. Ya Serkiz:** Methodology, Supervision, Validation, Writing – review & editing. **A.S. Pushak:** Validation, Writing – review & editing. **A. Kotlov:** Methodology, Supervision, Validation, Writing – review & editing. **R.V. Gamernyk:** Conceptualization, Data curation, Formal analysis, Funding acquisition, Investigation, Methodology, Supervision, Writing – original draft, Writing – review & editing.

Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

Data availability

Data will be made available on request.

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