# Beam wakes for canonical chambers with anisotropic surface impedance

Igor Zagorodnov and Martrin Dohlus

Deutsches Elektronen-Synchrotron DESY,

Notkestr. 85, 22607 Hamburg, Germany

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We consider analytical expressions for beam impedance of round, rectangular and  $\Pi$ -shaped waveguides with anisotropic surface impedance of arbitrary nature. The formulas are given for relativistic and non-relativistic cases when the surface impedance matrix has only diagonal elements. For round pipe and the relativistic limit a result for arbitrary impedance matrix is presented. The field matching technique for layered structures with layers of uniaxial anisotropy and anisotropic impedance boundary condition at the last layer is described. The analytical techniques are applied to the real structure examples with corrugations, dielectrics and anomalous skin effects.

#### I. INTRODUCTION

The electromagnetic behavior of the vacuum chamber in many situations can be described by impedance boundary condition for time-harmonic electromagnetic field  $\mathbf{E}$ ,  $\mathbf{H}$  [1, 2]:

$$\boldsymbol{n} \times \boldsymbol{E} = -\boldsymbol{Z}^{\boldsymbol{s}}(\boldsymbol{n} \times \boldsymbol{n} \times \boldsymbol{H}), \tag{1}$$

where  $Z^s$  is a surface impedance tensor and n is a unit vector normal to the surface of the pipe.

The explicit form of the surface impedance tensor depends on the material and geometry properties of the pipe. The resistivity and the roughness are analyzed in [2–4]. The structures with periodic corrugations of the walls are considered in [5–8]. The anomalous skin effects can be treated as in [9, 10].

In this paper we consider analytical expressions for beam impedance [11] of round, rectangular and  $\Pi$ -shaped waveguides with anisotropic surface impedance of arbitrary nature.

Equations for round structure with impedance boundary condition for non-relativistic charge have been revisited recently in [3] for monopole and dipole modes. In the relativistic limit the equations for dipole mode are written only for the explicit form of the resistive surface impedance without generalization to an arbitrary one. The equations for higher order azimuthall modes are not considered there. The knowledge of the higher order modes is necessary to analyze the beam behavior near to the walls of the vacuum chamber. The application of the surface impedance formalism to flat corrugated structures with one or two parallel plates was done in [6–8]. But only the relativistic limit was studied in these papers.

In the publications listed above the surfaces impedance matrix has only diagonal elements, which are equal. But, for example, in the case of the corrugated structures with resistivity a more accurate approximation is to use non-equal diagonal elements, as it was done for the longitudinal impedance in [12].

Round layered pipes and flat layered parallel plates are considered in [13] for fully isotropic layers and in [14] for anisotropic materials. The treatment of the impedance boundary condition in the last layer was not considered there.

In this paper we would like to extend the available results in several directions. We consider all modes for round, rectangular and Π-shaped structures in non-relativistic and relativistic cases. We give the equations for anisotropic impedance matrix with non-equal diagonal elements. For round pipe and the relativistic limit a result for arbitrary impedance matrix is presented.

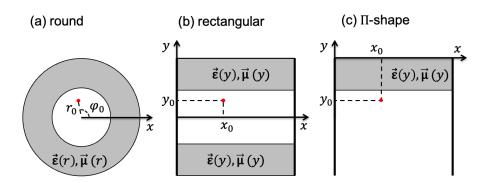


FIG. 1. Transverse to z-axis cross-sections of round (a), rectangular (b) and  $\Pi$  -shaped (c) geometries. The point charge position is shown by red circle.

In the following we call the structure "round" if it is axially symmetric. If the structure has a constant width between two perfectly conducting planes and has rectangular cross-

sections then we call such structure "rectangular". Fig. 1 shows the transverse to z-axis cross-sections of round and rectangular structures. Additionally, a  $\Pi$ -shaped structure is shown in Fig. 1 as well. It is one plate between two perfectly conducting plates.

We assume that the charge is moving along a straight line parallel to the longitudinal axis of the system, and we neglect the influence of the wakefields on the charge motion. In the frequency domain all fields will have the time dependence  $e^{i\omega t}$  ( $\omega$  is the angular frequency) which we will omit in the subsequent equations.

We start in Section II A with derivation of the non-relativistic beam impedance of round metallic pipe with anisotropic surface impedance. Then the equations for the relativistic limit are presented. The same is done in Section II B and Section II C for the rectangular pipe and Π-shape. In Section II D we present the equations for the infinite and semi-infinite flat plates. Section III describes the field matching technique for layered structures with uniaxial anisotropy and anisotropic impedance boundary condition. The analytical techniques and numerical methods are applied to the real structure examples with corrugations, dielectrics and anomalous skin effects in Section IV.

#### II. VACUUM REGION CLOSED BY PIPE WITH SURFACE IMPEDANCE

Let us start by considering only one vacuum region closed with a metallic pipe. In this case we will derive analytical solutions. At Section III we discuss how to modify the field matching method for the pipes with many homogeneous layers.

#### A. Round beam pipe with surface impedance

For round structures we will use cylindrical coordinates  $r, \varphi, z$ . The charge density in the frequency domain can be expanded in Fourier series

$$\rho(r, \varphi, z, k) = e^{-ikz/\beta} \sum_{m=0}^{\infty} \rho_m \delta(r - r_0) \cos(m(\varphi - \varphi_0)), \quad \rho_m = \frac{q}{\pi v r_0 (1 + \delta_{m0})}, \quad (2)$$

where  $r_0, \varphi_0$  are coordinates of the point charge  $q, k = \omega/c, \beta = v/c, c$  is velocity of light in vacuum, and  $\delta_{m0} = 1$  if m = 1, 0 otherwise.

From the linearity of Maxwell's equations the components of the electromagnetic field

can be represented by infinite sums:

$$\begin{pmatrix}
H_{\varphi}(r,\varphi,z,k) \\
E_{r}(r,\varphi,z,k) \\
E_{z}(r,\varphi,z,k)
\end{pmatrix} = e^{-ikz/\beta} \sum_{m=0}^{\infty} \begin{pmatrix}
H_{\varphi m}(r,k) \\
E_{rm}(r,k) \\
E_{zm}(r,k)
\end{pmatrix} \cos(m\varphi),$$

$$\begin{pmatrix}
E_{\varphi}(r,\varphi,z,k) \\
H_{r}(r,\varphi,z,k) \\
H_{z}(r,\varphi,z,k)
\end{pmatrix} = e^{-ikz/\beta} \sum_{m=0}^{\infty} \begin{pmatrix}
E_{\varphi m}(r,k) \\
H_{rm}(r,k) \\
H_{zm}(r,k)
\end{pmatrix} \sin(m\varphi).$$
(3)

It is a direct consequence of Maxwell's equations applied to fields' decomposition in Eq. (3), that for each modal number m we can write an independent system of equations

$$\frac{m}{r}H_{zm} + i\frac{k}{\beta}H_{\varphi m} = i\omega\epsilon_{0}E_{rm},$$

$$-i\frac{k}{\beta}H_{rm} - \frac{\partial}{\partial r}H_{z,m} = i\omega\epsilon_{0}E_{\varphi m},$$

$$\frac{1}{r}\frac{\partial}{\partial r}(rH_{\varphi m}) - \frac{m}{r}H_{rm} = i\omega\epsilon_{0}E_{zm} + v\rho_{m}\delta(r - r_{0}),$$

$$-\frac{m}{r}E_{zm} + i\frac{k}{\beta}E_{\varphi m} = -i\omega\mu_{0}H_{r,m},$$

$$-i\frac{k}{\beta}E_{rm} - \frac{\partial}{\partial r}E_{z,m} = -i\omega\mu_{0}H_{\varphi m},$$

$$\frac{1}{r}\frac{\partial}{\partial r}(rE_{\varphi m}) + \frac{m}{r}E_{r,m} = -i\omega\mu_{0}H_{zm},$$

$$\frac{1}{r}\frac{\partial}{\partial r}(rH_{rm}) - \frac{m}{r}H_{\varphi,m} - ikH_{zm} = 0,$$

$$\frac{1}{r}\frac{\partial}{\partial r}(rE_{rm}) + \frac{m}{r}E_{\varphi m} - ikE_{zm} = \frac{\rho_{m}}{\epsilon_{0}}.$$
(4)

If we assume that surface impedance matrix  $Z^s$  is diagonal with elements  $Z^s_{11} = Z_{TM}$ ,  $Z^s_{22} = Z_{TE}$  then the impedance boundary condition, Eq.(1), can be rewritten in the component form

$$E_{zm}(a) = -Z_{TM}H_{\varphi m}(a), \quad E_{\varphi m}(a) = Z_{TE}H_{zm}(a). \tag{5}$$

We are interested in beam impedances as defined in [11, 13]. For round pipe the beam impedance can be presented as expansion in azimuthall modes

$$Z_{\parallel}(r_0, \varphi_0, r, \varphi, k, \gamma) = \sum_{m=0}^{\infty} Z_m(k, \gamma) I_m\left(\frac{kr_0}{\gamma\beta}\right) I_m\left(\frac{kr}{\gamma\beta}\right) \cos(m(\varphi - \varphi_0)) + Z_{sc}^{\infty}(r_0, \varphi_0, r, \varphi, k, \gamma),$$
(6)

$$Z_{sc}^{\infty}(r_0, \varphi_0, r, \varphi, k, \gamma) = -\frac{kZ_0}{2\pi(\gamma^2 - 1)} K_0 \left( \frac{k\sqrt{r_0^2 + r^2 - 2r_0 r cos(\varphi - \varphi_0)}}{\gamma \beta} \right), \tag{7}$$

where  $I_m$ ,  $K_0$  are modified Bessel functions of complex argument,  $\gamma$  is the relative relativistic energy and we have written explicitly the space charge contribution  $Z_{sc}^{\infty}$ . Function  $Z_m(k,\gamma)$  is the modal impedance to be found.

From system of first-order equations, Eq.(4), we obtain the decoupled second-order equations for the longizudinal field components

$$\frac{1}{r}\frac{\partial}{\partial r}r\frac{\partial}{\partial r}E_{zm} - \left(\frac{m^2}{r^2} + \nu^2\right)E_{zm} = \frac{ik}{\gamma^2\beta\epsilon_0}\rho_m\delta(r - r_0),\tag{8}$$

$$\frac{1}{r}\frac{\partial}{\partial r}r\frac{\partial}{\partial r}H_{zm} - \left(\frac{m^2}{r^2} + \nu^2\right)H_{zm} = 0, \quad \nu = \frac{k}{\gamma\beta}.$$
 (9)

A general solution of homogeneous hyperbolic Eq. (8) and Eq. (9) in charge free regions can be written in form

$$E_{zm}(r) = C_I^m I_m(\nu r) + C_K^m K_m(\nu r), \quad H_{zm}(r) = D_I^m I_m(\nu r) + D_K^m K_m(\nu r), \tag{10}$$

where  $I_m$ ,  $K_m$  are modified Bessel functions of complex argument and  $C_I^m$ ,  $C_K^m$ ,  $D_I^m$ ,  $D_K^m$  are unknown constants to be found.

In the following we numerate the electric field  $E_{zm}(r)$  by index "0" for  $r < r_0$  and by index "1" for  $r > r_0$ . The magnetic field  $H_{zm}(r)$  has the same representation in the whole domain. In order to avoid the divergence of the solution we need to put  $C_K^{m,0} = 0$ ,  $D_K^m = 0$ . At the position of the beam  $r_0$  the longitudinal component of the electric field  $E_z$  is continuous and we can write

$$C_I^{m,0} = C_I^{m1} + C_K^{m,1} \frac{K_m(\nu r)}{I_m(\nu r)}. (11)$$

If we multiply Eq.(8) by r, integrate it from  $r_0 - \Delta$  to  $r_0 - \Delta$  and take limit for  $\Delta \to 0$  then we obtain the jump condition of the derivative:

$$\frac{\partial}{\partial r} E_{zm}^{1}(r_0) - \frac{\partial}{\partial r} E_{zm}^{0}(r_0) = \frac{ik}{\gamma^2 \beta \epsilon_0} \rho_m. \tag{12}$$

It follows from Eq. (10) and Eq. (12) that

$$C_I^{m,1} \frac{d}{dr} I_m(\nu r_0) + C_K^{m,1} \frac{d}{dr} K_m(\nu r_0) - C_I^{m,0} \frac{d}{dr} I_m(\nu r_0) = \frac{ik}{\gamma^2 \beta \epsilon_0} \rho_m$$
 (13)

As a next step we put Eq.(11) into Eq.(13) and use the relation  $I_m(x)\frac{d}{dx}K_m(x)-K_m(x)\frac{d}{dx}I_m(x)=$  $-\frac{1}{x}$ . We obtain

$$C_K^{m,1} = -\frac{ikr_0}{\gamma^2 \beta \epsilon_0} \rho_m I_m(\nu r_0). \tag{14}$$

It is direct consequence of Eq.(6) that the impedance term can be found as

$$Z_m(k,\gamma) = \frac{E_{zm}^1(r_0) - qZ_{sc,m}^m}{qI_m(\nu r_0)^2} = \frac{C_I^{m,1}}{qI_m(\nu r_0)}.$$
 (15)

where we have used the the expansion of the space charge impedance in azimuthall modes

$$Z_{sc}(r_0, \varphi_0, r, \varphi, k, \gamma) = \sum_{m=0}^{\infty} Z_{sc,m}(r_0, r, k, \gamma) \cos(m(\varphi - \varphi_0)), \tag{16}$$

$$Z_{sc,m}(r_0, r, k, \gamma) = \frac{ikZ_0}{\pi(\gamma^2 - 1)(1 + \delta_{m0})} K_m(\nu r) I_m(\nu r_0) = \frac{C_K^{m,1}}{q} K_m(\nu r), \quad r \ge r_0.$$
 (17)

In order to find the constants  $C_I^{m1}$ ,  $D_I^m$  in Eq. (10) we use the impedance boundary condition, Eq. (5), with the azimuthall field components defined through the longitudinal ones as

$$H_{\varphi m}^{1} = \frac{ik}{\nu^{2}} \left( \frac{1}{Z_{0}} \frac{\partial}{\partial r} E_{zm}^{1} + \frac{m}{\beta r} H_{zm} \right), \tag{18}$$

$$E_{\varphi m}^{1} = -\frac{ik}{\nu^{2}} \left( Z_{0} \frac{\partial}{\partial r} H_{zm} + \frac{m}{\beta r} E_{zm}^{1} \right). \tag{19}$$

From straightforward symbolic calculations [15] we derive that the modal impedance in Eq.(6) can be written as

$$Z_m(k,\gamma) = \frac{\theta Z_{\text{TM}} N}{\pi a I_m(x) (1 + \delta_{m0}) D} + Z_{pipe,m}(k,\gamma), \quad \theta = \frac{x}{ak}, \quad x = \frac{ka}{\gamma \beta}, \tag{20}$$

$$N = I_m(x) \left( \frac{\theta Z_{\text{TE}}}{Z_0} - \frac{im}{x} \right) + iI_{m-1}(x), \tag{21}$$

$$D = I_m(x)^2 \left( \frac{m^2 Z_{\text{TM}}}{\beta^2 x^2 Z_0} + \left( \frac{\theta Z_{\text{TE}}}{Z_0} - \frac{im}{x} \right) \left( \theta - \frac{im Z_{\text{TM}}}{x Z_0} \right) \right)$$

+ 
$$I_m(x)I_{m-1}(x)\left(\frac{2mZ_{\text{TM}}}{xZ_0} + \theta\left(\frac{Z_{\text{TE}}Z_{\text{TM}}}{Z_0^2} + 1\right)\right) - I_{m-1}(x)^2\frac{Z_{\text{TM}}}{Z_0},$$
 (22)

$$Z_{pipe,m}(k,\gamma) = \frac{ix^2 Z_0 K_m(x)}{a^2 k \pi I_m(x) (1 + \delta_{m0})}.$$
 (23)

Here  $Z_{pipe,m}(k,\gamma)$  is the modal impedance of non-relativistic charge in perfectly conducting round pipe.

In relativistic limit,  $\gamma \to \infty$ , one obtains

$$Z_{\parallel}(r_0, \varphi_0, r, \varphi, k, \gamma) = \sum_{m=0}^{\infty} Z_m(k) r_0^m r^m \cos(m(\varphi - \varphi_0)), \tag{24}$$

$$Z_0(k) = \frac{Z_{\text{TM}}}{2a\pi \left(1 + \frac{iak}{2} \frac{Z_{\text{TM}}}{Z_0}\right)},\tag{25}$$

$$Z_m(k) = \frac{Z_{\text{TM}}}{a^{2m+1}\pi \left(1 + \frac{Z_{\text{TE}}Z_{\text{TM}}}{Z_0^2} + \left(\frac{m}{ika} + \frac{iak}{(m+1)}\right)\frac{Z_{\text{TM}}}{Z_0}\right)}, \quad m > 0.$$
 (26)

If surface impedance matrix  $Z^s$  is full then the component form of the boundary condition, Eq. (1), reads

$$\begin{pmatrix} -E_z \\ E_{\varphi} \end{pmatrix} = \begin{pmatrix} Z_{TM} & Z_{12} \\ Z_{21} & Z_{TE} \end{pmatrix} \begin{pmatrix} H_{\varphi} \\ H_z \end{pmatrix}, \tag{27}$$

and in relativistic limit,  $\gamma \to \infty$ , we obtain the relation

$$Z_{m}(k) = \frac{Z_{\text{TM}}}{a^{2m+1}\pi \left(1 + \frac{Z_{21} - Z_{12}}{Z_{0}} + \frac{Z_{\text{TE}}Z_{\text{TM}} - Z_{21}Z_{12}}{Z_{0}^{2}} + \left(\frac{m}{ika} + \frac{iak}{(m+1)}\right)\frac{Z_{\text{TM}}}{Z_{0}}\right)}, \quad m > 0.$$
 (28)

For monopole mode, m = 0, Eq. (25) holds.

#### B. Rectangular beam pipe with surface impedance at two opposite sidewalls

In rectangular case we choose a coordinate system with y in the vertical and x in the horizontal directions as it is shown in Fig. 1. The z coordinate is directed along the beam direction. The structures considered in this paper have constant width 2w in x-direction between two perfectly conducting side walls. In the following we consider only the case where the rectangular structure is symmetric in the y-direction (up-bottom symmetry).

The charge density can be expanded in Fourier series along x-coordinate

$$\rho(x, y, z, k) = \frac{e^{-ikz/\beta}}{w} \sum_{m=1}^{\infty} \rho_m \delta(y - y_0) \sin(k_{xm} x_0) \sin(k_{xm} x), \quad \rho_m = \frac{q}{v}, \quad k_{xm} = \frac{\pi m}{2w}, \quad (29)$$

where  $x_0, y_0$  are coordinates of the point charge. Again it follows from the linearity of Maxwell's equations that the components of electromagnetic field can be represented by infinite sums:

$$\begin{pmatrix} H_x(x,y,z,k) \\ E_y(x,y,z,k) \\ E_z(x,y,z,k) \end{pmatrix} = \frac{e^{-ikz/\beta}}{w} \sum_{m=1}^{\infty} \begin{pmatrix} H_{xm}(y,k) \\ E_{ym}(y,k) \\ E_{zm}(y,k) \end{pmatrix} \sin(k_{xm}x),$$

$$\begin{pmatrix} E_x(x,y,z,k) \\ H_y(x,y,z,k) \\ H_z(x,y,z,k) \end{pmatrix} = \frac{e^{-ikz/\beta}}{w} \sum_{m=1}^{\infty} \begin{pmatrix} E_{xm}(y,k) \\ H_{ym}(y,k) \\ H_{zm}(y,k) \end{pmatrix} \cos(k_{xm}x).$$

For each modal number  $k_{xm}$  we write an independent system of equations

$$-k_{xm}H_{zm} + i\frac{k}{\beta}H_{xm} = i\omega\epsilon_{0}E_{ym},$$

$$-i\frac{k}{\beta}H_{ym} - \frac{\partial}{\partial y}H_{zm} = i\omega\epsilon_{0}E_{xm},$$

$$\frac{\partial}{\partial y}H_{xm} + k_{xm}H_{ym} = i\omega\epsilon_{0}E_{zm} + v\rho_{m}\delta(y - y_{0}),$$

$$k_{xm}E_{zm} + i\frac{k}{\beta}E_{xm} = -i\omega\mu_{0}H_{ym},$$

$$-i\frac{k}{\beta}E_{ym} - \frac{\partial}{\partial y}E_{zm} = -i\omega\mu_{0}H_{xm},$$

$$\frac{\partial}{\partial y}(E_{xm}) - k_{xm}E_{ym} = -i\omega\mu_{0}H_{zm},$$

$$\frac{\partial}{\partial y}H_{ym} + k_{xm}H_{xm} - ikH_{zm} = 0,$$

$$\frac{\partial}{\partial y}E_{ym} - k_{xm}E_{xm} - ikE_{zm} = \frac{\rho_{m}}{\epsilon_{0}}.$$
(30)

The surface impedance boundary condition, Eq. (1), takes the following form

$$E_{zm}(a) = Z_{TM}H_{xm}(a), \quad E_{xm}(a) = -Z_{TE}H_{zm}(a).$$
 (31)

It is well known that for a rectangular pipe the beam impedance can be written as expansion in the modal number  $k_{xm}$  [18]

$$Z_{\parallel}(x_0, y_0, x, y, k) = \frac{1}{w} \sum_{m=1}^{\infty} Z_m(y_0, y, k, \gamma) \sin(k_{xm} x_0) \sin(k_{xm} x) + Z_{sc}(x_0, y_0, x, y, k, \gamma), \quad (32)$$

$$Z_{sc}(x_0, y_0, x, y, k, \gamma) = \frac{1}{w} \sum_{m=1}^{\infty} Z_{sc,m}(y_0, y, k, \gamma) \sin(k_{xm}, x_0) \sin(k_{xm}x),$$
(33)

$$Z_{sc,m}(y_0, y, k, \gamma) = -\frac{ikZ_0}{2(\gamma^2 - 1)k_{ym}} e^{-k_{ym}|y_0 - y|}, \quad k_{ym} = \sqrt{k_{xm}^2 + \nu^2}.$$
 (34)

where the modal impedance reads

$$Z_m(y_0, y, k, \gamma) = Z_m^{cc}(k, \gamma) \cosh(k_{ym}y_0) \cosh(k_{ym}y) + Z_m^{ss}(k, \gamma) \sinh(k_{ym}y_0) \sinh(k_{ym}y). \quad (35)$$

Here  $Z_{sc}(x_0, y_0, x, y, k, \gamma)$  is the impedance between the two vertical perfectly conducting plates at x = 0 and at x = 2w. In the limit when the coordinates of the perfectly conducting plates go to  $\pm \infty$  it reduces to  $Z_{sc}^{\infty}$ , Eq. (73).

From system of first-order equations, Eq.(30), we obtain the decoupled second-order ones

$$\frac{\partial^2}{\partial y^2} E_{zm} - k_{y,m}^2 E_{zm} = \frac{iq\delta(y - y_0)\nu^2}{\omega\epsilon_0},\tag{36}$$

$$\frac{\partial^2}{\partial y^2} H_{zm} - k_{y,m}^2 H_{zm} = 0. ag{37}$$

The longitudinal field components can be presented as sums of complex exponents

$$E_{zm}(r) = C_{+}^{m} e^{k_{ym}y} + C_{-}^{m} e^{-k_{ym}y}, \qquad H_{zm}(r) = D_{+}^{m} e^{k_{ym}y} + D_{-}^{m} e^{-k_{ym}y}.$$
(38)

Just as in case of round pipe of the previous section we numerate the electric field  $E_{zm}(y)$  by index "0" for  $y < y_0$  and by index "1" for  $y > y_0$ . The magnetic field  $H_{zm}(y)$  has the same representation in the whole domain. If we integrate Eq. (36) from  $y_0 - \Delta$  to  $y_0 + \Delta$  and take limit for  $\Delta \to 0$  then we obtain the jump in the derivative:

$$\frac{\partial}{\partial y} E_{zm}^{1}(y_0) - \frac{\partial}{\partial y} E_{zm}^{0}(y_0) = \frac{ik}{\gamma^2 \beta \epsilon_0} \rho_m. \tag{39}$$

The term  $Z_m^{cc}(k,\gamma)$  in Eq.(35) can be found from the solution of the problem in the half of the domain with magnetic boundary condition at the symmetry plane. From the condition  $H_{zm}(0) = 0$ ,  $H_{xm}(0) = 0$  we obtain  $D_-^m = -D_+^m$ ,  $C_-^{m,0} = C_+^{m,0}$ .

At the position of the beam  $y_0$  the longitudinal component  $E_z$  is continuous and we can write

$$2C_{+}^{m,0}\cosh(k_{ym}y_0) = C_{+}^{m,1}e^{k_{ym}y_0} + C_{-}^{m,1}e^{-k_{ym}y_0}.$$
(40)

The derivative of the field  $E_z$  has jump, Eq. (39), and from Eq. (38) we obtain

$$C_{+}^{m,1}e^{k_{ym}y_0} - C_{-}^{m,1}e^{-k_{ym}y_0} - 2C_{+}^{m,0}\sinh(k_{ym}y_0) = \frac{ik}{k_{ym}\gamma^2\beta\epsilon_0}\rho_m.$$
(41)

Combining Eq.(40) and Eq.(41) we can derive the relation

$$C_{+}^{m,1} - C_{-}^{m,1} = \frac{ik}{k_{ym}\gamma^{2}\beta\epsilon_{0}}\rho_{m}\cosh(k_{ym}y_{0}). \tag{42}$$

Hence the impedance term  $Z_m^{cc}(k,\gamma)$  can be found as

$$Z_m^{cc}(k,\gamma) = \frac{E_{z,m}^1(y_0) - qZ_{sc,m}^{cc}(y_0)}{q\cosh(k_{um}r_0)^2} = \frac{2C_I^{m,1}}{q\cosh(k_{um}r_0)},$$
(43)

where

$$Z_{sc,m}^{cc}(y_0) = (Z_{sc,m}(y_0, y_0, k, \gamma) + Z_{sc,m}(-y_0, y_0, k, \gamma))/2.$$
(44)

In order to find the constants  $D_+^m$ ,  $C_+^{m,0}$ ,  $C_+^{m,1}$ ,  $C_-^{m,1}$ , we use Eq. (40), Eq. (42) and the impedance boundary condition, Eq.(31), where the transversal field components are defined through the longitudinal ones as

$$H_{xm}^{1} = -\frac{ik}{\nu^{2}} \left( \frac{1}{Z_{0}} \frac{\partial}{\partial y} E_{zm}^{1} + \frac{k_{xm}}{\beta} H_{zm} \right), \tag{45}$$

$$E_{xm}^{1} = \frac{ik}{\nu^{2}} \left( Z_{0} \frac{\partial}{\partial y} H_{zm} + \frac{k_{xm}}{\beta} E_{zm}^{1} \right). \tag{46}$$

From straightforward symbolic calculations [15] we find out that the impedance term  $Z_m^{cc}(k,\gamma)$  can be written as

$$Z_m^{cc}(k,\gamma) = \frac{Z_{\text{TM}}N}{D} + Z_{pipe,m}^{cc}(k,\gamma), \tag{47}$$

$$N = k_{ym} - \frac{ikZ_{\text{TE}}\tanh\left(ak_{ym}\right)}{\beta^2 \gamma^2 Z_0},\tag{48}$$

$$D = \frac{2Z_{\text{TE}}Z_{\text{TM}}k_{ym}\sinh^{2}(ak_{ym})}{Z_{0}^{2}} + 2k_{ym}\cosh^{2}(ak_{ym})$$

$$-i\sinh\left(2ak_{ym}\right)\left(\frac{\gamma^2 Z_{\text{TM}}\left(k_{xm}^2 - \beta^2 k_{ym}^2\right)}{kZ_0} + \frac{kZ_{\text{TE}}}{\beta^2 \gamma^2 Z_0}\right),\tag{49}$$

$$Z_{pipe,m}^{cc}(k,\gamma) = \frac{ikZ_0 \left(\tanh\left(ak_{ym}\right) - 1\right)}{2(\gamma^2 - 1)k_{ym}}.$$
(50)

Here  $Z_{pipe,m}^{cc}(k,\gamma)$  is the modal impedance of non-relativistic charge in perfectly conducting rectangle with the magnetic boundary condition at the symmetry plane, y=0.

In the same way the item  $Z_m^{ss}(k,\gamma)$  can be found from the solution of the problem in the half of the domain with electric boundary condition at the symmetry plane. From the equations  $E_{zm}(0) = 0$ ,  $E_{xm}(0) = 0$  we obtain  $D_-^m = D_+^m$ ,  $C_-^{m,0} = -C_+^{m,0}$ .

At the position of the beam  $y_0$  the longitudinal component  $E_z$  is continuous and we can write

$$2C_{+}^{m,0}\sinh(k_{ym}y_0) = C_{+}^{m,1}e^{k_{ym}y_0} + C_{-}^{m,1}e^{-k_{ym}y_0}.$$
 (51)

From the jump of the derivative of  $E_z$  at  $y_0$  we obtain

$$C_{+}^{m,1} + C_{-}^{m,1} = \frac{ik}{k_{ym}\gamma^{2}\beta\epsilon_{0}}\rho_{m}\sinh(k_{ym}y_{0}).$$
 (52)

Hence the impedance term  $Z_m^{ss}(k,\gamma)$  can be found as

$$Z_m^{ss}(k,\gamma) = \frac{E_{zm}^1(r_0) - qZ_{sc,m}^{ss}}{q\sinh(k_{um}r_0)^2} = \frac{2C_I^{m,1}}{q\sinh(k_{um}r_0)},$$
(53)

where

$$Z_{sc,m}^{ss} = (Z_{sc,m}(y_0, y_0, k, \gamma) - Z_{sc,m}(-y_0, y_0, k, \gamma))/2.$$
(54)

Following the same route of derivation as for  $Z_m^{cc}(k,\gamma)$  we obtain the impedance term

 $Z_m^{ss}(k,\gamma)$ 

$$Z_m^{ss}(k,\gamma) = \frac{Z_{\text{TM}}N}{D} + Z_{pipe,m}^{cc}(k,\gamma), \tag{55}$$

$$N = k_{ym} - \frac{ikZ_{\text{TE}}\coth\left(ak_{ym}\right)}{\beta^2 \gamma^2 Z_0},\tag{56}$$

$$D = \frac{2Z_{\text{TE}}Z_{\text{TM}}k_{ym}\cosh^{2}\left(ak_{ym}\right)}{Z_{0}^{2}} + 2k_{ym}\sinh^{2}\left(ak_{ym}\right)$$

$$-i\sinh\left(2ak_{ym}\right)\left(\frac{\gamma^2 Z_{\text{TM}}\left(k_{xm}^2 - \beta^2 k_{ym}^2\right)}{kZ_0} + \frac{kZ_{\text{TE}}}{\beta^2 \gamma^2 Z_0}\right),\tag{57}$$

$$Z_{pipe,m}^{ss}(k,\gamma) = -\frac{ikZ_0\left(\coth\left(ak_{ym}\right) - 1\right)}{2\beta^2\gamma^2k_{ym}}.$$
 (58)

Here  $Z_{pipe,m}^{ss}(k,\gamma)$  is the modal impedance of non-relativistic charge in perfectly conducting rectangle with the electric boundary condition at the symmetry plane, y=0.

In the relativistic limit,  $\gamma \to \infty$ , the equations reduce to the following expressions

$$Z_m(y_0, y, k) = Z_m^{cc}(k)\cosh(k_{xm}y_0)\cosh(k_{xm}y) + Z_m^{ss}(k)\sinh(k_{xm}y_0)\sinh(k_{xm}y),$$
 (59)

$$Z_{m}^{cc}(k) = \frac{Z_{\text{TM}}}{2\cosh^{2}(ak_{xm}) + i\frac{Z_{\text{TM}}}{Z_{0}}\left(\frac{k}{k_{xm}} - \frac{k_{xm}}{k}\right)\sinh\left(2ak_{xm}\right) + 2\frac{Z_{\text{TE}}Z_{\text{TM}}}{Z_{0}^{2}}\sinh^{2}(ak_{xm})}$$
(60)

$$Z_m^{ss}(k) = \frac{Z_{\text{TM}}}{2\sinh^2(ak_{xm}) + i\frac{Z_{\text{TM}}}{Z_0} \left(\frac{k}{k_{xm}} - \frac{k_{xm}}{k}\right) \sinh(2ak_{xm}) + 2\frac{Z_{\text{TE}}Z_{\text{TM}}}{Z_0^2} \cosh^2(ak_{xm})}.$$
 (61)

# C. One plate with surface impedance placed between two perfectly conducting sidewalls

Let us consider the case when there is only one plate with the impedance boundary condition placed at y = 0 as shown in Fig. 1. The charge is at the position  $y_0 < 0$ .

The beam impedance has the same form given by Eq.(32) but the modal impedance has different representation

$$Z_m(y_0, y, k, \gamma) = Z_m(k, \gamma)e^{ky_m(y_0 + y)}.$$
(62)

The electric and magnetic longitudinal fields components are presented by the same form, Eq. (38), as for the rectangular pipe. To avoid divergence of the field at  $y = -\infty$  we have to put  $C_{-}^{m,0} = 0$ ,  $D_{-}^{m} = 0$ . Again the  $H_{z}^{m}(y)$  component has the same representation in the whole domain and we are looking only for the constants  $C_{+}^{m,0}$ ,  $C_{+}^{m,1}$ ,  $C_{-}^{m,1}$ ,  $D_{+}^{m}$ . From four

equations

$$E_{zm}^{0}(0) = -Z_{TM}H_{x,m}^{0}(0), \quad E_{xm}^{0}(0) = Z_{TE}H_{zm}^{0}(0), \tag{63}$$

$$E_{zm}^{0}(y_0) = E_{y_0}^{1}(y_0), \quad \frac{\partial}{\partial y} E_{zm}^{1}(y_0) - \frac{\partial}{\partial y} E_{zm}^{0}(y_0) = \frac{ik}{\gamma^2 \beta \epsilon_0} \rho_m, \tag{64}$$

we obtain the four constants straightforwardly.

The impedance term will be obtained from the relation

$$Z_m(k,\gamma) = \frac{E_{zm}^1(y_0) - qZ_{sc,m}}{qe^{2k_{ym}y_0}} = \frac{C_+^{m,1}}{qe^{k_{ym}y_0}}.$$
 (65)

Hence the modal beam impedance of  $\Pi$ -shaped waveguide reads

$$Z_m(k,\gamma) = \frac{Z_{\text{TM}}N}{D} + Z_{pipe,m}(k,\gamma), \tag{66}$$

$$N = k_{ym} - \frac{ikZ_{\text{TE}}}{\beta^2 \gamma^2 Z_0},\tag{67}$$

$$D = -i\left(\frac{\gamma^2 Z_{\text{TM}} k_{xm}^2}{k Z_0} + \frac{k Z_{\text{TE}}}{\beta^2 \gamma^2 Z_0}\right) + \left(\frac{Z_{\text{TE}} Z_{\text{TM}}}{Z_0^2} + 1\right) k_{ym} + \frac{i\beta^2 \gamma^2 Z_{\text{TM}} k_{ym}^2}{k Z_0},\tag{68}$$

$$Z_{pipe,m}(k,\gamma) = \frac{ikZ_0}{2\beta^2 \gamma^2 k_{ym}}. (69)$$

Here  $Z_{pipe,m}(k,\gamma)$  is the modal impedance of non-relativistic charge in perfectly conducting  $\Pi$ -shaped structure.

In the relativistic limit,  $\gamma \to \infty$ , the previous equations reduce to the following expressions

$$Z_m(y_0, y, k) = Z_m(k)e^{k_{xm}(y_0+y)}$$
(70)

$$Z_m(k) = \frac{Z_{\text{TM}}}{1 + \frac{Z_{\text{TE}}Z_{\text{TM}}}{Z_0^2} + i\frac{Z_{\text{TM}}}{Z_0} \left(\frac{k}{k_{xm}} - \frac{k_{xm}}{k}\right)}.$$
 (71)

#### D. Infinite and semi-infinite plates

In the case of infinite plates,  $-\infty < x < \infty$ , the impedance reads

$$Z_{\parallel}(x_0, y_0, x, y, k) = \frac{1}{\pi} \int_{0}^{\infty} Z(y_0, y, k, k_x, \gamma) \cos(k_x(x_0 - x)) dk_x + Z_{sc}^{\infty}(x_0, y_0, x, y, k, \gamma)$$
(72)

$$Z_{sc}^{\infty}(x_0, y_0, x, y, k, \gamma) = -\frac{kZ_0}{2\pi(\gamma^2 - 1)} K_0 \left( \frac{k\sqrt{(x - x_0)^2 + (y - y_0)^2}}{\gamma \beta} \right), \tag{73}$$

where  $Z(y_0, y, k, k_x, \gamma)$  is defined by Eq. (35) for two parallel plates or by Eq. (62) for one plate

In the case of semi-infinite plates,  $0 \le x < \infty$ , the impedance reads

$$Z_{\parallel}(x_0, y_0, x, y, k) = \frac{2}{\pi} \int_0^{\infty} Z(y_0, y, k, k_x, \gamma) \sin(k_x x_0) \sin(k_x x) dk_x + Z_{sc}^{semi}(x_0, y_0, x, y, k, \gamma),$$

$$Z_{sc}^{semi}(x_0, y_0, x, y, k, \gamma) = Z_{sc}^{\infty}(x_0, y_0, x, y, k, \gamma) - Z_{sc}^{\infty}(-x_0, y_0, x, y, k, \gamma).$$
(74)

where again  $Z(y_0, y, k, k_x, \gamma)$  is defined by Eq. (35) for two parallel plates or by Eq. (62) for one plate.

## III. MANY HOMOGENEOUS LAYERS CLOSED BY PIPE WITH SURFACE IMPEDANCE

In this section we describe modification of the field matching method published in [13, 14] to include an impedance boundary condition. Additionally we consider the case of  $\Pi$ -shaped structure which was not analyzed before.

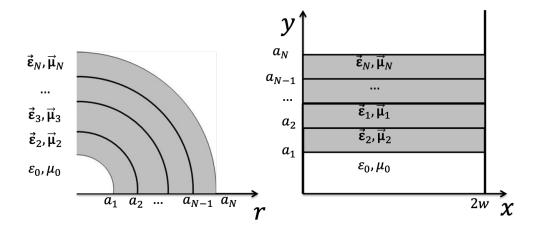


FIG. 2. Transverse to z-axis cross-sections of "round" and "rectangular" layered pipes.

Let us consider a round pipe sketched in Fig. 2 with many layers possessing the uni-axial anisotropy. It means that the permittivity and the permeability tensors are diagonal and for their elements the following relations hold

$$\epsilon_r(r) = \epsilon_{\varphi}(r), \qquad \mu_r(r) = \mu_{\varphi}(r).$$

We do not have to assume any particular frequency dependence. In order to include conductivity and other losses in numerical code we use the following expressions (here we consider

as example the r-component):

$$\epsilon_r(r,k) = \epsilon'_r(r)(1+i\delta_r^{\epsilon}(r)) + i\frac{\kappa_r(r)}{\omega(1+i\omega\tau_r(r))}, \quad \mu_r(r,k) = \mu'_r(r)(1+i\delta_r^{\mu}(r)), \quad \omega = kc,$$

where  $\epsilon'_r$  is the real part of the complex permittivity,  $\mu'_r$  is the real part of the complex permeability, and the loss can be introduced with the help of dielectric loss tangent  $\delta^{\epsilon}_r$ , magnetic loss tangent  $\delta^{\mu}_r$  or/and with AC conductivity following the Drude model [1], where  $\kappa_r$  is the DC conductivity of the material and  $\tau_r$  its relaxation time. We use similar expressions for z- components of the permittivity and the permeability tensors.

Inside of each layer where the complex permeability and permittivity are constants (independent from r) a general solution can be written in form similar to Eq. (10)

$$E_{zm}(r) = C_I^m I_m(\nu_r^{\epsilon} r) + C_K^m K_m(\nu_r^{\epsilon} r), \quad H_{zm}(r) = D_I^m I_m(\nu_r^{\mu} r) + D_K^m K_m(\nu_r^{\mu} r),$$

$$\nu_r^{\epsilon} = \nu_r \sqrt{\epsilon_z/\epsilon_r}, \quad \nu_r^{\mu} = \nu_r \sqrt{\mu_z/\mu_r}, \quad \nu_r^2 = k^2 \beta^{-2} - \omega^2 \epsilon_r \mu_r,$$
(75)

where  $I_m, K_m$  are modified Bessel functions of complex argument.

In the following we will numerate the layers by index j and  $r = a_j$  defines interface between the layers with numbers j and j+1. In order to find the constants  $C_I^{m,j}$ ,  $C_K^{m,j}$ ,  $D_I^{m,j}$ ,  $D_K^{m,j}$  in Eq. (75) we use 4 conditions at the interfaces between the layers:

$$E_{zm}^{j}(a_{j}) = E_{zm}^{j+1}(a_{j}), \qquad H_{zm}^{j}(a_{j}) = H_{zm}^{j+1}(a_{j}),$$

$$\epsilon_{r}^{j}E_{rm}^{j}(a_{j}) = \epsilon_{r}^{j+1}E_{rm}^{j+1}(a_{j}), \qquad \mu_{r}^{j}H_{rm}^{j}(a_{j}) = \mu_{r}^{j+1}H_{rm}^{j+1}(a_{j}), \tag{76}$$

where the radial field components are defined through the longitudinal ones as

$$E_{rm}^{j}(r) = \frac{ik}{\nu_r^2} \left( \frac{1}{\beta} \frac{\partial}{\partial r} E_{mz}^{j} + \frac{mc\mu_r}{r} H_{zm} \right),$$

$$H_{rm}^{j}(r) = \frac{ik}{\nu_r^2} \left( \frac{1}{\beta} \frac{\partial}{\partial r} H_{mz}^{j} + \frac{mc\epsilon_r}{r} E_{zm} \right).$$
(77)

From Eq. (75)-(77) at each interface  $r = a_j$  we obtain the relations

$$(C_I^{m,j+1}, C_K^{m,j+1}, D_I^{m,j+1}, D_K^{m,j+1})^T = \mathbf{M}_j (C_I^{m,j}, C_K^{m,j}, D_I^{m,j}, D_K^{m,j})^T,$$
(78)

where  $\mathbf{M}_j$  is a complex matrix of order 4. The explicit expressions for the elements of matrix  $\mathbf{M}_j$  are given in Appendix A. They can be written as a combination of modified Bessel functions and the expressions are similar to those obtained in [13] for an isotropic case.

The matrix connecting the coefficients from vacuum layer to the coefficients of the last layer can be found as a matrix product

$$\hat{\mathbf{M}} = \mathbf{M}_{N-1} \mathbf{M}_{N-2} ... \mathbf{M}_1.$$

The last layer, j = N, is closed with pipe at  $r = a_N$  described by surface impedance, Eq. (5). Hence in order to take into the account the impedance boundary condition we have to find the matrix

$$\mathbf{M} = \mathbf{M}_N^{C2F} \mathbf{\hat{M}},$$

where  $\mathbf{M}_{N}^{C2F}$  is a matrix converting the field coefficients into the field components:

$$\begin{pmatrix}
E_{z,m}(a_N) \\
H_{z,m}(a_N) \\
E_{\varphi,m}(a_N) \\
H_{\varphi,m}(a_N)
\end{pmatrix} = \mathbf{M}_N^{C2F} \begin{pmatrix}
C_I^{m,N} \\
C_K^{m,N} \\
D_I^{m,N} \\
D_K^{m,N}
\end{pmatrix}.$$
(79)

The explicit form of the elements of the matrix  $\mathbf{M}_N^{C2F}$  is given in Appendix A.

From the boundary condition at the axis we obtain  $D_K^{m,1} = 0$ . The coefficient  $C_K^{m,1}$  is known and given by Eq. (14). Hence we are looking for the solution of the following simple system

$$\begin{pmatrix} M_{11} & M_{13} & 0 & Z_{TM} \\ M_{21} & M_{23} & -1 & 0 \\ M_{31} & M_{33} & -Z_{TE} & 0 \\ M_{41} & M_{43} & 0 & -1 \end{pmatrix} \begin{pmatrix} C_I^{m,1}/C_K^{m,1} \\ D_I^{m,1}/C_K^{m,1} \\ H_{z,m}^N/C_K^{m,1} \\ H_{\varphi,m}^N/C_K^{m,1} \end{pmatrix} = \begin{pmatrix} -M_{12} \\ -M_{22} \\ -M_{32} \\ -M_{42} \end{pmatrix}.$$
 (80)

After numerically solving of Eq. (80) the modal longitudinal impedance in Eq. (6) can be found as

$$Z_m(k,\gamma) = -\frac{ikZ_0}{\delta_{m0}\pi(\gamma^2 - 1)} \frac{C_I^{m,1}}{C_K^{m,1}}.$$

For rectangular geometries sketched in Fig. 2 we follow the same approach and the same suggestion of uniaxial anisotropy (transverse permeability and permittivity are different from the longitudinal ones). The field in the homogeneous uniaxially anisotropic layer can be presented as sum of complex exponents

$$E_{zm}(r) = C_{+}^{m} e^{k_{ym}^{\epsilon} y} + C_{-}^{m} e^{-k_{ym}^{\epsilon} y}, \qquad H_{zm}(r) = D_{+}^{m} e^{k_{ym}^{\mu} y} + D_{-}^{m} e^{-k_{ym}^{\mu} y},$$

$$k_{ym}^{\epsilon} = \sqrt{k_{xm}^{2} + \nu_{y}^{2} \frac{\epsilon_{z}}{\epsilon_{y}}}, \quad k_{ym}^{\mu} = \sqrt{k_{xm}^{2} + \nu_{y}^{2} \frac{\mu_{z}}{\mu_{y}}}, \quad \nu_{y}^{2} = k^{2} \beta^{-2} - \omega^{2} \epsilon_{y}^{2} \mu_{y}^{2}.$$
(81)

In the following we consider only the case where the rectangular structure is symmetric in the y-direction (up-bottom symmetry). In this case Eq. (35) for the modal impedance  $Z_m(y_0, y, k, \gamma)$  holds. The item  $Z_m^{cc}(k, \gamma)$  can be found from the solution of the problem in the half of the domain with magnetic boundary condition at the symmetry plane  $H_{z,m}(0) = 0$ . Hence we are looking for the solution of the following system

$$\begin{pmatrix} M_{11} + M_{12} & M_{13} - M_{14} & 0 & -Z_{TM} \\ M_{21} + M_{22} & M_{23} - M_{24} & -1 & 0 \\ M_{31} + M_{32} & M_{33} - M_{34} & Z_{TE} & 0 \\ M_{41} + M_{42} & M_{43} - M_{44} & 0 & -1 \end{pmatrix} \begin{pmatrix} C_{+}^{m,0} / (C_{-}^{m,1} - C_{+}^{m,1}) \\ D_{+}^{m,0} / (C_{-}^{m,1} - C_{+}^{m,1}) \\ H_{z,m}^{N} / (C_{-}^{m,1} - C_{+}^{m,1}) \end{pmatrix} = \begin{pmatrix} -M_{12} \\ -M_{22} \\ -M_{32} \\ -M_{42} \end{pmatrix},$$
(82)

where elements of the matrix are described in Appendix B.

After numerical solution of Eq. (82) the item  $Z_m^{cc}(k,\gamma)$  can be found as

$$Z_m^{cc}(k,\gamma) = -\frac{2ikZ_0}{(\gamma^2 - 1)k_{y,m}^0} \frac{C_+^{m,1}}{(C_-^{m,1} - C_+^{m,1})}, \qquad k_{y,m}^0 = \sqrt{k_{x,m}^2 + \frac{k^2}{\gamma^2 \beta^2}}.$$

The item  $Z_m^{ss}(k,\gamma)$  can be found from the solution of another problem in the half of the domain with electric boundary condition at the symmetry plane  $E_{z,m}(0) = 0$ . We are looking for the solution of the following system

$$\begin{pmatrix} M_{11} - M_{12} & M_{13} + M_{14} & 0 & -Z_{TM} \\ M_{21} - M_{22} & M_{23} + M_{24} & -1 & 0 \\ M_{31} - M_{32} & M_{33} + M_{34} & Z_{TE} & 0 \\ M_{41} - M_{42} & M_{43} + M_{44} & 0 & -1 \end{pmatrix} \begin{pmatrix} C_{+}^{m,0}/(C_{-}^{m,1} + C_{+}^{m,1}) \\ D_{+}^{m,0}/(C_{-}^{m,1} + C_{+}^{m,1}) \\ H_{z,m}^{m,N}/(C_{-}^{m,1} + C_{+}^{m,1}) \end{pmatrix} = \begin{pmatrix} -M_{12} \\ -M_{22} \\ -M_{32} \\ -M_{42} \end{pmatrix}.$$
(83)

After numerical solution of Eq.(83) the item  $Z_m^{ss}(k,\gamma)$  can be found as

$$Z_m^{ss}(k,\gamma) = -\frac{2ikZ_0}{(\gamma^2 - 1)k_{y,m}^0} \frac{C_+^{m,1}}{(C_-^{m,1} + C_+^{m,1})}.$$

Finally let us consider only one plate with the same structure of layers as shown in Fig. 2. We use Eq. (62) for the modal impedance  $Z_m(y_0, y, k, \gamma)$  and Eq. (81) for the longitudinal field components. In order to avoid the divergence of the fields at  $y = -\infty$  we have to put  $D_-^{m,1} = 0$ . Hence we are looking for the solution of the following system

$$\begin{pmatrix}
M_{11} & M_{13} & 0 & -Z_{TM} \\
M_{21} & M_{23} & -1 & 0 \\
M_{31} & M_{33} & Z_{TE} & 0 \\
M_{41} & M_{43} & 0 & -1
\end{pmatrix}
\begin{pmatrix}
C_{+}^{m,1}/C_{-}^{m,1} \\
D_{+}^{m,1}/(C_{-}^{m,1}) \\
H_{z,m}^{N}/C_{-}^{m,1} \\
H_{x,m}^{N}/C_{-}^{m,1}
\end{pmatrix} = \begin{pmatrix}
-M_{12} \\
-M_{22} \\
-M_{32} \\
-M_{42}
\end{pmatrix},$$
(84)

where elements of the matrix  $\mathbf{M} = \mathbf{M}_N^{C2F} \hat{\mathbf{M}}$  are the same as for the rectangular case and described in Appendix B.

After numerical solution of Eq. (84) the item  $Z_m(k,\gamma)$  can be found as

$$Z_m(k,\gamma) = -\frac{ikZ_0}{(\gamma^2 - 1)k_{y,m}^0} \frac{C_+^{m,1}}{C_-^{m,1}}.$$
(85)

#### IV. APPLICATIONS

In this section we consider several examples of the application of the analytical techniques described in the paper to the real structures with corrugations, dielectrics and anomalous skin effects. We consider only the relativistic limit  $\gamma \to \infty$ .

#### A. Short-range wakes of corrugated structures

In this section we consider corrugated structures sketched in Fig. 2: rectangular and round corrugated waveguides.

The short-range wakefields of two corrugated plates have been analyzed in [6, 7]. The approximations for one corrugated plate are obtained in [8] in the limit  $a \to \infty$ . Let us give a more accurate formulas for the case of one infinite plate for arbitrary offsets of the source and the witness particles.

The corrugations have period p and gap t, which is smaller than the gap depth h. As

Parameter Value Units Period, p0.5mmLongitudinal gap, t 0.25mmDepth, h0.5mmNominal distance to the wall, d0.5mmAperture (diameter), 2a4 mmWidth, 2w12 mm

TABLE I. Corrugations parameters used in the calculations.

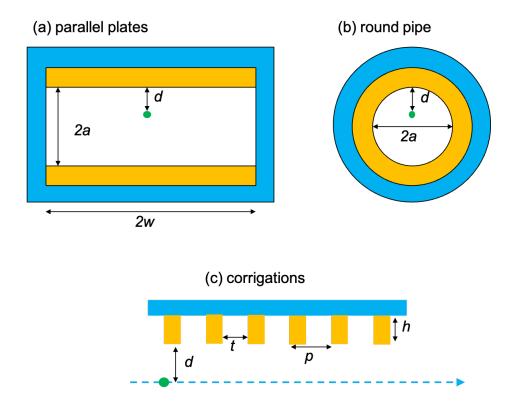


FIG. 3. Sketches of rectangular (a) and round (b) structures in front view with corrugations as yellow layers. The parameters of the corrugations are shown in side view (c). The point charge position is shown by green circle.

shown in [7, 16] the corrugations can be described by surface impedance

$$Z_{TM}(k) = Z_0 \frac{1+i}{\sqrt{ks_c}}, \quad s_c = \frac{\pi}{t} \left(\alpha(t/p)p\right)^2, \quad \alpha(x) = -0.07x - 0.465\sqrt{x} + 1.$$
 (86)

We take the surface impedance  $Z_{TE}(k) \equiv 0$ , since the surface currents in horizontal direction are not impeded by the corrugations [12]. If we additionally assume that  $k >> k_x$ , then the modal impedance, Eq. (71), can be approximated as

$$Z(k, k_x) = \frac{Z_{\text{TM}}}{1 + \frac{ik}{k_x} \frac{Z_{\text{TM}}}{Z_0}}.$$
 (87)

The modal wake function of point charge is given by the inverse Fourier transform of the impedance

$$w(s, k_x) = Z_0 c k_x e^{s/\bar{s}(k_x)} \operatorname{erfc}(\sqrt{s/\bar{s}(k_x)}), \quad \bar{s}(k_x) \frac{2}{s_c k_x^2}, \tag{88}$$

and for s small compared to  $\bar{s}(k_x)$  Eq.(88) can be approximated by exponential function [7]

$$w(s, k_x) = Z_0 c k_x e^{-k_x} \sqrt{s s_c/2}. \tag{89}$$

Integrating in  $k_x$  we obtain the longitudinal wake function for arbitrary offsets of the source and the witness particles:

$$w_{\parallel}(x_0, y_0, x, y, s) = \frac{Z_0 c}{\pi} \int_0^\infty e^{-k_x(\sqrt{0.5ss_c} - y_0 - y)} \cos(k_x(x - x_0)) dk_x = \frac{Z_0 c}{\pi} \frac{(\sqrt{0.5ss_c} - y_0 - y)^2 - (x - x_0)^2}{((\sqrt{0.5ss_c} - y_0 - y)^2 + (x - x_0)^2)^2},$$
(90)

where  $(x_0, y_0)$  are the transverse coordinates of the source particle, (x, y) are the transverse coordinates of the witness particle and s is the distance between them. For the same horizontal offset of both particles,  $x = x_0$ , the vertical component of the transverse wake function reads

$$w_y(y_0, y, s) = \frac{Z_0 c}{\pi} \frac{2s}{(-y - y_0)(\sqrt{0.5ss_c} - y_0 - y)^2},$$
(91)

and the monopole component of the transverse wake  $(y = y_0)$  can be written in the form

$$w_y(y_0, s) = \frac{Z_0 c}{\pi} \frac{s}{y_0(\sqrt{0.5ss_c} - 2y_0)^2}.$$
 (92)

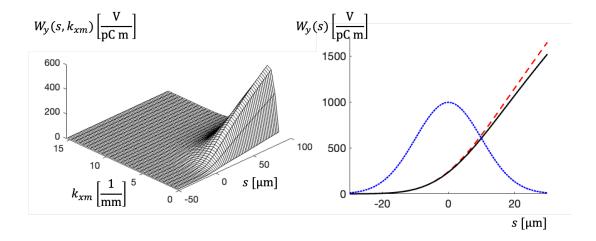


FIG. 4. Transverse wake potential of corrugated plate for the Gaussian bunch with rms length of 10  $\mu$ m moving at 0.5 mm offset from the plate. The left plot shows dependence of the modal wake potential  $W_y(s, k_{xm})$  from the modal number  $k_{xm}$  as calculated by ECHO [18]. The right plot compares the numerical result from ECHO (solid line) with the wake potential calculated from Eq.(92) (red dashed curve).

In order to confirm the accuracy of the obtained equations we consider an example of plates with the corrugation parameters from Table I. We consider a rectangular waveguide with relatively large aperture, 2a = 4 mm, and large width, 2w = 12 mm. The Gaussian bunch with longitudinal density  $\lambda(s)$  of rms length  $\sigma_z = 10\mu$ m has offset from the symmetry axis equal to 1.5 mm. It means that the short-range wake potential of such bunch is equal to the short-range wake potential of the same bunch flying with offset  $y_0 = 0.5$  mm from one infinite plate. The correctness of this assumption for the given case was confirmed in [17]. The wake potential at this offset is obtained numerical with code ECHO [18] through the modal expansion similar to Eq.(32):

$$W_y(s) = \sum_{m=1}^{40} W_y(s, k_{xm}) \sin^2(\frac{\pi m}{2}), \quad k_{xm} = \frac{\pi m}{2w}.$$
 (93)

The left plot in Fig. 4 shows dependence of the modal wake potential  $W_y(s, k_{xm})$  from the modal number  $k_{xm}$ . The maximal contribution is done by the mode with the modal number  $k_{x7} = 1.83 \text{ mm}^{-1}$ . The right plot in Fig. 4 compares the numerical result from ECHO (solid line) with the wake potential calculated from Eq.(92) and we see only small difference between the curves in the plot.

The characteristic wave number for this Gaussian beam can be estimated as  $k = 1/\sigma_z = 100 \text{ mm}^{-1}$ . The left plot confirms that at the beam offset of 0.5 mm from the plate the condition  $k_x \ll k$  holds for the modal numbers  $k_x$  which contribute to the wake potential.

The wake potential for the given wake function w(s) and a normalized charge distribution  $\lambda(s)$  was obtained by convolution

$$W_{||}(s) = \int_{0}^{\infty} w_{||}(s')\lambda(s - s')ds'. \tag{94}$$

In order to confirm accuracy of the exponential approximation, Eq.(89), we have compared in the left plot of Fig. 5 the curves from Eq.(88) (solid black line) and Eq.(89) (dashed red curve) for the mode with the modal number  $k_{x7}$ , which makes the largest contribution to the wake potential (see Eq. (93)). The right plot in Fig. 5 compares the curve from Eq. (92) (dashed red line) with the exponential approximation obtained in paper [8] (dotted blue line)

$$w_y(y_0) = \frac{Z_0 c}{2\pi y_0^3} s_m(y_0) \left( 1 - \left( \sqrt{\frac{s}{s_m(y_0)}} + 1 \right) e^{-\sqrt{\frac{s}{s_m(y_0)}}} \right), \quad s_m(y_0) = \frac{8y_0^2}{9s_c}.$$
 (95)

The solid black line presents the wake function obtained from Eq. (88) without exponential approximation. We conclude that Eq. (92) gives a better approximation of the "true" wake function in comparison with Eq. (95) published in [8].

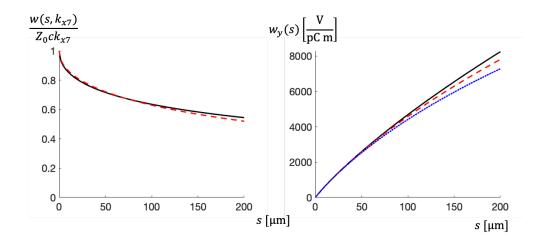


FIG. 5. The left plot compares the curves from Eq. (88) (solid black line) and Eq. (89) (dashed red curve). The right plot compares the curve from Eq. (92) (dashed red line) with the exponential approximation from [8], Eq. (95) (dotted blue line). The solid black line presents the wake function obtained from Eq. (88) without exponential approximation.

As a next example let us consider a round pipe of radius a with corrugations along axis z. Following the same arguments as in previous example we assume that  $Z_{TE}(k) \equiv 0$ . If we additionally assume that  $m \ll ka$ , then the modal impedance can be written as

$$Z_m(k) = \frac{Z_{\text{TM}}}{a^{2m+1}\pi \left(1 + \delta_{m0} + \frac{iak}{m+1} \frac{Z_{\text{TM}}}{Z_0}\right)}.$$
 (96)

The modal wake function of point charge is given by the inverse Fourier transform of the impedance

$$w_m(s) = \frac{(m+1)Z_0c}{\pi a^{2(m+1)}} e^{s/s_m} \operatorname{erfc}(\sqrt{s/s_m}), \quad s_m = \frac{2a^2}{s_c(m+1)^2(1+\delta_{m0})^2}.$$
 (97)

For s small compared to  $s_m$  Eq.(96) can be approximated by the exponential function [7]

$$w_m(s) = \frac{(m+1)Z_0c}{\pi a^{2(m+1)}} e^{-\sqrt{s/s_m}}.$$
(98)

For arbitrary offsets of the source and the witness particles the longitudinal wake function can be written as

$$w_{\parallel}(r_0, \varphi_0, r, \varphi, s) = \sum_{m=0}^{\infty} w_m(s) r_0^m r^m \cos(m(\varphi - \varphi_0)),$$

where  $(r_0, \varphi_0)$  are the transverse coordinates of the source particle,  $(r, \varphi)$  are the transverse coordinates of the witness particle and s is the distance between them.

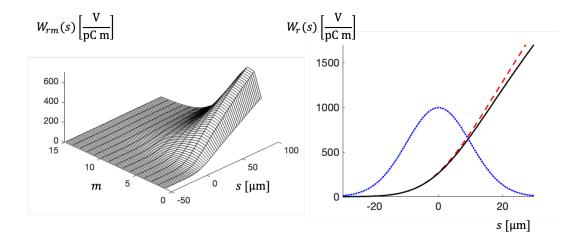


FIG. 6. Transverse wake potential of round corrugated pipe for the Gaussian bunch with rms length of 10  $\mu$ m moving at 0.5 mm offset from the wall. The left plot shows the dependence of the modal wake potential  $W_{rm}(s)$  from the modal number m as calculated by ECHO [18]. The right plot compares the numerical result from ECHO (solid line) with the wake potential calculated from Eq.(99) (red dashed curve).

With the exponential approximation, Eq. (98), the radial component of the transverse wake function reads

$$w_r(r_0, \varphi_0, r, \varphi, s) = \sum_{m=1}^{\infty} m w_{rm}(s) r_0^m r^{m-1} \cos(m(\varphi - \varphi_0)), \tag{99}$$

$$w_{rm}(s) = -\int_{-\infty}^{s} w_m(x)dx \approx -\frac{2(m+1)Z_0cs_m}{\pi a^{2(m+1)}} \left(1 - (1 + \sqrt{s/s_m})e^{-\sqrt{s/s_m}}\right).$$
 (100)

The number of the modes required in the sum increases as the beam trajectory nears the wall. In the vicinity of the wall the used approximation fails as the condition  $m \ll ka$  is violated.

In order to confirm applicability of the obtained approximation we have calculated the transverse wake potential for round pipe with the corrugation parameters listed in Table I for the Gaussian bunch  $\lambda(s)$  with rms length  $\sigma = 10\mu \text{m}$  and offset  $r_0 = 1.5 \text{ mm}$ . The wake potential at this offset is obtained numerical with code ECHO through the modal expansion similar to Eq.(24):

$$W_r(s) = \sum_{m=1}^{20} W_{rm}(s). \tag{101}$$

The left plot in Fig. 6 shows dependence of the modal wake potential  $W_{rm}(s)$  from the modal number m. The maximal contribution is done by the mode with the modal number

m=4. The right plot compares the numerical result from ECHO (solid line) with the wake potential calculated from Eq.(99) and we see only small difference between the curves.

The characteristic wave number for this Gaussian beam can be estimated as  $k = 1/\sigma_z = 100 \text{ mm}^{-1}$  and radius of the structure is a = 2 mm. The left plot confirms that at the beam offset  $r_0 = 1.5$  from the symmetry axis the condition  $m \ll ka$  holds for the modal numbers m which contribute to the wake potential.

#### B. Resistive wall wakes at cryogenic temperatures

Resistive wall wakefields generated due to finite conductivity of an accelerator vacuum chamber play an important role in beam dynamics and free electron laser physics. They are important for small apertures and short bunches used in modern undulators. For the metal surfaces at cryogenic temperatures the anomalous skin effect regime (ASE) has to be considered. The surface impedance of ASE reads [9]

$$Z_{s}(k) = -Z_{0}i\frac{kl}{F}, \quad F = -\frac{u}{\pi} \cdot \int_{0}^{\infty} \log\left(1 + \frac{\eta + \zeta \cdot \kappa(t)}{t^{2}}\right) dt,$$

$$\kappa(t) = \frac{2}{t^{3}} \left((1 + t^{2}) \arctan(t) - t\right), \quad \eta = -\left(\frac{kl}{u}\right)^{2}, \quad \zeta = i\frac{\alpha}{u^{3}},$$

$$u = 1 + ick\tau, \quad \alpha = \frac{3}{2} \left(\frac{l}{\delta}\right)^{2}, \quad \delta = \sqrt{\frac{2}{Z_{0}\sigma_{c}k}}, \quad l = \tau v_{f},$$

$$(102)$$

where  $\sigma_c$  is the metal conductivity,  $v_f$  is the Fermi velocity,  $\tau$  is the relaxation time.

Conductivity of pure metals increases several orders of magnitude when they are cooled from room temperature to cryogenic temperatures. A commonly used parameter, the residual resistivity ratio (RRR), is defined (at 4 K) as RRR=  $\sigma_c(4\text{K})/\sigma_c(293\text{K})$ . At the room temperature  $|\zeta| << 1$  and the surface impedance reduces to the simple model of AC conductivity:

$$Z_s(k) = \sqrt{ikZ_0 \frac{u}{\sigma_c}}. (103)$$

Superconducting undulators (SCU) are part of the European XFEL facility development program [19]. A total of six SCU modules are planned to be installed downstream of the SASE2 undulator line at the European XFEL. The SCU vacuum chamber will have elliptical or racetrack shape with width 2w = 10 mm and height 2a = 5 mm. In the following we estimate the longitudinal wakefields of aluminum vacuum chamber at cryogenic temperature.

The material properties of the aluminum at the room temperature are:  $\sigma_c = 3.66 \cdot 10^7 \text{ S}$ ,  $\tau = 7.1 \cdot 10^{-15} \text{ s}$ ,  $v_f = 2 \cdot 10^6 \text{ m/s}$ . At cryogenic temperature we assume that RRR=100.

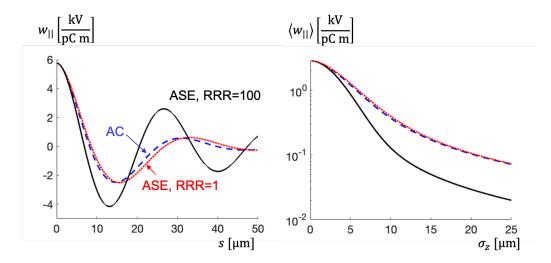


FIG. 7. The left plot shows the longitudinal wake functions of the round chamber with radius of 2.5 mm. The blue dashed curve and the red dotted curve present the wake functions at the room temperature obtained with Eq. (103) and Eq. (102), correspondingly. The solid black line presents the wake at the cryogenic temperature with RRR=100. The right plot presents dependence of the loss factor  $\langle W_{||} \rangle$  form the rms bunch length  $\sigma_z$  for the Gaussian bunch shape for the three cases presented in the left plot.

In Fig. 7 on the left we compare the longitudinal wake functions in the round chamber with radius of 2.5 mm. The blue dashed curve and the red dotted curve present the wake functions at the room temperature obtained with Eq. (103) and Eq. (102), correspondingly. The solid black line presents the wake at the cryogenic temperature with RRR=100. The wake functions of AC and ASE models at the room temperature are quite close and effect of ASE is small. All three wake functions have the same value at the origin given by  $Z_0c/(\pi a^2)$ . The wake at the cryogenic temperature drops faster but has a larger amplitude of oscillations.

The right plot in Fig. 7 presents dependence of the loss factor  $\langle W_{||} \rangle$  from the rms bunch length  $\sigma_z$  for the Gaussian bunch shape. The loss factor is defined as

$$\langle W_{||}(s)\rangle = \int_{-\infty}^{\infty} W_{||}(s')\lambda(s')ds'. \tag{104}$$

In Fig. 8 on the left we compare the longitudinal wake functions of different vacuum chamber shapes at cryogenic temperature with RRR=100. The solid black line presents the

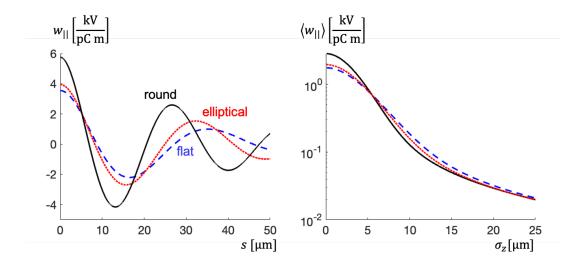


FIG. 8. The left plot compares the longitudinal wake functions of different vacuum chamber shapes at cryogenic temperature with RRR=100. The right plot presents dependence of the loss factor  $\langle W_{||} \rangle$  form the rms bunch length  $\sigma_z$  for the Gaussian bunch shape for the three cases presented in the left plot.

wake of the round shape with radius a of 2.5 mm. The red dotted curve presents the wake of the elliptical shape with height 2a=5 mm and width 2w=10 mm. For the elliptical shape we have used the numerical code described in [21]. The red dotted curve presents the wake of two parallel plates with height 2a=5 mm. The reduction of the wake at the origin is equal to  $\pi^2/16$  for the flat shape and  $F^{ellip}(0.5)=0.68$  for the elliptical one (see Appendix C for the definition of  $F^{ellip}$ ). The right plot in Fig. 7 presents dependence of the loss factor  $\langle W_{||} \rangle$  form the rms bunch length  $\sigma_z$  for the Gaussian bunch and the tree vacuum chamber cross-sections. It is interesting to note that the other shapes reduce the loss factor (relative to the one of round pipe) only for very short bunches with rms length less than 6  $\mu$ m.

The loss factor can be converted to the heating of the vacuum chamber walls:  $P = f_{rep} \langle W_{||} \rangle Q^2$ , where  $f_{rep}$  is the bunch repetition rate and Q is the bunch charge. Assuming  $f_{rep} = 27$  kHz, Q = 250 pC and maximal peak current of 5kA (which corresponds to  $\sigma_z = 6\mu$ m) we obtain the same heat power P = 1 W/m for all three shapes of the vacuum chamber. The extra heating has to be taken into account in the design of the cryogenic system.

Finally as the last example we consider the impedance of the metallic pipe with dielectric coating which could be used for generation of terahertz radiation at the European XFEL.

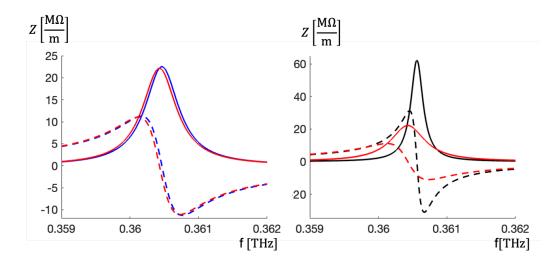


FIG. 9. The left plot compares the real (solid lines) and imaginary (dashed lines) parts of the longitudinal impedance of the round metallic pipe with dielectric layer at the room temperature near to the main resonance frequency. The blue lines are obtained with AC surface impedance, Eq. (103). The red lines are obtained with ASE surface impedance, Eq. (102). The right plot compares the impedances obtained with ASE surface impedance, Eq. (102), at the room temperate with RRR=1 (red curves) and at the cryogenic temperature with RRR=100 (black lines).

The application of two-layer metal-dielectric compounds as accelerating structure and as radiators for the generation of intense wakefield radiation are recognized as promising areas in which intensive theoretical and experimental research is being carried out. The fundamental importance of the finite conductivity of the metallic wave-guide and of losses in the dielectric layer was underlined in [20].

We consider a cylindrical metal waveguide with an internal dielectric coating. The inner radius of the copper pipe with conductivity  $\sigma_c = 5.8 \cdot 10^7 \text{ S}$  is a = 0.55 mm. The dielectric coating has thickness of 50  $\mu$ m and permittivity  $\epsilon = 9\epsilon_0$ . The copper has the relaxation time  $\tau = 2.46 \cdot 10^{-14} \text{ s}$  and the Fermi velocity  $v_f = 1.6 \cdot 10^6 \text{ m/s}$ . We assume that the dielectric is lossless and consider only losses in copper.

In Fig. 9 on the left we compare the real (solid lines) and imaginary (dashed lines) parts of the longitudinal impedance at the room temperature near to the main resonance frequency. The blue lines are obtained with AC surface impedance, Eq. (103). The red lines are obtained with ASE surface impedance, Eq. (102). The curves are quite similar.

The right plot in Fig. 9 compares the impedances obtained with ASE surface impedance,

Eq. (102), at the room temperate (red curves) and at the cryogenic temperature (black curves). We have used RRR=1 for the room temperature and RRR= 100 for the cryogenic one. As expected the cryogenic temperature allows to reduce the losses in the metal making only negligible shift in the resonance frequency.

#### V. SUMMARY

In this paper we have obtained analytical expressions for beam impedance of round, rectangular and Π-shaped pipes with anisotropic surface impedance. The relativistic and non-relativistic cases have been considered. The field matching technique for layered structures with layers of uniaxial anisotropy and anisotropic impedance boundary condition at the last layer was described. The obtained equations are applied to the case of corrugated structures with anisotropic impedance and closed analytical expressions for the wake functions with arbitrary offset of the source and the witness particles have been established. The impact of the shape of the vacuum chamber on the wakes in the cryogenic temperature was studied. It was shown that the shaping of the pipe allows to reduce the energy loss only for extremely short bunches. Finally the impact of the anomalous skin effect on the longitudinal impedance of metallic pipe with dielectric layer was analyzed.

### APPENDIX A: MATRIX ELEMENTS FOR ROUND LAYERED WAVEGUIDE WITH UNIAXIAL ANISOTROPY

Here we consider the matrices used for many layered round pipe. The matrix  $\mathbf{M}_j$  from Eq.(78) is a complex matrix of order 4 which maps coefficients from layer with index j-1 to the ones in layer j. In order to simplify the notation we use a for  $a_j$ , index "1" for j-1 and index "2" for j. Additionally we omit label r and use  $\nu_{\epsilon}$ ,  $\nu_{\mu}$  instead of  $\nu_r^{\epsilon}$ ,  $\nu_r^{\mu}$ . Then

the matrix  $\mathbf{M}_i$  has the following elements

$$\begin{split} M_{11} = & \frac{1}{2} a \left( \frac{\nu_{2}^{2} \epsilon_{r,1} \nu_{\epsilon,1} \left( I_{m-1} \left( a \nu_{\epsilon,1} \right) + I_{m+1} \left( a \nu_{\epsilon,1} \right) \right) K_{m} \left( a \nu_{\epsilon,2} \right)}{\nu_{1}^{2} \epsilon_{r,2}} \right. \\ & + \nu_{\epsilon,2} I_{m} \left( a \nu_{\epsilon,1} \right) \left( K_{m-1} \left( a \nu_{\epsilon,2} \right) + K_{m+1} \left( a \nu_{\epsilon,2} \right) \right) \right), \\ M_{12} = & \frac{\nu_{2}^{2} \epsilon_{r,1} K_{m} \left( a \nu_{\epsilon,2} \right) \left( m K_{m} \left( a \nu_{\epsilon,1} \right) - a \nu_{\epsilon,1} K_{m+1} \left( a \nu_{\epsilon,1} \right) \right)}{\nu_{1}^{2} \epsilon_{r,2}} \\ & + K_{m} \left( a \nu_{\epsilon,1} \right) \left( a \nu_{\epsilon,2} K_{m+1} \left( a \nu_{\epsilon,2} \right) - m K_{m} \left( a \nu_{\epsilon,2} \right) \right) \\ M_{13} = & \frac{\beta m Z_{0} \left( \nu_{2}^{2} \mu_{r,1} \epsilon_{r,1} - \nu_{1}^{2} \mu_{r,2} \epsilon_{r,2} \right) I_{m} \left( a \nu_{\mu,1} \right) K_{m} \left( a \nu_{\epsilon,2} \right)}{\nu_{1}^{2} \epsilon_{r,2}}, \quad M_{14} = \frac{K_{m} \left( a \nu_{\mu,1} \right)}{I_{m} \left( a \nu_{\mu,1} \right)} M_{13}, \end{split}$$

$$\begin{split} M_{21} = & \frac{1}{2} a \left( \nu_{\epsilon,2} I_m \left( a \nu_{\epsilon,1} \right) \left( I_{m-1} \left( a \nu_{\epsilon,2} \right) + I_{m+1} \left( a \nu_{\epsilon,2} \right) \right) \\ & - \frac{\nu_2^2 \epsilon_{r,1} \nu_{\epsilon,1} I_m \left( a \nu_{\epsilon,2} \right) \left( I_{m-1} \left( a \nu_{\epsilon,1} \right) + I_{m+1} \left( a \nu_{\epsilon,1} \right) \right)}{\nu_1^2 \epsilon_{r,2}} \right), \\ M_{22} = & \frac{1}{2} a \left( \frac{\nu_2^2 \epsilon_{r,1} \nu_{\epsilon,1} I_m \left( a \nu_{\epsilon,2} \right) \left( K_{m-1} \left( a \nu_{\epsilon,1} \right) + K_{m+1} \left( a \nu_{\epsilon,1} \right) \right)}{\nu_1^2 \epsilon_{r,2}} \right. \\ & + \nu_{\epsilon,2} \left( I_{m-1} \left( a \nu_{\epsilon,2} \right) + I_{m+1} \left( a \nu_{\epsilon,2} \right) \right) K_m \left( a \nu_{\epsilon,1} \right) \right) \\ M_{23} = & \frac{\beta m Z_0 \left( \nu_1^2 \mu_{r,2} \epsilon_{r,2} - \nu_2^2 \mu_{r,1} \epsilon_{r,1} \right) I_m \left( a \nu_{\mu,1} \right) I_m \left( a \nu_{\epsilon,2} \right)}{\nu_1^2 \epsilon_{r,2}}, \quad M_{24} = \frac{K_m \left( a \nu_{\mu,1} \right)}{I_m \left( a \nu_{\mu,1} \right)} M_{23}, \end{split}$$

$$\begin{split} M_{31} = & \frac{\beta m \left(\nu_{2}^{2} \mu_{r,1} \epsilon_{r,1} - \nu_{1}^{2} \mu_{r,2} \epsilon_{r,2}\right) K_{m} \left(a\nu_{\mu,2}\right) I_{m} \left(a\nu_{\epsilon,1}\right)}{\nu_{1}^{2} Z_{0} \mu_{r,2}}, \quad M_{32} = \frac{K_{m} \left(a\nu_{\epsilon,1}\right)}{I_{m} \left(a\nu_{\epsilon,1}\right)} M_{31}, \\ M_{33} = & \frac{1}{2} a \left(\nu_{\mu,2} I_{m} \left(a\nu_{\mu,1}\right) \left(K_{m-1} \left(a\nu_{\mu,2}\right) + K_{m+1} \left(a\nu_{\mu,2}\right)\right) \right. \\ & \left. + \frac{\nu_{2}^{2} \nu_{\mu,1} \mu_{r,1} \left(I_{m-1} \left(a\nu_{\mu,1}\right) + I_{m+1} \left(a\nu_{\mu,1}\right)\right) K_{m} \left(a\nu_{\mu,2}\right)}{\nu_{1}^{2} \mu_{r,2}}\right) \\ M_{34} = & K_{m} \left(a\nu_{\mu,1}\right) \left(a\nu_{\mu,2} K_{m+1} \left(a\nu_{\mu,2}\right) - m K_{m} \left(a\nu_{\mu,2}\right)\right. \\ & \left. + \frac{\nu_{2}^{2} \mu_{r,1} K_{m} \left(a\nu_{\mu,2}\right) \left(m K_{m} \left(a\nu_{\mu,1}\right) - a\nu_{\mu,1} K_{m+1} \left(a\nu_{\mu,1}\right)\right)}{\nu_{1}^{2} \mu_{r,2}}, \end{split}$$

$$\begin{split} M_{41} &= \frac{\beta m \left(\nu_{1}^{2} \mu_{r,2} \epsilon_{r,2} - \nu_{2}^{2} \mu_{r,1} \epsilon_{r,1}\right) I_{m} \left(a\nu_{\mu,2}\right) I_{m} \left(a\nu_{\epsilon,1}\right)}{\nu_{1}^{2} Z_{0} \mu_{r,2}}, \quad M_{42} &= \frac{K_{m} \left(a\nu_{\epsilon,1}\right)}{I_{m} \left(a\nu_{\epsilon,1}\right)} M_{41}, \\ M_{43} &= \frac{1}{2} a \left(\nu_{\mu,2} I_{m} \left(a\nu_{\mu,1}\right) \left(I_{m-1} \left(a\nu_{\mu,2}\right) + I_{m+1} \left(a\nu_{\mu,2}\right)\right) - \frac{\nu_{2}^{2} \nu_{\mu,1} \mu_{r,1} I_{m} \left(a\nu_{\mu,2}\right) \left(I_{m-1} \left(a\nu_{\mu,1}\right) + I_{m+1} \left(a\nu_{\mu,1}\right)\right)}{\nu_{1}^{2} \mu_{r,2}}\right), \\ M_{44} &= \frac{1}{2} a \left(\nu_{\mu,2} \left(I_{m-1} \left(a\nu_{\mu,2}\right) + I_{m+1} \left(a\nu_{\mu,2}\right)\right) K_{m} \left(a\nu_{\mu,1}\right) + \frac{\nu_{2}^{2} \nu_{\mu,1} \mu_{r,1} I_{m} \left(a\nu_{\mu,2}\right) \left(K_{m-1} \left(a\nu_{\mu,1}\right) + K_{m+1} \left(a\nu_{\mu,1}\right)\right)}{\nu_{1}^{2} \mu_{r,2}}\right), \end{split}$$

Matrix  $\mathbf{M}_N^{C2F}$  converts the field coefficients into the field components and its non-zero elements are:

$$\begin{split} M_{11}^{C2F} = & I_m \left( a_N \nu_{\epsilon,N} \right), \quad M_{12}^{C2F} = K_m \left( a_N \nu_{\epsilon,N} \right), \\ M_{23}^{C2F} = & I_m \left( a_N \nu_{\mu,N} \right), \quad M_{24}^{C2F} = K_m \left( a_N \nu_{\mu,N} \right), \\ M_{31}^{C2F} = & -\frac{ikm I_m \left( a_N \nu_{\epsilon,N} \right)}{\beta a_N \nu_N^2}, \quad M_{32}^{C2F} = -\frac{ikm K_m \left( a_N \nu_{\epsilon,N} \right)}{\beta a_N \nu_N^2}, \\ M_{33}^{C2F} = & -\frac{ik Z_0 \nu_{\mu,N} \mu_{r,N} \left( I_{m-1} \left( a_N \nu_{\mu,N} \right) + I_{m+1} \left( a_N \nu_{\mu,N} \right) \right)}{2 \nu_N^2}, \\ M_{34}^{C2F} = & \frac{ik Z_0 \nu_{\mu,N} \mu_{r,N} \left( K_{m-1} \left( a_N \nu_{\mu,N} \right) + K_{m+1} \left( a_N \nu_{\mu,N} \right) \right)}{2 \nu_N^2}, \\ M_{41}^{C2F} = & \frac{ik \epsilon_{r,N} \nu_{\epsilon,N} \left( I_{m-1} \left( a_N \nu_{\epsilon,N} \right) + I_{m+1} \left( a_N \nu_{\epsilon,N} \right) \right)}{2 Z_0 \nu_N^2}, \\ M_{42}^{C2F} = & -\frac{ik \epsilon_{r,N} \nu_{\epsilon,N} \left( K_{m-1} \left( a_N \nu_{\epsilon,N} \right) + K_{m+1} \left( a_N \nu_{\epsilon,N} \right) \right)}{2 Z_0 \nu_N^2}, \\ M_{43}^{C2F} = & \frac{ik m I_m \left( a_N \nu_{\mu,N} \right)}{\beta a_N \nu_N^2}, \quad M_{44}^{C2F} = & \frac{ik m K_m \left( a_N \nu_{\mu,N} \right)}{\beta a_N \nu_N^2}. \end{split}$$

# APPENDIX B: MATRIX ELEMENTS FOR RECTANGULAR AND $\Pi$ -SHAPED ANISOTROPIC LAYERED WAVEGUIDES

In the case of rectangular structure with many layers matrix  $\mathbf{M}_j$  relates coefficients at interface  $r = a_j$ 

$$(C_{+}^{m,j}, C_{-}^{m,j}, D_{+}^{m,j}, D_{-}^{m,j})^{T} = \mathbf{M}_{j}(C_{+}^{m,j-1}, C_{-}^{m,j-1}, D_{+}^{m,j-1}, D_{-}^{m,j-1})^{T}.$$

In order to simplify the notation we use a for  $a_j$ , index "1" for j-1 and index "2" for j. Additionally we omit label y and use  $k_{\epsilon}$ ,  $k_{\mu}$  instead of  $k_y^{\epsilon}$ ,  $k_y^{\mu}$ .

The matrix  $\mathbf{M}_{j}$  has the following elements

$$\begin{split} M_{11} &= \frac{e^{a(k_{\epsilon,1}-k_{\epsilon,2})} \left(\nu_1^2 k_{\epsilon,2} \epsilon_{y,2} + \nu_2^2 k_{\epsilon,1} \epsilon_{y,1}\right)}{2\nu_1^2 k_{\epsilon,2} \epsilon_{y,2}} \right), \quad M_{12} = \frac{e^{-a(k_{\epsilon,1}+k_{\epsilon,2})} \left(\nu_1^2 k_{\epsilon,2} \epsilon_{y,2} - \nu_2^2 k_{\epsilon,1} \epsilon_{y,1}\right)}{2\nu_1^2 k_{\epsilon,2} \epsilon_{y,2}}, \\ M_{13} &= \frac{\beta Z_0 k_x e^{a(k_{\mu,1}-k_{\epsilon,2})} \left(\nu_1^2 \mu_{y,2} \epsilon_{y,2} - \nu_2^2 \mu_{y,1} \epsilon_{y,1}\right)}{2\nu_1^2 k_{\epsilon,2} \epsilon_{y,2}}, \quad M_{14} = e^{-2ak_{\mu,1}} M_{13}, \\ M_{21} &= e^{2a(k_{\epsilon,1}+k_{\epsilon,2})} M_{12}, \quad M_{22} = e^{2a(k_{\epsilon,2}-k_{\epsilon,1})} M_{11}, \\ M_{23} &= -e^{2ak_{\epsilon,2}} M_{13}, \quad M_{24} = -e^{2a(k_{\epsilon,2}-k_{\mu,1})} M_{13}, \end{split}$$

$$\begin{split} M_{31} &= -e^{2a(k_{\epsilon,1}-k_{\mu,2})} M_{42}, \quad M_{32} = -e^{-2ak_{\mu,2}} M_{42}, \\ M_{33} &= e^{2a(k_{\mu,1}-k_{\mu,2})} M_{44}, \quad M_{34} = e^{-2a(k_{\mu,1}+k_{\mu,2})} M_{43}, \\ M_{41} &= e^{2ak_{\epsilon,1}} M_{42}, \quad M_{42} = \frac{\beta k_x e^{a(k_{\mu,2}-k_{\epsilon,1})} \left(\nu_2^2 \mu_{y,1} \epsilon_{y,1} - \nu_1^2 \mu_{y,2} \epsilon_{y,2}\right)}{2\nu_1^2 Z_0 k_{\mu,2} \mu_{y,2}}, \\ M_{43} &= \frac{e^{a(k_{\mu,1}+k_{\mu,2})} \left(\nu_1^2 k_{\mu,2} \mu_{y,2} - \nu_2^2 k_{\mu,1} \mu_{y,1}\right)}{2\nu_1^2 k_{\mu,2} \mu_{y,2}}, \quad M_{44} = \frac{e^{a(k_{\mu,2}-k_{\mu,1})} \left(\nu_1^2 k_{\mu,2} \mu_{y,2} + \nu_2^2 k_{\mu,1} \mu_{y,1}\right)}{2\nu_1^2 k_{\mu,2} \mu_{y,2}}. \end{split}$$

The matrix  $\mathbf{M}_N^{C2F}$  converts the field coefficients into the field components:

$$(E_{z,m}(a_N), H_{z,m}(a_N), E_{x,m}(a_N), H_{x,m}(a_N))^T = \mathbf{M}_N^{C2F}(C_+^{m,N}, C_-^{m,N}, D_+^{m,N}, D_-^{m,N})^T.$$

The non-zero elements of this matrix are:

$$\begin{split} M_{11}^{C2F} &= e^{a_N k_{\epsilon,N}}, \quad M_{12}^{C2F} = e^{-a_N k_{\epsilon,N}}, \quad M_{23}^{C2F} = e^{a_N k_{\mu,N}}, \quad M_{24}^{C2F} = e^{-a_N k_{\mu,N}}, \\ M_{31}^{C2F} &= \frac{ik k_x e^{a_N k_{\epsilon,N}}}{\beta \nu_N^2}, \quad M_{32}^{C2F} = \frac{ik k_x e^{-a_N k_{\epsilon,N}}}{\beta \nu_N^2}, \\ M_{33}^{C2F} &= -\frac{ik Z_0 k_{\mu,N} \mu_{y,2N} e^{a_N k_{\mu,N}}}{\nu_N^2}, \quad M_{34}^{C2F} = \frac{ik Z_0 k_{\mu,N} \mu_{y,2N} e^{-a_N k_{\mu,N}}}{\nu_N^2}, \\ M_{41}^{C2F} &= \frac{ik k_{\epsilon,N} \epsilon_{y,N} e^{a_N k_{\epsilon,N}}}{Z_0 \nu_N^2}, \quad M_{42}^{C2F} = -\frac{ik k_{\epsilon,N} \epsilon_{y,N} e^{-a_N k_{\epsilon,N}}}{Z_0 \nu_N^2}, \\ M_{43}^{C2F} &= -\frac{ik k_x e^{a_N k_{\mu,N}}}{\beta \nu_N^2}, \quad M_{44}^{C2F} = -\frac{ik k_x e^{-a_N k_{\mu,N}}}{\beta \nu_N^2}. \end{split}$$

# APPENDIX C: SHORT-RANGE LONGITUDINAL FORM FACTORS OF ELLIPTICAL AND RECTANGULAR WAVEGUIDES

It is well known that the longitudinal wake function of round pipe of radius a with a retardation layer has the following value at the origin

$$w_{\parallel}^{round}(0) = \frac{Z_0 c}{2\pi a^2}, \quad w_{\parallel}^{round}(0+) = 2w_{\parallel}^{round}(0)$$

where "0+" means the one-sided limit from the right.

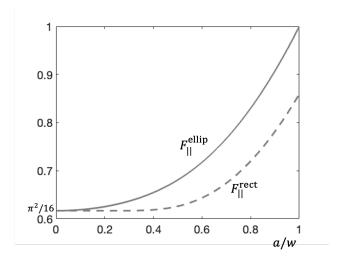


FIG. 10. Short-range longitudinal form factors of the elliptical (solid line) and the rectangular (dashed line) pipes.

An ellipse with semi-major axis w and semi-minor axis a can be conformally mapped onto the circle of radius a by transformation

$$f^{ellip}(z) = a\sqrt{k} \operatorname{sn}\left(\frac{2K}{\pi}\arcsin\left(\frac{z}{\sqrt{(w^2 - a^2)}}\right), k^2\right),$$

where sn is the Jacobi elliptic sine function and

$$k = \left(\frac{\theta_2(0, p)}{\theta_3(0, p)}\right)^2, \quad K = \frac{\pi}{2}\theta_3^2(0, p), \quad p = \left(\frac{w - a}{w + a}\right)^2.$$

Here  $\theta_2$ ,  $\theta_3$  are Jacobi theta functions. Following [22] we can write the value of the longitudinal wake function of the elliptical wave-guide at the origin as

$$w_{\parallel}^{ellip}(0) = w_{\parallel}^{round}(0)F_{\parallel}^{ellip}\left(\frac{a}{w}\right), \quad F_{\parallel}^{ellip}\left(\frac{a}{w}\right) = \left(\frac{d}{dz}f^{ellip}[0]\right)^{2} = \left(\frac{a}{w}\right)^{2}\frac{4kK^{2}}{\pi^{2}(1-(a/w)^{2})},$$

where  $F_{\parallel}^{ellip}$  is the longitudinal form factor of the elliptical wave-guide.

A rectangle with width 2w and height 2a can be conformally mapped onto the circle of radius g by transformation

$$f^{rect}(z) = g \frac{1 + i\sqrt{k} \, \operatorname{sn}(Kw^{-1}(z + ia))}{i + \sqrt{k} \, \operatorname{sn}(Kw^{-1}(z + ia))},$$

where the symbols K and k have the same meaning as for the ellipse above but the value of p is different:

$$p = e^{-2\pi a/w}.$$

Hence the longitudinal wake function of the rectangular wave-guide at the origin can be written as

$$\begin{split} w_{\parallel}^{rect}(0) &= w_{\parallel}^{round}(0) F_{\parallel}^{rect} \left(\frac{a}{w}\right), \\ F_{\parallel}^{rect} \left(\frac{a}{w}\right) &= \left(\frac{d}{dz} f^{rect}[0]\right)^2 = \left(\frac{a}{w}\right)^2 \frac{4kK^2 \left(\operatorname{cn}(iK\frac{a}{w}, k^2) \operatorname{dn}(iK\frac{a}{w}, k^2)\right)^2}{\left(i + \sqrt{k} \operatorname{sn}(iK\frac{a}{w}, k^2)\right)^4}, \end{split}$$

where sn, cn, dn are elliptic Jacobi functions and  $F_{\parallel}^{rect}$  is the longitudinal form factor of the rectangular wave-guide.

The form factors allow simple cubic approximations:

$$F_{\parallel}^{ellip}(x) = 0.279x^3 + 0.093x^2 + 0.013x + \pi^2/16,$$
  
$$F_{\parallel}^{rect}(x) = 0.477x^3 - 0.268x^2 + 0.036x + \pi^2/16, \quad x = a/w, \quad a \le w,$$

with maximal absolute error below 0.3%.

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