

1Effect of heat treatments on fabricated Wire and arc 2additive manufacturing parts of Stainless steel 316: 3Microstructure and synchrotron X-ray Diffraction 4analysis

5Authors: Tiago A.Rodrigues ^{a*}, J. D. Escobar ^d, Jiajia
6Shen ^a, Valdemar R. Duarte ^a, G. G. Ribamar ^b, Julian
7A. Avila ^b, Emad Maawad ^c, Norbert Schell ^c, , Telmo G.
8Santos ^a, J. P. Oliveira^{a,1, #}

9^a UNIDEMI, Department of Mechanical and Industrial Engineering, NOVA School of Science and Technology,
10Universidade NOVA de Lisboa, 2829-516 Caparica, Portugal

11^b São Paulo State University (UNESP), Campus of São João da Boa Vista, Av. Profª Isette Corrêa Fontão, 505, Jardim das
12Flores, 13876-750, São João da Boa Vista, SP, Brazil

13^c Helmholtz-Zentrum Geesthacht, Institute of Materials Research, Max-Planck-Str. 1, Geesthacht, 21502, Germany

14^d Metallurgical and Materials Engineering Department, University of São Paulo, Av.Prof. Mello Moraes 2463, 05508-030,
15São Paulo, SP, Brazil

16*Corresponding author at tma.rodriques@campus.fct.unl.pt

17# Corresponding author at jp.oliveira@fct.unl.pt

18Abstract

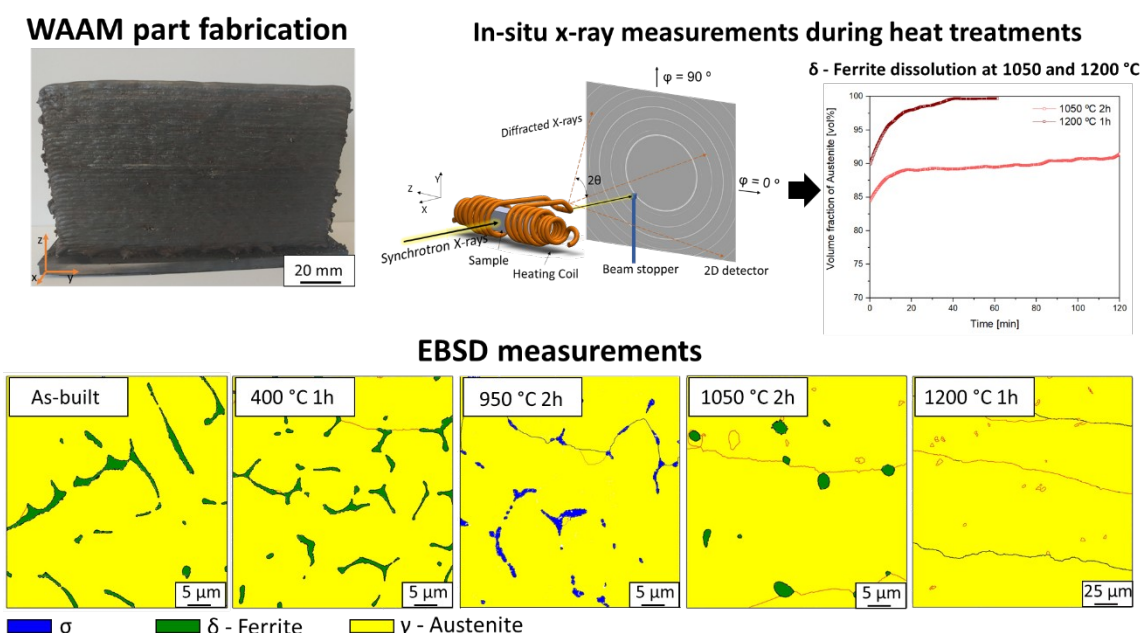
19Different geometrical features and intricate parts can now be fabricated by wire and arc
20additive manufacturing (WAAM). Even though a broad range of applications rises with this
21technology, the processed metallic materials still follow metallurgy rules. Therefore, undesired
22phases may appear during the multiple thermal cycles affecting the fabricated part. One of the
23most used stainless steel in the industry is the 316L, which provides a combination of high
24corrosion resistance and mechanical properties. In this study, 316L stainless steel walls were
25fabricated by WAAM and submitted to several heat treatments to understand the precipitation
26kinetics of secondary phases and observe the δ -ferrite dissolution with synchrotron X-ray
27diffraction measurements. The as-built samples presented δ -ferrite dendrites in an austenite

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28(γ) matrix. In-situ observations showed σ precipitation during the first minutes of isothermal
 29holding at 950 °C, from direct precipitation on the δ -ferrite islands. Solubilization heat
 30treatments at 1050 and 1200 °C resulted in an undissolved amount of ferrite of approximately
 316.5 % and 0.4 %, respectively. The amount of δ -ferrite showed a direct relationship with the
 32hardness values. This work combined advanced materials characterization and thermodynamic
 33calculations to rationalize the microstructure evolution upon the use of heat treatments in
 34WAAM-fabricated 316L stainless steel parts.

35**Keywords:** wire and arc additive manufacturing; stainless steel; sigma phase; in-situ phase
 36transformation, high energy synchrotron x-ray diffraction

37 Graphical Abstract



38 σ δ - Ferrite γ - Austenite

39 Highlights

- 40 • Different heat treatments were performed on stainless steel 316L WAAMed parts
- 41 • σ precipitation is observed in-situ via high energy synchrotron X-ray diffraction
- 42 • σ starts to precipitate during the first minutes of isothermal holding at 950 °C in the δ -
- 43 ferrite grains
- 44 • The amount of δ -ferrite decreased with an increase in the solubilization temperature.
- 45 • Eddy current testing is capable to differentiate δ -ferrite and σ .

46

1. Introduction

Austenitic stainless steels are widely used in several industrial applications where exceptional corrosion resistance and excellent mechanical properties at elevated temperatures is a requirement, including nuclear energy [1,2] petrochemical, and chemical industries [3]. For example, parts from a reactor core in nuclear applications are exposed to high strength and corrosion environments at operating temperatures between 280-550°C [4,5]. The mechanical strength of austenitic stainless steels is reduced considerably above 500°C [6], and the fatigue crack propagation accelerates under operational temperatures above 150°C [7]. Austenitic stainless steels are also an economical structural material used for marine and biomedical applications [8,9].

Stainless steels are relatively expensive to machine from a billet, therefore complex parts are more feasible and cheaper to fabricated with additive manufacturing technologies. Regarding additively manufactured samples of austenitic stainless steels, Lou et al. [10] highlight the necessity of producing an equiaxed microstructure through high-temperature recrystallization annealing, which increases the corrosion fatigue resistance.

Wire and arc additive manufacturing (WAAM) is a variant within the metal additive manufacturing group, based on the fundamentals of arc welding [11], where a wire feedstock material is melted by an electric arc and deposited in a layer-by-layer fashion. WAAM features high deposition rates and high material efficiency (less material waste), which is interesting for building large components for industrial applications, such as for the oil and gas, and nuclear sectors.

Austenitic stainless steels manufactured by WAAM typically exhibit δ -ferrite dendrites within an austenitic (γ) matrix [12]. Some authors found that δ -ferrite can prevent hot cracking by accommodating large amounts of pure S and P in the interdendritic areas [13–16] while also acting as a strengthener. The multiple heating/cooling cycles experienced during WAAM of austenitic stainless steel, combined with the long soaking times at high temperatures, affect the microstructure evolution in WAAM fabricated parts, and can result in the formation of undesired secondary phases [17]. When austenitic stainless steels experiences low cooling rates and long times between 550-900 °C, Cr-rich carbides ($M_{23}C_6$ and M_7C_3) [18] will form, as well as the potential for precipitating intermetallic deleterious phases increases, such as sigma (σ) [19], chi (χ) [20–22], and Laves [18]. Especially regarding WAAM, it has been largely reported that the as-fabricated microstructures in austenitic stainless steels, as a result of

79microsegregation of Cr during solidification can contain σ [23,24]. depending on the process
80parameters and location within the sample. The σ phase leads to hardening and embrittlement
81in stainless steel and therefore has received particular attention [25,26]. In addition, σ
82consumes chromium and molybdenum from the austenite matrix, deteriorating its corrosion
83resistance [27].

84The solidification conditions and multiple heating/cooling cycles during fusion based additive
85manufacturing can render different microstructure features when compared to conventionally
86used processes such as casting. The commonly used heat-treatments for welding and wrought
87material may also need to be adapted when producing WAAM parts. Some authors [28] have
88suggested that at 950 °C δ -ferrite could dissolve without the precipitation of secondary phases
89after post-weld heat treatment. As it will be shown in this work, the same heat treatment
90condition when applied to the WAAM 316L stainless steel parts will render different
91microstructure features.

92It has been also discussed that δ -ferrite can serve as nucleation sites for the precipitation of
93 $M_{23}C_6$ and σ upon annealing cycles around 720 °C. However, proper annealing cycles around
941050 °C can provide complete dissolution of δ -ferrite, avoiding the formation of secondary
95precipitates [29]. The presence of compositional segregations and δ -ferrite are mostly
96unavoidable and therefore understanding the microstructural evolution of as-built austenitic
97stainless steels after WAAM heat treatment is currently a topic of great interest for the additive
98manufacturing community. In-situ synchrotron X-ray diffraction measurements are especially
99useful in this case to understand the kinetics of phase transformations during heat treatments.

100This study investigates the phase transformations in a 316L austenitic stainless steel after
101WAAM using synchrotron X-ray diffraction. The kinetics of δ -ferrite dissolution was studied
102during post-WAAM heat treatment at 400, 950, 1050, and 1200 °C. The selection of these heat
103treatments was made base on the literature [23,30–32]. Complementary EBSD analysis was
104performed to confirm the morphology, size, and distribution of δ -ferrite and σ before and after
105heat treatment. Eddy's current testing was used to highlight that δ -ferrite can be distinguished
106from σ with this technique. While hardness and electrical conductivity measurements were
107used to demonstrate the differences that each phase can have on the final properties of the
108processed materials. Our observations provide a new understanding of the effects of time and
109temperature on the microstructural evolution of as-built austenitic stainless steels after WAAM

and provide a useful guide to design or optimize heat treatment routes relevant to additively manufactured parts.

2. Materials and methods

2.1 Experimental setup

In this study, WAAM single-walls were fabricated using an in-house custom-made WAAM apparatus, which included a customized gas metal arc welding (GMAW) torch. The wire feedstock used in this work was a commercial 316L stainless steel wire (ER 316LSi) with a diameter of 1 mm. The chemical composition of the feedstock wire is detailed in Table 1. A WAAM wall with 180 x 110 x 8 mm was built with a wire feed speed of 4 m/min and a travel speed of 300 mm/min. A voltage of 19.5 V was used, and the molten pool was protected with 99.99 % Argon. A zig-zag deposition strategy with 90 seconds of idle time between each torch stop/start was selected to build the 75-layer WAAM part.

Table 1 - Chemical composition of the ER 316LSi wire electrode (wt.%).

C	Mn	Si	Ni	Cr	Mo	Cu	Fe
0.03	1.60	0.65	11	18.5	2.50	0.75	Bal.

2.2 In-situ synchrotron x-ray diffraction during heat treatments

Four post-WAAM heat treatments (PWHT) were performed in-situ at the High Energy Materials Science beamline at PETRA III, DESY (Hamburg, Germany) with a beam energy of 100 keV (0.1234 Å). Material expansion/shrinkage during heating and cooling was measured using a modified Bähr DIL-805 dilatometer filled with Argon to avoid oxidation during the heat treatments.

The four different heat treatments were performed as follows: HTT#1 consisted of a stress relief heat treatment at 400 °C for 1 hour, followed by air cooling; HTT#2 aimed at promoting σ precipitation and was performed at 950 °C for 2 hours, followed by forced gas (Ar) cooling; HTT#3 was performed at 1050 °C for 2 hours, followed by forced gas (Ar) cooling; while HTT#4 was performed at 1200 °C for 1 hour, also followed by forced gas (Ar) cooling. It should be noticed that the selection of imposing forced gas cooling aimed at avoiding the precipitation of

intermetallics after the termination of the high-temperature plateau. All conditions were heated up to the target treatment temperature at a rate of 20 °C/s. As for the cooling conditions, HTT#1 had a cooling rate of 5 °C/s, while for the remaining heat treatments, a cooling rate of 20 °C/s was imposed.

A schematic representation of the heat treatments performed and the in-situ setup used are depicted in Figure 1 and Figure 2. The primary purpose of the synchrotron experiments was to determine the δ -ferrite dissolution and the kinetics of secondary phase formation/dissolution during the selected heat treatments.

A 2D Perkin Elmer detector with a pixel size of 200 μm was used to capture the Debye-Scherrer diffraction rings. These were then integrated along the full azimuthal angle (ϕ) using freely available Fit2D software [33] to obtain conventional (Intensity vs. d-spacing) diffraction patterns. The beam size was 1 x 1 mm, and the sample-to-detector distance was set to 1517 mm. LaB₆ calibrant powder was used to estimate the instrumental peak broadening associated with the beamline, and the exposure time was set to 5 seconds. During the in-situ measurements, dark images were also acquired and subtracted to reduce the noise of the detector images.

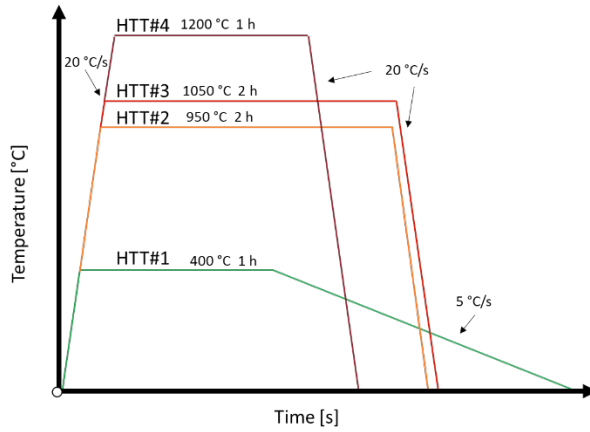
An in-house python-based routine was used to fit the diffraction peaks. The python routine used a Python package named *xrdfit* [34], which implemented a *Pseudo-Voigt* profile function to fit peaks and extract the analyzed data in this study, i.e., the peak position, full width half maximum, and area under the fitted peaks. This information was then used to quantify the current phases at any given point during the heat treatments, following Escobar et al.[35] methodology. The austenite and ferrite volume fractions were measured using equations (1) and (2). F_p is the fraction of austenite/ferrite, n_p is the number of peaks of austenite/ferrite considered, k represents each {hkl} family/peak, I_{pk} the intensity of each peak, and R_{pk} is a scalar containing the effect of the remaining parameters: theoretical cell volume (V_p), the multiplicity of the peak (M), and the structure factor (F_k) of the {hkl} plane family. The R_{pk} was calculated for all presented phases of austenite and ferrite. The peaks tracked were: δ {220}, γ {222}, γ {311}, δ {211}, γ {220}, δ {200}, γ {200}, δ {110}, γ {111}. The final volume fraction of austenite was calculated following equation (3). This method to quantify the volume fraction of each phase was compared with actual Rietveld refinements measurements, using MAUD software [36]. The lattice strain for certain (h k l) peaks was calculated accordingly equation (4). The d-spacing of the as-built sample was considered as d_0 .

$$F_p = \frac{\frac{1}{n_p} \sum_K \frac{I_{pk}}{R_{pk}}}{\sum_p \frac{1}{n_p} \sum_K \frac{I_{pk}}{R_{pk}}} \quad (1)$$

$$R_{pK} = \frac{F_k^2 \times M}{V_p^2} \quad (2)$$

$$F_{p_{austenite}} = \frac{F_{p_{austenite}}}{F_{p_{austenite}} + F_{p_{ferrite}}} \quad (3)$$

$$Lattice\ strain_{hkl} = \left(\frac{d - d_0}{d_0} \times 10^6 \right)_{hkl} \quad (4)$$



170

171 Figure 1 - Schematic representation of the heat treatments applied during In-situ Synchrotron
 172 X-ray diffraction measurements. The time-temperature plot also resumes the heating and
 173 cooling ramps used.

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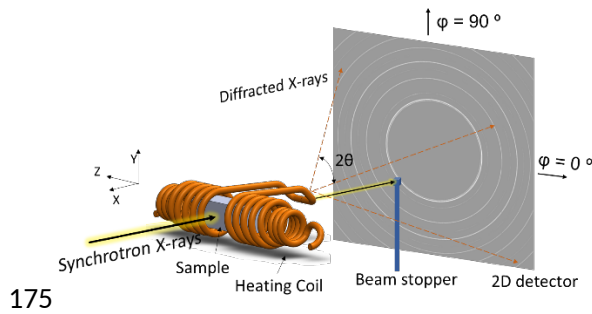


Figure 2 - Schematic representation of the experimental setup applied to perform the in-situ heat treatments.

2.3 Microstructural characterization

All conditions, including the as-built WAAM walls, were analyzed via optical and scanning electron microscopy, hardness testing, and electrical conductivity measurements. For the microscopy observations, samples were polished using abrasive papers with grit from 80 to 2000 and polished using a 3 μm diamond suspension. Vilella's reagent was used to reveal the microstructure. A Leica DMI 5000 M optical microscope and an FEI Quanta FEG - Inspect-F50 scanning electron microscope equipped with an electron backscatter diffraction (EBSD) camera were used in this investigation. A python-based routine was used to calculate the percentage of each phase based on at least three optical microscopy images on the microstructure images. From this routine, the average and associated standard deviations were determined. EBSD measurements were carried out using an acceleration voltage of 20 kV and a step size of 150 nm.

Hardness measurements were performed using a Mitutoyo HM-112 Hardness Testing Machine, under a load of 0.5 N for 10 s across the sample's total height, with a distance between indentations of 500 μm . Magnetic permeability measurements were performed using an absolute helicoidally shielded eddy current (EC) probe with a 3 mm diameter, operating in bridge mode [37]. The electrical impedance was calibrated with different magnetic permeability standards (ferrite samples), to distinguish between different materials with unknown magnetic permeabilities. The calibration was performed so that only the imaginary part of the electrical impedance of the probe conferred changes in the magnetic permeability of each sample. To measure the changes in electrical conductivity between samples, a four-point potential drop technique previously described in Sorger et al. [38] was used. Measurements were made across the full height, starting in the substrate. Because the

selected heat treatments are expected to promote microstructure changes it is important to evaluate how other properties of interest evolve upon those heat treatment schedules. Both hardness and electrical conductivity measurements can provide an indirect sense of the microstructural changes across the height of the samples as a function of the selected heat treatment [17]. The probe has a needle spacing of 635 μm , and a current of 80 mA was imposed between the external needles.

Figure 3 details the position within a given WAAM wall where specimens were taken for microstructure and mechanical characterization.

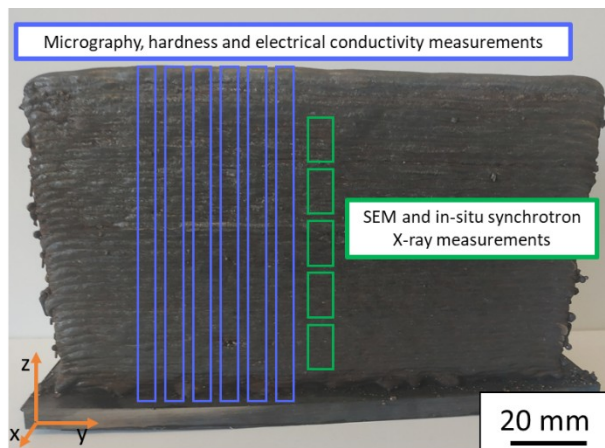


Figure 3 - Localization of the specimens taken from the Stainless steel 316 part, for microstructure and mechanical characterization.

3. Results and discussion

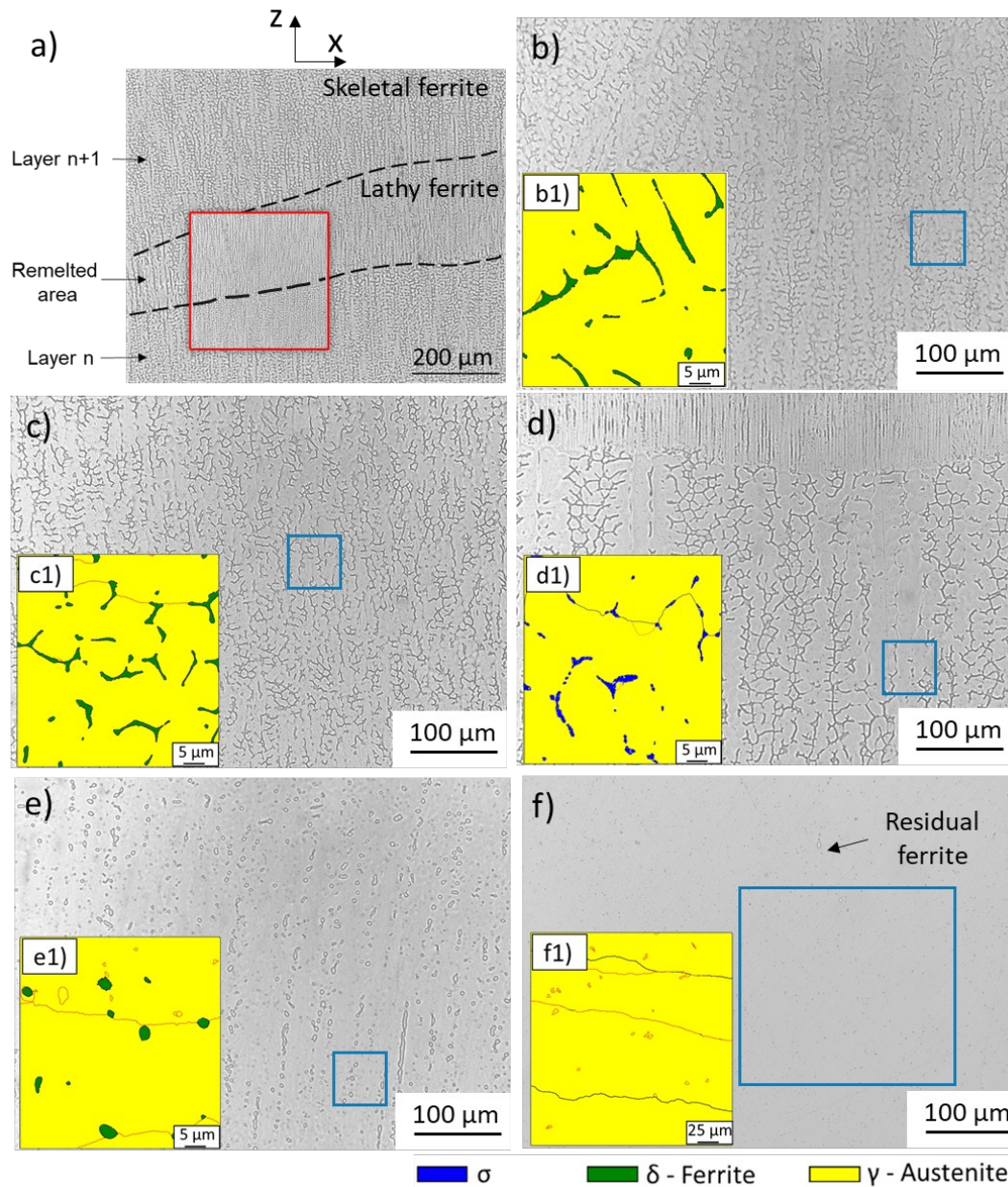
3.1 Macroscopic characterization

Figure 4 a) shows a micrograph of the as-built sample with primary dendrites composed of δ -ferrite aligned with the solidification direction (z-axis). Micrographs of the produced samples are depicted in Figure 4 b) to f). The as-built condition (Figure 4 b) is characterized by δ -ferrite dendrites oriented perpendicular to the deposition direction in an austenitic (γ) matrix. After the stress relief heat treatment, the microstructure maintained similar characteristics to that of the as-built condition (Figure 4 c). With the increase in the heat treatment temperature to 1050 $^{\circ}\text{C}$, the columnar features became more unnoticeable, with the dissolution of dendrites arms (Figure 4 e). Finally, when the heat treatment was set to 1200 $^{\circ}\text{C}$, almost no evidence of

the original solidification microstructure of the as-built material exists. Only some tiny islands of residual ferrite dispersed in the matrix are depicted (refer to Figure 4 f).

Figure 4 a) depicts a variation in the morphology of ferrite as the number of layers increases. Typically, layers comprise skeletal-type ferrite in the non-remelted area and lathy-ferrite in the remelted area between layers [23,39], which is explained by the higher cooling rates in the remelted zone, since it is the first portion of volume to solidify [40].

Stainless steels solidify in one of four possible ways: mode A - single-phase austenite (Liquid \rightarrow Liquid + $\gamma \rightarrow \gamma$); mode AF - austenite with secondary ferrite (Liquid \rightarrow Liquid + $\gamma \rightarrow$ Liquid + γ + $\delta \rightarrow \gamma$ + δ); mode FA - primary ferrite with secondary austenite (Liquid \rightarrow Liquid + $\delta \rightarrow$ Liquid + δ + $\gamma \rightarrow \delta$ + γ); and mode F - single-phase Ferrite (Liquid \rightarrow Liquid + $\delta \rightarrow \delta$) [40]. The determination of the solidification mode can be based on the chromium to equivalent nickel ratio Cr_{eq}/Ni_{eq} . For the material used in this work, the Cr_{eq}/Ni_{eq} ratio is 1.69 and, considering Schaeffler's diagram, a ferritic-austenitic (FA) solidification mode is present. The solidification microstructure should be composed of austenite with a ferrite content ranging from 5 to 10 %. Under equilibrium solidification conditions, the austenitic stainless steel should present a ferritic-austenitic (FA) solidification mode, in which δ -ferrite is the leading phase, and austenite (γ) is the second phase that begins to form between the liquid and δ -ferrite dendrites in a peritectic reaction [41]. Austenite will continue to solidify from the rest of the melt afterward. The diffusion-controlled δ -ferrite to austenite transformation will continue during cooling and stop when the diffusion is no longer possible, resulting in a skeletal and lathy ferrite type [42].



244

245 Figure 4 - a) and b) As-built, c) 400 °C 1h, d) 950 °C 2h, e) 1050 °C 2h f) 1200 °C 1h. Inserts b1,
246 c1, d1, e1 and f1 correspond to the high magnification EBSD analysis.

247

248 3.2 Electron backscatter diffraction analysis

249 Figure 4 inserts depict the electron backscatter diffraction (EBSD) phase maps for each
250 condition. As-built and stress relieved (400 °C / 1h) samples contain vermicular-type ferrite
251 dispersed in the austenite matrix. The sample heat-treated at 950°C exhibits σ both in a
252 vermicular-type structure and along the grain boundaries. The increase in the temperature to

2531050 °C revealed a lower fraction and size of δ -ferrite, as only small islands are present. In the
2541200 °C condition, δ completely disappeared from the WAAMed stainless steel part.

255Sigma (σ) can take up to thousands of hours to precipitate directly from austenite [24]. Padilha
256et al. [44] found in a creep test performed at 600 °C, that σ precipitation in austenite only
257occurred after 5481 h. The following reasons explain the low kinetics of σ precipitation from
258austenite: i) low solubility of C and N within the σ , which causes carbides and nitrides to form
259instead of promoting the σ transformation; ii) very slow diffusion of substitutional elements in
260austenite; and iii) different crystal structures between σ and austenite, which hinders
261nucleation of the former. This lack of lattice coherence, as well as the high interfacial energy
262between both phases, is the reason that leads to increased interface cracking when σ is
263present in these materials [26]. Contrarily, the σ was found to precipitate very quickly from
264residual δ -ferrite [13]. It prefers high Cr-concentrated regions, present in higher quantities in
265 δ -ferrite can diffuse easily in its BCC structure [45].

266Perron et al. [19] proposed three mechanisms for the precipitation of σ : i) it involves nucleation
267at the γ/δ interface, which is a high interface energy site place beneficial for heterogeneous
268nucleation, and also a high Cr-region; ii) direct precipitation in the corners or triple points in the
269 δ - ferrite, resulting from the Cr, Mo, and Ni concentration profiles; iii) eutectoid
270decomposition of δ -ferrite onto the σ and austenite ($\delta \rightarrow \sigma + \gamma$). Other nomenclatures exist;
271however, this partitioning is very similar to the one already described in the literature [26,46].

272Due to the preservation of the ferrite vermicular-type showed in the micrographs and the EBSD
273maps (refer to Figure 4 d) it can be perceived that the appearance of σ results from direct
274precipitation on the δ -ferrite islands. By precipitating within the δ -ferrite, the σ consumes the
275Cr content and ultimately occupies the entire δ -ferrite islands.

276The percentage of δ -ferrite and σ content was calculated from the optical microscope images
277previously depicted in Figure 4 with a Python routine, and the results are presented in Table 2.
278The percentage of δ -ferrite in the as-built sample, heat-treated at 400 °C, and heat-treated at
2791050 °C sample, is respectively 16.9, 17, and 2.8 %. The percentage of σ in the sample heat-
280treated at 950 °C is around 16.8 %. Regarding the undissolved δ -ferrite in the sample heat-
281treated at 1200 °C, the δ -ferrite is below 0.5 %.

Table 2 – Phase percentage measurements made with a Python routine of each phase based on three different micrographs of each condition.

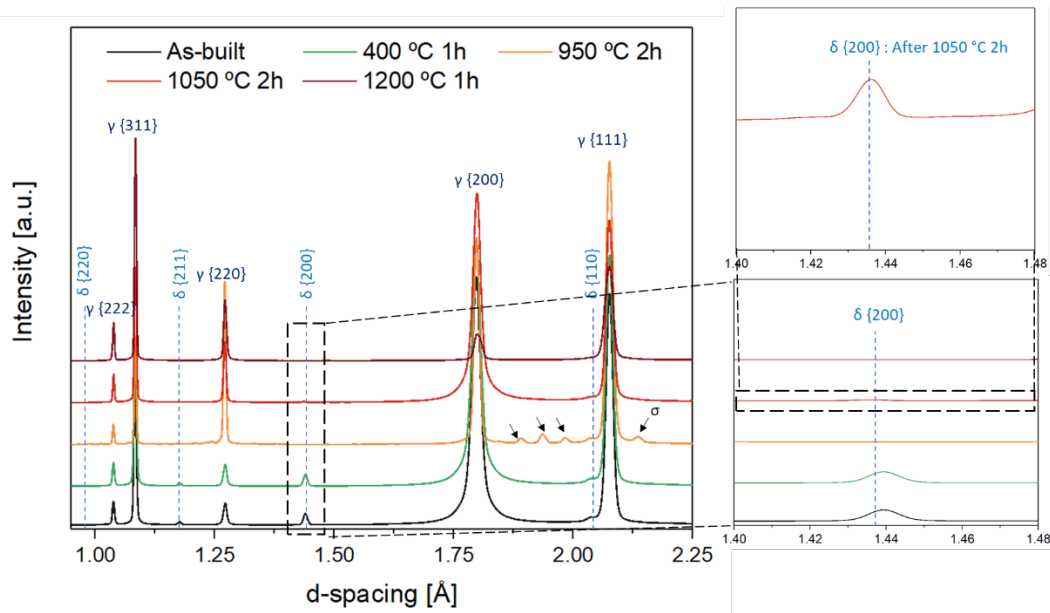
Condition	Austenite (γ)	δ -Ferrite	σ
As-built	83.1	16.9	-
400 °C 1h	83	17	-
950 °C 2h	83.2	-	16.8
1050 °C 2h	97.2	2.8	-
1200 °C 1h	99.5	0.5	-

3.3 Synchrotron X-ray diffraction analysis

3.3.1 Ex-situ microstructural characterization

Figure 5 depicts the diffraction patterns of the as-built sample and those after completion of the selected heat treatments. In the as-built sample, diffraction peaks corresponding to the austenite and ferrite phases are identified. Even though WAAM parts are kept at high temperatures for long periods during fabrication, no carbides or other undesirable phases than δ -ferrite were detected in the as-built sample.

Precipitation of σ was confirmed after two hours at 950 °C. Multiple diffraction peaks corresponding to σ are evidenced in the orange spectra of Figure 5. An apparent reduction in the intensity of the δ -ferrite peaks is observed after heat treatment at 1050 and 1200 °C. The insert in Figure 5 illustrates that δ -ferrite is still present after being heat-treated at 1050 °C.



295

296 Figure 5 - Overview of the diffraction patterns of the as-built and heat-treated samples. Inserts
297 illustrate the existence of δ -ferrite after being heat-treated for two hours at 1050 °C.

298 Table 3 shows the evolution of the d-spacing for several diffraction peaks of both austenite and
299 ferrite phases after the stress-relief heat treatment. It is interesting to note the shift of both
300 ferrite and austenite peaks to higher d-spacing values (or correspondingly to lower scattering
301 angles), indicating a change in the microstrain state, as typically for post-processing heat
302 treatments [47].

303 Table 3 - d-spacing values and lattice strain of the main austenite and δ -ferrite peaks before
304 and after stress-relief heat treatment (400 °C for 1 h).

Peak	d-spacing [Å]	d-spacing [Å]	Lattice strain
	As-built	Stress-re- lief	
γ {311}	1.0835	1.0845	922
δ {211}	1.1742	1.1758	1362
γ {220}	1.2709	1.2714	393
δ {200}	1.4362	1.4397	2437
γ {200}	1.7967	1.7983	891
δ {110}	2.0413	2.0435	1078
γ {111}	2.0742	2.0810	3278

305 3.3.2 In-situ phase transformations

- 306 • Precipitation of σ from δ -ferrite at 950 °C

Figure 6 a) details the evolution of several diffracted σ planes ($\{202\}_\sigma$, $\{420\}_\sigma$, $\{411\}_\sigma$, and $\{311\}_\sigma$) during the first hour of isothermal holding at 950 °C. These results were retrieved after isolating portions of the spectra containing a large density of σ peaks. To obtain a kinetic observation of σ precipitation, intensity was normalized based on the last observed $\sigma\{411\}$ peak intensity at the end of the isothermal stage. Notice that the intensity of σ peaks is too small to obtain reliable sequential fitting. Therefore, a semiquantitative approach to σ precipitation kinetics and dissolution of δ can be obtained by this method. In this experiment, it was observed that the σ started to precipitate during the first minute at isothermal holding. Qualitatively it was observed in Figure 6 a) that after 15 minutes of heat treatment at 950 °C, the $\{110\}_\delta$ peak disappeared and the $\{202\}_\sigma$ overlapped. However, due to the peak broadening of the σ reflection, which decreases over time, and due to the existence of $\{200\}_\delta$ peak after 60 minutes (refer to Figure 6 b), it is evidenced that the partial transformation of ferrite to σ was unfinished after 15 minutes.

The final amount of σ is highly dependent on the starting amount of δ -ferrite since σ preferentially forms in the δ -ferrite regions (Figure 4). These results highlight the importance of controlling the processing temperatures during WAAM to minimize the permanence times at high temperatures, hence preventing δ -ferrite amount and the precipitation of undesirable phases, such as σ , during subsequent heat treatments.

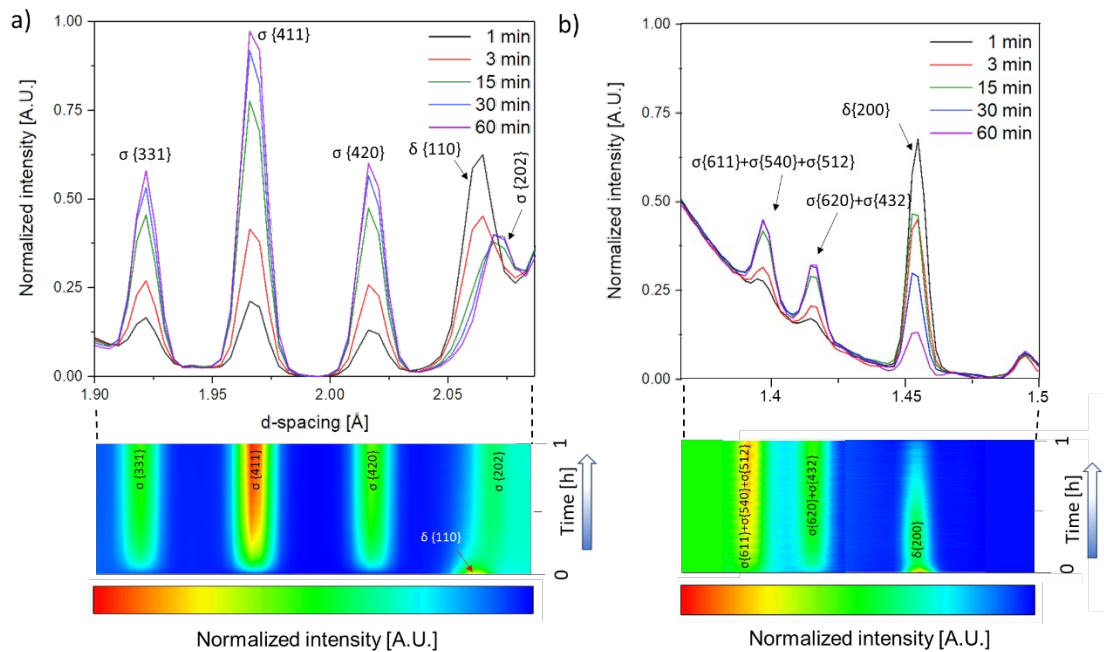


Figure 6 - a) and b)- Sigma (σ) growth and δ -ferrite dissolution in the isothermal stage at 950 °C after 1 min, 3 min, 15 min, 30 min, and 60 min. Peak intensity normalization of these two regions of the spectra (where a high density of σ peaks are observed) is conducted based on the maximum intensity of the $\{411\}_\sigma$ peak. Therefore, green-to-red colors represent the relative kinetics of precipitation of σ , while blue denotes the constant normalized background counts.

The dilatometry results of the first hour of the heat treatment performed at 950 °C are depicted in Figure 7. The peak intensity evolution is based on the normalized intensity plot shown in Figure 6 a). The observed behavior illustrates a slight contraction in the sample during the first hour of isothermal holding. Rivolta et al. [48] showed that σ precipitation from δ -ferrite leads to a slight contraction in the dilatometric curve. The increase in σ peak intensity and the continuous dissolution of δ ferrite indicate a constant transformation of δ to σ during the isothermal stage of the heat treatment at 950 °C. The fast kinetics of δ to σ transformation indicates that σ -growth is likely to be controlled by a small-scale atomic rearrangement of BCC into the tetragonal crystal structure, instead of long-range diffusion of substitutional alloying elements [49].

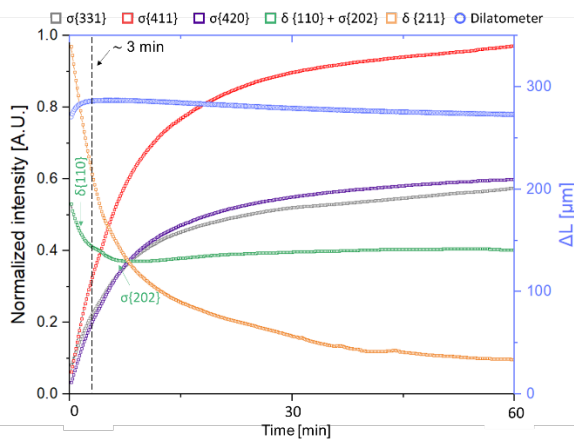
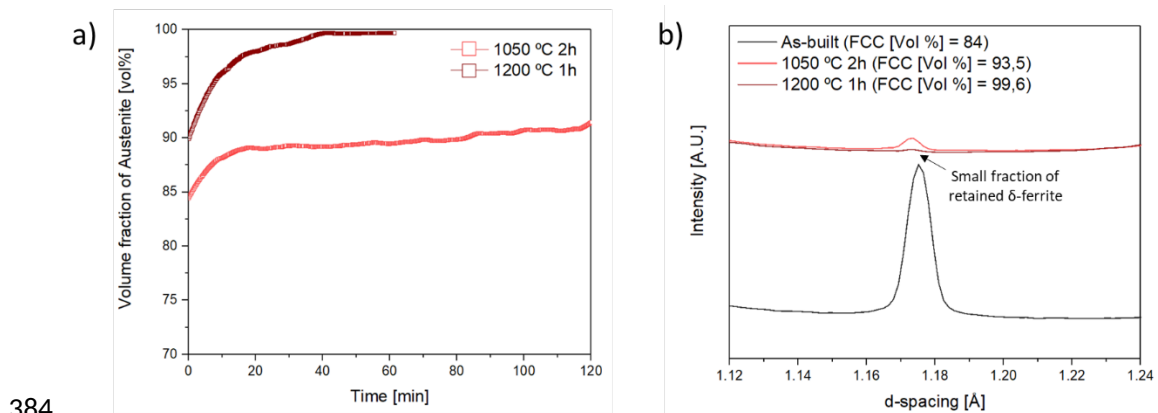


Figure 7 - Intensity evolution of the peaks $\{110\}_\delta$, $\{211\}_\delta$, $\{331\}_\sigma$, $\{411\}_\sigma$, $\{420\}_\sigma$ and $\{202\}_\sigma$ during the first hour of the isothermal holding at 950 °C. The apparent stabilization of the $\{110\}_\delta$ peak after 3 minutes of isothermal dissolution is explained by the simultaneous precipitation of $\{202\}_\sigma$. This does not occur for $\{110\}_\delta$. The initial volumetric expansion is then associated with the dissolution of δ -ferrite. Precipitation of σ results in a modest volumetric contraction.

After the two hours of heat treatment, no δ -ferrite peaks were found, as detailed in Figure 8 a). The large density of σ -phase precipitate peaks and the convolution of $\{110\}_\delta$ and $\{202\}_\sigma$ peaks are shown in a simulated XRD spectrum in Figure 8 b).

36884 and 89 % (refer to the starting point in Figure 10 a). These differences in the ferrite content
 369 can be explained by the recursive alternation between vermicular and lathy ferrite in the
 370 samples before heat treatment, which may vary the content of ferrite. So, if the beam is
 371 analyzing a slightly different region on the material, minor changes in the δ -ferrite amount can
 372 occur.

373 The volume percent of undissolved δ -ferrite was higher in the PWHT performed at 1050 °C
 374 than in the PWHT at 1200 °C (Figure 10 b). The undissolved amount of ferrite was
 375 approximately 6.5 % and 0.4 %, respectively. The continuous increase in the volume percent of
 376 austenite during isothermal holding at 1050 °C suggests that two hours were not enough to
 377 reach an equilibrium state, since thermodynamic equilibrium calculations (refer to Table 5)
 378 predict a complete dissolution of the ferrite phase at 1050 °C, and a residual amount of 2.6 %
 379 at 1200 °C. Two potential concurrent effects can explain this: i) the heat treatment time was
 380 not enough to reach an equilibrium condition; ii) the segregation of alloying elements during
 381 WAAM can locally change the material's chemistry, delaying the dissolution kinetics [50]. After
 382 solubilization at 1200 °C, only the stable $\{211\}_{\delta}$ peak is observed, indicating a texture
 383 modification.



385 Figure 10 - a) Austenite volume percent during 1050 °C 2h and 1200 °C 1h; b) $\{200\}_{\delta}$ reflection
 386 before and after solubilization heat treatment.

387 The final fraction of δ -ferrite after the heat treatment decreases with an increase in the
 388 solubilization temperature. The complete dissolution of δ -ferrite in austenitic stainless steels
 389 can be hard to achieve since long heat treatment schedules are required [19,51].

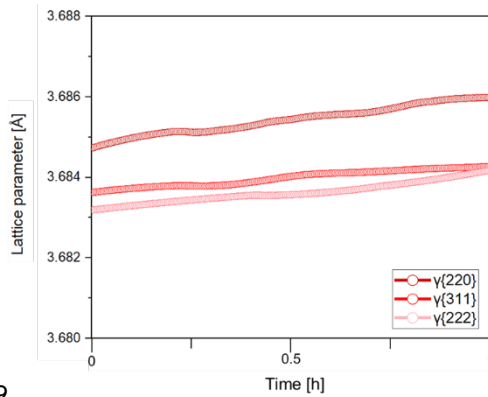
390 Table 4 presents a comparison of the volume fraction measurements made using equations
 391 1,2,3 vs the Rietveld refinement method. A maximum deviation of 0.62 % was measured,
 392 proving that the method used to measure the volume fraction when only two phases are

presented is valid. Moreover with MAUD it was possible to calculate 15.7 % as the final volume fraction of σ in the sample heat-treated at 950 °C. The fact that the final volume fraction of σ (15.74 %) is practically the same as the initial δ -ferrite volume fraction measured for the as-built condition (15.75 %), allows us to conclude that δ -ferrite acted as a nucleation site for the precipitation of σ . The precipitation of σ was restricted to the δ -ferrite segregation zones, and no δ -ferrite dissolution to the austenite matrix occurred. These results are also in good agreement with the ones already presented in Table 2, which measured phase percentage based on the micrographs characteristics.

Table 4 - Comparison of the volume percent [%] of each phase after each heat treatment. Measurements were made via the single peak fitting method (equations: (1), (2) and (3)) vs Rietveld refinement method with MAUD.

Phase		Austenite		δ - Ferrite		σ	
Method		Single peak fitting	MAUD	Single peak fitting	MAUD	Single peak fitting	MAUD
Condition	As-built	83.75	84.25	16.25	15.75	n.a.	n.a.
	After 400 °C 1h	83.90	83.6	16.1	16.40	n.a.	n.a.
	After 950 °C 2h	Not measured	84.26	n.a.	n.a.	Not measured	15.74
	After 1050 °C 2h	93.5	94.12	6.5	5.88	n.a.	n.a.
	After 1200 °C 1h	99.7	99.54	0.3	0.46	n.a.	n.a.

The lattice parameters calculated from the lattice spacing (d-spacing) for different {hkl} planes are given for the higher intensity peaks of austenite in Figure 11. During isothermal holding at 1200 °C, the lattice parameter expands, which is attributed to the dissolution of ferrite that changes the austenite composition [52,53].



409

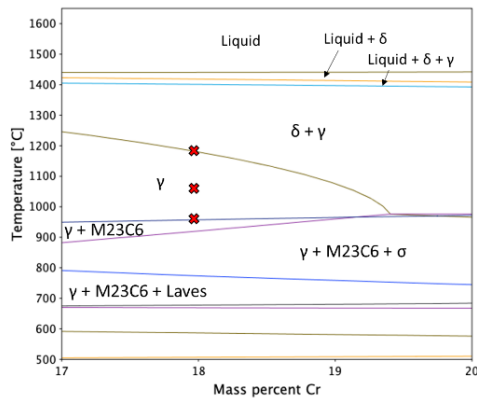
410 **Figure 11 – Lattice parameter evolution of the most prominent FCC peaks during heat-**
 411 **treatment at 1200 °C.**

412 Thermodynamic equilibrium calculations based on the TCFe11 database of Thermo-Calc (Table
 4135) were used to predict the equilibrium phases of the steel using the nominal composition in
 414 Table 1. Figure 12 depicts the equilibrium phase diagram with isopleths for each equilibrium
 415 phase as a function of temperature and the Cr mass percentage. Red crosses mark the ER
 416316LSi composition for each heat treatment temperature. It should be noticed that some
 417 discrepancies between the X-ray diffraction results and the equilibrium CalPhaD-based
 418 calculations exist. At 400 °C it is expected a 5% volume fraction of Laves, which was not found
 419 either by high-energy X-ray diffraction or microscopic analysis. Since the effect of each heat
 420 treatment largely depends on the initial microstructure, the thermodynamic calculations do
 421 not take into account the as-built microstructure of large δ -ferrite dendrites but rather the
 422 material's nominal composition, which at 400 °C hindered the formation of laves. Laves
 423 precipitation is very sluggish and may occur over extended heat treatment times at 400 °C.
 424 Padilha et al. [44] showed that Laves only precipitates at 550 °C after 10 000 hours.
 425 Additionally, σ is not expected to exist above 900 °C, thus the observed σ at 950 °C during and
 426 after the heat treatment is in a metastable equilibrium state. Since δ -ferrite is
 427 thermodynamically metastable at temperatures where the σ precipitates, σ will preferentially
 428 grow from within the δ -ferrite causing its decomposition [49]. When the temperature rises to
 429 1050 and 1200 °C the system evolves into an equilibrium state by solubilizing the δ -ferrite. The
 430 experimentally observed residual δ -ferrite at 1050 and 1200 °C is not related to an equilibrium
 431 phase (formed above 1200 °C), but instead to insufficient decomposition. This can be explained
 432 since the selected heat treatment conditions are not equilibrium conditions: i. e. the chosen
 433 time for the post-WAAM heat treatment is not enough to reach an equilibrium condition.

434 Table 5 – Equilibrium calculations using TCFE11 database of Thermo-Calc for the volume
435 percent [%] at the equilibrium temperatures of interest.

T [°C]	Austenite	δ - Ferrite	σ	Laves	$M_{23}C_6$
400 °C	72	23	-	5	-
950 °C	99.95	-	-	-	0.05
1050 °C	100	-	-	-	-
1200 °C	97.4	2.6	-	-	-

436



437

438 Figure 12 - Calculated isopleth for Cr mass percentage. The red crosses mark the composition of
439 ER 316LSi at each heat treatment temperature. The thermodynamic information is taken from
440 the commercial thermodynamic database TCFE11.

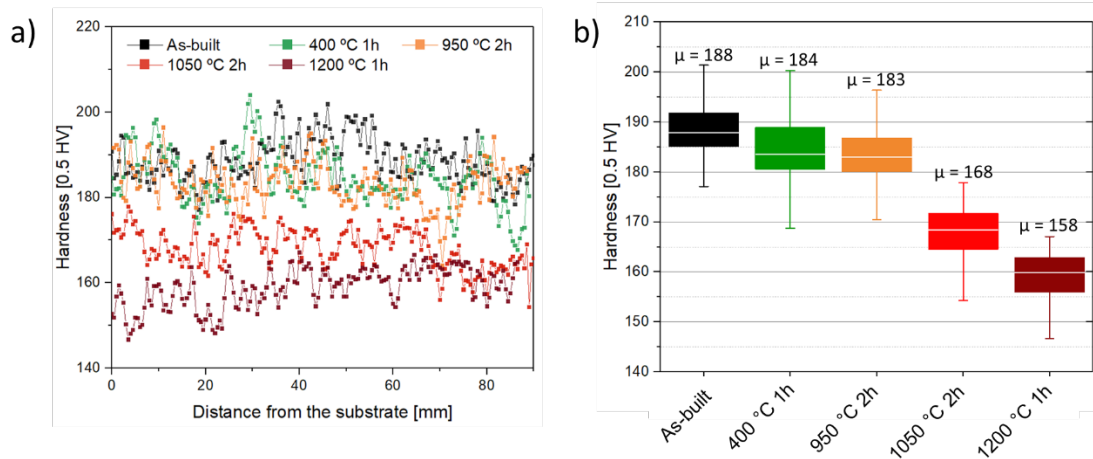
441 3.4 Hardness measurements

442 Vickers hardness plots as a function of distance from the substrate are presented in Figure 13.

443 As it can be seen, the higher hardness corresponds to the as-built and stress relieved sample
444 (400 °C 1h), ranging from 170 to 200 HV. Higher temperatures resulted in a more significant
445 dissolution of δ -ferrite, and therefore in lower hardness values. A maximum of 174 HV is
446 observed for the heat-treated sample at 1050 °C, while a maximum of 163 HV was found in
447 samples heat-treated at 1200 °C. Even though there is none δ -ferrite on the sample heat-
448 treated at 950 °C, the slight difference between the means of this sample with the as-built
449 condition is explained by the similar hardness of the σ in comparison to that of δ -ferrite.

450 The stress-relieved sample had similar hardness values with the non-stress-relieved one and
451 can be explained by the multiple thermal cycles developed during sample build-up. After one
452 layer is built, it is subjected to considerable periods at temperatures between 300-500 °C, thus
453 experiencing an in-situ (at least partial) stress-relieving mechanism during production. The

454 more predominant variable to affect the hardness is, therefore, the amount of δ ferrite, as the
 455 hardness values obtained for the two different solubilization temperatures are lower than for
 456 the other heat treatment conditions



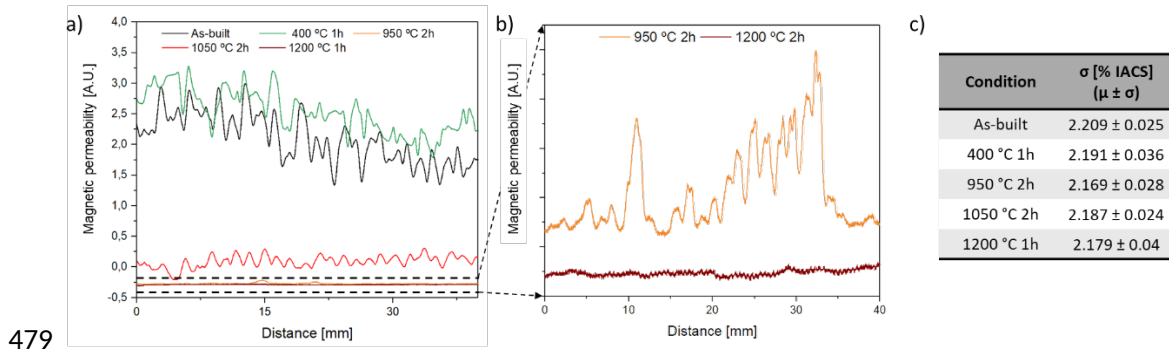
457

458 Figure 13 – a) Hardness measurements across sample's height, b) Boxplot of the hardness
 459 measurements displaying the minimum, maximum, median, and quartiles (Q1 and Q3) of each
 460 condition.

461 3.5 Electrical conductivity and magnetic permeability 462 measurements

463 Eddy current testing (ECT) and four-point probe technique were used to evaluate magnetic
 464 permeability and electrical conductivity changes, respectively. Results are depicted in Figure
 465 14. An inverse relation between the amount of δ -ferrite and the magnetic permeability was
 466 verified with ECT. With the gradual decrease of δ after PWHT at 1050 and 1200 °C, the signal
 467 output decreased. δ -ferrite is responsible to increase the magnetic permeability of the
 468 material, but sigma is not since it is not ferromagnetic. If there were not any X-ray diffraction
 469 measurements, δ -ferrite and σ could be qualitatively identified using ECT, as the microstructure
 470 images (Figure 4 b and d) do not allow to identify which constituents are present. The results
 471 show that the magnetic permeability of the samples containing δ (as-built and heat-treated at
 472 400 °C for 1h) is three times higher than the sample that includes the σ (heat-treated at 950 °C
 473 2h). The technique is very sensitive to variations of magnetic permeability, thus, variations in
 474 the δ -ferrite amount, however, very small variations of the electrical impedance were
 475 measured, as shown in Figure 14 b).

476 The average measurements of the electrical conductivity of these samples are between 2.169
 477 and 2.209 %IACS. The high standard deviation does not allow to establish any relationship
 478 between the amount of ferrite in a sample and the phases in it or with the grain size.



480 Figure 14 - a) Output signal of Eddy Current Testing (ECT) probe at 1.1 MHz, b) Detailed view of
 481 the signal output of ECT delineated with dashed lines, c) Average electrical conductivity
 482 measurements with a four-point probe for each condition.

483 Conclusions

484 A comprehensive study on the effect of post-WAAM heat treatments applied on 316L stainless
 485 steel was performed. By combining advanced microstructure characterization and in-situ
 486 measurements the following are the major findings of this work:

- 487 • The as-built WAAM samples presented an austenitic matrix with skeletal-type δ -ferrite
 488 and lathy-ferrite aligned with the solidification direction (z-axis). No other secondary
 489 phases were discernible.
- 490 • After the stress relief at 400 °C, the microstructure retained similar characteristics to
 491 that of the as-built condition. Synchrotron X-ray diffraction showed that both the δ -
 492 ferrite and austenite peaks shifted to lower scattering angles, evidencing a change in
 493 microstrain. This heat treatment decreased the sample hardness by an average of 4 HV.
- 494 • There is no clear morphological difference between the as-built sample and sample
 495 heat-treated at 950 °C for two hours. However, by electron backscatter diffraction, it
 496 was confirmed that δ -ferrite acted as a nucleation site for the precipitation of
 497 undesirable σ -phase. The precipitation of the σ -phase was geometrically restricted to
 498 the δ -ferrite segregation zones.
- 499 • In-situ observations showed that σ precipitation started within the first minutes of
 500 isothermal holding at 950 °C, and two hours was enough to fully transform $\delta \rightarrow \sigma$. A
 501 hardness decreased of 5 HV relative to the as-built sample was achieved.

- 502 • Thermodynamic calculations do not predict the existence of σ in the sample heat-
503 treated at 950 °C. Insufficient kinetics for δ -ferrite dissolution led to a metastable
504 equilibrium condition, where σ replaced the former vermicular δ -ferrite structures
- 505 • A temperature of 1050 °C showed to be enough to promote ferrite dissolution and
506 compositional redistribution into the austenitic matrix, avoiding the precipitation of
507 metastable σ . Efficient dissolution of δ -ferrite dendrites can be achieved with higher
508 solubilization temperature, as heat treatments performed at 1050 and 1200 °C resulted
509 in approximately 6.5 % and 0.4 % of δ -ferrite, respectively.
- 510 • Eddy's current testing was shown to be able to distinguish σ from δ -ferrite in the
511 stainless steel WAAM parts. These results are promising for in-situ inspections of large
512 as-built components that cannot be easily segmented or transported.

513

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