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Alexandrite: an attractive thin-disk laser material alternative to Yb:YAG?

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Abstract: Yb:YAG thin-disk (TD) technology has enabled construction of laser/amplifier systems with unprecedented average/peak power levels, and became the work-horse of many scientific investigations. On the other hand, for some applications, the narrow emission bandwidth of Yb:YAG limits its potential, and search for alternative broadband TD gain media with suitable thermo-opto-mechanical parameters is ongoing. The alexandrite gain medium has a broad emission spectrum centered around 750 nm, possesses thermomechanical strength that even outperforms Yb:YAG, and owns unique spectroscopic properties enabling efficient laser operation even at elevated temperatures. In this work, we have numerically investigated the power scaling potential of continuous-wave (cw) Alexandrite lasers in TD geometry for the first time. Using a detailed laser model, we have compared the potential cw laser performance of Yb:YAG, Ti:Sapphire, Cr:LiSAF, Cr:LiCAF and Alexandrite thin disk lasers under similar conditions, and show that among the investigated transition metal doped gain media, Alexandrite is the best alternative to Yb:YAG in power scaling studies at room temperature. Our analysis further demonstrates that potentially Ti:Sapphire is also a good alternative TD material, but only at cryogenic temperatures. However, in comparison with Yb:YAG, the achievable laser gain is relatively low for both Alexandrite and Ti:Sapphire, which then requires usage of low-loss cavities with small output coupling, for efficient continuous-wave operation.

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1. Introduction

The thin-disk laser (TDL) concept has strongly boosted the average power levels achievable from solid-state laser oscillators/amplifiers over the last decades [1]. Due to its thermo-opto-mechanical strength, Yb:YAG has been the workhorse of TD systems [2-4]. Current Yb:YAG TDLs provide cw power levels up to 10 kW, and cw mode-locked average power levels around 300 W (275 W with 583 fs pulses [5], 155 W with 140 fs pulses [6]). Via regenerative amplification 1.1 ps pulses with 200 mJ energy at 5 kHz (1 kW average power) has also been realized [7]. On the other hand, the narrow emission bandwidth of the Yb:YAG gain medium is an important limitation, restricting obtainable pulse widths and tunability. As a result, research for alternative TDL materials with strong thermo-opto-mechanical parameters and broad emission bandwidth is ongoing.

Part of this search is focused on other Yb⁺³-doped gain media such as Yb:YLF [8, 9], Yb:CALGO [10], and Yb:KYW [11]; however, the emission bandwidth of these materials are also rather limited. As an alternative, transition-metal doped laser materials such as Ti:Sapphire (660-1180 nm) [12-14], Alexandrite (701-858 nm) [15-19], Cr:Forsterite (1130-1367) [20, 21], Cr:ZnSe (1880-3350 nm) [22, 23], etc... provide significantly broader optical gain bandwidth at different regions of the optical spectrum, and investigation of their TD

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potential is also of great interest [24-26]. In this work, in search for an attractive broadband thin-disk laser material, we have focused our attention to the thermo-mechanically strong Alexandrite (Cr:BeAl₂O₄) gain media. Alexandrite was the first lasing material where broadly tunable laser operation could be demonstrated at room temperature (RT), and to our knowledge, it is also the only broadband lasing material where continuous-wave lasing operation could be achieved even with flashlamp pumping [27]. With the progress observed in brightness of laser diodes operating in the red spectral region, Alexandrite has attracted a renewed interest over the last few years [17, 28-38].

In this work, we have numerically investigated the lasing potential of Alexandrite in TD geometry for the first time. In our analysis, to survey Alexandrite's TDL performance in a comparative manner, we have also included Yb:YAG, Ti:Sapphire, Cr:LiCAF and Cr:LiSAF into our investigation. We have started our simulation efforts by examining the room-temperature cw laser performance of Yb:YAG and Ti:Sapphire TDLs, where a relatively good-match with earlier experimental results was observed [39-41]. Further analysis clearly showed that, among the broadband laser gain media investigated in this study, Alexandrite is the best candidate for power scaling for room temperature thin disk laser development. Moreover, we have seen that, Ti:Sapphire is also a very good alternative TD material, but only when it is operated at cryogenic temperatures. On the other hand, as a strong shortcoming, we have shown that, the achievable optical gain is rather low in both Alexandrite and Ti:Sapphire, which certainly creates challenges in the design of efficient laser and amplifier systems using these materials.

The paper is organized as follows. In section 2, we discuss pros and cons of Alexandrite in terms of laser related material properties. Then, in Section 3 and 4, we provide details on the investigated TD laser geometry and the numerical model that has been applied. In Section 5, we discuss the advantages of Alexandrite compared to other transition-metal doped gain media by providing continuous-wave lasing simulation results under similar conditions. Lastly, in section 6, we summarize with a brief conclusion.

2. Strengths and weaknesses of the Alexandrite gain medium

In this initial section, before we go into the details of laser performance modeling, we would like to shortly review laser related material properties of Alexandrite to introduce its strengths and weaknesses. Starting from the positive side:

- Alexandrite possesses an intrinsic slope efficiency of 65% [42], and has broad emission bands enabling tunable lasing in the 700-860 nm region [15, 16]. Alexandrite's broad gain spectrum could potentially generate sub-20-fs pulses centered around 750 nm [43]. Mode-locking of Alexandrite using Saturable Bragg Reflectors (SBRs) [29], Kerr-lensing [30, 31], and graphene saturable absorbers [32] has recently been demonstrated, resulting in generation of down to 70-fs level pulses so far [31].
- Alexandrite owns a strong and broad absorption band centered around 590 nm, that enables efficient direct diode pumping by red diodes. State-of-the-art pump modules with 100 W output power around 640 nm and 681 nm could readily be used for efficient pumping (the latter wavelength corresponds to in line pumping at the R₁ transition [15, 44]). For 681 nm pumping, the quantum defect is only around 11%, very close to Yb:YAG (9%), and way better than Ti:Sapphire (35%).
- Alexandrite has a relatively high thermal conductivity (κ: ~28 W/K.m) [45].
- Alexandrite is mechanically strong (fracture toughness, K_{1c}, higher than Yb:YAG and Ti:Sapphire), and its thermal expansion coefficient (α) and temperature dependent refractive index (dn/dT) values are similar to Yb:YAG [46-48] (see Table 1 for details). Hence, as a first order assessment, thermally induced beam deformations of Alexandrite and Yb:YAG TD systems are estimated to be of similar order of magnitude.

Table 1: Comparison of selected laser related parameters of Alexandrite, Ti:Sapphire and Yb:YAG at room temperature.

Gain Medium	Ti:Sapphire	Alexandrite	Yb:YAG
Dopant site	$(Ti_xAl_{1-x})_2O_3$	$Be(Al_{1-x}Cr_x)_2O_4$	$(Yb_xY_{1-x})_3Al_5O_{12}$
Dopant density at 1% doping [x10 ²⁰ ions/cm ³]	4.7 [46]	3.51 [49]	1.38 [46]
Birefringence	Negative uniaxial	Biaxial	Isotropic
Mass density [g/cm ³], ρ	3.98	3.69 [50]	4.56 [49]
Melting point [°C]	2040	1870	1970
Specific heat capacity [J/g°C], Cp	0.761	1.05 [47]	0.59 [49]
Knoop hardness [kg/mm ²]	1800(//c), 2200(//a)	1600-2300 [51]	1320 [49]
Thermal conductivity [W/K.m], K	30.3 (//a), 32.5(//c)[52] 1000 (@ 77 K) [53]	28 [45]	10 [54]
Thermal expansion coefficient [x10 ⁻⁶ /K], α	4.8 & 5.3 [54] 0.34 (@ 77 K) [53]	5.9 (//a), 6.1 (//b), 6.7(//c) [55]	6.7 [54]
Temperature dependence of refractive index [x10 ⁻⁶ /K], dn/dT	13 [46] 1.9 (@ 77 K) [53]	5.9 (//a), 6.9 (//b), 15.2 (//c) [55]	9.9 [46]
Young modulus [x10 ⁹ Pa], E	335	469 [50]	310 [49]
Poisson's Ratio, v	0.29	0.3	0.3 [49]
Fracture toughness [x10 ⁶ Pa m ^{1/2}], K _{1c}	2.2 [54]	2.6 [47]	1.4 [54]
Tensile (fracture) strength [x10 ⁶ Pa], σ_f	440 ^a	520 ^a	280ª
Thermal figure of merit [W/m ^{1/2}], R _T	22 [54]	14 [47]	5.1 [54]
Thermal shock resistance parameter [W/cm], R _T	44 ^a	28ª	10.2ª
Maximum temperature difference before cracking [°C], $\Delta T_{\max,disk}$	740	460 (//a & //b), 400 (//c)	380
Quantum Defect (%), q _d	35	11 (681 nm), 17 (640 nm)	9
Emission cross section [x10 ⁻²⁰ cm ²], σ_{em}	15 (//a), 41 (//c) [14]	~0.55 @ 25 °C, ~1.3 @ 100 °C (//b)	2.1 [49]
Fluorescence lifetime [μ s], τ_f	3.2 [56]	262 [57]	940 [46]
Gain product [x10 ⁻²⁶ cm ² s] $\sigma_{em}\tau_f$	48 (//a), 131 (//c)	130 @ 25 °C (//b), 163 @ 100 °C (//b)	1975
$T_{1/2}[^{\circ}C]$	~80 [14, 39]	530	-
Intrinsic slope efficiency [%], η _o	64 [58]	65 [42]	>85 [59]
Relative strength of laser ESA, $f_i = \sigma_{l,esa} \sigma_{em}$	~0 [14]	~0.07 @ 25 °C, ~0.17 @ 100 °C [60]	~0 [61]
Relative strength of pump ESA, $f_p = \sigma_{p,esa}/\sigma_{abs}$	~0	~0.7 @ 640 nm, ~0.01 @ 681 nm [62]	~0
Passive losses [%/cm]	2 [63]	0.06 [42]	0.3
Crystal figure of merit (FOM)	150 [63]	3000 [44]	3000
Nonlinear refractive index [x10 ⁻¹⁶ cm ² /W], n ₂	3.2 [27]	2 [50], 3.54 [64]	6.9 [65]
Group velocity dispersion (fs²/mm), GVD	56.6 [66]	60.7 [67]	66.6 [68]
Third order dispersion (fs³/mm), TOD	41.4 [66]	39.5 [67]	66.7 [68]
Gain bandwidth, FWHM (nm)	260	55	8
Tuning range [nm]	660-1180[12]	701-858 [15-19]	1016-1108
Minimum theoretical pulse duration [fs]	3.5	11.9	26
Demonstrated shortest pulse duration[fs]	~5 [13]	70 [31]	35 [69]
Polarizability difference [x10 ⁻²⁶ cm ³]	~ -100 [70]	~25[71]	1.95 [72]

^a We have assumed a maximum flaw size of 50 μm in the calculation of tensile strength and thermal shock resistance [26].

• It is possible to grow Alexandrite crystals with very low passive losses, with crystal figure of merit (FOM) values exceeding 3000 (FOM: the ratio of absorption coefficient at the pump wavelength to that at lasing wavelength) [44]. High quality samples with similar FOM are also available in the case of Cr:LiSAF/Cr:LiCAF [25] and Yb:YAG. On the other hand, for Ti:Sapphire due to unavoidable passive losses, the FOM is usually

- limited to around 250, and could at best reach around 500 in hand-selected samples with low doping [63].
- In Alexandrite, nonradiative transitions become effective at very high temperatures and its radiative quantum efficiency is known to be close to unity (0.95 ±0.05) for temperatures below 425 °C [73, 74]. The critical temperature (T_{1/2}) at which the nonradiative transitions become as strong as radiative transitions is around 530°C [15, 74] (compared to 80 in Ti:Sapphire [14, 39]). This enables the escape of the unused inversion as fluorescence, and minimizes the fractional thermal load.
- Alexandrite does not suffer from Auger upconversion process, which is an important limitation in achieving higher laser gain/inversion in Cr:LiCAF and Cr:LiSAF [75].
- For Alexandrite, the maximum temperature difference before cracking (thermomechanical damage) in TD geometry is estimated to be above 400 °C [76].
- Cw power levels as high as 26 W, and a slope efficiency of 49% has already been achieved from a diode-end pumped compact Alexandrite laser in rod geometry [28], showing its great power scaling potential. An average output power of 100 W was also demonstrated in flashlamp pumped Alexandrite systems (400 mJ pulses at 250 Hz), where further power scaling was limited due to thermal distortions, and degradation of output beam quality [77], which could potentially be resolved in TD geometry.

Despite all of the aforementioned advantages, Alexandrite has some important disadvantages as well:

- The gain product of Alexandrite at room-temperature is similar to Ti:Sapphire (σ_{em}τ_f: 130 x10⁻²⁶ cm²s), which is quite low compared to Yb:YAG. On the other hand, due to the peculiar energy structure of Alexandrite, the σ_{em}τ_f-product increases with temperature, and reaches a value of 163 x10⁻²⁶ cm²s at 100 °C (specified values are for a wavelength of 765 nm) [43]. Due to this interesting property, Alexandrite lasers usually provide better laser performance at elevated temperatures (cw lasers provide optimum performance around 80-100°C) [17, 34, 35, 78]. The TD laser systems could be designed to operate at temperatures close to 100 °C, to benefit from the increased gain. Note that, the σ_{em}τ_f-product at 100 °C is still an order of magnitude lower in comparison to Yb:YAG, which poses a great challenge in TD laser design.
- Alexandrite shows excited state absorption (ESA) both at the pump and lasing wavelength regions [60, 62, 79]. The ratio (f_p) of pump ESA cross section $(\sigma_{p,esa})$ to absorption cross section (σ_{abs}) is as high as 0.7 at 640 nm. Luckily, at 681 nm, at the inband resonant pumping wavelength, the measured trend in [62] shows that pump ESA gets quite small $(f_p \sim 0.01\text{-}0.015)$. The ratio (f_l) of ESA cross section at the lasing wavelength $(\sigma_{l,esa})$ to emission cross section (σ_{em}) is relatively low around the gain peak and has a value of 0.05 at room temperature [60]. However, ESA cross section is as strong as the emission cross section at the edges of the emission spectrum, which actually limits the tuning range [43]. Moreover, ESA cross section at the lasing wavelength region is shown to increase with temperature, and the rate of increase in ESA looks higher than the rate of increase observed in emission cross section [43, 79].
- For efficient laser operation, the chromium doping of Alexandrite crystals are kept within the 0.01-0.2% range, due to problems in obtaining uniform doping throughout the laser gain media at higher doping levels. Similarly in Ti:Sapphire, the Ti-doping is kept below 0.25%, since the increased doping levels rises passive losses and reduces the FOM of the crystals [63]. Besides the increased passive losses, high-doping concentration could also produce unfavorable effects such as concentration quenching of fluoresce lifetime, and sharp reduction in thermal merits such as thermal conductivity. Despite these detrimental effects, for Yb:YAG, efficient lasing has been achieved at Yb-doping levels up to 10% [80]. Interestingly, for Cr:LiCAF and Cr:LiSAF doping up to 100% is feasible without

- any known significant sacrifice from laser performance at low power levels [81]. Unfortunately, the literature is lacking systematic studies investigating the effect of doping on the laser related parameters for the aforementioned transition-metal doped laser materials, where as more is known for Yb:YAG [82].
- Moreover, in the case of Alexandrite, only around 78% of the doped Cr³⁺ ions are on laser active sites [83]. As a result, assuming a reasonable inversion level of 25%, in a 0.2% Cr-doped Alexandrite crystal, the ion density in the upper laser level is only around 1.37×10^{19} ions/cm³ (0.25 x 0.2 x 0.78 x 3.51×10^{20} ions/cm³ [49]). The emission cross section of Alexandrite at 765 nm at 100 °C is around 1.3 x10⁻²⁰ cm², and neglecting ESA, this results in a small signal gain coefficient (g_0) of only around 0.18 cm⁻¹. For a 100 μm thick TD, one bounce form the TD module ($\ell = 0.02$ cm) will then result in a single pass fractional gain ($g_0\ell$) of around 0.0036 (~0.35%). Performing a similar analysis for a 10% Yb-doped 100 µm thick Yb:YAG TD, we have found a single pass fractional gain of around 15%. This simple analysis shows the limitation imposed on laser gain by restricted doping capability of Alexandrite compared to Yb:YAG (assuming similar inversion levels). We also note here that, due to its longer lifetime (940 µs), it is easier to achieve high inversion levels in Yb:YAG compared to Alexandrite (260 µs), providing greater advantage to Yb:YAG. As an interesting example, for the Ti:Sapphire gain medium, which also could not be highly doped, with the help of the larger emission cross section value, a single pass fractional gain above 25% is estimated under similar conditions (0.25% doped, 100 µm thick Ti:Sapphire with an inversion of 25%). Unfortunately, as we will see in detail while presenting the laser simulation results, it is not easy (if possible), to reach such inversion levels in roomtemperature operated Ti:Sapphire TD laser systems under cw pumping conditions due its short fluorescence lifetime (3.2 µs) and onset of strong nonradiative process upon heating [39].
- For diode pumping of Alexandrite around 640 and 681 nm, only the E//b polarization has significant absorption. Hence, while using un-polarized fiber coupled diode pump modules, combined with limited doping capacity that is discussed above, it is difficult to achieve sufficiently high absorption in TD laser heads.

3. Details of investigated laser geometry

In this initial work, we wanted to simplify the laser geometry the TD was explored. The simplest possible geometry with the TD is shown in Fig. 1 (a), where the TD is used as a cavity end mirror. In this configuration, the cavity consists of the gain medium (TD crystal) and an output coupler (both could be either curved or flat) and the number of bounces on the TD in a cavity round trip is one (m=1). Note, that one passes the gain element twice in every bounce. A slightly more complex cavity form, which is usually employed to improve gain, is as shown in Fig. 1 (b), where the TD is employed as an intracavity mirror; hence, the number of bounces on the TD in every round trip is 2 (m=2). In this form, we assumed that the cavity also contains a curved high reflector. This second system is very similar to what has been used in the initial Ti:Sapphire TDL study [39], and will also be used as a test bed in our current work.

In the cw laser simulations, we have assumed that the cavity facilitate lasing in multimode regime, to enable higher extraction efficiencies [39]. The investigated Alexandrite TD is a c-cut sample and the b-axis with the higher emission cross section is employed in lasing. The pump diode provides its output via a multimode fiber, and a multi-pass pump optic module provides up to 72 passes through the TD for efficient (>80%) absorption of pump light [39, 84]. The pump wavelength is taken as ~681 nm, to enable in-band pumping using the R₁ line. For that, the pump diode temperature should be adjustable, so that one can match the diode wavelength to the absorption peak [44]. In most of our analysis, we have adopted a pump

power level of around 100 W, since to our knowledge red diode modules at similar power levels are already commercially available. On the other hand, it is well-known that, output power levels in TD geometry could be scaled up relatively easily, by employing larger spot sizes on the TD. The pump beam profile on the TD is assumed to be a super Gaussian with order 10. The front and back surfaces of the TD contain the usual antireflective and high reflective coatings for pump and laser wavelengths. All the optics are extremely low-loss to minimize the passive losses (R>99.995%, AR<0.005%), and the maximum flaw size in the TD is assumed to be below 50 μ m. The TD is mounted on a multi-layered 20 °C water-cooled heat spreader [85] with proper heat extraction capability. For TD laser materials other than Alexandrite, we have assumed usage of similar experimental systems (additional details will be provided while discussing the specific simulation results for each material).

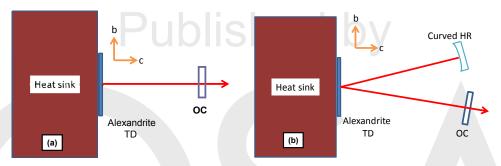


Fig. 1: (a) Schematic of the Alexandrite TDL resonator where the TD acts as an end mirror (m=1: single bounce on TD in a round trip). (b) TD is used as an intracavity mirror (m=2). HR: High reflector. OC: Output coupler.

4. Details of the numerical model

We will briefly present the details of the numerical model that was used in estimating the laser performance of Alexandrite TDLs in this section. The numerical method used is similar to what was recently described in [26] for the modeling of Cr:Colquirite TDLs, but it is an extended and improved version. First of all, for Alexandrite we have to also include the effect of pump excited state absorption and self absorption losses [17, 86, 87]. Additionally, effect of usage of active multipass cell (AMC) cavities with an arbitrary number of bounces in the TD is also included [88-90]. The model that will be outlined below is also valid for the other TD materials that are investigated for the comparative study.

In a TDL cavity, with m bounces on the TD in a cavity round trip, the threshold pump power (P_{th}), the laser slope efficiency (η_{eff}) and the laser output power P_{out} could be estimated using [86, 87, 91-93]:

$$P_{th} = \frac{\pi \left(w_p^2 + w_c^2\right) h v_p}{4m \left(MMF\right) \left(\sigma_e - \sigma_{\ell,esa} + \sigma_a\right) \tau_f} (OC + mL + 2mA_g) \tag{1}$$

$$\eta_{eff} = \left[\left(\frac{h v_l}{h v_p} \right) \left(\frac{\sigma_e - \sigma_{\ell,esa}}{\sigma_e} \right) (MMF) \left(1 - \frac{\sigma_{p,esa}}{\sigma_{em}} \frac{(OC + mL)}{4m} \right) \right] \frac{OC}{OC + mL}$$
 (2)

$$P_{out} = (P_{abs} - P_{th})\eta_{eff} . \tag{3}$$

Above h is Planck's constant, v_p (v_l) is the pump (laser) photon frequency, w_p (w_c) are the pump (cavity) beam waists, σ_{em} is the effective stimulated emission cross section value at the lasing wavelength (λ_l), $\sigma_{l,esa}$ is the excited state absorption cross section at λ_l , σ_a is the effective absorption cross section at λ_l , τ_f is the effective fluorescence lifetime of the upper laser level, OC is the transmission of the output coupler, L is the round-trip cavity loss (for

the simple cavity shown in Fig. 1 (a), with m=1), P_{abs} is the absorbed pump power, $2A_g$ is the self-absorption losses in the TD at λ_l in one bounce and MMF is the mode matching factor, that accounts for possible imperfect mode-matching between the laser and pump modes.

In our simulations, MMF factor is taken as 0.75 for cw operation. The round trip total loss (L) of the simple Alexandrite laser cavity shown in Fig. 1 (a) is assumed to have the following form:

$$L \cong (0.0005 + 0.05 \mathbf{w}_{p}). \tag{4}$$

Compared to our earlier work [26], we have chosen to use a more simple loss term in this study to facilitate easier comparison between different TD materials (same loss level is assumed for all the TD materials for simplicity). Note that, in our assumption, the total round trip cavity loss scales linearly with m due to the increased number of bounces on TD as well as other cavity mirrors (see the factor of m in front of the loss (L) term in Eqs. 1-3). Self absorption loss in one pass through the TD material (Ag) at the lasing wavelength has been estimated using:

$$A_{\nu} \approx \sigma_{a} N_{C} h \tag{5}$$

where h is the thickness of the TD, and N_{Cr} is the total laser active ion concentration. The self absorption losses has strong temperature dependence due to the shift of absorption band to longer wavelengths with temperature (or due to the variation of σ_a with temperature). The ground state absorption cross section of Alexandrite in the laser wavelength region could be estimated using the extended McCumber theory [94]:

$$\sigma_a(E,T) = \sigma_{em}(E,T) Exp\left(\frac{E - E^*(T)}{k(T + 273)}\right)$$
(6)

where E is the energy of the photons, $\sigma_{em}(E)$ is the effective emission cross section value at the energy E, k is the Boltzmann constant, T is the temperature of the crystal in units of °C, and E* is the effective no-phonon energy level. Note that E* is temperature dependent [15, 94] and has a value of 14701 cm⁻¹ at room temperature (corresponds to a wavelength of 680.23 nm). The temperature dependence of E* has been calculated (in cm⁻¹ units) by making a linear fit to the data provided in [79]:

$$E*(T) = 14720.1 - 0.7T \tag{7}$$

The temperature dependence of effective emission cross section of Alexandrite at the lasing wavelength (~765 nm) has been estimated using [43]:

$$\sigma_{em}(T) = 0.415 + 6.28x10^{-3} * T + 2.58x10^{-5} * T^{2} + 1.16x10^{-8} * T^{3} - 6.90x10^{-11} * T^{4}.$$
 (8)

We note here that, we have measured the variation of emission cross section between 0-450 °C ourselves using a method similar to what is employed in [15] to acquire the above formula (this information is also required for Eq. (6)). The temperature dependence of f_1 ($\sigma_{l,esa}/\sigma_{em}$) has been estimated using [79]:

$$f_1(T)=0.07+0.001*T.$$
 (9)

Unfortunately, high temperature (T>150 °C) data in [79] has large error bars ($\pm 60\%$), but to our knowledge, this is the only data available in the literature. The ratio of $\sigma_{p,esa}/\sigma_{em}$ has been taken as 0.2 and 8 for 681 nm and 640 nm pumping respectively (the ratios are assumed to be temperature independent) [62].

In a typical TD, the pump diameter (w_p) is much larger than the TD thickness (h), and that results in an almost purely longitudinal heat flow. Under this condition, for a well designed TD cooling geometry with a strong heat extraction capacity (with a large heat transfer coefficient at the boundary), the maximum temperature (T) inside the TD crystal could be roughly estimated using [85, 95, 96]:

$$T \cong T_0 + \frac{1}{2} I_{heat} \left(R_{disk} + R_{hs} \right) \tag{10}$$

where T₀ is the cooling water temperature (20 °C), R_{disk} is the heat resistance of the disk (h/K), and K is the thermal conductivity of the laser material. Note that, Eq. (10) is estimation for the maximum temperature of the TD, rather than the average value, which we have chosen to use here. This is due to the effectiveness of local heating in diminishing laser performance (as an avalanche mechanism catastrophically reducing performance), especially in systems similar to Cr:LiSAF and Ti:Sapphire with steeply varying fractional thermal load with temperature. For the biaxial Alexandrite gain medium, thermal conductivity in all the

three axis (K_x , K_y , and K_z) is reported to be 28 W/K.m [45] at room temperature (hence we can simplify the situation and only use the κ term). For a more realistic prediction of the temperatures inside the crystal, we have used an additional heat resistance (R_{hs}) term in Eq (10), which is due to the heat spreader/sink material and thermal glue/solder used in the TD element (taken as 15x10⁻⁶ m²K/W by investigating the data given in Fig. 5 of [39]). The temperature dependence of the thermal conductivity of Alexandrite has been determined using a formula acquired via a making a numerical fit to the data provided in [45]:

$$\kappa(T) = 300000 * (T + 273)^{-1.63}$$
(11)

where T is in the units of ${}^{\circ}C$, and ${}^{\kappa}$ is in the units of W/Km. The heat load per area (I_{heat}) is estimated using:

$$I_{heat} = \frac{P_{abs}}{\pi w_p^2} \eta_{heat} \tag{12}$$

where η_{heat} is the heat generation coefficient, which is calculated with [97, 98]:

$$\eta_{heat} = 1 - \frac{\lambda_p}{\lambda_l} \left(\frac{\sigma_e - \sigma_{\ell,esa}}{\sigma_e} \right) \left(1 - \frac{\sigma_{p,esa}}{\sigma_{em}} \frac{(OC + mL)}{4m} \right) (MMF) \frac{\frac{\tau_f}{\tau_R} + \frac{I_c}{I_{sat}}}{1 + \frac{I_c}{I_{sat}}} \right). \tag{13}$$

In Eq (13), I_c is the intracavity laser intensity:

$$I_c = 2\frac{P_{out}}{\pi w_c^2} \frac{1}{OC} \tag{14}$$

In Eq (13),
$$I_c$$
 is the intracavity laser intensity:
$$I_c = 2\frac{P_{out}}{\pi w_c^2} \frac{1}{OC},$$
and I_{sat} is the laser saturation intensity:
$$I_{sat} = \frac{hv_p}{\left(\sigma_{em} + \sigma_a\right)\tau_f}.$$
(15)

The temperature dependence of fluorescence lifetime (τ_f) of Alexandrite has been determined with [43, 73, 74]:

$$\tau_{\rm F} = \tau_{\rm R} \, \eta_{\rm RE} \tag{16}$$

$$\tau_{\rm R} = \tau_{\rm E} \frac{1 + Exp\left(-\frac{\Delta E}{k(T + 273)}\right)}{1 + \frac{\tau_{\rm E}}{\tau_{\rm T}} Exp\left(-\frac{\Delta E}{k(T + 273)}\right)} \tag{17}$$

$$\eta_{RE} = \left(1 + \frac{\tau_E}{\tau_{NR}} Exp\left(-\frac{\Delta E_{NR}}{k(T + 273)}\right)\right)^{-1},\tag{18}$$

where τ_R is the effective spontaneous radiative lifetime (which is also temperature dependent in Alexandrite due to the peculiar energy band structure), τ_{E} is the lifetime of the storage level (1.54 ms), τ_T is the lifetime of the phonon-broadened upper state laser level (6.6 μs), ΔE is the activation energy for phonon coupling between the storage and laser levels (800 cm⁻¹), η_{RE} is the radiative quantum efficiency, ΔE_{NR} is the activation energy for nonradiative process (12000 cm⁻¹), and τ_{NR} is the high temperature limit of the nonradiative lifetime (0.75 ps).

In laser efficiency simulations, the coupled set of equations given above are solved iteratively till a stable result is obtained. All the simulations have been performed using Mathematica software with a self-written code, which provided us the flexibility to include all the specific fine details of the problem. In the discussion above, we have mostly discussed relevant laser parameters for Alexandrite, which is the focus of this work. Simulation parameters for Yb:YAG, Ti:Sapphire, Cr:LiCAF and Cr:LiSAF is listed in Table 1 and on reference [26], and we also refer the readers to many excellent papers in literature for details [14, 56, 99, 100].

5. CW lasing simulation results

In this section, we will be presenting our TD cw laser performance simulation results in detail. In all of the analysis in this section, we have considered a simple cavity where the TD is acting as an intracavity mirror with 2 bounces on TD in every cavity round-trip (Fig. 1 (b), m=2), and we have assumed a pump beam diameter of 3 mm (w_p\(\text{sw}_c=1.5\) mm), a modematching factor (MMF) of 0.75, a TD thickness of 100 micrometers and a round-trip cavity loss level of around 0.115 % (Eq. 4, taking w_p=1.5 mm, and m=2). It is obvious that, under real-world conditions, usage of different TD materials results in different operational parameters, but in the analysis of this section, we have assumed similar external parameters for each gain media, and we focus on investigating the effect of intrinsic laser related parameters such as thermal conductivity, emission cross section, and fluorescence lifetime on laser performance. In this way one can clearly link the estimated laser performance to the underlying intrinsic material properties, without the shadowing effect of external parameters. A more detailed study should look at specific specs for each crystal, and could provide optimized results for each material. On the other hand, compared to the main trends that we are aiming to demonstrate here, the additional optimization will only provide incremental improvements, and such a study is beyond the scope of this initial work. Lastly, the cw slope efficiencies that we will present below are with respect to absorbed pump power, and it is assumed that the pump module chamber for each material provides enough passes for efficient absorption of incident pump power.

5.1 Estimated cw lasing performance of Yb:YAG TD laser

We start presentation of our simulation results with the well-known Yb:YAG TD laser material[4]. Note that, as required, our model considers the 3-level laser structure of Yb:YAG gain media and accounts for temperature dependence of effective emission cross section, self-absorption losses and thermal conductivity. Figure 2 shows calculated cw laser slope efficiency curves for Yb:YAG (simulation parameters are given in detail in the figure caption, and in Table 1). We see that due to its large gain product, the Yb:YAG laser operates efficiently even with large output coupling. Laser performance with up to 10% OC is shown in Fig. 2, but the system enables cw lasing even with output coupling values above 50% due to the large gain values that could be acquired (as we will see soon this is not the case for the aforementioned transition-metal-doped gain media).

We see from Fig. 2 (b) that, using a 1% OC, the lasing threshold is estimated to be 15 W, and a slope efficiency as high as 60% looks feasible. Note that the slope efficiency estimated here is limited mostly by the mode-matching factor (0.75) used in the simulations. Assuming perfect mode-matching (MMF=1) our model estimates a slope efficiency up to 83% (this usually comes at the expense of reduced laser output beam quality). Comparing the simulation results with the literature, we see that using a 10%-doped 110-140 µm thick Yb:YAG TD laser material, cw slope efficiencies of 82% has been achieved from a Yb:YAG laser with multi transverse mode laser output by pumping at the zero-phonon line (1 kW output power, 4.5% output coupler, 1.5 kW pump power, a pump spot diameter of 5.6 mm) [40]. Usually, laser efficiencies decrease to around 50% level, for cavities that support almost transform limited beam profiles (corresponds to a lower MMF in our simulations) [41].

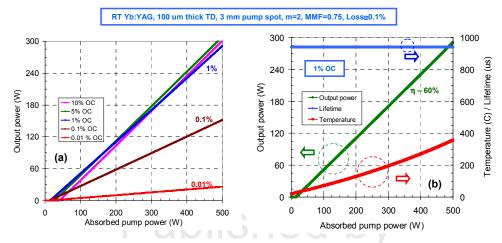


Fig. 2: (a) Calculated cw laser efficiency curves for the Yb:YAG TD laser for output couplers with transmission values in the 0.01% to 10% range. The calculations have been performed for a TD cavity with 2 bounces on the TD every round-trip (m=2), employing a 10% Yb-doped TD with a thickness of 100 μ m, a pump spot diameter of 3 mm, a mode matching factor (MMF) of 0.75, and an intracavity loss level of 0.115%. Pump and laser wavelengths are assumed to be 968 nm and 1030 nm, respectively. (b) Calculated variation of cw laser output power, laser crystal fluorescence lifetime and temperature as a function of absorbed pump power for the Yb:YAG TDL employing the 1% output coupler. Temperature dependence of thermal conductivity is modeled via $\kappa_{\text{TR-X-RG}}(T) = 880*(T+273)^{-6.35}$ [82, 101].

Before ending this section, we need to mention that the induced thermal effects might distort the beam quality and lower laser performance at TD temperatures above ~150-200 °C. Hence, the simulation curves in Fig. 2 should be taken as idealistic performance estimates, where the aim is to show any degradation in laser performance due to intrinsic laser related parameters (reduced laser gain with overheating, etc...), and the simulation ignores secondary effects (thermal load causing beam distortions, which then effects laser performance via changing MMF factor, etc..). Note, that we assumed here a fixed fluorescence lifetime of Yb:YAG independent of temperature (we ignored any nonradiative relaxation processes). There are reports of nonlinear relaxation processes in Yb:YAG at high inversion levels [99, 100]. On the other hand, a recent study showed that pumping at the zero-phonon line might suppress these nonradiative processes [101]. Usage of a reasonably-doped TD material and employment of zero-phonon line pumping, mostly validates our assumption here.

5.2 Estimated cw lasing performance of Ti:Sapphire TD laser

In this subsection, we will present cw lasing simulation results of Ti:Sapphire gain medium, under conditions similar to the TD Yb:YAG system that is discussed above. Figure 3 summarizes the expected cw laser performance of Ti:Sapphire TD laser. First of all, due to the ~15 times smaller gain product compared to Yb:YAG, the achievable small signal gain is very low in the Ti:Sapphire TD system, and lasing is not possible even with a 1 % output coupler. Moreover, the larger quantum defect (35% versus 9% in Yb:YAG), considerably increases the thermal load on the TD crystal. On top of this, the nonradiative processes are quite effective in Ti:Sapphire even at RT, which considerably limits the achievable inversion (or small signal gain values). All combined this results in limited lasing performance in Ti:Sapphire TD systems that is operated at RT. Note that, the optimum output coupling for the system is only around 0.1%. With this OC, the laser has a lasing threshold of 14 W, and expected slope efficiency is below 20% (Fig. 3 (b)). Clearly, thermal effects, heating of the crystal and reduction of fluorescence lifetime, considerably limits the laser performance in Ti:Sapphire TDLs operated at RT. Our simulation results matches the recent experimental results obtained with Ti:Sapphire gain medium quite well [39]. With this, we see that, our

model is effective in successfully estimating the trends of cw laser performance both for Yb:YAG and Ti:Sapphire TD lasers, despite orders of magnitude difference in performance. We stress here that our aim is to include all the important laser related parameters, but exclude the fine details, and achieve simulation results that could explain the main trends in laser efficiency with each TD material.

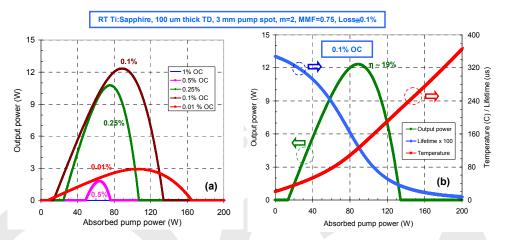


Fig. 3 (a) Calculated cw laser efficiency curves for the RT Ti:Sapphire TD laser for output couplers with transmission values in the 0.01% to 1% range. The calculations have been performed for a TD cavity with 2 bounces on the TD every round-trip (m=2), employing a 0.25 % Ti-doped TD with a thickness of 100 μ m, a pump spot diameter of 3 mm, a mode matching factor (MMF) of 0.75, and an intracavity loss level of 0.115%. Pump and laser wavelengths are assumed to be 532 nm and 800 nm, respectively. (b) Calculated variation of cw laser output power, laser crystal fluorescence lifetime and temperature as a function of absorbed pump power for the Ti:Sapphire TD laser employing the 0.1% output coupler. Note that lifetime x100 is shown for better visibility in the graph. Temperature dependence of thermal conductivity is modeled assuming $\kappa_{Ti:Sauphire}(T) = 1.26 + 4070 (T + 273)^{-1} + 1.1x10^{-6} (T + 273)^{-2}$.

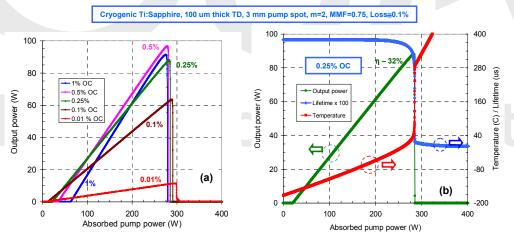


Fig. 4 Calculated cw performance of Ti:Sapphire TD laser when the back side of the heat sink material is cooled to cryogenic temperatures (cooling to 100 K is assumed here via liquid nitrogen cooling). All the other parameters are same as Fig. 3.

At this point, before moving on with other TD gain media, it is instructive to quickly check the possible performance improvement that could be achieved in TD Ti:Sapphire lasers by cooling it to cryogenic temperatures (100 K). At cryogenic temperatures, the small signal

gain [105-107] as well as the thermal conductivity of the Ti:Sapphire gain medium improves [53, 108], and as a result thermal distortions are minimized (Table 1). More importantly, the nonradiative transition rates are ignorable at cryogenic temperatures [14]. Benefits of cryogenic cooling in laser [34] and amplifier performance [105, 109-111] has already been demonstrated experimentally in rod geometry (54 W of average power is reported in [111]). Figure 4 summarizes the estimated laser performance in cryogenically cooled Ti:Sapphire TD lasers systems. First of all, compared to RT operation (Fig. 3), due to the increased heat extraction capability, more pump power could be applied to the system. Secondly, the laser efficiency curves get more linear: the nonlinear behavior mostly due to presence of strong thermal quenching of fluorescence lifetime almost disappears. The system also allows usage of higher output coupling (higher inversion levels are viable): lasing is now possible with 3-4% transmitting output couplers. The simulation results further show that laser power levels above 25 W could be achieved with slope efficiencies above 30 % in cryogenically cooled Ti:Sapphire laser systems in TD geometry (at 100 W absorbed pump power level).

5.3 Estimated cw lasing performance of Cr:LiSAF and Cr:LiCAF TD lasers

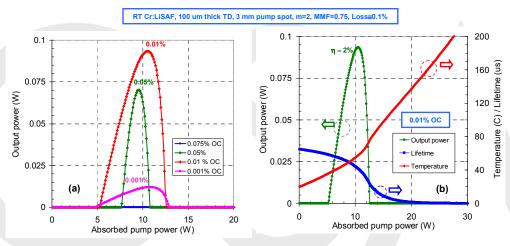


Fig. 5 (a) Calculated cw laser efficiency curves for the Cr:LiSAF TD laser for output couplers with transmission values in the 0.001% to 0.075% range. The calculations have been performed for an a-cut, 1% Cr-doped TD with a thickness of 100 μ m, a pump spot diameter of 3 mm, a mode matching factor (MMF) of 0.75, and an intracavity loss level of 0.115%. Lasing could not be achieved wit the 0.075% OC. Pump and laser wavelengths are assumed to be 640 nm and 850 nm, respectively. (b) Calculated variation of cw laser output power, laser crystal fluorescence lifetime and temperature as a function of pump power for the Cr:LiSAF thin-disk laser for the 0.05% transmitting output coupler. Temperature dependence of thermal conductivity is modeled assuming $\kappa_{Cr,LiSAF}(T) = 207*(T+273)^{-0.836}$ [112].

Our detailed TD modeling results with Cr:LiCAF and Cr:LiSAF gain media has been recently presented in [26]; however, the simulation parameters were different than what we use here (slightly lower loss, and slightly higher MMF were considered in [26]), and the simulations will be repeated here to enable a direct comparison with other TD laser materials discussed in this work. We start with Fig. 5, which shows the expected cw lasing performance of Cr:LiSAF TDL. Due to its low thermal conductivity (κ : ~2.4 W/K.m), and susceptibility to temperature quenching of fluorescence lifetime ($T_{1/2} \sim 70$ °C), the Cr:LiSAF TD heats up very fast before any inversion could be acquired. As a result, the cw lasing performance is quite poor, and in terms of power scaling, it is the most challenging material among all the gain media investigated in this study [113]. The pump spot size (3 mm diameter) chosen in the comparative simulations is too small for Cr:LiSAF gain media (tight focusing initiates thermal problems). As we have shown in [26], using a much larger spot size (8 mm diameter),

output power levels around 10 W might be feasible in principle (at the expense of further reduction of gain). On the other hand Cr:LiSAF is quite brittle (e.g. fracture toughness 8 times lower than Ti:Sapphire), and handling of such thin and large area TD materials would be very difficult. Lastly, as in the case of Ti:Sapphire, cryogenic operation of Cr:LiSAF might improve its laser performance. However, to our knowledge, the thermo-opto-mechanical parameters of Cr:LiSAF is not yet studied in detail at these temperatures, and hence a reasonable estimate for its laser performance at cryogenic temperatures is not possible at this point.

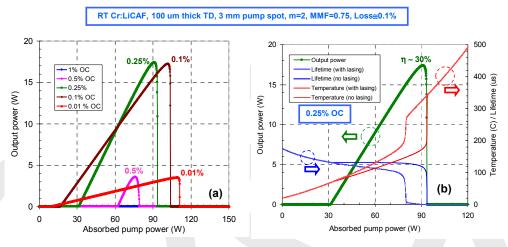


Fig. 6 (a) Calculated cw laser efficiency curves for the Cr:LiCAF TD laser for output couplers with transmission values in the 0.01% to 1% range. The calculations have been performed for a c-cut, 2% Cr-doped TD with a thickness of 100 μ m, a pump spot diameter of 3 mm, a mode matching factor (MMF) of 0.75, and an intracavity loss level of 0.115%. Lasing could not be achieved wit the 1% OC. Pump and laser wavelengths are assumed to be 640 nm and 800 nm, respectively. (b) Calculated variation of cw laser output power, laser crystal fluorescence lifetime and temperature as a function of pump power for the Cr:LiCAF TD laser for the 0.25% transmitting OC. Lifetime and temperature has been shown with and without lasing, which shows significant difference due to the presence of Auger upconversion. Temperature dependence of thermal conductivity is modeled via $\kappa_{Cr,LiCAF}(T) = 592*(T+273)^{-0.836}$.

We continue this subsection with Fig. 6, which shows the expected cw lasing performance of Cr:LiCAF TD laser. Compared to Cr:LiSAF, Cr:LiCAF has higher thermal conductivity [54, 114], lower quantum defect, and a lower nonradiative decay rate [115], making it a better candidate for power scaling. However, this comes at the expense of a lower gain product, and narrower gain bandwidth. As we can see from Fig. 6, the optimum output coupling of the TD Cr:LiCAF system is only around 0.25%. With this output coupling, the lasing threshold is estimated to be 32 W, and the slope efficiency of the system reaches to 30%. As discussed in [26], the efficiency of the Cr:LiCAF TD system could be improved by minimizing losses, and improving the mode-matching factor. Further improvement might also be obtained via operating the system also at cryogenic temperatures, but as in the case of Cr:LiSAF, the literature lack data on its properties at cryogenic temperatures, to provide a more in depth analysis here.

5.4 Estimated cw lasing performance of Alexandrite TD laser

Figure 7 shows the performance estimate for the cw TD Alexandrite laser. First of all, the achievable small signal gain from the system is relatively high compared to room-temperature Ti:Sapphire, Cr:LiCAF and Cr:LiSAF, but it is still roughly an order of magnitude lower compared to Yb:YAG. The optimum output coupling is 0.5%, which results in a lasing threshold of around 30 W. A slope efficiency above 40% also looks feasible form the

Alexandrite system (for pump power levels up to 100 W, the slope efficiency is 47%, which then reduces to 41% at high pumping intensities). Note that, as mentioned earlier, in Alexandrite, for temperatures below 400 °C the decrease in fluorescence lifetime with temperature is not due to the existence of non-radiative processes, but due to the interaction between the ²E and ⁴T₂ levels [73, 74]. The decrease in lifetime is balanced with an increase in emission cross section rate, and the gain product is maximized at a crystal temperature of around 100 °C. We can see from Fig. 7 (b) that, at a pump power of around 100 W, the Alexandrite crystal temperature is estimated to be around 80 °C, which is close to the optimum temperature for laser performance. As a final note, unlike the other gain media discussed in this work, operating Alexandrite lasers at cryogenic temperatures is not an option for efficiency improvement for the broadband 750 nm transition. Basically, cooling Alexandrite to cryogenic temperatures minimizes phonon activated coupling of the ²E and ⁴T₂ levels, and prevents accumulation of inversion in the vibronic ⁴T₂ level, that blocks the lasing channel around 750 nm. At cryogenic temperatures, similar to ruby, the Alexandrite medium becomes a 3-level system, and generates narrowband lasing at 680.4 nm [38].

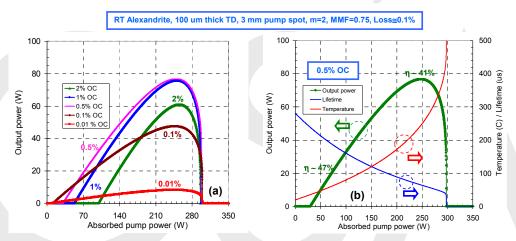


Fig. 7 (a) Calculated cw laser efficiency curves for the Alexandrite TD laser for output couplers with transmission values in the 0.01% to 1% range. The calculations have been performed for a c-cut, 0.2% Cr-doped TD with a thickness of $100 \, \mu m$, a pump spot diameter of 3 mm, a mode matching factor (MMF) of 0.75, and an intracavity loss level of 0.115%. Pump and laser wavelengths are assumed to be $681 \, mm$ and $760 \, mm$, respectively. (b) Calculated variation of cw laser output power, laser crystal fluorescence lifetime and temperature as a function of pump power for the Alexandrite TD laser for the 0.5% transmitting output coupler.

5.5 Comparison of different laser TD gain media under identical conditions

We are now at a point, where we can look at the estimated TD laser performance of the materials of interest together. Figure 8 shows the expected cw TD laser performance of Yb:YAG, Alexandrite, Cr:LiCAF, Ti:Sapphire and Cr:LiSAF in the same graph, for a clear direct comparison. In Fig. 8 (a) estimated cw efficiency curves are presented, where as in Fig. 8 (b) and (c) calculated variation of laser crystal temperature and fluorescence lifetime with absorbed pump power levels are shown, respectively. Note that laser performance at the optimum output coupling is shown for each material, where the output coupling is as low as 0.01% for Cr:LiSAF. Fig. 8 (a) clearly shows the advantages of the Yb:YAG laser system, which outperforms all other candidates in terms of available gain, achievable efficiency and output power levels, but again its narrow gain bandwidth is a key drawback. We see from Fig. 8 that, among the investigated broadband laser materials, with estimated slope efficiencies in the 40-47% range, Alexandrite is the strongest candidate for power scaling in TD geometry. It is noteworthy to mention that the simulation results of the cryogenically cooled Ti:Sapphire

TD laser system, with up to around 35% slope efficiency, is also quite interesting. We can further see from Fig. 8 that, power scaling in TD geometry is quite challenging in room temperature Ti:Sapphire, Cr:LiCAF and especially in Cr:LiSAF. As a side note, in Fig. 8, we have an incident pump power up to 400 W (in a 3 mm spot), for all the TD laser materials for comparison purposes. This corresponds to a pump intensity around 5.7 kW/cm², which is within the performance limits of Yb:YAG thin disks. However, such pump intensities could not be handled by mechanically soft, thermally unfavorable materials like Cr:LiSAF, Cr:LiCAF, and probably even Ti:Sapphire and Alexandrite might have problems. Hence, especially the temperature calculations in Fig 8 (b) should be considered as curves showing the trend (ignoring TD damage), and as an example, Cr:LiSAF thin disks will probably get damaged at temperatures around 100 °C already.

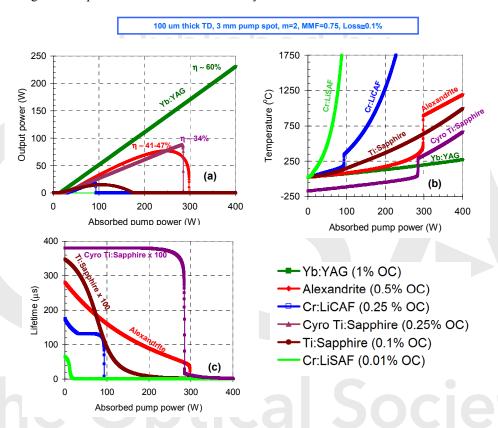


Fig. 8 (a) Calculated cw laser efficiency curves for the Yb:YAG, Alexandrite, Cr:LiCAF, Ti:Sapphire and Cr:LiSAF TD lasers are shown together. The calculations have been performed for a TD thickness of $100~\mu m$, a pump spot diameter of 3 mm, a mode matching factor (MMF) of 0.75, and an intracavity loss level of 0.115%. For each material, the data is shown with the optimum output coupling ratio, that is determined from Fig. 2-7. Calculated variation of laser crystal temperature (b) and fluorescence lifetime (c) as a function of absorbed pump power is also shown for the different TD laser materials investigated in this study.

As a summary, in this initial study, we have shown that, with their broad gain bandwidths and favorable thermo-opto-mechanical properties, cryogenically cooled Ti:Sapphire and Alexandrite looks attractive alternatives to Yb:YAG systems. On the other hand, the small signal gain achievable from both of these systems under cw pumping is rather low compared to Yb:YAG, and it is clear that special attention will be required in minimizing cavity losses for efficient lasing with Alexandrite and Ti:Sapphire gain media. When we compare RT Alexandrite and cryogenic Ti:Sapphire in terms of cw laser operation, we believe that, with

the current state-of-the-art technology, Alexandrite TD lasers might be more promising due to: (i) simplicity of room temperature setups compared to cryogenic laser systems, (ii) availability of higher brightness pump diodes, (iii) lower quantum defect (11% versus 35% in Ti:Sapphire), and (iv) availability of lower loss crystals. Moreover, our focus in this work is on cw operation, but amplifier performance of TD systems is also an attractive topic. Unfortunately, due to its short fluoresce lifetime, Ti:Sapphire amplifier systems will require complex pump sources with short intense pulses. In comparison, Alexandrite amplifiers could be pulsed pumped by typical gain-switched laser diode modules, providing it a another advantage compared to Ti:Sapphire.

6. Conclusions

In conclusion, to our knowledge, we have presented the first numerical investigation for estimated cw laser performance of Alexandrite lasers in TD geometry. Comparing Alexandrite with Ti:Sapphire, Cr:LiSAF, Cr:LiCAF, we have shown that, among the gain media investigated, Alexandrite is the best alternative to Yb:YAG in power scaling studies at room temperature. We also underline the drawback of Alexandrite in terms of low achievable overall optical gain, which creates challenges in development of efficient laser and amplifier systems.

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Disclosures

The authors declare no conflicts of interest.

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