

Revealing Hidden Facts of Li Anode in Cycled Lithium Oxygen Batteries through X-ray and Neutron Tomography

Fu Sun,^{1*✉} Rui Gao,^{*2} Dong Zhou,^{3*✉} Markus Osenberg,^{1,4} Kang Dong,^{1,4} Nikolay Kardjilov,⁴ André Hilger,^{1,4} Henning Markötter,^{1,4} Peter Maria Bieker,³ Xiangfeng Liu^{2✉} and Ingo Manke¹

¹Institute of Applied Materials
Helmholtz Centre Berlin for Materials and Energy
Hahn-Meitner-Platz 1, 14109 Berlin, Germany

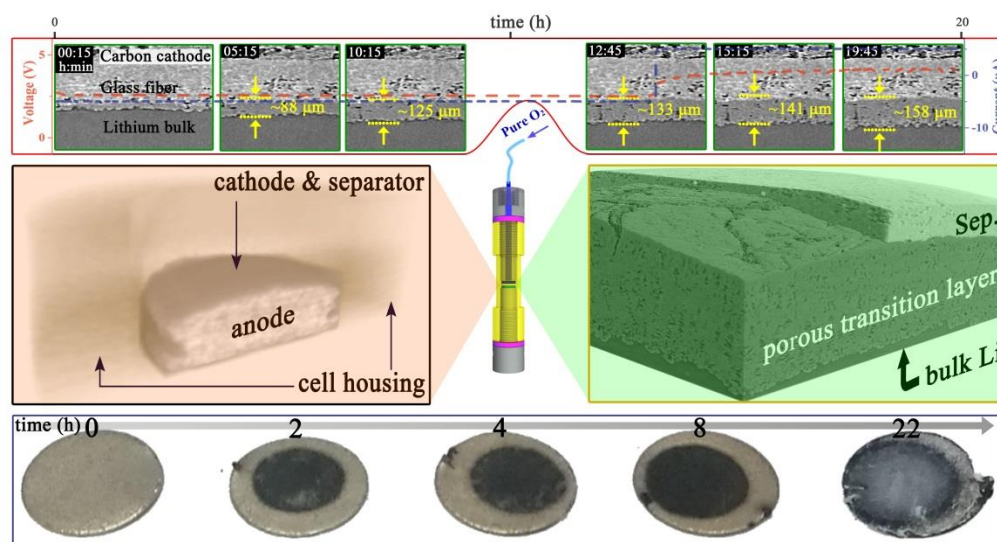
²College of Materials Science and Optoelectronic Technology
University of Chinese Academy of Sciences, Beijing 100049, P. R. China

³MEET Battery Research Center
Corrensstraße 46, 48149 Münster, Germany

⁴Institute of Material Science and Technologies
Technical University Berlin
Strasse des 17. Juni 135, 10623 Berlin, Germany

Abstract

The gap between its successful application and perspective promise of lithium-oxygen battery (LOB) technology should be filled by an in-depth and comprehensive understanding of its underlying working/degradation mechanisms. Herein, the correlation between the morphological evolution of Li anode and the overall-cell electrochemical performance of cycled LOBs has been revealed for the first time by complementary X-ray and neutron tomography, together with further post-mortem SEM, XRD and FTIR characterizations. It has been disclosed with solid evidence that the irreversible transformation of anode Li associated with chemical/electrochemical side-reactions does link intimately to the observed electrochemical performance decay. The current discoveries have not only fundamentally enriched our knowledge on the underlying degradation/failure mechanisms of LOBs but also directions for future promising research activities aimed to further enhance their performance can be drawn therefrom.



TOC figure

Introduction

Rechargeable non-aqueous lithium oxygen battery (LOB) is considered as one of the most promising next-generation electrochemical power sources due to its high capacity energy which is comparable with that of gasoline.¹⁻² However, fundamental challenges such as the sluggish kinetics of cathode, chemical instability of electrolyte/Li and very low Coulombic efficiency (CE) during cycling have severely prevented its commercial application.³⁻⁵ To translate the LOB technology from lab research to market application, multiple strategies such as cathode engineering,⁶⁻⁸ solvent additives,⁹⁻¹⁵ reaction redox mediator,¹⁶ separator modification¹⁷ and new cell design¹⁸ have been proposed to overcome its intrinsic challenges. At the same time, various diagnostic tools such as Raman spectroscopy,¹⁹ UV-vis spectrometry,²⁰ X-ray diffraction (XRD),²¹ scanning electron microscopy (SEM),²² transmission electron microscopy²³ and transmission X-ray microscopy,²⁴ have been employed to improve our mechanistic understanding towards the mechanisms that determine the overall electrochemical performance. These broad investigations have provided in-depth insights into the working mechanism of LOBs and their overall electrochemical performance has been improved significantly since the early studies.²⁵ Nevertheless, major breakthroughs²⁶ that allow LOBs possessing sufficient long-term cycle ability, i.e., competitive to the state-of-the-art lithium ion batteries (LIBs), are still missing.²⁷ Recently, neutron and X-ray tomography²⁸⁻²⁹ has been employed to investigate the underlying working/degradation mechanisms of LOBs after cycling, providing direct visual access to the inside morphological/topological changes of the evolved battery components. Unfortunately, a combinatorial *in-situ/ex-situ* usage of neutron and X-ray tomography to comprehensively study the general correlation between the internal battery component evolution and external electrochemical behavior has, to the best of the authors' knowledge, not been reported yet.

Herein, primary research attention has been concentrated on the Li anode side and its correlation to the overall electrochemical performance decay has been systematically investigated by *in-situ/ex-situ* neutron and X-ray tomography, together with further post-mortem characterization methods. Specifically, three major works have been conducted: 1) *In situ* monitoring morphological evolution of Li anode through X-ray tomography during the first cycle; 2) *Ex situ* characterizing the evolved Li metal anode in differently cycled LOBs by X-ray and neutron tomography; 3) Further post-mortem analysis of the cycled Li anode under electrochemical/chemical conditions through SEM, XRD and FTIR (Fourier-transform infrared spectroscopy) analysis. These extensive and complementary investigations collectively suggest that the irreversible transformation of anode Li from the original bulk Li to a porous structure, which is closely associated with the irreversible side-reactions occurring chemically/electrochemically inside LOBs, plays a governing role in the failure/degradation mechanisms of LOB performance. The current discoveries have profoundly improved our mechanistic understanding towards the underlying failure/degradation mechanisms of LOBs and would shed new lights on the future research directions that may bring forth fundamental breakthroughs for their practical commercialization.

Results and Discussion

Two types of LOBs have been employed in the current research, the customized tomography cell (tomo-cell) and the commercialized Swagelok cell (swag-cell). The photography and the illustration of the customized tomo-cell are shown in Fig. 1, the design of which is a result of an improved version of the previously reported cell³⁰⁻³² with an extra drilling hole in one electrode for oxygen flow. Internal views of this cell can be found in bright blue rectangle in Fig. 1a (measured by neutron tomography) and Fig. 3A1 (measured by X-ray tomography). LOBs assembled with tomo-cells were characterized by X-ray and neutron tomography without cell disassembly. The commercialized Swagelok cells were employed to assemble LOBs for post-mortem characterizations. All LOBs investigated here employed cathode of carbon fiber coated with 80% rGO catalyst and 20% PVDF binder, Whatman glass fiber GF/D separator, Li foil anode and ether-based electrolyte. Note that the idea of the combinatorial use of X-ray and neutron tomography is that complementary and comprehensive information can be obtained (for the different imaging techniques, readers can refer to³³). The detailed procedures of cell assembly and electrochemical cycling, tomography measurement and data analysis, along with the post-mortem characterizations are presented in the Methods section.

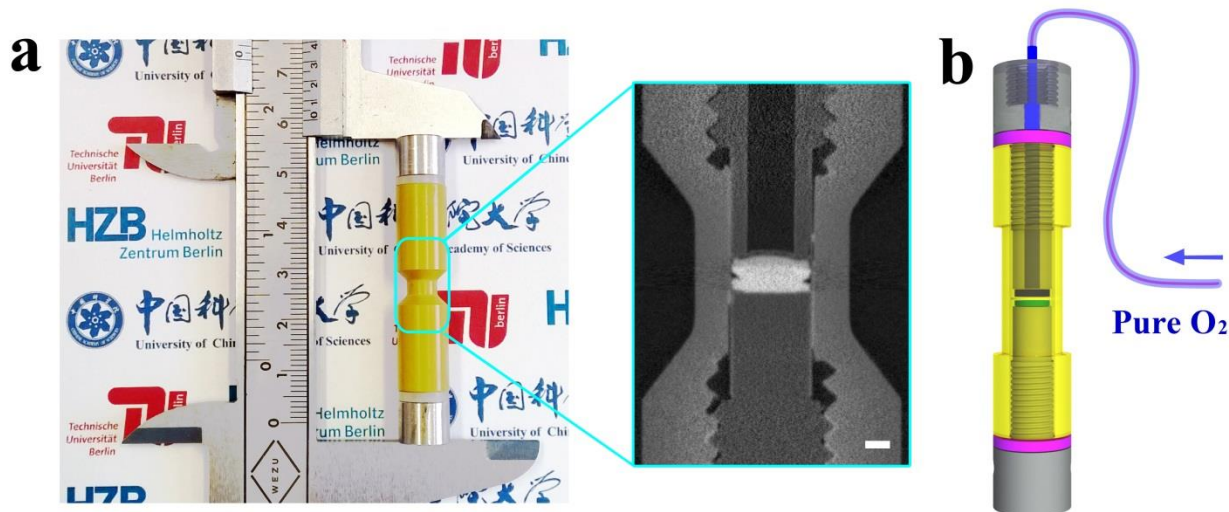


Figure 1 Photography and illustration of the customized tomography cell. a), the photography of the fabricated cell, the enlarged picture in the cyan rectangle shows the interior of cell No.2, measured by neutron tomography. The scale bar is 1 mm. b), the schematic of the tomo-cell (connected to an oxygen tube by a drilling hole) consisting of a polyamide-imide housing (yellow), two screw (light grey), two sealing rings (pink), a porous separator (white) sandwiched between two electrodes (dark blue and green).

Part 1 *In situ* monitoring morphological evolution of Li anode

In part 1, a Li-O₂ cell (cell No.1, tomo-cell) has been measured by *in situ* synchrotron X-ray (EDDI Beamline at Bessy II, Berlin) tomography during the first discharge/recharge process and the obtained results are shown in Fig. 2. Fig. 2A provides the electrochemical curve of the cell cycled at the current density of 0.1 mA·cm⁻¹. Fig. 2B selectively displays the internal morphological states of the cell during the electrochemical process. Marked red points in Fig. 2A match the corresponding internal states shown in the time-lapse images in Fig. 2B. Three datasets shown in Fig. 2B with time stamp of 00:15, 12:45 and 19:45 were chosen to demonstrate the 3D renderings reconstructed from the X-ray tomography measurements and they are shown in Fig. 2C-2E, respectively.

From the first panel of Fig. 2B (time 00:15), three key cell components, i.e., the carbon cathode, the glass fiber separator and the Li anode can be clearly observed. The original thickness of the Li anode was ~ 244 μm and that of the separator was ~ 269 μm (white and black arrows). During the cell operation, it can be seen from the rest panels in Fig. 2B that a porous-structuralized transition layer (PTL, see the yellow arrows in Fig. 2B) gradually developed on top of Li anode as a function of cycle time. Looking at the last panel of Fig. 2B (time stamp 19:45), one can see that the thickness of PTL has increased significantly to ~158 μm. In accordance with the development of the PTL during the electrochemical cycling (Fig. 2A), the thickness of the original Li bulk has decreased to ~115 μm and a similar scenario of the separator decreased to ~231 μm can be found (white and

black arrows in panel of 19:45). The red diamonds in Fig. 2B also clearly indicate the compression and elongation of the Li anode. The 3D views shown in Fig. 2C-E give comprehensive perspectives of the morphological changes observed in Fig. 2B. The whole *in situ* measurement can be found in the Supporting Movie.

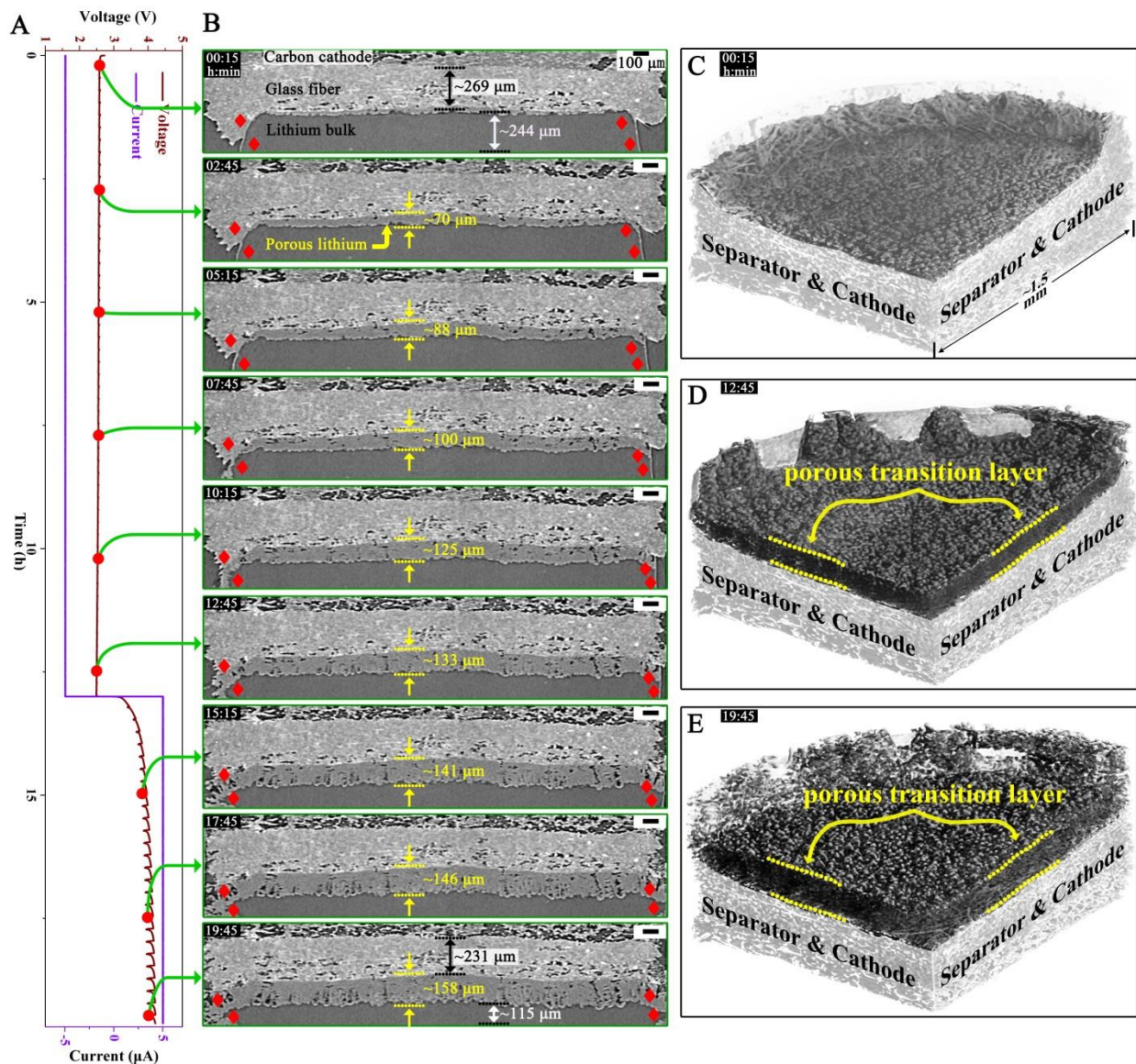


Figure 2 Electrochemical and morphological results of the cell No.1 *in situ* measured at EDDI beamline. A), the electrochemical cure. B), the morphological evolution of internal cell components of the LOB during the first discharge/recharge process. C)-E), the selected 3D demonstrations of the reconstructed datasets shown in panels in B with time stamp 00:15, 12:45 and 19:45. Note that the anode sides in 3D demonstrations in Figure 2C-E have been reversed downside up.

The *in situ* characterization indicates unambiguously that the gradual development of the PTL is at the expense of the original bulk Li during the electrochemical cycling (see the change of yellow and white arrows in Fig. 2B). Furthermore, it can be observed clearly that the PTL grows continuously during both discharge and charge process and the transformation from bulk Li to the observed PTL is irreversible due to the fact that the anode Li does not seemingly to regain its original bulk state during the recharge process (see the last four panels in Fig. 2B). Meanwhile, a large volume expansion accompanying with this irreversible transformation would generate sufficient force and exert it on the contacting separator. This has been confirmed by the observed volume contraction of the separator after the first cycle (compare black arrows in the first and last panel in Fig. 2B). In summary, the current *in-situ* characterization strongly indicates that the morphological transformation of anode Li from its original bulk state to the PTL structure is irreversible. Cells undergoing different cycle numbers are studied in part 2 and detailed post-mortem morphological/compositional analyses from the Swagelok LOBs are shown in part 3.

Part 2 *Ex situ* characterizing the cycled Li anodes

Currently 4 different cells (cell No.2 to 5, tomo-cells, cycling routes are shown in the first column of Fig. 3) have been measured by both synchrotron X-ray (P05 beamline at DESY, Hamburg³⁴) and neutron (V7 beamline at BER II, Berlin³⁵) tomography after cycling without cell disassembly. For comparison, one uncycled cell (cell No.0, tomo-cell) was measured by a laboratory X-ray source and the result is shown in Fig. 3A1. The spatial resolution of the synchrotron X-ray measurement is $\sim 1.2\ \mu\text{m}$ and the reconstructed results are shown in the third column of Fig. 3, that of the neutron measurement is $\sim 13\ \mu\text{m}$ and the corresponding results are shown in the second column. Examples of 3D demonstrations reconstructed from the X-ray and neutron tomography measurements of the cell No.2 are shown in Fig. 3A2 and A3, respectively. More detailed information can be found in the Methods section.

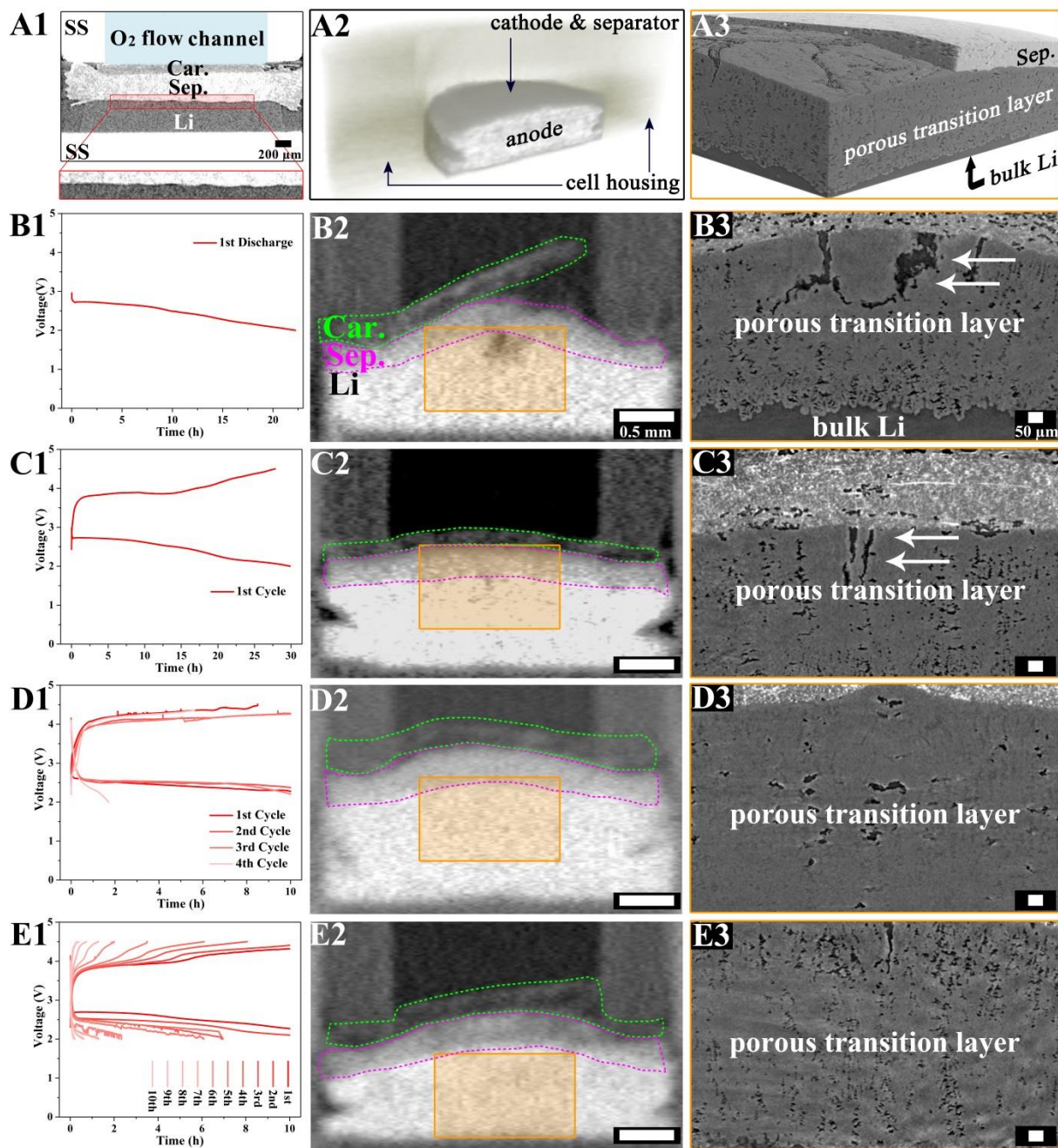


Figure 3 Electrochemical curves and inside views of the investigated LOBs by X-ray and neutron tomography. A1), inside view of the uncycled cell No.0 measured by a laboratory X-ray machine. The stainless steel (SS) current collect, the oxygen flow channel, the carbon (Car.) fiber cathode, the separator (Sep.) and the lithium (Li) anode have been labeled; A2) and A3), 3D demonstrations of the cell No.2 reconstructed from the X-ray and neutron measurements, representing the rest of the measurements; B1)-E1), the electrochemical curves; B2)-E2), the inside views of the neutron measurements; C3)-E3), the inside views of the X-ray measurements; B1)-B3), results of the cell

No.2; C1)-C3), results of the cell No.3; D1)-D3), results of the cell No.4 and E1)-E3), results of the cell No.5. For more information, see Methods.

Compare the uncycled cell No.0 (inside view, Fig. 3 A1) with the other 4 cycled cells (inside views, B2-E2 and B3-E3), one can clearly observe the noticeable morphological changes of the cycled Li anodes. In Fig. 3B3, noticeable structural transformation of the Li anode after the first discharge (Fig. 3 B1) can be seen (see the bulk Li and the porous structure in Fig. 3B3). After the first cycle (Fig. 3C1) of the cell No.3, significant transformation can be observed in Fig. 3C3. This is in good agreement with the results observed in part 1. With the increase of cycle numbers (from four cycles to ten cycles, shown in Fig. 3D1-E1), similar trend with greater extent of the morphological transformation can be observed (Fig. 3D3-E3). The higher degree of the morphological transformation after more cycles also suggests that this morphological change is irreversible because the cycled Li anodes do not regain their original bulk Li state after cycling. Considering the scenarios observed in part 1, one may expect that the greater extent of the transformation of the Li anode and the accompanied huge volume expansion would generate higher degree of forces. Actually, from the neutron measurements shown in Fig. 3 B2-E2, it can be reasonably inferred that significant forces have been indeed generated during the volume change and they have pushed the separator and the carbon cathode into the drilling holes, where physical constrain is free. Lastly, correlating the morphological changes of the anode Li inside cell No.4 and No.5 (Fig. 3D3 and E3, respectively) to their electrochemical performances (Fig. 3D1 and E1, respectively), one can also rationally conclude that higher extent of Li transformation does relate closely to the more severely deteriorated electrochemical performance.

The results from the *ex situ* characterizations of the Li anodes in cycled LOBs are in good agreement with that obtained from part 1 and they further suggest that the morphological transformation of anode Li after electrochemical cycling is irreversible. Moreover, these results also indicate that the overall LOB electrochemical performance does relate closely to the state of the Li anode in a way that a higher extent of the irreversible transformation of Li anode leads to a higher level of performance degradation. This is clearly shown by the cell No.5 (Fig. 3E1-E3) in which the complete transformation of Li (Fig. 3E3) results in the ultimate cell failure (Fig. 3E1). On the basis of the works shown in part 1 and 2, one can safely conclude that the irreversible conversion of Li anode during electrochemical cycling contribute significantly to the degradation of the overall cell's electrochemical performance. The detailed post-mortem studies on the irreversibly transformed PTL structure from the original bulk Li anode are shown in part 3.

Part 3 Further post-mortem analysis of Li anode through XRD, SEM and FTIR

In order to identify the structure and constituent of PTL observed by the X-ray and neutron tomography, Li anodes of LOBs using swage-cells under different conditions were further

investigated by post-mortem SEM, XRD and FTIR characterizations. Firstly, Li anodes undergoing different electrochemical conditions were studied. Fig. 4A displays the Li anodes harvested from the cell No.6 at certain state during the first discharge and Fig. 4B-F show the studies of the cycled Li anodes harvested from cells No.7 and No.8 after the first cycle and the tenth capacity-limited cycle, respectively. Cells No.6-8 (Swagelok cells) have been disassembled after electrochemical cycling for post-mortem characterizations. The electrochemical curves of these three cells are shown in SI Figure 1.

From Fig. 4A, it can be seen that the color of the anode Li was gradually converted from originally lustrous (pristine state) to finally lusterless dark-gray after 22 h discharge. Similar scenario was also found in cycled Li anodes after the first and tenth cycle, as shown in Fig. 4B1-D1. SEM characterizations were conducted to compare the cycled and the pristine Li anodes. Fig. 4B2-B4 show that the uncycled Li anode was relatively smooth. After the first cycle of cell No.7 (electrochemical curve shown in SI Figure 1B), the Li anode shows a rough and cracked surface, as displayed in Fig. 4C2 (white arrows). The corresponding cross-sectional view (Fig. 4C3) and the zoomed-in figure (Fig. 4C4) clearly indicate that the original bulk Li has transformed to the PTL structure. Similar discoveries were found in the Li anode after the tenth cycle of cell No.8 (electrochemical curve shown in SI Figure 1C), as shown in Fig. 4D2-D4 (Note the false coloring in C3 and D3, green for PTL and purple for bulk Li). Compare Fig. 4C3 and Fig. 4D3, one can also clearly observe that a higher degree of the irreversible transformation of anode Li (from $\sim 250\ \mu\text{m}$ to $\sim 440\ \mu\text{m}$) occurred after longer operation time (see SI Figure 1). These results are in good agreement with that obtained from the X-ray and neutron measurements (see part 1 and 2). Fig. 4E-F show the constituting components of the developed PTL determined by XRD and FTIR (two more cells of cell No.9, after the first discharge and cell No. 10 after the fourth cycle were measured together with cell No. 7-8. See more in Methods). From the XRD measurements (Fig. 4E), one can clearly observe that after different electrochemical cycling conditions, new diffraction peaks of $2\ \theta = 20.47^\circ, 32.58^\circ, 33.75^\circ$, which can be assigned to LiOH ,³⁶⁻³⁷ appeared in addition to the original Li metal peaks at 36.19° and 64.98° (PDF card No. 15-0401). Moreover, one can also notice that the intensity of the Li diffraction peaks decreased strongly after the electrochemical cycling, probably due to the formation of the thick PTL. The FTIR results shown in Fig. 4F further imply the presence of Li_2CO_3 in addition to LiOH . These compositional characterizations confirmed that the developed PTL is mainly consisted of LiOH and Li_2CO_3 (Note that Li cannot be detected, other by-product is hardly to be detected also due to the insufficient amount and amorphousness).

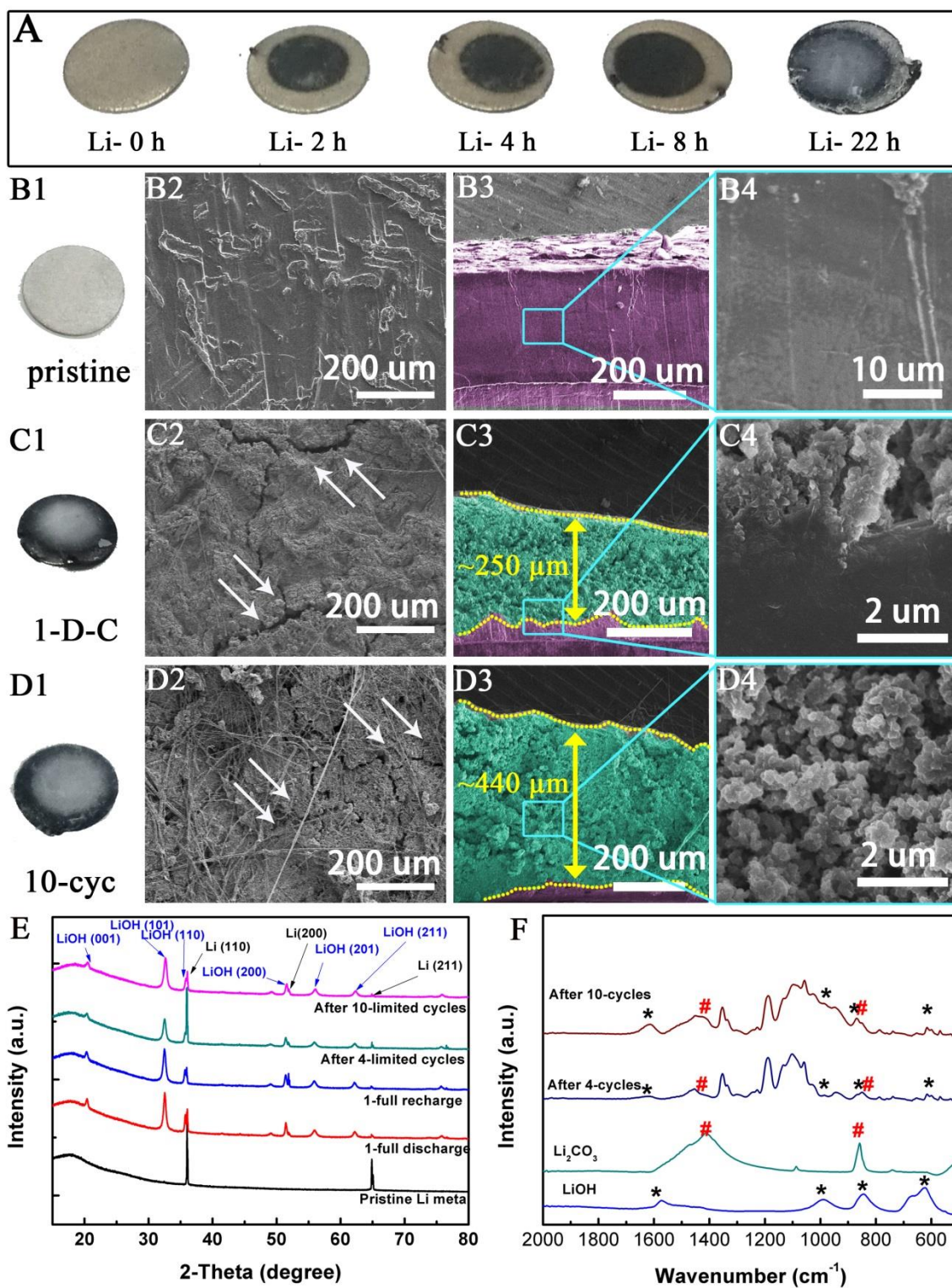


Figure 4 Post-mortem characterizations of Li anodes after different electrochemical conditions by SEM, XRD and FTIR. A), photographs of the Li anode harvested at different states during the first discharge. B1)-B4), photograph and SEM results of the uncycled Li anode. C1)-C4), photograph

and SEM results of the cycled Li anode harvested from cell No.7 after the first cycle. D1)-D4), photograph and SEM results of the cycled Li harvested from cell No.8 after the tenth cycle. E) and F), XRD and FTIR patterns of the cycled Li anodes at different states. The electrochemical curves of cells No.6-10 are shown in SI Figure 1. See more details in the Methods section.

Secondly, Li anodes experiencing no electrochemical but chemical conditions were studied to gain a complementing and complementary understanding of the irreversible transformation of anode Li observed under electrochemical conditions. Fig. 5 provides the photographs, SEM and compositional results of the Li anode before and after immersion in O_2 saturated electrolyte for 24h. From Fig. 5A, it is surprising to find that the color of the Li turns from originally bright to dark grey after immersion in O_2 saturated electrolyte for 24h, similar to that shown in Fig. 4A. The SEM images shown in Fig. 5B-E further demonstrate that the original Li bulk (Fig. 5B, C) could be transformed into PTL structure (Fig. 5D, E) after 24 h in O_2 saturated electrolyte without applying any electrochemical conditions. Apart from that, the XRD and FTIR measurements confirmed the presence of LiOH and Li_2CO_3 , as shown in Fig. 5F-G. From this control experiment, one can conclude that the bulk Li anode is ready to be transformed to porous-structuralized transition layer structure under sole contact with oxygen and electrolyte. Thus, it is reasonable to suspect that the formation of the PTL starts once Li anode contacts with oxygen and electrolyte.

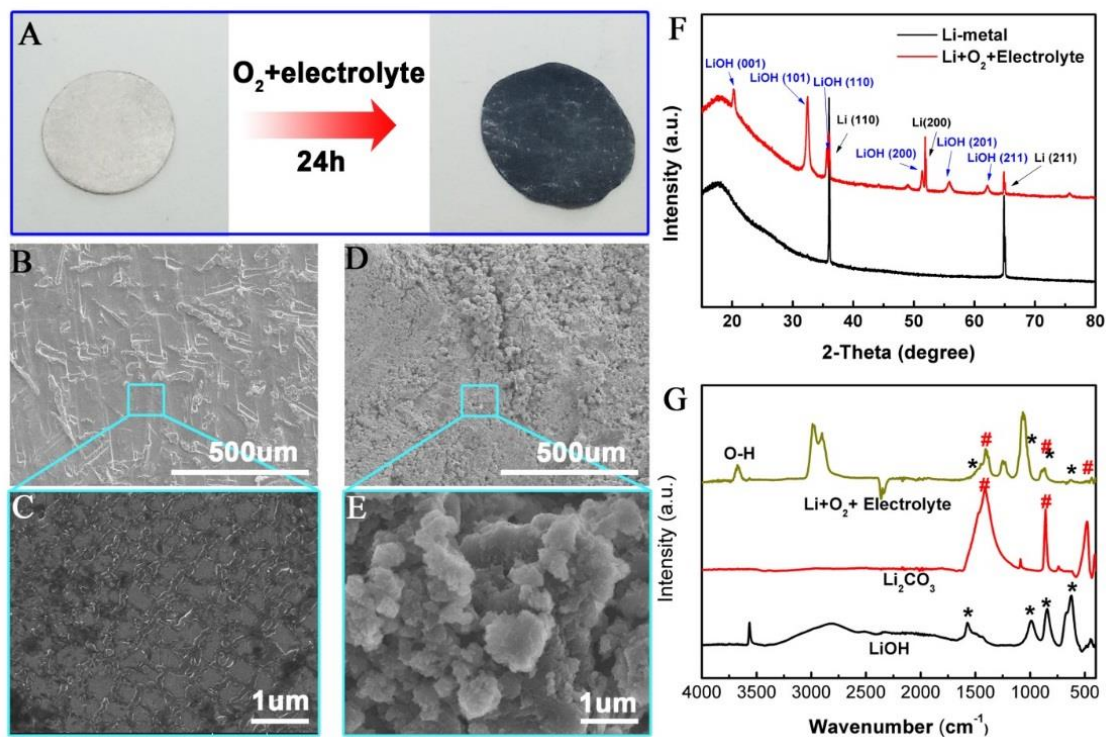


Figure 5 Characterizations of Li anodes after immersion in O_2 saturated electrolyte for 24 h by SEM, XRD and FTIR. A), photographs of the Li anode before and after the immersion. B-C), SEM

images of the pristine Li at different magnifications. D)-E), SEM images of the immersed Li at different magnifications. F)-G), XRD and FTIR patterns of the Li before and after the immersion.

The investigation of the Li metal undergoing non-electrochemical conditions provides complementing information to that of Li anode undergoing different electrochemical processes. These studies collectively suggest that the transformation of the bulk Li to the PTL structure occurs at least via 2 possible ways, i.e., the chemical way (path 1) and the electrochemical way (path 2), as schematically shown in Fig. 6A, B. From the chemical point of view, due to its high activity of Li, almost all currently used electrolytes in non-aqueous LOBs exhibit various degree of degradation after their contact with Li.³⁸⁻³⁹ Moreover, side reactions between Li and electrolyte can be further complicated by the participation of O₂ transferred from the oxygen penetrable glass fiber separator.⁴⁰ Trace amounts of water in either O₂ or electrolyte can also result in the hydroxylation of Li, contributing to the conversion reactions.⁴¹ The path 1 can perfectly explain the findings shown in Fig. 5. From the electrochemical point of view, the applied over-potential during LOB cycling may accelerate the decomposition of the electrolyte in presence of Li anode.⁴² Furthermore, it can facilitate the irreversible transformation of bulk Li anode by driving the reaction intermediates, redox mediators, additives and/or catalysts from cathode side to diffuse through the separators to the Li anode side, causing a series of chain side-reactions that have not been fully understood.⁴³⁻⁴⁵ Considering that those side-reactions occurring either chemically or electrochemically are highly irreversible during LOB operation, one can expect that a large amount of by-products/PTL would be accumulated on top of bulk Li anode after long-term cycling of LOBs. The process is schematically shown in Fig. 6C. This picture can fundamentally explain the development of the PTL transformed from the original bulk Li anode after different electrochemical cycling conditions observed through X-ray and neutron tomography measurements in part 1 and 2, together with the post-mortem SEM characterizations shown in part 3.

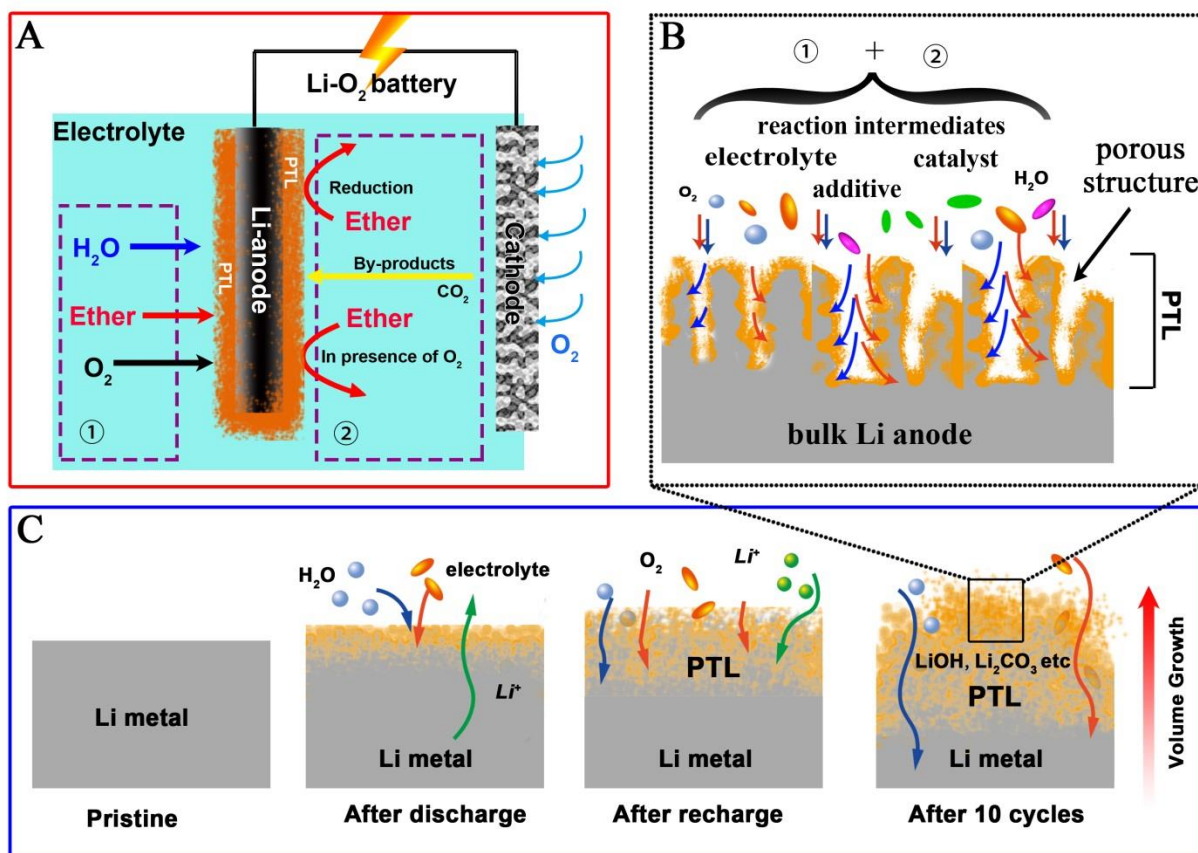


Figure 6 Schematic illustrations of the irreversible transformation of bulk Li anode in LOBs under different conditions. A) and B), two possible ways of the Li anode transformation in a LOB employing ether-based electrolyte, i.e., the chemical path ① and the electrochemical path ②. C), the illustration of the morphological evolution of the gradual development of PTL at the expense of the original bulk Li anode during long-term electrochemical cycling condition.

The current findings of the irreversible transformation of the original bulk Li anode to PTL structure after electrochemical cycling characterized by X-ray and neutron tomography, together with post-mortem SEM are in good agreement with previously reported corrosion/degradation/irreversibility of cycled Li anode.⁴⁶⁻⁴⁷ They collectively suggest that the irreversible transformation of Li anode, which is closely associated with the irreversible side-reactions occurring chemically/electrochemically between Li and other components, would eventually result in cell death by the continuous exhaust/consumption of the cell components.⁴⁸ Meanwhile, the significant volume expansion accompanying this irreversible transformation would generate sufficient force to deform/damage the cathode/separator, leading to the unprecedented collapse of the cathode integrity (Fig. 3B2) and contributing to the observed electrochemical performance deterioration. These currently observed irreversible morphological changes of inner cell components can thus be served as a direct indicator of the overall cell performance state. The governing role played by the irreversible transformation of Li anode in the

failure mechanism of LOB performance during long-term operation is thus clearly identified. Other compelling corroboration of this dominating role played by Li anode has been shown by Jang *et al.*,⁴⁹ who have experimentally displayed that new cell assembled with used cathode and new Li anode functioned properly while new cell assembled with used Li anode and new cathode didn't. This has also been confirmed by Schroeder *et al.*, who found that the failed LOB can be fully recovered to the same state before failure by solely replacing the used Li anode.⁵⁰ On the other hand, Jang *et al.* discovered that the extent of the degradation of a LOB employing less amount of Li was more severe than that using sufficient amount during the investigation of the effects of the amount of Li used as anode on the overall performance behavior over extended cycling.⁵¹ These results provide supplementary and interesting insights into the role played by Li anode in determining the underlying LOB failure/degradation mechanism.

All in all, a combination of *in situ* X-ray tomography, *ex situ* X-ray and neutron tomography, post-mortem SEM, XRD and FTIR analysis were employed to investigate the morphologic and compositional evolution of Li anode under various chemical/electrochemical conditions. It was found that 1) the active Li anode can be chemically deteriorated in O₂ saturated electrolyte under non-electrochemical condition and the applied over-potential during electrochemical cycling facilitates the irreversible transformation of anode Li from original bulk Li to the PTL structure (part 3); 2) the extent of the irreversible transformation of anode Li increases in direct proportion to the LOB operation time and this transformation occurs during both discharge and charge process (part 1); 3) the continuous irreversible transformation of anode Li during extended electrochemical cycling can not only gradually consume cell components but also cause detrimental damage to the integrity of the cathode side, resulting in the ultimate performance decay/ cell failure (part 2). Meaningful implications and potential future research directions that can be drawn on the basis of current report are discussed below.

Firstly, sufficient attentions have to be paid to the characterization of the Li anode in future LOB research activities. When scrutinizing through previously reported publications on LOBs, one can inevitably find that the role of the Li anode in the underlying degradation/failure mechanisms of LOBs has been largely neglected or unconsciously overlooked by vast majority of researchers due to either the limited access to non-destructive diagnostic tools or insufficient knowledge of understanding.⁵² Although several researchers have noticed the importance of Li anode and prosed to protect it by artificial protection layers,⁵³⁻⁵⁴ using high-concentration electrolyte⁵⁵ or lithium alloy,⁵⁶ yet these reportedly improvements remain to be further corroborated due to the dramatic difference one can distinguish between the pristine Li bulk and the cycled porous Li structure in their presented SEM pictures (which sharing great similarities observed herein). Latest report in which the degradation/corrosion layer can be used to enhance the cyclability of LOB further challenges the current understanding and knowledge of the role played by the above-mentioned irreversible transformation.⁵⁷ It is thus concluded that more and more future research attentions

have to be paid to the fundamental investigation of the nature of the irreversible transformation of Li anode and its effect on overall cell performance.

Secondly, decreasing the extent of the irreversible transformation of anode Li may significantly enhance the LOB performance. From a practical point of view, long-term cycling of LOBs has been realized under certain conditions, such as capacity-limited cycling protocols (i.e., limited Li metal utilization within each cycle, often less than 10%), utilization of extra additives/catalysts, excess amount of Li anode and abundant quantity of electrolyte.²⁷ Based on the current findings that the continuous and irreversible decompositions among the LOB key components can straightforwardly lead to cell failure, one can reasonably infer that the cycling life of LOBs can be somehow extended by providing them in sufficient richness. However, sustaining the cell components in sufficient richness can only seemingly extend the cycle life of LOBs but in reality is unfavorably practical.⁵⁸ This is also true for the suggestion to improve the round-trip efficiency (CE) of LOB by replacing the electrochemically unstable Li metal by more stable materials but possessing high electropositivity (e.g., LiFePO_4 , ~3.45 V vs. Li/Li^+) as lithium source.⁵⁹ It is thus suggested that novel strategies that can fundamentally suppress/eliminate the irreversible side-reactions of anode Li are highly desirable.

Last but not least, the foundation for further R&D of LOB technology aimed at practical application may be relied upon the lessons learned from the development of the state-of-the-art LIB technology. It is important to mention that the instability of the Li anode has been the bottleneck for the commercialization of LIBs during 1980s and the LIB technology thrives and prevails only after replacing the Li anode with a more comparatively stable and reversible carbon anode.⁶⁰ As is now recognized from the work of many researchers in LOB community, the ability to cycle a LOB is not necessarily a proof that the reactions occurring within are electrochemically reversible.⁶¹ As a result, exploring the reversibility of anode Li is an important direction for future research activates, for it is clear that any kind of improvement on cathode, catalyst, and electrolyte cannot solely push LOB technology into commercialization as long as the essential Li anode cannot be electrochemically reversibly cycled. Apart from the LOB technology, the lessons from the LIB technology may also apply to other metal air battery technologies since their fundamental features share great similarities. Actually, the significant corrosion/degradation of sodium,⁶² potassium⁶³ and zinc metals⁶⁴ in their corresponding metal air batteries have already been demonstrated.

Methods

Materials, cell assembly and electrochemical cycling procedures

The housing of the customized electrochemical cell, the polyamide-imide (Torlon), was purchased from Drake plastics Europe. The LiTFSI (lithium bis(fluorosulfonyl)imide), TEGDME (Tetraglyme), Whatman® glass fiber GF/D separator and the Parafilm were purchased from Sigma

Aldrich. The lithium and the PVDF (Polyvinylidenefluorid) binder was purchased from MTI Cor. USA. The carbon fiber paper (Toray carbon paper, TGP-H-60) was purchased from Toray Industries, Japan.

The catalyst of cathode was r-GO (reduced graphene oxide), prepared via the hydrothermal reduction of GO. The carbon fiber supported cathode was made of 80% rGO catalyst and 20% PVDF binder. The electrolyte is 1M LiTFSI dissolved in TEGDME (Tetraglyme). Water content of electrolyte was measured by K-F method and the result was less than 20 ppm. All tomography cells were assembled with ~0.1 ml electrolyte in a glove-box. During a tomo-cell assembly, the Li anode (2.5 mm diameter) was placed on top of the steel screw, followed by the separator (3 mm diameter) and the carbon fiber cathode (2.5 mm diameter). The steel screw with a drilling hole was in direct contact with the cathode. All Swagelok cells were assembled with ~300 μ l electrolyte in a glove-box. During a swage-cell assembly, the Li anode (16 mm diameter) was placed on top of negative current collector, followed by the separator (25 mm diameter) and the carbon fiber cathode (8 mm diameter). All cells were sealed firstly in the glove-box and then were connected to an oxygen tube after being taken out. Before electrochemical cycling, all cells have been flushed with oxygen for 3 hours.

Cell No.1 has been cycled at 5 μ A between 2 and 5 V. Cell No.2 has been discharged to 2 V at 7 μ A. Cell No.3 has been discharged to 2 V and then charged to 4.5 V at 7 μ A. Cell No.4 has been cycled under this condition: discharged to 2 V or for 10 h at 5 μ A; charged to 4.5 V or for 10 h at 5 μ A. Cell No.5 has been cycled under this condition: discharged to 2 V or for 10 h at 7 μ A; charged to 4.5 V or for 10 h at 7 μ A. All tomography cells (cell No. 1-5) were galvanostatically cycled by IviumStat. After electrochemical cycling, tomography cells of No.2-5 were flushed with pure argon for at least 7 hours and then sealed by parafilm in the air. Tomography cells (No.2-5) were measured at P05 beamline and V7 beamline without disassembly. Cells No.6-10 are Swagelok cells. Cell No.6 has been discharged at 70 μ A for 22 h. Cell No.7 was cycled at 70 μ A between 2 and 4.5 V for one cycle. Cell No.8 was cycled at 50 μ A between 2 and 4.5 V for 10 cycles with limited capacity of 500 μ Ah. Cell No.9 has been discharged at 70 μ A for 30 h. Cell No.10 was cycled at 50 μ A between 2 and 4.5 V for 4 cycles with limited capacity of 500 μ Ah. All Swagelok cells (cell No. 6-10) were galvanostatically cycled by LAND CT2001A and they were disassembled after the electrochemical cycling for post-mortem XRD, SEM and FTIR characterizations.

X-ray and neutron measurements and data processing

For cell No.0, it has been measured by a laboratory X-ray machine located at the Helmholtz-Zentrum Berlin. The important parameters are: the voltage and current of the X-ray tube are 60 KV and 166 μ A; the source-to-object distance is 58 mm; the source-to-detector distance is 500 mm; the resultant pixel resolution is ~ 5.8 μ m.

The *in situ* measurement presented in part 1 was conducted at the EDDI beamline, Bessy II, Berlin. The white synchrotron beam generated by the 7T-Wiggler, possessing energies from 6 to 120 KeV was used in current. The detector system is comprised of a 200 μ m thick LuAG:Ce scintillator, a

Schneider Optics macro lens with a magnifying factor of ~ 4.4 , a PCO DIMAX high speed camera (2016 \times 2016 pixels) equipped with a CMOS chip that is kept out of the direct beam by using a mirror (white beam optic). The field of view is $4 \times 4 \text{ mm}^2$ (length \times height). The battery was mounted on the rotation table and was remotely controlled by a potentiostat within the beamline hutch. Tomography measurement was conducted during the electrochemical cycling every 15 minutes. Each measurement took around 90 seconds and the achieved pixel size was $\sim 2.5 \text{ }\mu\text{m}$.

For measurements conducted at the P05 beamline. The synchrotron beam energy was firstly monochromatized to 25 KeV using a double multilayer monochromator (DMM). A CdWO_4 single crystal scintillator of $100 \text{ }\mu\text{m}$ thickness was used to convert the X-ray to visible light. A fast CMOS camera that was kept out of the direct beam by using a mirror was used. 2400 projections within a 180° battery rotation were recorded with the exposure time of 0.5 s. The achieved spatial resolution was $\sim 0.645 \text{ }\mu\text{m}$. It has to be noted that for samples measured here, a binning process of 2 by 2 was used when reconstructing the datasets due to the high noise ratio. As a result, the final pixel resolution is $\sim 1.29 \text{ }\mu\text{m}$.

For neutron measurements, the V7 beamline what provides neutrons with wavelengths between 2 and $6 \text{ }\text{\AA}$ with a maximum at $3 \text{ }\text{\AA}$ was used. The detector system was based on a CCD camera integrated in a light-tight box comprising a scintillator screen and a lens system projecting the image from the scintillator via a mirror onto the CCD chip. The 16 bit CCD camera used (Andor DW-436N-BV) has a Peltier-cooled chip with 2048×2048 pixels. During tomography, 500 projections were recorded during 360° rotation. The pixel resolution achieved was $\sim 13 \text{ }\mu\text{m}$.

The raw tomography data from the laboratory X-ray instrument was processed using Octopus Reconstruction (8.9). The raw tomography data from P05 and V7 beamline were processed using in-house reconstruction software programmed in IDL 8.2, ImageJ and Octopus Reconstruction (8.9). The data was first normalized, de-noised and in the neutron data reconstruction case, filtered. Then the filtered back projection was used for final reconstruction. 3D demonstrations shown in Fig.2 and Fig.3 were generated using VGStudio MA 3.0.

Post-mortem characterization of cycled Li anodes

In order prevent the cycled Li anode from the air, all the Swagelok cells were disassembled in an Ar-filled glovebox and the harvested Li anodes were packaged in glass holder with $8 \text{ }\mu\text{m}$ thick Kapton film window.

X-ray diffraction (XRD) patterns were recorded on a Rigaku Smartlab powder diffractometer with $\text{Cu K}\alpha$ radiation ($\lambda = 0.154 \text{ nm}$, 9 kW), 2θ ranging from 20 to 80° . SEM images were carried out on Hitachi SU8010 with an acceleration voltage of 5 kV, work distance of 8 mm. FTIR was carried out on Bruker VERTEX 80 with ATR mode in Ar atmosphere.

Author Information

F.S.*, R.G.* and D.Z.* contribute equally to this work.

Fu Sun, Henning Markötter and Ingo Manke designed the cell. Fu Sun, Rui Gao, Kang Dong and Dong Zhou assembled, tested and measured the cells. Andre Hilger, Markus Osenberg, Peter Maria Bieker and Henning Markötter aided in synchrotron characterizations and the discussion of results. Fu Sun and Nikolay Kardjilov measured and analyzed the neutron measurement. Rui Gao and Xiangfeng Liu characterized and analyzed the post-mortem measurements. Fu Sun, Rui Gao and Dong Zhou composed the manuscript. All authors contributed to the data interpretation and the discussion of the results.

Corresponding author

Fu Sun: fu.sun@helmholtz-berlin.de or sunfu1998@gmail.com

ORCID ID: <https://orcid.org/0000-0001-6787-6988>

Xiangfeng Liu: liuxf@ucas.ac.cn

Dong Zhou: dong.zhou@uni-muenster.de

Notes

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