

# Synchrotron X-ray fluorescence mapping of Ca, Sr and Zn at the neonatal line reflects changing perinatal physiology

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Running title: Ca, Sr and Zn at the neonatal line

Declarations of Interest: none.

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## Abstract

*Objectives:* Our first objective was to review the evidence describing the appearance and microstructure of the neonatal line and to link this with known changes in neonatal physiology occurring at and around birth. A second objective was to explore ways to improve identification of the neonatal line by mapping the pre- and postnatal distribution of Ca, Sr and Zn in deciduous cuspal enamel and superimposing these maps onto transmitted light micrographs that included a clear true section of the neonatal line.

*Materials and Methods:* We used synchrotron X-ray fluorescence to map elemental distributions in pre- and postnatal enamel and dentine. Two deciduous canines and 5 molars were scanned with an X-ray beam monochromatised to 17.0 keV at either 10.0, 2.5 or 1.0  $\mu\text{m}$  resolution and 10 ms integration time.

*Results:* Calcium maps distinguished enamel and dentine but did not clearly demarcate tissues formed pre- or postnatally. Strontium maps reflected presumed pre- and postnatal maternal serum levels and what are likely to be diet-dependent regions of Sr enrichment or depletion. Prenatal Zn maps, particularly for dentine, mirror elevated levels in the fetus and in colostrum during the first few days of life.

*Conclusions:* The neonatal line, enamel dentine junction and surface enamel were all Zn-rich. Within the neonatal line Zn may be associated with increased crystallinity but also with caries resistance, both of which have been reported previously. Elemental mapping may improve the identification of ambiguous NNLs and so be useful in forensic and archaeological studies.

**Keywords:** Deciduous teeth: Neonatal line: Prenatal enamel: Prenatal dentine: SRXF

## Highlights

- The neonatal line forms when the neonate is both acidaemic and hypocalcaemic
- The neonatal line is not a consistently hypocalcified structure in exfoliated teeth
- The neonatal line and the deciduous cuspal EDJ are zinc-rich
- Elemental mapping may provide an additional way of identifying the neonatal line
- Trace elements in deciduous enamel reflect physiological and dietary shifts

## 1. Introduction

An accentuated marking, the neonatal line (NNL), forms at birth in teeth that begin to mineralise *in utero*. It is used in archaeology and palaeontology as a chronological marker of birth and in forensic science as evidence of a live birth. Yet its location, cause and duration are thought to be multifactorial. Rushton (1933) then Schour (1936) first identified the NNL in deciduous teeth and in the mesial cusp tips of first permanent molars. Schour studied 250 demineralised and 100 ground sections of deciduous teeth and found that 90% contained a NNL in the enamel and the dentine. In ground sections viewed with transmitted light microscopy (TLM), Schour (1936) noted prenatal enamel and dentine often stood out as brighter and more homogenous than darker postnatal enamel and dentine. Since demineralised prenatal dentine stained more heavily with hematoxylin and eosin, this suggested it was 'better calcified' (Schour, 1936; Kronfeld & Schour, 1939). Schour, (1936) also found the NNL in children with birth trauma to be 'accentuated', i.e. thicker and darker. In addition, Kronfeld & Schour (1939) noted that enamel appeared to be more sensitive than dentine to systemic disturbances.

In TLM of longitudinal ground sections of deciduous teeth, the NNL appears as a dark brown line or band. In enamel it is typically 10-30 µm wide (Kodaka et al., 1996) and runs obliquely from the enamel-dentine-junction (EDJ) towards the enamel surface. The precise location of the NNL within deciduous teeth varies according to gestation length and whether birth is preterm, term or post-term (Skinner & Dupras, 1993). Jakobsen (1975) also noted the NNL was confined almost exclusively to the mesiobuccal cusp of first permanent molars and occurred with a lower frequency in a sample of males than in females, where initiation of mineralisation is on average more advanced.

Within the NNL, Weber & Eisenmann (1971), demonstrated discontinuity of the prisms that appeared to be temporarily interrupted. In very thin ground sections (~4 µm) viewed with TLM this discontinuity consists of dark enamel cross striations that form a zig-zag or staircase arrangement within the NNL. With SEM, Whittaker & Richards (1978) also demonstrated a 0.2 µm interruption running transversely across some prisms within the NNL but cautioned that preparation or shrinkage artefact could not be excluded as contributing to this. Kurek et al. (2015) also noted that this interruption is not a consistent feature. In a sample of 50 deciduous teeth Whittaker & Richards (1978) noted considerable variation in the degree of prism width, constriction and deviation within the NNL. Others have also reported changes to the relative thickness of the prism boundaries within the NNL (Rushton, 1933; Sognnaes, 1949; Gustafson 1959; Gustafson & Gustafson, 1967; Kodaka & Higashi, 1995; Kodaka et al., 1996).

Microradiography has revealed the NNL to be relatively radiolucent suggesting it is hypomineralised (Crabb, 1959; Allan 1960; 1967; Silness, 1969; Kodaka et al., 1996; Sabel et al., 2008) although (Rushton, 1939) considered prisms within the NNL to be hypermineralised. Sabel et al. (2008) showed a clear gradient in mineral density with microradiography that was high at the EDJ and reduced towards the enamel surface in developing tooth germs. In these unerupted tooth germs the NNL was clearly radiolucent (hypomineralised). However, while this gradient seems well established in early maturing deciduous enamel, (Allan, 1959; Crabb, 1959), it may change with age as the outer enamel progressively attains higher levels of mineralisation through post-eruptive uptake of mineral from the oral environment (Wilson & Beynon, 1989). Again, based on microradiography (of extracted teeth), Mortimer (1970) concluded prenatal deciduous enamel had 3-4% lower mineral content than postnatal enamel but that the area surrounding the NNL was least mineralised with levels 2-3% lower than adjacent prenatal enamel. While microradiography reflects the radiodensity of total mineral content, it may not be a true reflection of Ca concentration.

Weber & Eisenmann (1971) identified prominent radiolucent prism boundaries and cross striations within the NNL but noted there was also a diffuse ~10 µm radiolucent zone either side of the NNL. They attributed this to decreased crystal concentration. Using SEM, Whittaker & Richards (1978) also noted a ~15 µm diffuse zone but only on the postnatal side of the NNL in which crystals appeared to be less tightly packed. Prominent prism boundaries and occasional irregularly shaped or fused prisms were identified within the NNL, in transverse section (Kodaka & Higashi, 1995; Kodaka et al., 1996). They further observed highly disordered crystallite arrangements yet which did not show low BSE signals. Kodaka et al., (1996) proposed that a temporary loss of the Tomes' process in response to ameloblast stress was responsible for this dysmorphology.

Ultrastructural transmission electron microscope (TEM) studies (Weber & Eisenmann, 1971) showed the NNL to consist of a thin 'crystal-deficient' region running a 'tortuous course obliquely across the enamel prism' (Weber & Eisenmann, 1971). Weber & Eisenmann (1971) further reported that, when present, these 'abnormal crystal patterns existed as scattered regions of decreased crystal concentration in proximity to the NNL' and that 'fine granular material was present in the spaces between the crystalline borders of pre- and postnatal enamel', (Weber & Eisenmann (1971).

Little has been reported regarding the chemistry of the NNL itself but Sabel et al. (2008) made SEM X-ray Micro Analysis (XRMA) measurements of Ca, P, K, Mg and Na perpendicular to the NNL in the enamel of an exfoliated tooth. Ca, P and Ca/P were constant through pre- and postnatal enamel although K, Na and Mg each gradually decreased. However, distinctly lower values for both Na and Mg were coincident with the NNL.

A number of studies have used laser ablation inductively coupled plasma mass spectrometry (LA-ICP-MS) to sample trace elements normalized to Ca in enamel either side of the NNL. Lochner et al. (1999) and Dolphin et al. (2005) reported a rise in almost all trace elements after birth, including Zn. An exception was Mg/Ca that reduced postnatally and Sr/Ca that was bimodal, either remaining the same or rising in one group or falling to lower postnatal levels in a second group. Müller et al. (2019) have further investigated underlying trends through pre- and postnatal enamel using LA-ICP-MS and observed a 30-80% decrease in Sr/Ca from the EDJ to the surface enamel that they attributed to the several-fold upregulation of Ca metabolism and active transport of Ca through ameloblasts during enamel maturation. Humphrey et al. (2004; 2007) also used LA-ICP-MS to sample trace elements in enamel either side of the NNL. Superimposed upon an underlying trend, they observed a pattern of change in the Sr/Ca ratio across the NNL that was consistent with a model based on physiological concentrations of Ca and Sr resulting from ongoing maturation of the digestive tract and shifts in diet during deciduous enamel formation (Humphrey et al., 2004; 2007; 2008b; 2008c). However, the chemistry of the NNL itself and any abrupt boundary changes co-incident with its microstructure remains unclear.

There is general agreement that the physiological changes occurring at birth are in some way responsible for the presence of the NNL but it remains less clear what specifically underlies its microscopic appearance. Sabel et al. (2008) noted that infants are born acidemic, as is the case in most mammals examined (Krukowski & Smith, 1976). Stenger et al. (1964) reported differences in  $O_2$  tension between mother and fetus but also between infants delivered by 'complicated' or 'uncomplicated' Caesarean section. Bakker et al. (2007) reported increased uterine activity (shorter relaxation time) during the first and second stage of labour is associated with an increased incidence of lower pH values ( $\sim 7.1$ ) in the umbilical artery at birth. Helwig et al. (1996) recorded umbilical arterial and venous pH,  $pCO_2$  and  $pO_2$  values within 5 minutes of birth in 15,073 infants with an Apgar score  $\geq 7$ . Mean umbilical artery pH was 7.26 (2.5<sup>th</sup> – 97.5<sup>th</sup> percentile range 7.10-7.38) compared with the normal postnatal arterial blood pH of 7.35-7.45. They noted that infants born by Caesarean section or operative vaginal delivery were more acidemic than those born by normal spontaneous vaginal delivery and that small but significant differences also existed between preterm, term and post-term infants. However, these very small differences in mean pH varied only by 0.02 or 0.03 pH units (Helwig et al. 1996). Nonetheless, Okada (1943) has previously demonstrated the effects of minor fluctuations in acid base balance on the formation of light and dark incremental growth lines and parturition lines in enamel and dentine.

As infants begin to breathe at birth and blow off  $CO_2$ , both  $pO_2$  levels and pH levels rise (Krukowski & Smith, 1976). Fetal serum calcium levels are higher than maternal

levels with a 1:1.4 maternal to fetal Ca gradient (Hsu & Levine, 2004; Kovacs, 2011). This may in part be maintained by the prenatal acidemia (Krukowski & Smith, 1976). However, active transport of Ca and P across the placenta ceases at birth and blood pH rises, normally within hours. As a result, serum Ca levels fall to a low point within 24-48 hours of birth, in response to which the immature parathyroid glands begin to function (Krukowski & Smith, 1976; Hohenauer et al. 1970; Meites, 1975; Hsu & Levine, 2004; Kovacs, 2011). While postnatal Ca levels range between 2.2-2.7 mmol/L they may be  $1.2 \pm 0.02$  mmol/L on day 1 after birth (Ranggard et al. 1994). Persistent, or late onset hypocalcaemia, may result from dietary hyperphosphatemia or from neonatal vitamin D deficiency as maternally derived stores become depleted and there is increased reliance on vitamin D-dependent transport of Ca across the gut (Hsu & Levine, 2004). Gittleman et al. (1956) reported that the incidence of postnatal hypocalcaemia was greater in infants delivered by Caesarean section and premature infants than in full-term infants delivered vaginally. Additionally, Colak et al. (2014) found a correlation between cord blood Ca and P levels and birth size parameters.

The combination of low serum Ca levels and low pH at birth seems an obvious likely underlying cause of NNL formation and appearance. However, Ranggard et al. (1994) measured the width of the NNL in 24 teeth from infants where ionized blood Ca levels had been recorded on days 1, 3 and 5 postpartum and found comparatively thin NNLs (5  $\mu$ m or less) in all teeth and no correlation between NNL width and blood Ca levels.

Width of the NNL has been used as an indicator of the period of physiological stress at birth. However, Kodaka et al. (1996) noted that both NNL width and opacity varied in TLM and microradiographs of the same tooth. Moreover, Weber & Eisenmann (1971) demonstrated that NNL width is difficult to standardise. Both plane of section and section obliquity each have a considerable effect on measurements of NNL thickness (Weber & Eisenmann, 1971; Jakobsen, 1975; Canturk et al., 2014). In ground sections and in microradiographs NNL width reduces as section thickness reduces (Weber & Eisenmann, 1971). Nonetheless, Eli et al. (1989) and Canturk et al. (2014) reported that NNL width is thinner in infants born by Caesarean section and wider in infants born by difficult operative delivery. Hurnanen et al. (2017), on the other hand, found a highly significant correlation between NNL width and duration of vaginal deliveries but, perhaps counterintuitively, NNL width was narrower where the duration of delivery was longer. Interestingly, Zanolli et al. (2011) found factors related to gestational length rather than mode of delivery may have some influence of NNL thickness and Kurek et al. (2005) observed that infants of mothers who took antispasmodic smooth muscle relaxants had thinner NNLs and further observed that infants born in the Spring and Summer had thinner NNLs than those born in Winter. Źądzińska et al. (2013) found that birth in the Spring and Summer was also associated with thinner prenatal (but not postnatal) enamel thickness, which they tentatively attributed to an underlying prenatal vitamin D deficit and its effect on ameloblast and odontoblast matrix secretion recovery rate at birth. However, Keinan et al. (2007; 2007) also reported thicker and more highly mineralised prenatal enamel in deciduous canines (but exactly the converse in deciduous second molars) in Down's syndrome children emphasising the crucial role of timing during dental development *in utero*.

NNL formation is clearly complex and multifactorial. The aim of this study was to use Synchrotron X-ray Fluorescence (SXRF) to map the Ca, Sr and Zn concentrations in pre- and postnatal enamel and dentine and identify any boundary shifts in chemical composition occurring at the NNL in a sample of naturally shed deciduous teeth. It is generally accepted that the physiological stress of the birth process underlies the appearance and structure of the NNL. However, establishing a clearer link between changing perinatal physiology, the mineralisation process and the trace element composition of enamel and dentine at this time may improve our understanding of NNL formation, microstructure and appearance. Moreover, identifying the NNL in forensic and archaeological studies can be difficult such that any additional evidence that may enable this is of practical importance.

## 1. Materials and methods

Twelve naturally shed modern human deciduous teeth that had been stored dry for between 30 and 40 years were then donated with consent for study. No personal data were collected, recorded or stored as part of this study. Teeth were sectioned longitudinally with a low speed diamond saw (*Buehler IsoMet*). One cut face was polished using a graded series of abrasive papers and finished with 3  $\mu\text{m}$  aluminium polishing powder and deionised water on a polishing pad. This polished surface was cleaned in an ultrasonic bath, dried and then fixed to a 1 mm thick glass slide with zero-bond epoxy resin (*Huntsman Araldite 2020*) under pressure for 48 hours. A further cut was then made parallel with the glass slide and tooth block leaving a 300-400  $\mu\text{m}$  thick longitudinal tooth section attached to the slide. This was then ground and lapped to between 80-100  $\mu\text{m}$  and polished. No coverslip was placed leaving the polished surface exposed for SXRF. From this original sample of twelve teeth, 2 deciduous canines and 5 second molars, were selected for use in this study on the basis that the NNL was easily visible in TLM and showed no section obliquity. Two teeth, a canine and second molar, were chosen from the same individual so that the elemental distributions and NNL morphology in each tooth might be compared and cross-matched.

Experiments were performed on the Beamline P06 (Schroer et al. 2010; Boesenberg et al., 2016), Petra III, at DESY (Deutsches Elektronen-Synchrotron, a member of the Helmholtz Association HGF), Hamburg, Germany. The storage ring was operated in 40-bunch mode using top-up filling mode with a current of  $100 \text{ mA} \pm 0.5 \text{ mA}$ . The primary X-ray beam was monochromatised to 17.0 keV using a double crystal Si111 monochromator and focused using a Kirkpatrick-Baez (KB) mirror system (JTEC, Japan) to  $0.5 \times 0.5 \mu\text{m}$ . The focused X-ray beam decreases any background signal, thus improving trace element sensitivity, effectively reducing the needed radiation dose (Sun et al., 2015). For this experiment, the set-up comprises a Maia detector system (Kirkham et al., 2010), which allows for large area SXRF imaging with a sub-micrometer resolution using millisecond dwell times (Falkenberg et al. 2017). The Maia detector is ideal for use in  $180^\circ$  or “backscatter” geometry of thin polished samples ( $\sim 100 \mu\text{m}$ -thick in this study). The sample is placed perpendicular to the incident X-ray beam, and positioned beyond the Maia detector (see figure 2 in Sun et al. 2015). The Maia Si detector wafer comprises 384 diode elements, each  $1 \text{ mm}^2$ , arranged in a  $20 \times 20$  array, with  $4 \times 4$  elements missing in the centre of the detector. This leaves a central hole through which the incident beam passes. This set up, combined with a sample position very close to the

detector, results in the detector wafer subtending a sample solid angle of approximately 1.3 sr. The sample holder supporting the sample is fixed to a sample stage using a kinematic mount (Newport). Data is acquired in 'flyscanning' mode by continuously moving the sample relative to the X-ray beam. Elements of primary interest were Ca, Sr, and Zn therefore the primary energy of 17.0 keV was chosen, sufficient to excite the Sr K-shell but not the Mo mask in the Maia detector. Spectral analysis, deconvolution and initial image analysis of the fluorescence data were performed using GeoPIXE 7.4f. Elemental distribution maps were normalised to the incoming X-ray flux. SXRF concentrations are reported as ppm (by weight).

Lower resolution overview scans were first acquired with a resolution of 10  $\mu\text{m}$ , and an integration time of 10 ms (Fig. 1). Scan times for these were typically between 1.3 and 2.5 hours depending on tooth size. The cuspal region containing the NNL in two further deciduous second molars were scanned at higher resolution, 2.5  $\mu\text{m}$ , 10 ms, scan times 2.1 and 1.0 hours respectively, (Fig. 2). Finally, the NNL in the enamel and dentine at the EDJ was scanned in two additional teeth, a deciduous second molar and a canine, at 1  $\mu\text{m}$ , 10 ms integration times for which scan times were 50 mins and 43 mins (Figs. 3 & 4).

## 2. Results

The SXRF Ca overview maps (Fig. 1) show a marked contrast between enamel and dentine that reflects their different levels of calcification. No obvious directional Ca gradients were observed within enamel or dentine. The overview Sr maps also show higher levels in enamel than dentine, again reflecting relative mineralisation levels. Strontium levels varied through dentine formation. In all three deciduous teeth early forming dentine in the cusps showed lower levels of Sr compared to later formed dentine towards the cervix and root (Fig. 1). A prominent Sr band in one second deciduous molar and two matching Sr bands in the canine and molar from the same individual mark the start of a shift to Sr enrichment at approximately 10 -12 months into tooth formation (Fig. 1). The distribution of Zn is similar in all teeth with a zone of enrichment at the outer surface enamel, in the cementum and secondary dentine. There is also Zn enrichment in the prenatal dentine and at the NNL.

Higher resolution SXRF maps of the NNL in an upper deciduous second molar (Fig. 2a-d) and a lower second deciduous molar cusp (Fig. 2e-h) show little evidence of a difference in pre- and postnatal enamel Ca fluorescence intensity. While the NNL in enamel appears minimally hypercalcified in the canine (Fig. 2b), it is hypocalcified in the molar (Fig. 2f). A halo of Sr enrichment exists in the prenatal enamel of both cusps that is bounded by the NNL but this is imperceptible in prenatal dentine (Fig. 2c,g). In both the canine and molar cusp the NNL is Zn-rich (Fig. 2d,h). While prenatal dentine is also relatively Zn-rich there is no evidence for this in prenatal enamel. High levels of Zn, presumed to be within the dentine tubules, run through both pre- and postnatal dentine. In the molar cusp (Fig. 2h), the surface enamel is Zn-rich except where the tooth surface has worn away in function.

A further deciduous molar and canine (additional to those illustrated in Figs. 1 & 2) were scanned at 1  $\mu\text{m}$  resolution where the NNLs in enamel and dentine converge towards the EDJ. The NNL is imperceptible in the Ca SXRF maps of enamel and dentine (Figs. 3 & 4) although prenatal dentine can just be demarcated from

postnatal dentine (Fig. 3). It is not possible to be certain whether the apparent Ca enrichment along the enamel EDJ might result from an edge effect but this is less apparent in the dentine at the EDJ. The NNL and the EDJ (again with the possibility that there is an edge effect at the EDJ) are Zn-rich. Evidence of dentine tubule morphology in the Ca and Zn maps may result from hypercalcified and Zn-rich peritubular dentine contrasting with the inter-tubular dentine. Neither of the 1  $\mu$ m resolution maps of these two deciduous canines show any clear evidence of enamel prism morphology. In the Sr maps the prenatal enamel is enriched relative to the postnatal enamel. In dentine, only the NNL in one of the canines shows any evidence of Sr enrichment (Figs. 3 & 4).

The profile and elemental concentration plot (Fig. 5) made through the upper deciduous second molar cusp tip (also depicted in Fig. 2e-h) quantifies the Zn and Sr distribution through pre- and postnatal enamel and dentine. Zinc concentrations in enamel are close to zero but rise to ~200 ppm at the NNL. Zinc levels in prenatal dentine fluctuate between 150 and 250 ppm, thus exceeding those in enamel but rise to ~300 ppm at the NNL in dentine. Small peaks in Zn concentration coincide with dentine tubule profiles and may reflect high levels of Zn in peritubular dentine. Strontium levels appear consistently higher in pre- and postnatal enamel (~150 ppm) than in pre- and postnatal dentine (~100 ppm). A halo of Sr enrichment (~160 ppm) around the cusp tip in prenatal enamel falls to below 150 ppm beyond the NNL in postnatal enamel.

Two concentration profiles are shown through the lower second deciduous molar cusp (Fig. 6a,b). Both show a similar distribution pattern for Zn and Sr as the canine cusp (Fig. 5). Zinc concentration is higher in dentine than in enamel. At the NNL, Zn levels in dentine are also higher than in enamel (150-200 ppm). In enamel, Zn concentration is close to zero in inner enamel but shows a slight rise at the NNL to ~25 ppm. Towards the enamel surface Zn concentration rises steeply to ~400 ppm (Fig. 6a). As in the upper deciduous second molar cusp (Fig. 5), the pattern of Sr distribution across enamel and dentine is reversed with respect to Zn and Sr levels in dentine (~120 ppm) are lower than those in enamel (~160 ppm). Evidence of a reduction in Sr concentration from pre- to postnatal enamel is less clear in this molar cusp.

### 3. Discussion

The NNL is an important marker of birth in forensic science (Jakobsen, 1975; Janardhanan et al., 2011; Witzel, 2014) and in archaeological science (Macchiarelli & Bondioli, 2000; Zanolli et al., 2011; Hillson, 2014; Birch & Dean, 2014; Nava et al., 2017; 2019) as well as being of some clinical significance (Mishra et al., 2004). Within the small sample of deciduous teeth chosen for this study the appearance of the NNL viewed with non-polarised TLM varied greatly both in width and morphology (Fig. 7). Notably, prisms appeared either to have an irregular path through the NNL, or not, and the quality of the pre- and postnatal enamel appeared either different or alternatively quite similar. Even within a single tooth section, images of the NNL in the cuspal region (Fig. 7a) and close to the EDJ (Fig. 7c) varied considerably. Besides the obvious issues of section obliquity and section thickness, this raises other issues of how ameloblasts and odontoblasts in different locations and of different secretory age might respond differently to the physiological changes



occurring around birth. Figure 7d also illustrates a further problem, previously discussed and illustrated by Jakobsen (1975), of how difficult it can be to distinguish the NNL from other forms of accentuated incremental marking in enamel and dentine when both occur close together.

Thomas & Lee (2003) noted the NNL appeared to deflect the progress of caries laterally along the plane of the NNL rather than continuing inwards into prenatal enamel. Mishra et al. (2008) measured rates of demineralisation in pre- and postnatal enamel using scanning microradiography and concluded this was no different, even though previous studies have reported differences in the degree of mineralisation between these (Mortimer, 1970; Wilson & Beynon, 1989). Within the region of the NNL, however, rates of demineralisation were much lower, again, despite previous evidence that the NNL is hypomineralised (Crabb, 1959; Allan 1960; 1967; Silness, 1969; Sabel et al., 2008). One explanation given for this was that slower enamel formation at the NNL would reduce the carbonate-plus-magnesium ratio to calcium-plus-phosphate, rendering the mineral phase inherently less acid soluble (Mishra et al., 2008). Another explanation was that some 'inhibitory impurity' might accumulate in the NNL during development (Mishra et al., 2008). Other potential barriers to caries progression exist in teeth, such as the spatial arrangement of hydroxyapatite crystals that are highly aligned in caries-resistant enamel (Johnson et al. 1971; Cevec et al., 1980; Skaleric et al., 1982). Yet, given the findings of previous studies (Weber & Eisenmann, 1971; Kodaka & Higashi, 1995), this would seem an unlikely structural characteristic of the NNL. In the small sample of deciduous teeth used in this study, there was no clear demarcation in calcium concentration between pre- and postnatal enamel or dentine nor was there a consistently hyper- or hypocalcified NNL. Very few deciduous teeth were included in this study, however, this might suggest that within limits, ameloblasts and odontoblasts are able to buffer the effects of higher Ca levels prenatally. On the face of it, the results of this study differ from those of Schour, (1936) and Kronfeld & Schour, (1939) who interpreted brighter, more homogenous prenatal enamel viewed in TLM as better calcified. A cautionary observation here would be that all teeth in this study had been in function within the oral environment for more than 10 years and newly erupted or unerupted deciduous teeth might present a different picture.

#### **4.1 Zinc in enamel and dentine**

Zinc is an abundant trace element that is required for cell division and tissue growth. It plays a key role in embryogenesis and fetal development (Ezzo, 1994; Donangelo & King, 2012). Zinc is not only required for the DNA binding proteins involved in regulating gene expression but is also a component of many metalloproteins and enzymes, besides being involved in most major metabolic pathways (Terrin et al., 2015). It has previously been suggested that Zn levels in dental tissues are elevated in outer enamel, secondary dentine, peritubular dentine and cementum because there is prolonged direct contact with tissue fluid during their formation (Sánchez-Quevedo et al., 1992; Martin et al., 2007; Stock et al., 2011; 2014; 2017; Dean et al., 2018). A zinc finger-containing transcription factor, Osterix (Osx), is expressed in differentiating coronal odontoblasts at early postnatal periods (Kim et al., 2015), which raises the question as to whether Zn enrichment observed at the cuspal EDJ (Fig. 2 d,h; Figs. 3 & 4) might be residual to that involved with this early regulatory mechanism.

Maternal serum Zn levels decline 15-35% by late pregnancy to around  $48.5 \pm 17.6$   $\mu\text{g/dL}$  but remain high in cord blood as Zn is actively transported across the placenta and transferred to the fetus (Dreosti et al., 1982; Wasowicz et al. 2001; Ofakunrin et al., 2017). In the last trimester, Zn levels in the fetus increase to around  $99.3 \pm 21.5$   $\mu\text{g/dL}$  and are maintained at constantly higher than maternal levels (Terrin et al., 2015; Ofakunrin et al., 2017). Zinc is critical for lactation and levels in colostrum on days 1-4 postpartum are high ( $7.99 \pm 3.23$   $\text{mg/L}$ ) but falling to less than half that within a week (Silvestre et al., 2001; Wasowicz et al., 2001). During the first days of lactation high levels of Zn are excreted to milk from maternal blood irrespective of dietary intake and Wasowicz et al. (2001) reported the milk/plasma ratio of Zn during the first 4 days postpartum to be 16.1  $\text{mg/L}$ .

This evidence suggests there is reason to expect higher Zn levels in prenatal than postnatal dentine (Figs. 4 & 5). For an infant breast-fed at birth, even higher levels of Zn might be incorporated into enamel and dentine mineralising during the immediate postnatal period. Peritubular dentine contains no collagen and besides containing smaller hydroxyapatite crystals, the mineral component also contains amorphous calcium phosphates as well as tricalcium and octacalcium phosphate (Berkovitz et al., 1981; Bodier-Houllié et al., 1998). Interestingly, the formation of these mineral components is promoted or stabilised by the presence of Zn (LeGeros et al., 1999). Stock et al. (2011; 2014; 2017) previously found that Zn signals in peritubular dentine peaked at 2.4 to 3.2 times that in inter-tubular dentine. Some evidence of this can be seen in this study (Figs. 2 & 5) where bright streaks following dentine tubules are likely to represent Zn rich peritubular dentine. Stock et al. (2017) have argued that Zn levels are elevated where there is active mineralisation, as there is throughout life in peritubular dentine, and that while some Zn is transient, some may remain sequestered in the tissue. Zinc interacts with hydroxyapatite formation and influences crystallite growth. It is also reported to reduce crystallinity (LeGeros, 1981; LeGeros et al., 1999) and enamel acid dissolution (Weber and Eisenmann, 1971; Featherstone et al., 1980; Mayer et al., 1994; Mishra et al., 2008; Lingawi et al., 2011).

When Zn is present at concentrations greater than 107 ppm, and at low pH ( $\sim 4.0$ ), it is actively involved in a dissolution / reprecipitation reaction where a new mineral phase (alpha-hopeite,  $\alpha\text{-Zn}_3(\text{PO}_4)_2\text{H}_2\text{O}$ ) forms on the hydroxyapatite lattice surface, so blocking further demineralisation (Mohammed et al., 2014). Given that both reduced enamel dissolution and altered crystallinity have been observed at the NNL, the evidence for Zn distribution in this study (Figs. 2-5) suggests that Zn may well be the 'inhibitory impurity' at the NNL proposed by Mishra et al. (2008) and that this directly reflects changing pre- and postnatal Zn levels. The results of this study do not support the earlier more general findings of Lochner et al. (1999) and Dolphin et al. (2005) who reported a postnatal rise in Zn/Ca.

Besides the perinatal distribution of Zn in the region of the NNL, Zn concentration increases towards the enamel surface (Figs. 1 & 6). Zinc enrichment at the enamel surface has been documented previously using LA-ICP-MS (Humphrey et al., 2008a; Müller et al., 2019). Müller et al. (2019) observed Zn/Ca ratios increased near-exponentially some 20-35 times towards outer enamel. Zinc exchange from the post-eruptive oral environment may well contribute to this surface enrichment (Humphrey et al. 2008a) but it is notable in this study that worn enamel, despite having being

exposed to saliva, is not Zn rich. Zinc at the enamel surface has also been tentatively linked to the intense involvement of Zn in the process of enamel mineralisation and maturation (Humphrey et al. 2008a; Klimuszko et al., 2018; Müller et al., 2019).

Enamel crystallites begin to form within 24 hours of enamel matrix secretion (Boyde, 1964; 1989). These initially formed 'young' crystallites are Mg and carbonate rich and eventually become the central portions of the adult enamel crystallites (Boyde, 1997). Rosser et al. (1967) used scanning electron-probe X-ray emission microanalysis to quantify increasing Ca concentration in the enamel of human third molars. They calculated this to be linear with distance from the developing surface at 2.7% per  $\mu\text{m}$  reaching over 90% in inner enamel prior to cessation of enamel matrix secretion at the enamel surface. Simmons et al. (2013) have demonstrated that the rate of mineral formation and of mineral organisation are not, however, identical with crystallite organisation continuing for much longer. Crystallites quickly extend in length along their c-axes guided by ribbon like scaffolds made up of self-assembling amelogenin nanospheres (Du et al., 2005). Growth in crystallite width and thickness (a- and b-axes) is, however, prevented by matrix proteins that occupy the spaces between crystal ribbons (Lu et al., 2008). Robinson et al. (1997) observed that carbonate both retards crystal growth and promotes specific growth in crystal width compared with thickness. However, secretory stage ameloblasts also secrete a protease, matrix metalloproteinase 20 (MMP-20, also known as enamelysin) that slowly cleaves and degrades amelogenin and other enamel proteins. This enables slow and controlled thickening of the crystallites during the secretory stage that pack increasingly tightly together within in the deeper enamel and may also fuse together (Robinson et al. 1997; Al-Mosawi et al., 2018). MMP-20 contains Zn some of which may conceivably be retained in deeper enamel after proteins are degraded depending on the efficiency with which they may, or may not, be removed from the matrix during the secretory stage (Müller et al., 2019). There is an ongoing bi-directional gradient of enamel mineralisation from the EDJ to the surface enamel and from the cusp tip cervically (Al-Mosawi et al., 2018).

At the end of the secretory stage, growth in enamel crystallite length ceases although Rosser et al. (1967) reported there is no second, sudden increase in mineral content. Simmons et al. (2013) have emphasised that the precise timing of the various formation and maturational phases of enamel formation in humans remains vague. Transitional and maturational ameloblasts now secrete a more aggressive serine protease, kallikrein 4 (KLK4) into the extracellular space. This cleaves and degrades virtually all remaining organic matrix surrounding crystallites including amelogenin and enamelin (Lu et al., 2008). But continuing crystal growth releases protons ( $\text{H}^+$ ) that lower the pH of the extracellular compartment and inhibit, and/or possibly control, crystallite growth (Robinson, 2014). Ameloblasts, through the action of carbonic anhydrase on  $\text{CO}_2$  and  $\text{H}_2\text{O}$ , then secrete bicarbonate into the extracellular space to buffer this, so lowering pH and favouring hydroxyapatite crystal growth (Lacruz et al., 2009; Robinson, 2014).

Zinc reaches highest levels in the ameloblast nucleus during enamel maturation and Zn a component of alkaline phosphatase, of carbonic anhydrase, of MMP-20 and KLK4 (Klimuszko et al., 2018). Zinc is also a potent inhibitor of serine proteases including KLK4 and so may act to modulate enamel protein degradation. For these

reasons it has been suggested Zn enrichment, especially of the outer enamel (Figs. 1 & 6), might result from the retention of Zn originally involved in the enamel mineralisation and maturation process. Greater amounts of Zn are involved in enamel maturation and so more may be retained in the outer enamel than in inner enamel. Robinson et al. (1997), however, have observed that during the final maturation phase there is relatively free access of diffusible ions (such as Mg) into the enamel and it may well be that relatively free diffusion of Zn ions during maturation contributes to the Zn-rich surface enamel layer. Surface exchange from Zn present in the diet and the oral environment will also surely accumulate at the enamel surface of teeth examined many years post-eruption such as those included in this study (Klimuszek et al., 2018; Müller et al., 2019; Humphrey et al., 2008a).

#### **4.2 Strontium in enamel and dentine**

Calcium and P are actively transported across the placenta (Hohenauer et al., 1970; Meites, 1975; Hsu & Levine, 2003; Kovacs, 2011) and Ca is also actively transported across the mammary gland (Neville & Watters, 1983). However, Sr (as well as Li and Mo) are not actively transported across the mammary gland but rather follow a physiological concentration gradient (Rossipal et al., 2000). Strontium also seems likely to be incorporated into dental hard tissues in a manner that reflects its changing physiological concentrations within the interstitial tissue fluid adjacent to the odontoblasts and ameloblasts (Humphrey et al., 2004; 2007; 2008b; 2008c; Müller et al., 2019). Strontium concentrations also depend upon the degree to which a series of metabolic processes discriminate against or favour Sr relative to Ca (Ezzo, 1994; Humphrey et al., 2007; 2008c; Humphrey, 2009; 2014). Strontium discrimination occurs with respect to Ca in the intestine, kidney, placenta and mammary gland (Ezzo, 1994). In particular Ezzo (1994) pointed out that if 10-14% of ingested Ca is passed to milk via the mammary gland then only 1% of ingested Sr is passed to milk. In infants who are breastfed this reduction in physiological interstitial Sr available to ameloblasts and odontoblasts will be reflected in the composition of mineralising dental tissues (Humphrey et al., 2004; 2007; 2008b; 2008c; Humphrey 2009; 2014). These observations explain why the proportion of Sr to Ca incorporated into the enamel and dentine of a breastfed infant is likely to be reduced at birth until such time as dietary Sr is introduced, as either a breast milk formula supplement or as a complete replacement for breast milk at weaning (Humphrey et al. Humphrey, 2009). They more than likely explain the halo of Sr rich prenatal enamel (Figs. 5 & 6) that appears to end abruptly at the NNL and also the pattern of Sr enrichment in enamel and dentine ~10 to 12 months into tooth formation (Fig. 1) at a time when cessation of breastfeeding and weaning onto a diet containing Sr often takes place.

The greatest difference in Sr concentration observed in this study was between enamel and dentine (Figs. 5 & 6). Apart from a slight peak in prenatal enamel and small fluctuations due to shrinkage cracks in the tooth section, Sr concentration is remarkably stable in both enamel and dentine (Figs. 5 & 6).

In forming dentine, Ca is actively transported transcellularly from the pulp interstitial fluid to the extracellular dentine matrix and significant levels of alkaline phosphatase and Ca-ATPase have been localised in the membrane vesicles and Golgi within the odontoblasts processes (Granstrom & Linde, 1981; Bawden, 1989). Mineral laid down during dentine mineralisation will, therefore, more than likely have a greater

proportion of Ca/Sr than exists physiologically in the interstitial fluid. It follows the difference in Sr concentration between enamel and dentine (Figs. 5 & 6) results largely from dentine being generally less well mineralised than enamel. However, the proportion of Sr/Ca in dentine may also be lower because Ca is actively transported to the mineralising dentine front at the expense of Sr that can be assumed only to follow a physiological concentration gradient. This may explain why, in contrast to enamel, no obvious change in Sr concentration is detectable at the NNL in dentine (Figs. 5 & 6).

During the early secretory stage of enamel formation only low and stable concentrations of Ca are required for crystallite growth and both Ca and Sr are thought to diffuse passively across the ameloblast cell layer (Bawden, 1989; Humphrey et al., 2004; 2007; 2008b; 2008c). Indeed, Ca may even be actively removed from the intra- and extracellular ameloblast space to maintain optimally low concentrations for this to occur (Bawden, 1989; Hubbard, 2000; Humphrey et al. 2008c). However, a three- to four-fold increase in demand for Ca occurs during the later maturational stage of enamel formation and requires active transcellular transport of  $\text{Ca}^{2+}$  into the extracellular enamel space (Bawden, 1989; Hubbard, 2000). Within maturational ameloblasts specific CaATPase pumps are involved in the active transport of  $\text{Ca}^{2+}$  ions. This increase in Ca transport, together with Sr continuing to follow a physiological concentration gradient into the ameloblast extracellular space, underlies the reduction in Sr/Ca towards the enamel surface observed by Humphrey et al. (2004; 2007; 2008b; 2008c) and Müller et al. (2019). The finding in this study that Sr concentration remains unchanged, even close to the enamel surface (Fig. 6) where the maturation process and active Ca transport is most intense, is compatible with this scenario but also implies dietary intake and absorption of Sr remained unchanged during deciduous cuspal enamel formation.

## 5. Conclusions

The SXRF maps of Ca, Sr and Zn presented in this study allow us to make a clearer link between some of the physiological events that occur around birth and the chemistry of mineralising enamel and dentine. The results do not support the view that prenatal enamel is uniformly better calcified than postnatal enamel. They do, however, support previous findings about the distribution of Sr in pre- and postnatal enamel. Our findings provide new evidence for increased levels of Zn in prenatal enamel and dentine and for Zn enrichment at the EDJ and within the NNL itself. The data presented here provide a supplementary line of evidence for identifying the NNL more securely by linking its microstructural appearance with a potential boundary line demarcating shifts in pre- and postnatal Sr and/or Zn levels. This may be particularly pertinent for forensic studies that rely on the presence of the NNL for evidence of a live birth. Overall, the results offer only limited support for the view that the NNL is simply a hypocalcified (or in a more general sense hypomineralised) accentuated marking. Rather, they support previous studies that have proposed a region of reduced and disordered crystallinity, at least in enamel, that results in light scattering properties that give the typically dark brown NNL seen in TLM and light blue NNL appearance seen in reflected light microscopy (Boyde, 1964; 1989). A surprising finding is the precise demarcation of Zn and Sr distribution at the NNL in the enamel of some teeth that suggests minimal temporal overprinting of these trace elements.

## Conflict of Interest

The authors declare no conflict of interest. All authors have read and approved the final article.

## Ethics statement

All work carried was exempt from any requirements set out in The Code of Ethics of the World Medical Association (Declaration of Helsinki).

## Acknowledgements

All preparatory lab work was carried out at UCL and on collections based there. All experimental work was carried out at the DESY synchrotron in Hamburg (Deutsches Elektronen-Synchrotron, a member of the Helmholtz Association HGF) on the PETRA III P06 beamline. The work presented in this study was supported by DESY Project proposal I-20160686 and Project proposal; I-20180047. We thank the DESY User Office, Dr. Gerald Falkenberg and those who have developed techniques employed in this study. We acknowledge support to CD from the Calleva Foundation and to ALC from The Max Planck Society. We thank Jean-Jacques Hublin for continuing support with this project.

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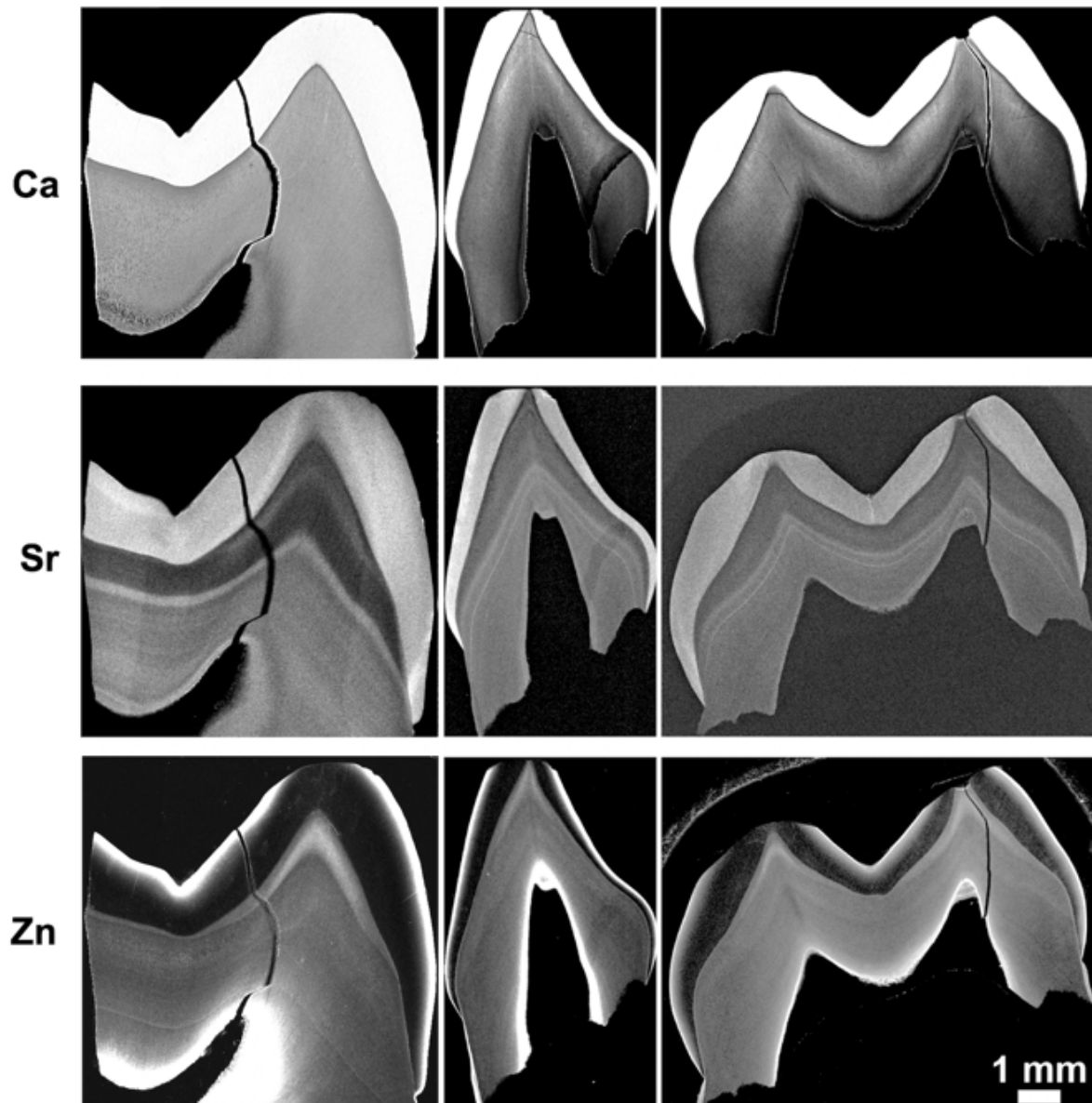
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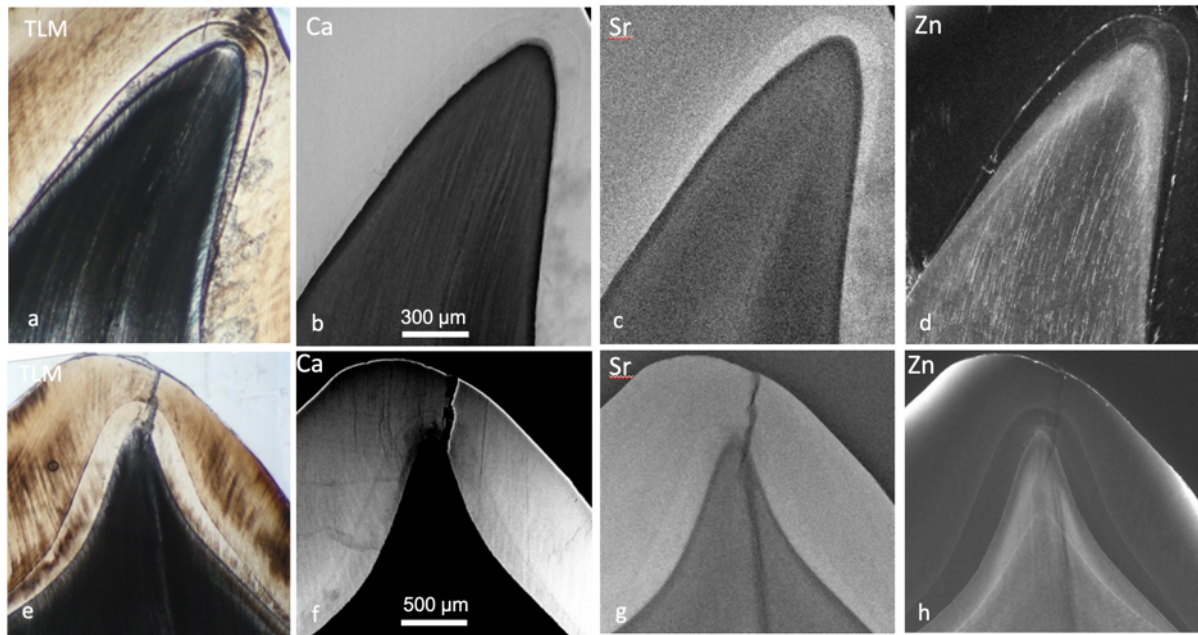
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## Figures



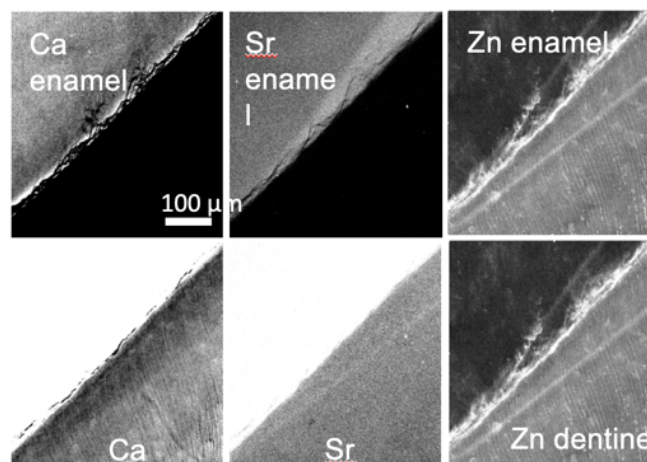
**Figure 1**

SXRF overview maps for calcium, strontium and zinc of three deciduous teeth. The deciduous upper second molar to the left is from one individual and the deciduous lower canine and upper second molar to the right are both from a second individual. Scans were acquired at 10  $\mu$ m resolution and 10 ms integration time.



**Figure 2**

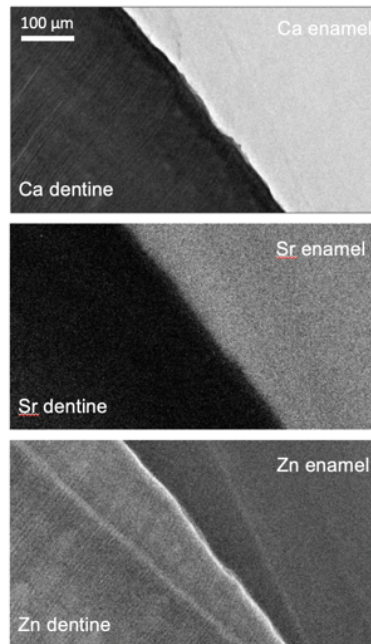
Transmitted light micrographs (TLM) of the NNL in an upper deciduous second molar (a) and an lower deciduous second molar cusp (e) together with SXRf maps of Ca, Sr and Zn for the same field of view. Scans were acquired at 2.5 µm resolution and 10 ms integration time.



**Figure 3**

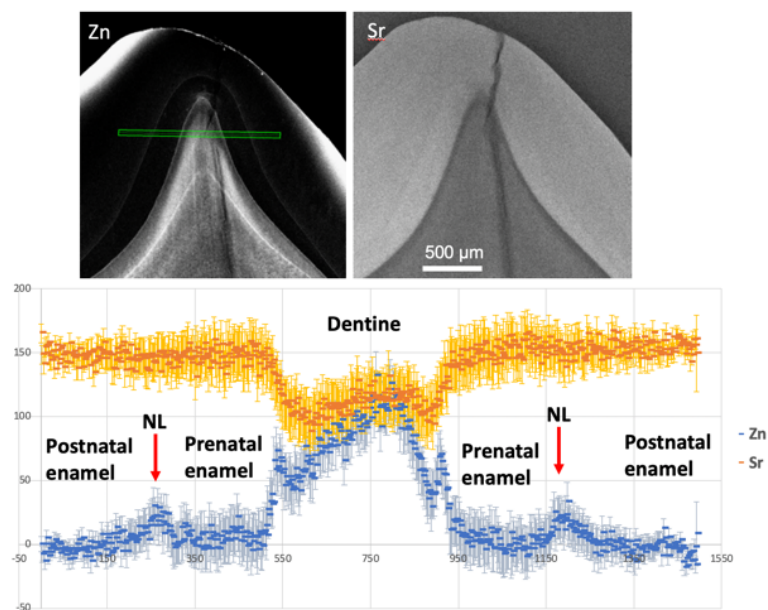
SXRf maps of Ca, Sr and Zn distribution close to the EDJ in a lower deciduous canine where the NNL in enamel and dentine are converging. The image contrasts are optimised for enamel on the top row and dentine on the bottom row. Scans were acquired at 1.0 µm resolution and 10 ms integration time.





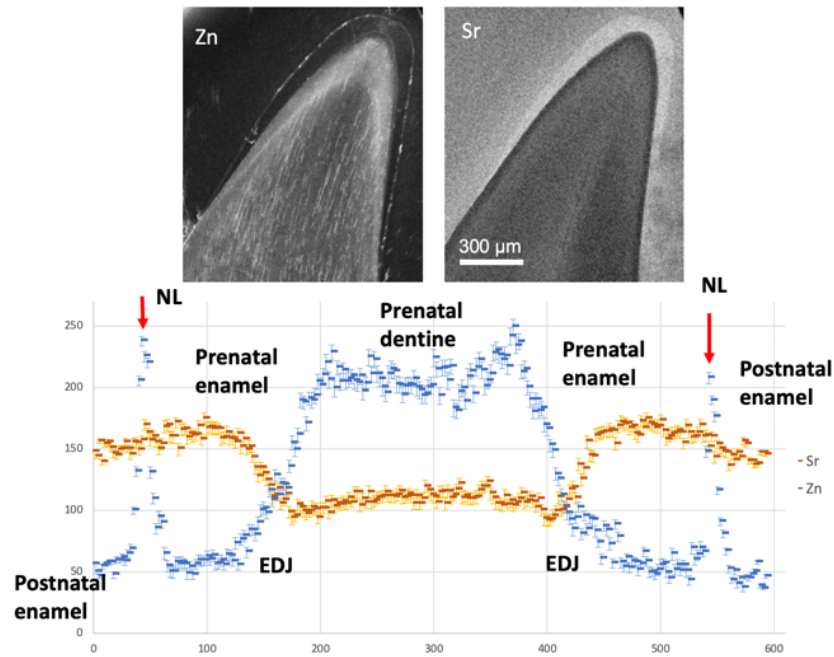
**Figure 4**

SXRF maps of Ca, Sr and Zn distribution at the EDJ in an upper deciduous second molar at a position where the NNL in enamel and dentine are converging. Scans were acquired at 1.0  $\mu\text{m}$  resolution and 10 ms integration time.



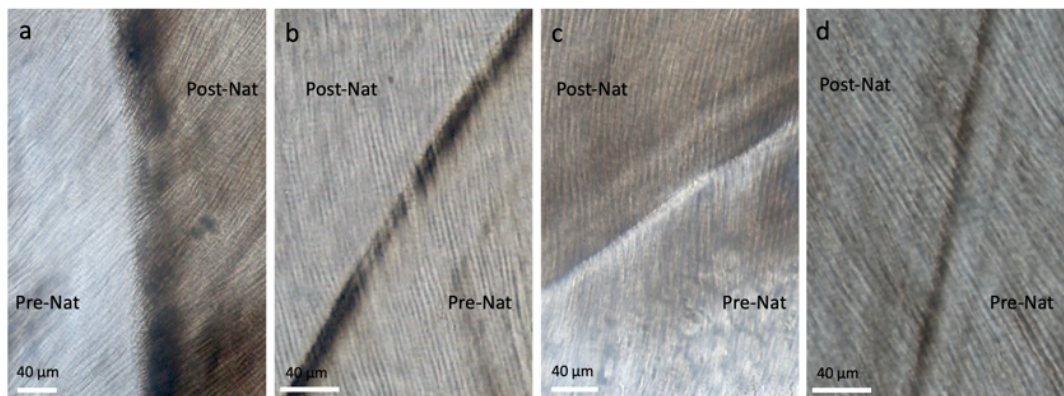
**Figure 5**

SXRF map of Zn and Sr in the same upper deciduous second molar cusp illustrated in Figure 2a-d. The green bar indicates the plane of the concentration plots for Zn and Sr in the graph. Error bars on the individual box plots are 2SD.



**Figure 6**

SXRF map of Zn and Sr in the same lower deciduous second molar cusp illustrated in Figure 2e-h. The green bars [(a) and(b)] indicate the planes of the concentration plots for Zn and Sr in the graphs [(a) and(b)]. Error bars on the individual box plots are 2SD.



**Figure 7**

Transmitted light micrographs of the NNL in the enamel of some of the teeth used in this study showing the variation in width, intensity and morphology as well as the difference in appearance between pre- and postnatal enamel. Images (a) and (c) are from different cusps and different locations along the NNL in the same deciduous second molar tooth.