

1 Investigating Particle Size Effects in Catalysis by  
2 Applying a Size Controlled and Surfactant-Free  
3 Synthesis of Colloidal Nanoparticles in Alkaline  
4 Ethylene Glycol – the Case Study of the Oxygen  
5 Reduction Reaction on Pt

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## 21 ABSTRACT

22 Colloidal platinum nanoparticles are obtained via a surfactant-free polyol process in alkaline  
23 ethylene glycol. In this popular synthesis, ethylene glycol functions as solvent and reducing  
24 agent. The preparation procedure is known for its reproducibility to obtain 1-2 nm nanoparticles,  
25 but at the same time the controlled preparation of larger nanoparticles is challenging. A reliable  
26 size control without the use of surfactants is a fundamental yet missing achievement for  
27 systematic investigations. In this work it is demonstrated how the molar ratio between NaOH and  
28 the platinum precursor determines the final particle size and how this knowledge can be used to  
29 prepare and study in a systematic way supported catalysts with defined size and Pt to carbon  
30 ratio. Using small-angle X-ray scattering, transmission electron microscopy, infrared  
31 spectroscopy, X-ray absorption spectroscopy, pair distribution function and electrochemical  
32 analysis it is shown that changing the NaOH/Pt molar ratio from 25 to 3, the Pt nanoparticle size  
33 is tuned from 1 to 5 nm. This size range is of interest for various catalytic applications, such as  
34 the oxygen reduction reaction (ORR). Supporting the nanoparticles onto a high surface area  
35 carbon, we demonstrate how the particle size effect can be studied keeping the Pt to carbon ratio  
36 constant, an important aspect that in previous studies could not be accomplished.

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38 KEYWORDS

39 oxygen reduction reaction, particle size effect, size control, polyol process, platinum  
40 nanoparticles, synthesis

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46 INTRODUCTION

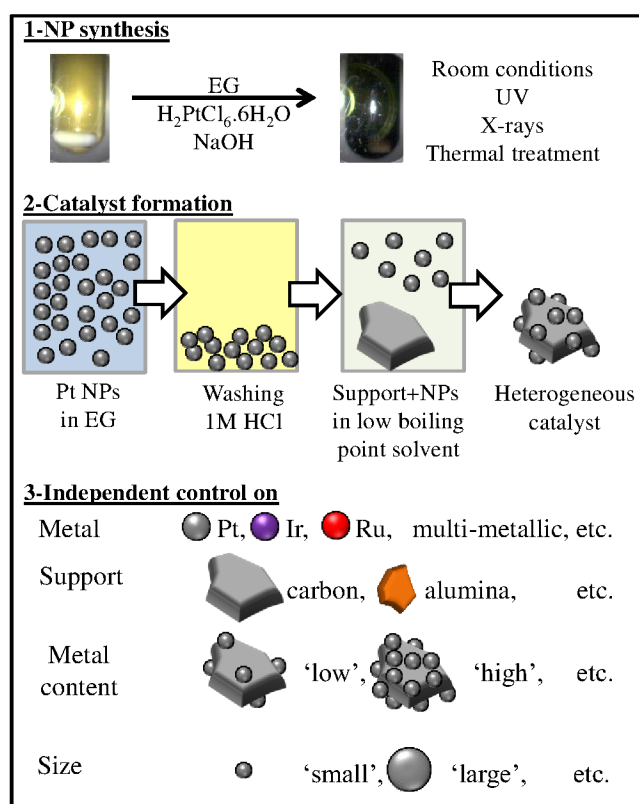
47 Catalysts are essential for many reactions and industrial processes.<sup>1</sup> In particular, precious  
48 metal materials have outstanding catalytic properties for energy conversion or chemical  
49 production.<sup>2-4</sup> To utilize limited resources efficiently, it is key to optimize precious metal  
50 catalysts for specific operation conditions. In many applications, precious metal catalysts consist  
51 of nanoparticles ideally finely distributed on a support material. To optimize the catalytic  
52 performance of nanoparticles, one needs to individually investigate and optimize various  
53 properties like nature of the metal, particle size, support type, metal to support ratio, etc. To best  
54 select the most suitable combination of these properties, systematic fundamental research and  
55 development of model catalysts must be performed. Since this is a complex multi-parameter  
56 challenge, a suitable approach to study and understand the effect of a given property is to control  
57 this property independently of the others in a reliable way.

58 A synthesis method that can address these challenges in the preparation and optimization of  
59 precious metal nanoparticles used as catalysts, is based on the ‘polyol process’.<sup>5</sup> In the ‘polyol  
60 process’ a metal complex (e.g.  $\text{H}_2\text{PtCl}_6$ ) is reduced in an alkaline (e.g. NaOH) solution of polyol

61 (e.g. ethylene glycol, EG) heated at relatively high temperature (ca. 160 °C). The synthesis  
62 recently reviewed<sup>5</sup> is simple and ‘green’, which accounts for its popularity and an ongoing  
63 optimization.<sup>6</sup> To obtain supported nanoparticles, the polyol process is typically performed in a  
64 ‘one-pot’ approach, where precious metals are directly formed on a support.<sup>7</sup> In one-pot  
65 syntheses it is often observed that the nature of the support influences the size<sup>8-9</sup> and the effect of  
66 particle size cannot be studied independently of the nature of the support, a severe drawback for  
67 systematic studies. In the absence of a support, stable colloidal dispersions of precious metal  
68 nanoparticles can be obtained. Surfactants such as polyvinylpyrrolidone (PVP) or halides are  
69 typically used to stabilize the nanoparticles, avoid agglomeration and tune the particle size.<sup>10</sup>  
70 However these surfactants need to be removed before performing catalytic measurements for  
71 optimal catalytic activity.<sup>11-14</sup> Surfactant removal requires additional steps and treatment of the  
72 supported nanoparticles that can potentially influence nanoparticles and support alike, another  
73 drawback for systematic studies.

74 The surfactant-free polyol synthesis has previously been achieved<sup>15</sup> and is known for its high  
75 reproducibility for synthesizing 1-2 nm particles. Based on this synthesis method we developed a  
76 surfactant-free ‘toolbox’ approach of supported catalysts prepared in a two-step synthesis,  
77 **Figure 1.**<sup>16</sup> First, a surfactant-free colloidal dispersion that is stable for months<sup>17</sup> and contain  
78 precious metal nanoparticles is prepared in alkaline EG. The nanoparticles are precipitated by  
79 chemical treatment in acid, re-dispersed, washed, can then be isolated and stored as powder<sup>18</sup> or  
80 supported by mixing the desired amount of support material and nanoparticles to achieve a target  
81 metal to support ratio.<sup>16, 19</sup> A benefit of the two-step approach is that particle formation and  
82 growth is independent of the support. The nanoparticles do not form in parts of the support like  
83 pores that are not accessible to the reactants under catalytic operation.<sup>20</sup> Thus, the expensive

84 material is more efficiently used during catalysis. Another advantage is that high metal contents  
 85 (> 50 wt. %), beneficial to optimize the stability and the mass activity of catalysts,<sup>21</sup> can be  
 86 achieved in a single deposition step. Alternative ‘one-pot’ syntheses of precious metal  
 87 nanoparticles directly on a support material can require multiple iterations of the synthesis before  
 88 reaching the desired metal content which leads to undesirable agglomeration of the  
 89 nanoparticles.<sup>22</sup>  
 90



91  
 92  
 93 **Figure 1.** Schematic representation of the steps and ideas to design nanocatalysts by the toolbox  
 94 approach. (1) Surfactant-free colloidal synthesis of Pt nanoparticles (NPs) in alkaline ethylene  
 95 glycol (EG). (2) Pt nanoparticles are supported after acidic washing and redispersion in low  
 96 boiling point solvent. (3) The toolbox approach allows independent control on various

97 experimental parameters for systematic studies and optimization of supported precious metal  
98 catalysts.

99 The ‘toolbox’ approach is suitable to understand and study in a systematic way the influence of  
100 the various physical catalyst properties such as the nature of metal, the support, the metal  
101 content, the particle size, and inter-particle distance. For this it is essential to be able to change  
102 these properties individually, i.e. independent of each other, and to avoid any post-treatment. The  
103 toolbox has been successfully exploited to propose routes for improving heterogeneous catalysts  
104 in gas sensing,<sup>23</sup> chemical synthesis<sup>18, 24</sup> and electrocatalysis.<sup>16, 25</sup> For instance, the effect of  
105 carbon support, metal content and amount of polymer used in ‘ink’ formulation were  
106 investigated in a comprehensive way for the oxygen reduction reaction (ORR) that plays a key  
107 role in fuel cell development.<sup>19, 21, 26</sup> However, a critical missing piece in the toolbox approach  
108 was a reliable size control,<sup>27</sup> since at the nanoscale size has a strong influence on catalyst  
109 properties like activity, selectivity or stability.<sup>3</sup>

110 Size control in polyol surfactant-free syntheses can be achieved by changing the viscosity of  
111 the solvent. For instance, adding water changes the size,<sup>15, 28</sup> but the obtained colloidal  
112 dispersions do not lead in our experience to reproducible results without using a protecting  
113 polymer. A general agreement is that ‘pH’ can be used to affect the size of the nanoparticles,  
114 with or without the use of polymer, in colloidal or one-pot syntheses, for mono or bimetallic  
115 materials.<sup>15, 17, 29-33</sup> It is broadly and commonly stated that ‘pH’ must be superior to 12 for the  
116 reaction to proceed and that ‘pH’ affects the nanoparticle size.

117 We observed that when the concentration of platinum precursor is increased, size control is  
118 more challenging to achieve. This might explain why to the best of our knowledge, the  
119 concentration of platinum is kept constant in all studies so far, except one,<sup>34</sup> see also **Table S1**.

120 Therefore controlling the ‘pH’ (or NaOH concentration) is not sufficient. In the presented work it  
121 is demonstrated by using small-angle X-ray scattering (SAXS), transmission electron  
122 microscopy (TEM), infra-red spectroscopy (FTIR), X-ray absorption spectroscopy (XAS), and  
123 pair distribution function (PDF) analysis that it is not the ‘pH’ that controls the size, but the  
124 molar ratio between NaOH and platinum. Various samples were prepared with different  
125 NaOH/Pt molar ratios using microwave synthesis. It is explained how the nanoparticle size can  
126 be tuned in the range 1 to 5 nm when the NaOH/Pt molar ratio is changed from 25 to 3. Particle  
127 dispersions with different sizes are then used to prepare supported Pt/C electrocatalysts keeping  
128 the metal content constant and to test the influence of particle size on the oxygen reduction  
129 reaction (ORR). In previous work<sup>35-36</sup> such investigations were possible only by using complex,  
130 multistep preparation protocols employing surfactants that typically need to be removed.<sup>37-38</sup>

131

## 132 RESULTS AND DISCUSSION

### 133 **NaOH/Pt molar ratio to control platinum nanoparticle size.**

134 In previous efforts to achieve size control in surfactant-free colloidal syntheses of precious  
135 metal nanoparticles in alkaline ethylene glycol, pH has been discussed as a crucial parameter.<sup>15</sup>  
136 A strict definition of pH is based on the proton activity in aqueous solution. We therefore refrain  
137 from using ‘pH’ and will prefer to use the ‘NaOH concentration’. Yet, it is not always  
138 straightforward to reproduce results from the literature. The concentration of precious metal  
139 precursors in reported studies is typically in the range 0.5 – 50 mM. The NaOH concentration is  
140 typically varied from 0 to 1 M, **Table S1**. Up to now only the effect of the concentration of the  
141 base (NaOH or KOH) has been investigated keeping the metal complex concentration constant.<sup>7,</sup>

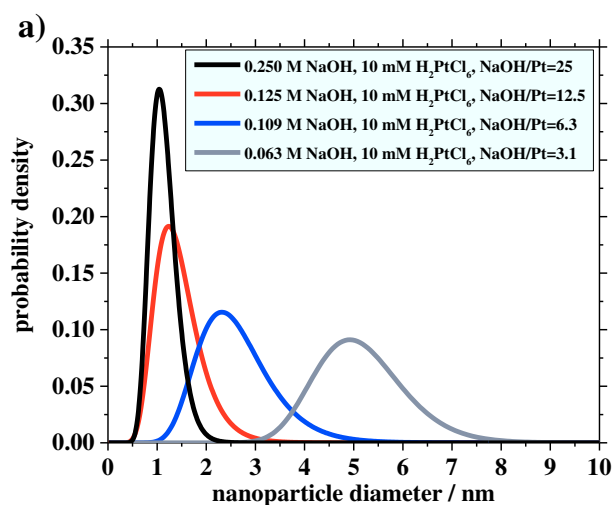
142 <sup>39</sup>

143 The synthesis has recently been performed as a one-pot synthesis including carbon nanotubes  
144 as support material.<sup>7</sup> No clear correlation between particle size and electrochemically active  
145 surface area (ECSA) was found, indicating a profound influence of the support on the obtained  
146 catalyst. In particular the nanoparticle deposition on the support was found to depend on the  
147 concentration of NaOH used. The higher the NaOH concentration, the lower the metal content  
148 achieved. This is related to the surface charge of the carbon support leading to repulsive  
149 interactions with the nanoparticles. This observation stresses the strong influence of the support  
150 on the properties of the prepared catalyst in traditional one-pot syntheses, leading in this specific  
151 example to a detrimental effect on the achieved metal content. This drawback is completely  
152 alleviated in the toolbox approach since synthesis and immobilization are independent steps. In  
153 another study, *in-situ* SAXS using synchrotron radiation were used to elucidate the temporal  
154 evolution of Pt nanoparticle formation in ethylene glycol, with or without surfactant and for  
155 different NaOH concentrations, but at a constant H<sub>2</sub>PtCl<sub>6</sub> concentration.<sup>39</sup> However, synchrotron  
156 radiation as well as X-ray sources (e.g. from an in-house SAXS equipment) are enough in our  
157 experience to induce the formation of the nanoparticles, see also Supporting Information (SI).

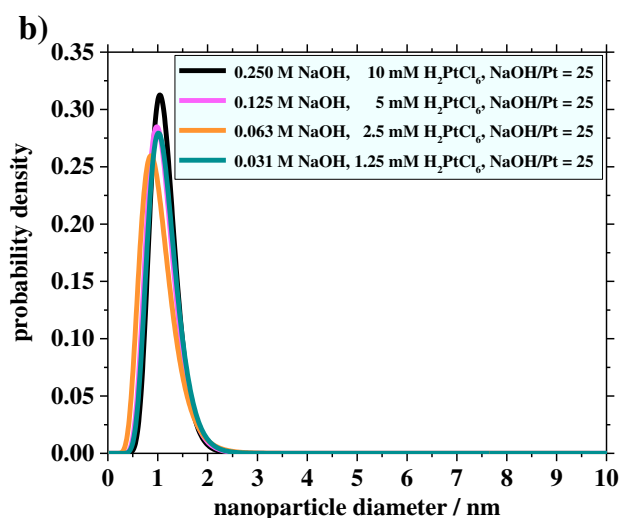
158 In the present study, colloidal platinum nanoparticles were synthesized using a microwave  
159 reactor and characterized after synthesis to avoid any support or beam-effect during investigation  
160 of the nanoparticles. In the microwave reactor-based synthesis reactions can be completed in less  
161 than 10 minutes, which enables a high screening output of experimental parameters. The joint  
162 influence of the NaOH concentration and the concentration of platinum complex on the resulting  
163 size of the platinum nanoparticles is investigated.

164 TEM and SAXS characterization were carried out on colloidal dispersions of Pt nanoparticles  
165 prepared by changing the concentration of NaOH for a given H<sub>2</sub>PtCl<sub>6</sub> concentration of 10 mM.

166 Both characterization methods indicate a similar particle size distribution for a given sample, for  
167 clarity only the SAXS results are discussed in this first section, **Figure 2** as well as **Table S2** and  
168 **Figure S1**. As the concentration of NaOH decreases from 250 mM to 63 mM, the size of the  
169 nanoparticles, evaluated as the mode of the fitted size distribution, increases from 1.1 nm to 4.9  
170 nm. The trend observed is that with higher NaOH concentration, the particle size is smaller. This  
171 trend agrees with the literature and it is tempting to conclude that the NaOH concentration  
172 controls the size.



173



174

175 **Figure 2.** (a) Pt nanoparticle size distribution obtained by SAXS analysis as a function of the  
176 concentration of NaOH in the reaction mixture. (b) Pt nanoparticles size distribution obtained by  
177 SAXS analysis for different concentration of NaOH and Pt precursor but a same NaOH/Pt molar  
178 ratio of 25. Experimental conditions are detailed in the inset.

179 The used NaOH concentrations translate to a change in the NaOH/Pt molar ratio from 25 to  
180 3.1. To understand the role of the absolute NaOH concentration as compared to the NaOH/Pt  
181 molar ratio, we further investigated the case of fixed NaOH/Pt molar ratio but varying the  
182 absolute concentrations. For different concentrations of platinum precursor complex, from 2.5 to  
183 20 mM, the NaOH concentration was changed accordingly from 63 mM to 500 mM to obtain a  
184 constant NaOH/Pt molar ratio of 25. As demonstrated in **Figure 2b**, in this case, the resulting  
185 particle size is for all experiments the same, i.e. around 0.9 - 1.1 nm. The results unambiguously  
186 show that the key parameter to control the size of the colloidal platinum nanoparticles is the  
187 NaOH/Pt molar ratio and not the absolute NaOH concentration. If the NaOH concentration  
188 controls the size, the results obtained for 63 mM NaOH with 10 mM and 2.5 mM  $\text{H}_2\text{PtCl}_6$  would  
189 lead to the same particle size. In contrast, for higher platinum concentration the average particle  
190 size is 4.9 nm and for the lower platinum concentration 0.9 nm as demonstrated in our  
191 experiments.

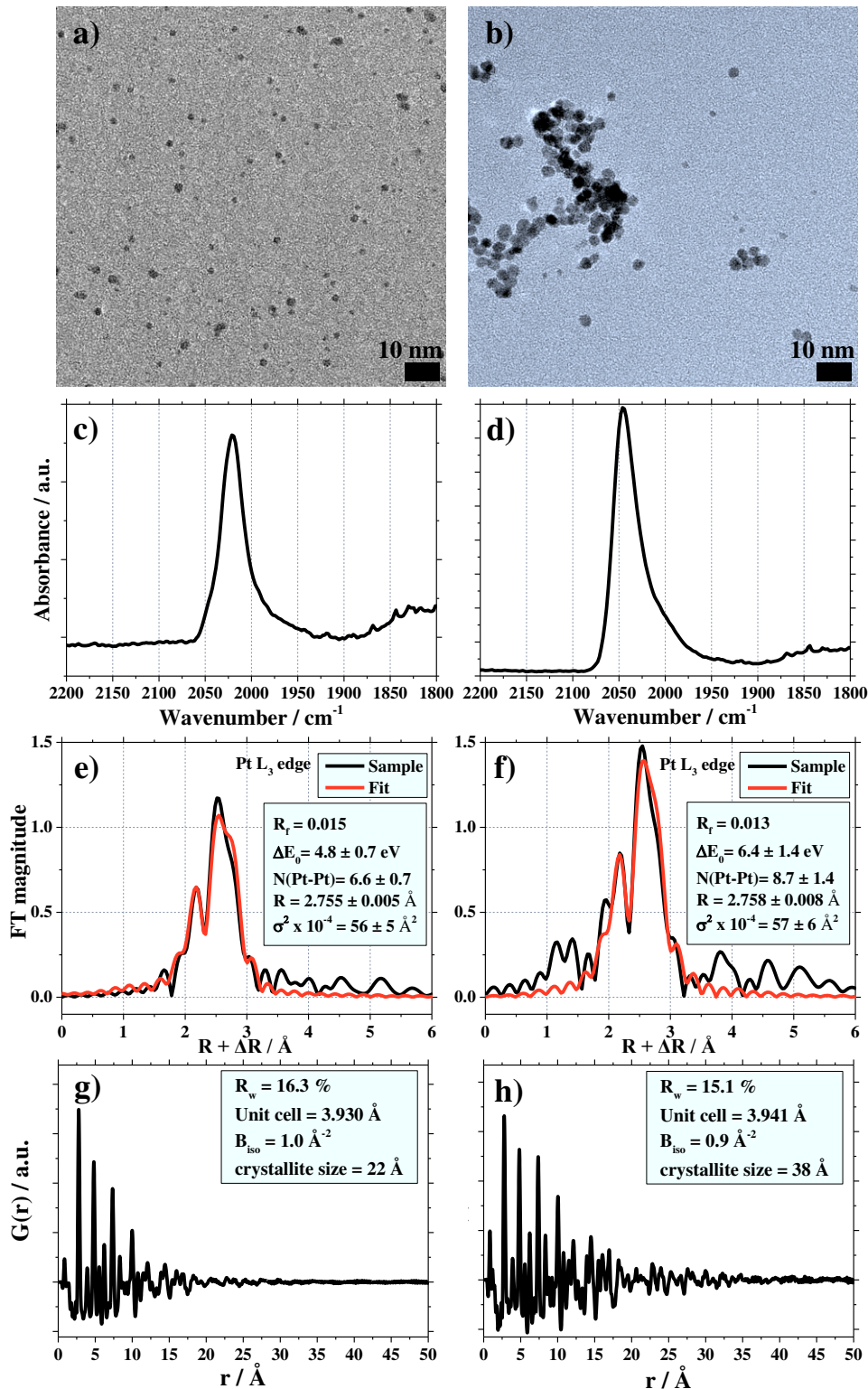
192 The reason for the overlooked assumption in the literature that the 'pH' is key to achieve size  
193 control is possibly linked to the pioneering work by Wang et al., who actually investigated the  
194 effect of the platinum complex concentration.<sup>15</sup> In their work, no strong effect on the obtained  
195 particle size was observed by changing the  $\text{H}_2\text{PtCl}_6$  concentration from 0.37 mM to 51 mM. This  
196 apparently contradicting statement can be resolved by the fact that they 'adjusted the pH' to 12.  
197 Since  $\text{H}_2\text{PtCl}_6$  deprotonates in solution, when higher  $\text{H}_2\text{PtCl}_6$  concentrations are used, also more

198 NaOH is needed to balance the acidity of the precursor. Therefore, it can be assumed that in this  
199 pioneering work, the authors unaware kept the NaOH/Pt molar ratio close to constant as well.  
200 This is why the authors concluded that the method can be used ‘in a high metal concentration’  
201 and lead to narrow size distribution. We scrutinized the size control by the NaOH/Pt molar ratio  
202 in two different laboratories (University of Copenhagen and University of Bremen) and for two  
203 different preparation methods (oil bath synthesis and microwave reactor) and found reproducible  
204 results showing that the NaOH/Pt molar ratio is a more suitable descriptor than ‘absolute NaOH  
205 concentration’ for size control and more suitable than ‘pH’, with little meaning in non-aqueous  
206 solvent. A possible model to account for this observation is outlined in the SI. In short,  
207 estimating the amount of OH<sup>-</sup> per Pt surface area of the nanoparticles leads to a similar value for  
208 each obtained particle size. Therefore, it can be hypothesized that the ratio of OH<sup>-</sup> to Pt surface  
209 atoms not only stabilizes the particles in suspension but also controls the particle growth. As a  
210 result, the particle size, or more specifically the number of Pt surface atoms of a nanoparticle,  
211 depends on the chosen NaOH/Pt ratio.

### 212 **Physical characterization of nanoparticles with different size**

213 A summary of the characterization of as prepared colloidal Pt nanoparticles obtained with  
214 NaOH/Pt molar ratio of 10 and 4.5 by TEM, FTIR, XAS and PDF analysis is given in **Figure 3**  
215 and **Table S3**.

216



217

218 **Figure 3.** (a,b) TEM, (c,d) FTIR, (e,f) EXAFS for the Pt L<sub>3</sub> edge and (g,h) PDF characterization

219 of Pt nanoparticles prepared with a NaOH/Pt molar ratio of (a,c,e,g) 10 and (b,d,f,h) 4.5.

220 Based on the TEM analysis, the nanoparticles obtained with a NaOH/Pt molar ratio of 10  
221 exhibit a mean diameter around 2 nm, whereas a NaOH/Pt molar ratio of 4.5 results in diameter  
222 in the range 3-5 nm. The TEM data give also indirect evidence of the OH/OH<sup>-</sup> stabilization: the  
223 ‘unprotected nanoparticles’ with a larger size, exhibit more agglomeration on the TEM grid than  
224 the smaller ones as the surface charge from the OH<sup>-</sup> groups protecting them from  
225 agglomeration<sup>27</sup> is roughly equal, but larger (heavier) particles need more charge for their  
226 stabilization. FTIR characterization reveals an absorption band at 2020 and 2045 cm<sup>-1</sup> for the  
227 smaller and larger nanoparticles, respectively, attributed to CO surface groups on the Pt  
228 nanoparticles.<sup>27</sup> The shift to larger wavenumber observed for larger nanoparticles is consistent  
229 with previous reports<sup>17, 27</sup> and indicates an increase in CO-CO dipole coupling related to the  
230 change in the surface curvature of the particles. X-ray absorption near edge structure (XANES)  
231 was used to evaluate the degree of oxidation of the Pt in the colloidal suspension, and extended  
232 X-ray absorption fine structure (EXAFS) was used to evaluate the average coordination number  
233 and bond distance. It is found that while the initial precursor consists in the form of Pt(IV), after  
234 synthesis mainly Pt(0) is detected. Based on Fourier transformed EXAFS data at the Pt L<sub>3</sub> edge,  
235 the nanoparticles appear as metallic Pt, suggesting the entire reduction of the Pt(IV) precursor  
236 salt after the synthesis. The EXAFS analysis reveals that the atomic length of Pt-Pt bond is  
237 around 2.75 Å, whereas the coordination number in the first shell is around 6.6 ± 0.7 and 8.7 ±  
238 1.4 for the nanoparticles prepared with NaOH/Pt molar ratios of 10 and 4.5 respectively. From  
239 this analysis, only a rough size estimation can be obtained, but coordination numbers of 6.6 and  
240 8.7 are in agreement with particle sizes of ca. 2 nm and 3-5 nm, respectively.<sup>40-41</sup> PDF analysis,  
241 see also **Figure S3-S4**, is a well-suited characterization method to evaluate the atomic structure  
242 and the size of crystal domains in nanomaterials.<sup>42-44</sup> The analysis shows that the Pt *fcc* crystal

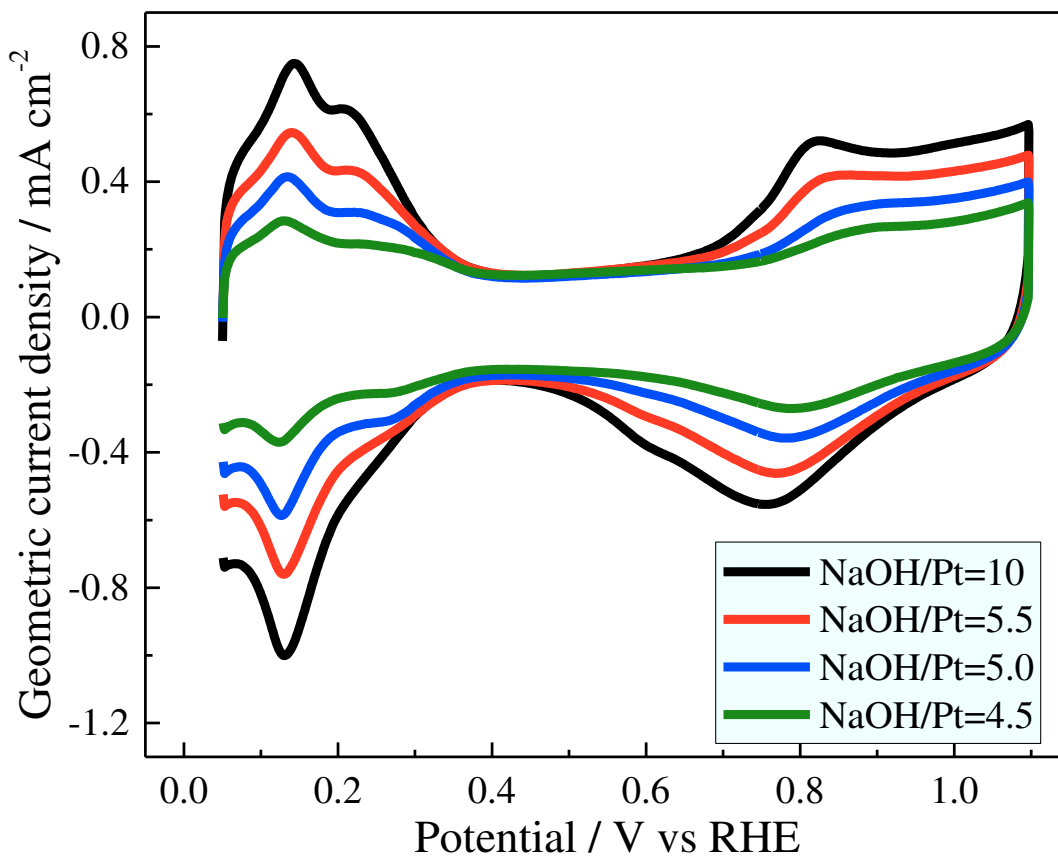
243 structure describes the data well for both nanoparticle sizes, and evaluates the crystallite sizes to  
244 2.2 and 3.8 nm for the smaller and larger nanoparticles, respectively. As expected, the refined  
245 unit cell parameter is larger for the largest particles.<sup>45</sup> The PDF results therefore agree well with  
246 TEM, SAXS and XAS data.

#### 247 **Electrochemical characterization of carbon supported Pt nanoparticles with different sizes**

248 Among the many applications of platinum nanoparticles in heterogeneous catalysis, a  
249 surfactant-free synthesis is especially well-suited for electrocatalysis that requires ‘clean’  
250 catalytic surfaces to ensure efficient electron transfer from/to the catalyst to/from the reactant.  
251 One of the main applications of the ‘toolbox’ approach is therefore the preparation of surfactant-  
252 free Pt nanoparticles supported on carbon support (Pt/C) catalysts.<sup>46</sup> The electrochemical  
253 properties of carbon supported Pt/C catalysts prepared with a nominal Pt content of 50 wt. % and  
254 Pt nanoparticles of different size are summarized in **Figure 4** and **Table 1**. In the cyclic  
255 voltammograms (CVs) of all Pt/C catalysts, the typical features of platinum are observed,  
256 i.e. the CVs divide into three main regions: the hydrogen underpotential deposition ( $H_{\text{upd}}$ )  
257 region between 0.05 and 0.35 V (all voltages are expressed versus the reversible hydrogen  
258 electrode, RHE), the ‘double layer’ potential region between 0.35 and 0.7 V where mainly  
259 capacitive processes take places, and the ‘oxide region’ above 0.7 V, where OH  
260 adsorption and oxide formation on the Pt surface occurs.

261

262



263  
 264 **Figure 4.** CVs for Pt/C catalysts prepared from different colloidal Pt particle suspensions. The Pt  
 265 to carbon ratio in the catalyst and the amount of Pt on the glassy carbon were kept constant at 50  
 266 wt. % and  $14 \mu\text{g}_{(\text{Pt})} \text{cm}^{-2}$ , respectively. The measurements were conducted in Ar-saturated 0.1 M  
 267  $\text{HClO}_4$  at a scan rate of  $50 \text{ mV s}^{-1}$ .

268 The CVs of the Pt/C catalysts prepared from the different colloids exhibit an increase in  
 269 the observed  $H_{\text{upd}}$  area with increasing NaOH/Pt molar ratio during synthesis. Because the  
 270 same Pt loading on the GC tip was used for each CV, the observed trend shows that the  
 271 electrochemical active surface area (ECSA) increases with increasing NaOH/Pt molar  
 272 ratio. As ECSA and particle size are correlated with each other, this is expected. The  
 273 NaOH/Pt molar ratio ultimately influences the electrochemical properties of the  
 274 nanoparticles. This is also seen by the shift in the peak potential of the oxide reduction

275 peak around 0.8 V. A higher NaOH/Pt molar ratio, and thus smaller Pt particle size, leads  
276 to a shift of the oxide reduction peak to lower potentials and can be explained by the  
277 higher oxophilicity of smaller Pt nanoparticles.<sup>47</sup> This is in agreement with ORR tests  
278 summarized in **Table 1** (see also **Figure S5-S8**).

279 It is worth pointing out that several reports using a one-step synthesis of carbon supported Pt  
280 nanoparticles stress the influence of the ‘pH’ on the maximum metal content achieved.<sup>7, 48-49</sup> As  
281 demonstrated, using the presented synthesis approach, the Pt to carbon ratio in the catalyst and  
282 the Pt size are controlled independently and the particle size effect<sup>35-36, 50</sup> can be investigated at  
283 constant Pt content in the catalyst. Keeping the Pt to carbon support ratio constant but varying  
284 the particle size a clear trend in electrochemical surface area (ECSA), specific activity (SA) and  
285 mass activity (MA) is observed: with increasing particle size, the SA slightly increases, but the  
286 ECSA decreases more significant, resulting in an overall decrease in MA. This study confirms  
287 the particle size effect on the ORR discussed in previous reports, i.e. that the SA increases with  
288 particle size. Here, for the first time the metal content in the catalyst is kept constant, and only  
289 the particle size is varied. Previous studies changed particle size and metal content at the same  
290 time.<sup>35-36, 50</sup> Our results indicate that initially reported relatively low SA values at very small  
291 particle size (or very high ECSA) in the work of Gasteiger et al.<sup>36</sup> might be related to the use of  
292 relative low metal to carbon ratio and resulting higher capacitive current contributions. Low  
293 metal to carbon ratio to achieve high ECSA values is a direct consequence of practical  
294 limitations in the catalyst preparation that are overcome in the approach presented. Thus, the  
295 significance of the particle size effect for the ORR was previously overestimated. Changing the  
296 particle size from 2 nm to more than 5 nm at constant metal loading, increases the SA by less

297 than 50 %, and is therefore clearly overcompensated by the concurrent significant increase in  
298 ECSA.

299 In addition, the MA obtained with our home-made catalysts is ca. 37 % higher than for a  
300 commercial catalysts (TKK) with a similar nanoparticle size (2 nm) and loading (ca. 50 wt. %).  
301 This can be attributed to the improved control over catalyst optimization approach using the  
302 presented tool-box approach. Our results therefore highlight the benefits of the approach to study  
303 and optimize supported catalysts.

304

305 **Table 1.** ECSA and ORR activity values for homemade and commercial Pt catalysts  
306 supported on carbon.

NaOH/Pt	Diameter [nm]	ECSA [m <sup>2</sup> g <sup>-1</sup> Pt]	SA [mA cm <sup>-2</sup> ]	MA [A g <sup>-1</sup> Pt]
TKK	2.2 ± 0.6	93 ± 7	0.74 ± 0.07	680 ± 70
10	2.1 ± 0.6	102 ± 8	0.90 ± 0.18	922 ± 223
5.5	2.9 ± 0.9	84 ± 2	0.92 ± 0.06	778 ± 37
5	4.0 ± 1.2	54 ± 3	1.06 ± 0.08	570 ± 10
4.5	5.5 ± 1.6	38 ± 1	1.20 ± 0.19	457 ± 52

307 ECSA: electrochemically active surface area, SA: specific activity @ 0.9 V; MA: mass activity  
308 @ 0.9 V. All Pt/C catalyst are prepared with a nominal Pt loading of 50 wt.%. ECSA, SA and  
309 MA were evaluated from at least three independent experiments and calculated with respect to  
310 the actual Pt content. The particle diameter is estimated by TEM analysis.

311

312

## 313 CONCLUSIONS

314 A straightforward control over the size of Pt nanoparticles prepared via the popular  
315 alkaline polyol method is achieved by varying the molar ratio of NaOH/Pt molar ratio  
316 used during the synthesis. Nanoparticles with controlled size in the range 1-5 nm were  
317 successfully obtained using NaOH/Pt ratios between 25 and 3 and characterized by TEM,  
318 SAXS, XAS, FTIR, PDF as well as electrochemical measurements. The characterization  
319 confirms that not the 'pH', but the NaOH/Pt molar ratio controls particle size and thus the  
320 properties of the as-prepared catalysts. We hypothesize that the concentration of surface  
321 species (in our case OH/OH<sup>-</sup>) controls not only the stabilization but also the end of the  
322 growth process. The presented finding is an important addition to the toolbox approach  
323 since all fundamental properties of supported catalysts, i.e. particle size, metal loading,  
324 support type, etc. can be now independently controlled and tuned for specific  
325 applications. In particular, it is demonstrated how specific activity, electrochemical active  
326 surface area, and mass activity of the ORR are influenced by the particle size of Pt at  
327 constant metal loading. Compared to previous investigations<sup>35-36, 50</sup>, the significance of the  
328 particle size effect on the ORR is significantly smaller in the present study, which can be  
329 explained by the fact that previously catalysts with different Pt loadings were compared, i.e.  
330 multiple parameters were changed at the same time.

331

## 332 EXPERIMENTAL SECTION

333 *Polyol synthesis.* For the synthesis of the nanoparticles the polyol synthesis was used.<sup>15,</sup>

334 <sup>51-53</sup> The general procedure for a microwave reactor synthesis is detailed.

335 To obtain ca. 2 nm size Pt nanoparticles: 4 mL of a solution of NaOH (98.9%, Fisher  
336 Chemical) at 0.4 M in ethylene glycol (EG, 99.8%, Sigma-Aldrich) with an equal volume  
337 of a solution of Pt salt  $\text{H}_2\text{PtCl}_6 \cdot 6\text{H}_2\text{O}$  (99,9 % Alfa Aesar) at 40 mM in EG are placed in a  
338 micro wave reaction vessel. The NaOH/Pt molar ratio is then 10 for a  $\text{H}_2\text{PtCl}_6$   
339 concentration of 20 mM in 8 mL. The mixture is heated up 3 minutes at 160 °C with a  
340 micro-wave reactor (CEM Discover SP) using a power of 100 W.

341 To obtain ca 4 nm size Pt nanoparticles: 4 mL of a solution of NaOH at 90 mM in EG  
342 with an equal volume of a solution of Pt salt  $\text{H}_2\text{PtCl}_6 \cdot 6\text{H}_2\text{O}$  at 20 mM in EG are placed in  
343 a microwave reaction vessel. The NaOH/Pt ratio is then 4.5 for a  $\text{H}_2\text{PtCl}_6$  concentration of  
344 10 mM in 8 mL. The mixture is heated up 3 minutes at 160 °C.

345 Unless otherwise specified in the text of Figure captions, the nanoparticles are obtained  
346 following the general procedure: 20 mM  $\text{H}_2\text{PtCl}_6$  in 8 mL of alkaline EG for a synthesis  
347 time of 3 minutes at 160 °C and for various NaOH/Pt molar ratio.

348 The general procedure to obtain nanoparticles with the oil bath synthesis is to carry out  
349 the reaction using an organic oil bath preheated to 160 °C. The Pt and NaOH solution are  
350 then added to a round bottomed ask and submerged with stirring in the oil bath. After  
351 approximately 5 minutes, the solution turns dark, like in the microwave synthesis, and the  
352 colloidal suspension is heated for another 85 minutes to ensure all the Pt has been  
353 reduced.

354 *Pt nanoparticles washing and immobilization on carbon.* To collect the particles an  
355 aqueous solution (Milli-Q, Millipore, 18.2 M $\Omega$ .cm) ca. 30 mL of 1 M HCl (prepared

356 from 30 % HCl Suprapur®, EMD Millipore, Merck KGaA) is added to 7.3 mL of the Pt  
357 nanoparticles in EG. The mixture is centrifuged at 2400 relative centrifugal force (4000  
358 rotations per minute, Sigma 2-5) for 5 minutes. The nanoparticles can then be collected  
359 since they are not a stable colloidal dispersion anymore. The washing/centrifugation with  
360 1 M HCl in Milli-Q water is performed 3 times. The nanoparticles are re-dispersed in 7  
361 mL of acetone (technical grade) and a desired mass of carbon black is added (Vulcan  
362 XC72R, Cabot Corporation) so that the Pt/C ratio is equal to 50 wt. %. By simply  
363 sonicating the mixture with an ultrasonic bath (VWR ultrasonic cleaner) for 1 hour the  
364 acetone evaporate and the dried powder of Pt nanoparticles supported on carbon is  
365 obtained. The powder is re-dispersed into water and the dispersion sonicated for 10  
366 minutes. The powder is then filtered and washed with Milli-Q water.

367 The obtained Pt/C ratio was controlled by an indirect Pt proof (IPP). In short, a part of  
368 the respective Pt/C sample (typically around 1 - 2 mg) is weighed and transferred to a  
369 glass tube, where the carbon is carefully burned off with a gas burner. To digest the Pt,  
370 0.5 mL of aqua regia (mixture of 37 % HCl and 65 % HNO<sub>3</sub> in a volume ratio of 1:0.206) is  
371 added and heated in a water bath at ca. 60 °C for 2 h. Thereafter, ca. 5 – 10 ml of deionized (DI)  
372 water are added and the pH is adjusted to a value of 6.8 – 7.0 controlled by a pH meter. Finally,  
373 the sample volume is expanded to 25 mL. The Pt concentration is determined by an IPP method,  
374 which has been confirmed with Pt calibration solutions and by comparison to commercial Pt/C  
375 samples as well as standard ICP-MS analysis. For the IPP method, a spatula of copper powder  
376 and a small piece of the Pt bulk material is added to the prepared aqua regia sample and stirred  
377 for 10 minutes at room temperature. Thereafter the solution is filtrated to separate the solids from  
378 the liquids and 1 mL of the filtrate is mixed with 2 mL DI-water and two drops of 0.25 M HCl as

379 well as a spatula of a Murexid/NaCl mixture. Finally, the pH is adjusted to pH to 8 - 10 by  
380 adding 1 M NH<sub>3</sub> (colour should change to yellow) and the prepared sample is titrated against 0.1  
381 mM ethylene diamine tetra acidic acid (EDTA). For each sample 10 titrations are performed to  
382 give a reliable average.

383 *SAXS characterization.* The as-prepared colloidal suspensions were placed in quartz  
384 capillaries for SAXS measurements. The measurements and subsequent modelling to  
385 extract particle size distribution were performed as previously described<sup>16, 19, 27</sup> and  
386 detailed in SI using a SAXSLab instrument installed at the Niels Bohr Institute of the  
387 University of Copenhagen.

388 *TEM characterization.* For TEM analysis of the as produced colloidal solution a Jeol  
389 2100 microscopes operated at 200 kV was used. To characterize the supported catalyst on  
390 carbon, a FEI Tecnai Spirit microscope operated at 80 kV was used. The size analysis was  
391 performed by measuring the size of typically 300 (at least 180) nanoparticles and samples  
392 characterized by taking images of (at least) 3 different magnifications in (at least) 5  
393 different areas of the TEM grids. The TEM grids were prepared by dropping the solutions  
394 of nanoparticles re-dispersed in acetone or the ink re-dispersed in isopropanol:Milli-Q  
395 (1:3, v:v) on carbon support films on Cu 300 mesh grids (Quantifoil). The *ImageJ*  
396 software was used to measure the nanoparticle sizes and the nanoparticles counted  
397 manually (without automated processing of the image). For each sample obtained under  
398 different experimental conditions, the mean value of the particle size was used to estimate  
399 the average diameter of the nanoparticles, the deviations reported correspond to the  
400 standard deviation.

401 *FTIR characterization.* The as-synthesized colloidal dispersions in alkaline EG were  
402 placed in a cell allowing to record IR spectra of liquid sample in an attenuated total  
403 reflectance mode by FTIR spectroscopy. Infrared spectra were captured using a Nicolet  
404 6700 FT-IR (Thermo Electra Corporation) with a zinc selenite prism. All spectra were  
405 recorded with a resolution of  $4\text{ cm}^{-1}$  and averaging over 100 scans. A background  
406 spectrum of EG was measured and subtracted to the spectra recorded for the colloidal  
407 suspension. Only the difference spectra are reported.

408 *XAS (EXAFS/XANES).* XAS measurements were performed at the B18 beamline at the  
409 Diamond Light Source (DLS), UK. The storage ring of the DLS was operated at a beam  
410 current of 300 and 400 mA, respectively, and the storage ring energies were 3.0 and 2.4  
411 GeV, respectively. The Pt  $L_3$  edge XAS spectra were recorded in fluorescence mode by  
412 using a 9 element Ge solid state detector system. The averaged XAS spectra were then  
413 analyzed by using the IFEFFIT software suite.<sup>54</sup> All spectra were background subtracted  
414 and normalized. The energy units (eV) were converted to photoelectron wave vector  $k$   
415 units ( $\text{\AA}^{-1}$ ) by assigning the photoelectron energy origin,  $E_0$ , corresponding to  $k = 0$ , to the  
416 first inflection point of the absorption edge. The resulting  $\chi(k)$  functions were weighted  
417 with  $k^2$  to compensate for the dampening of the XAFS amplitude with increasing  $k$ . The  
418 resulting EXAFS spectra then were Fourier-transformed to obtain pseudo radial structure  
419 functions (RSFs).

420 To estimate the amplitude reduction factor ( $S_0^2$ ), a Pt foil was used as reference. The  
421 coordination number ( $N$ ), interatomic bond length ( $R$ ), mean squared bond length  
422 disorder ( $\sigma^2$ ) and correction to the energy origin ( $\Delta E_0$ ), together with their error bars were  
423 established for each sample by fitting theoretical EXAFS signal to the data in  $r$ -space. The

424  $R_f$  factor reported indicates the closeness of the fit to the data as quality parameter. The  
425 linear fit combination method was carried out to establish the oxidation of Pt ions in the  
426 liquid by using the respective XANES spectra from  $K_2PtCl_4$  (99.9 % Alfa Aesar),  $K_2PtCl_6$   
427 (99.9 % Alfa Aesar) and Pt foil.

428 The samples used were characterized as-synthesized and after washing with HCl before  
429 redispersion in EG. No strong differences could be observed between the samples before  
430 or after washing.

431 *PDF characterization.* X-ray total scattering data were collected at beamline P02.1,  
432 PETRAIII, DESY using an X-ray wavelength of 0.2072 Å, and a Perkin-Elmer amorphous  
433 silicon detector (40 by 40 cm) at a detector distance of 20.059 cm. The samples were loaded in 1  
434 mm kapton tubes. Data was collected for each sample for 12 minutes. The nanoparticles were  
435 washed in HCl as described above and redispersed in ethylene glycol (concentration of 2 mM)  
436 for the measurements. Ethylene glycol was used as background. The data were integrated using  
437 *Fit2D*<sup>55</sup> and Fourier transformed in *xPDF* suite.<sup>56</sup> To extract quantitative information, the PDFs  
438 were modeled using *Diffpy-CMI*.<sup>57</sup> The PDFs were modelled applying the *fcc* structure  
439 (spacegroup *Fd-3m*) and a spherical dampening function, describing the crystallite size. The data  
440 analysis is described further in **Supporting Information**.

441 *Electrochemical characterization.* The electrochemical measurements were performed  
442 using a home-built potentiostat and a rotating disk electrode (RDE) setup. The  
443 electrochemical cell was a home-built Teflon cell based on a three-compartment  
444 configuration. A platinum mesh and a saturated calomel electrode (Schott) were used as a  
445 counter electrode and a reference electrode, respectively. All potentials in this work are  
446 referred to the reversible hydrogen electrode (RHE) potential, which was experimentally

447 determined for each measurement series. 0.1 M HClO<sub>4</sub> was prepared as electrolyte with  
448 70 % HClO<sub>4</sub> (Merck, suprapur) and Milli-Q water.

449 Prior to the RDE measurements, a glassy carbon (GC) disc electrodes (5 mm in  
450 diameter) was polished to mirror finish using alumina oxide paste 0.3 and 0.05 μm  
451 (Struers, AP-D and AP-A suspension), and cleaned ultrasonically in Milli-Q water.  
452 Catalyst ink was prepared by mixing typically 5.5 mg catalyst powder with 7.5 mL Milli-  
453 Q water and 2.5 mL isopropanol (IPA, 99.7+ %, Alfa Aesar). A few μl of 1M KOH  
454 solution was also added to the ink so that pH of the ink is between 10 and 11. The glass  
455 vial containing catalyst ink was sonicated for 15 minutes. A 10 μL aliquot of the ink was  
456 pipetted onto the GC electrode leading to a Pt loading of 14 μg<sub>Pt</sub> cm<sup>-2</sup>, and thereafter dried  
457 in IPA atmosphere at room temperature.<sup>51</sup>

458 All electrochemical measurements were performed in 0.1 M HClO<sub>4</sub> solution at 20 °C.  
459 The solution resistance between the working electrode and the Luggin capillary was  
460 determined using an AC signal (5 kHz, 5 mV) and thereafter compensated for using the  
461 potentiostat's analog positive feedback scheme. The resulting effective solution resistance  
462 was less than 3 Ω for each experiment. Prior to the measurements the electrolyte was de-  
463 aerated by purging with Ar gas (99.998%, Air Liquide), and the measurements were  
464 started with cleaning the catalyst by potential cycles between 0.05 and 1.2 V at a scan rate  
465 of 500 mV s<sup>-1</sup>.

466 Electrochemical surface area (ECSA) of the catalyst was determined from CO stripping  
467 charge recorded at a scan rate of 50 mV s<sup>-1</sup> and conversion coefficient of 396 μC cm<sup>-2</sup>.  
468 Catalytic activity for oxygen reduction reaction (ORR) was determined from positive  
469 going polarization curves recorded in O<sub>2</sub> saturated electrolyte at a scan rate of 50 mV s<sup>-1</sup>

470 and a rotation speed of 1600 rpm. The polarization curves were corrected for the  
471 nonfaradaic background by subtracting the cyclic voltammograms (CVs) recorded in Ar-  
472 purged electrolyte.

473

474 ASSOCIATED CONTENT

475 **Supporting Information.**

476 The following files are available free of charge.

477 Literature summary on size control in surfactant-free polyol synthesis of platinum nanoparticles,  
478 SAXS, characterization of nanoparticles obtained with different NaOH/Pt molar ratio, PDF,  
479 TEM, electrochemical data (PDF)

480

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488 The manuscript was written through contributions of all authors. All authors have given approval  
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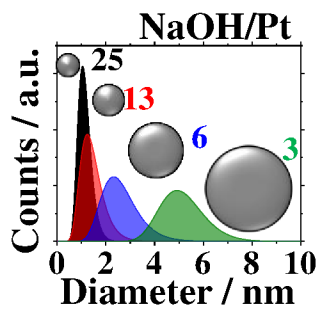
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