



# Laser welding of precipitation strengthened Ni-rich NiTiHf high temperature shape memory alloys: Microstructure and mechanical properties

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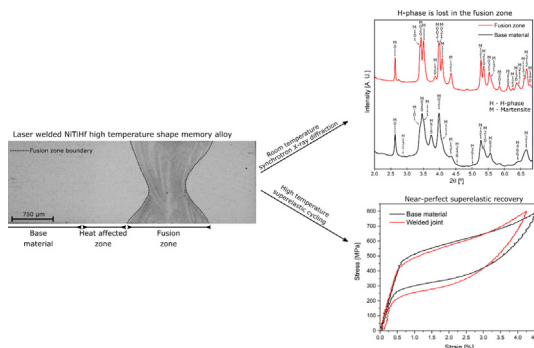
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## HIGHLIGHTS

- First report on welding of NiTiHf high temperature shape memory alloys
- Synchrotron X-ray diffraction was used for microstructural mapping.
- The welded joint exhibited shape memory effect and superelasticity comparable to the base material.

## GRAPHICAL ABSTRACT



## ARTICLE INFO

### Article history:

Received 23 October 2018

Received in revised form 19 November 2018

Accepted 25 November 2018

Available online 27 November 2018

### Keywords:

Laser welding

High temperature shape memory alloys

Martensitic phase transformation

Superelasticity

Synchrotron radiation

NiTiHf

## ABSTRACT

High temperature shape memory alloys are currently attracting significant attention by the aerospace industry due to the potential use of shape memory and superelastic properties at temperatures above 100 °C. Virtually any advanced engineering material must, at some point, be joined either to itself, to create complex shaped structures, or to other materials to increase its potential applications. In this work, laser welding of a precipitation strengthened Ni-rich NiTiHf high temperature shape memory alloy is reported for the first time. Starting with a base material aged at 500 °C for 3 h and air cooled, defect-free joints with a conduction weld mode were obtained. Microstructural characterization, facilitated via microscopy and synchrotron X-ray diffraction, revealed that the fusion zone contained a single-phase martensitic structure at room temperature, compared to a mixture of martensite and H-phase precipitates in the base material. Isothermal loading in both the martensite (at 30 °C) and austenite (at 200 °C) phases revealed equivalent strength and near-perfect superelasticity in the welded and un-welded reference material.

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## 1. Introduction

Shape memory alloys are a class of functional materials which exhibit a reversible martensitic phase transformation responsible for their shape memory and superelastic properties [1,2]. Among the

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several classes of shape memory alloys, NiTi is the most prevalent owing to its structural practicality and significant work output [3–5]. However, potential applications of this material are limited to a maximum operation temperature of 100 °C [6]. Significant research efforts have been made to address this limitation by developing high temperature shape memory alloys based on the Ni-Ti-X system [7–15]. Among the different alloys that have emerged, NiTiHf provides a low-cost alternative when compared to NiTiPd, NiTiAu or NiTiPt alloys [16,17]. Moreover, it was observed that Ni-rich NiTiHf shape memory alloys exhibit excellent mechanical properties and dimensional stability for precise compositional ranges and ageing conditions. It was shown that the solid-solution and precipitation strengthening via nanoscale H-phase precipitates [18–20] provide a high resistance to dislocation motion, while allowing an unobstructed path for the phase transformation to occur.

With the steady growth in the NiTiHf alloys research development in recent years, there is a need for complex geometries and shapes that cannot be obtained via conventional machining/processing. One way to expand this field and enable new applications is through the development of appropriate joining techniques for complex shapes or by additive manufacturing techniques [21–24]. While the latter have shown significant promise, the technology is still maturing at a slow pace given the multifaceted nature of these materials. On the other hand, several decades of research in welding technologies provide researchers an ideal tool for understanding the processing-microstructure-properties relationships arising from advanced manufacturing techniques in new materials. Welding of shape memory alloys have been reported for NiTi [23,25–28], Cu-based [29–31] and Fe-based [32,33] systems. Among the different joining techniques used, laser welding is currently the most used joining technique for shape memory alloys [34–39], owing to the precise control of the heat source and reduced extension of the thermally affected regions [29,40,41]. Critical to any joining technique applied to these materials is to preserve their functional properties, otherwise their intrinsic value may be lost.

To the best of the authors' knowledge there are currently no reported attempts in the literature on welding of high temperature shape memory alloys. In this work, laser welding of a precipitation strengthened NiTiHf shape memory alloy was performed. The microstructure of the welded joint was characterized by optical and electron microscopy methods and synchrotron X-ray diffraction. The mechanical response during tensile deformation at room and high temperature was also evaluated.

## 2. Experimental procedure

Ni-rich Ni<sub>50.3</sub>Ti<sub>29.7</sub>Hf<sub>20</sub> (at.%) was produced by vacuum induction skull melting (designated as FS#5). The ingots were vacuum homogenized at 1050 °C for 72 h followed by extrusion at 900 °C with an area reduction ratio of 7:1. Extruded rods were subjected to an ageing treatment of 3 h at 550 °C under argon, and then air-cooled, followed by final sample machining to a final rod diameter of 1.5 mm by 50.8 mm long.

Laser welding was performed using a Nd:YAG Miyachi Unitek LW50A system operating in pulsed wave mode with a top-hat profile. A 20 ms pulse with an energy of 39.9 J was used to obtain full penetration welds. The laser beam was focused on the surface of the NiTiHf base material with a diameter of 600 µm. Additional details of the laser welding setup can be found elsewhere [42]. To avoid oxidation, Ar was used as shielding gas at a flow rate of 0.57 m<sup>3</sup>/h. Prior to welding, the base material was cleaned with acetone and alcohol to remove any impurities that could be detrimental to the welded joint.

After welding, the joints were polished for microstructural characterization by means of optical and scanning electron microscopy. Hardness measurements across the welded joints were performed using a load of 300 g and a hold time of 12 s. The space between consecutive indentations was 200 µm.

Structural characterization was performed using synchrotron X-ray diffraction at P07 beamline at DESY/PETRA III. The X-ray diffraction

experiment was performed at room temperature working in transmission mode. A schematic representation of the experimental setup is depicted in Fig. 1.

A beam spot of 200 × 200 µm<sup>2</sup> was used to probe the joint from the base material to the heat affected zone and to the fusion zone, with a 200 µm step between each consecutive spot. The wavelength was 0.1423 Å (87 keV) and the sample-to-detector distance was set to 1527 mm. The 2D raw images were treated using Fit2D software as described in the literature [43,44].

Mechanical testing was performed on an MTS Insight load frame equipped with a MTS Advantage<sup>TM</sup> environmental chamber. The gauge length of the samples was 20 mm, which is approximately 10 times the length span of the fusion zone (refer to Fig. 2a). Temperature was measured using a digital Eurotherm controller and type-K thermocouples attached to the sample. Strain measurements were accomplished using a GOM ARAMIS digital image correlation (DIC) optical metrology system. A black and white speckle pattern was painted onto each specimen using high temperature paint. Data was processed using ARAMIS software and custom MATLAB<sup>®</sup> scripts. Tensile tests were performed in stress control at a rate of 40 MPa/min at room temperature and at 200 °C to probe the martensite and austenite phases, respectively. For reference, 200 °C was chosen based on austenite finish temperature plus 30 °C as determined by differential scanning calorimetry. The samples were loaded to 700 and 800 MPa (at room temperature and 200 °C, respectively) followed by unloading to a zero-stress condition. The base material was subjected to the same mechanical loading for comparison purposes.

## 3. Results and discussion

Cross-section view of the welded joint (Fig. 2a) reveals a fully penetrating joint with no welding defects, such as cracks or pores. As described elsewhere [45], during laser-based processing the control of the processing parameters is critical for the formation defects, or lack thereof. In laser welding, depending on the aspect ratio of the fusion zone, conduction or keyhole welding mode may occur. Based on the material thermophysical properties and laser characteristics different geometries in the fusion zone can be obtained [46]. Keyhole mode is identified when the aspect ratio of the fusion is  $\gg 1$ . According to the obtained fusion zone geometry, an aspect ratio of  $\approx 1$  was obtained which is characteristic of a conduction mode welding. Moreover, keyhole mode welding, which is also identified by a deep and narrow fusion zone, is observed in laser welding [47] where temperatures are significantly higher than those observed in conduction mode welding. Since NiTi-based shape memory alloys are prone to elemental evaporation, which tends to drastically change their transformation characteristics

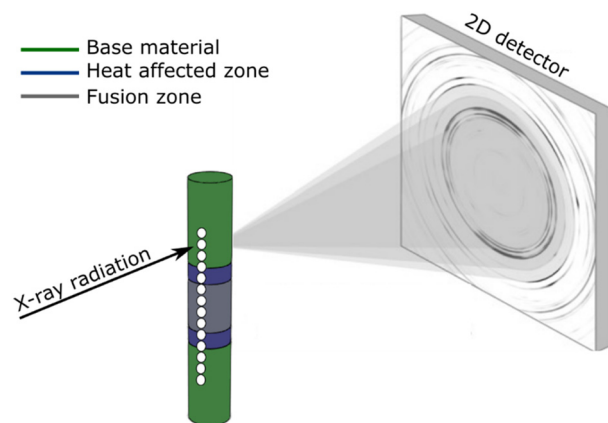
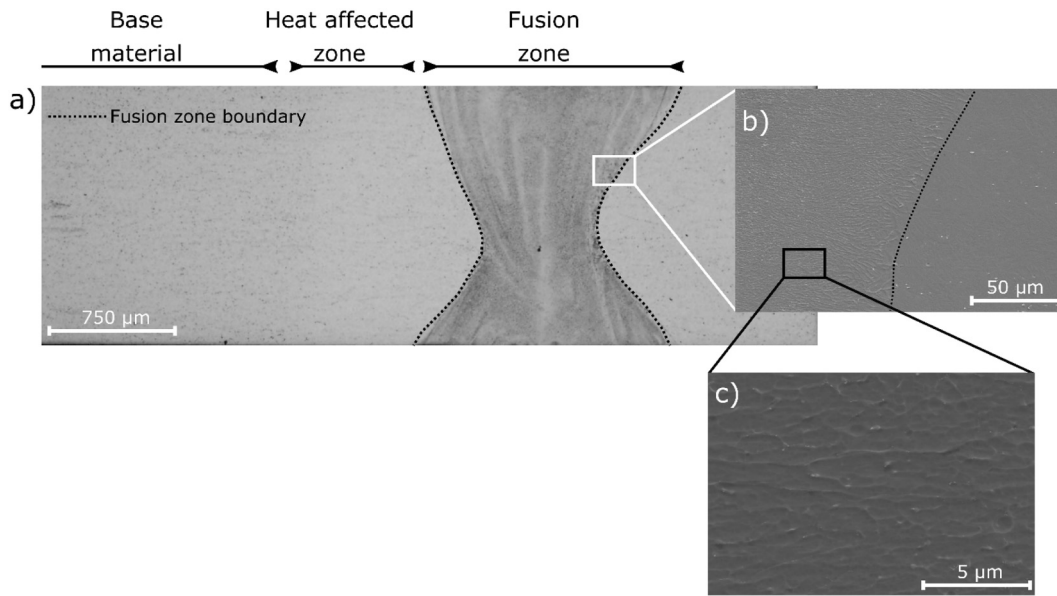


Fig. 1. Schematic representation of the synchrotron X-ray diffraction measurements across the welded specimen.



**Fig. 2.** a) Optical microscope image of the NiTiHf weld; b) Scanning electron microscope image of the fusion zone/heat affected zone boundary; c) high magnification image of the fusion zone.

[21,44], it is desirable that conduction weld is obtained, as seen in this work, to decrease the magnitude of such changes.

Fig. 2b depicts the fusion zone/heat affected zone interface, showing the presence of a dendritic network in the latter region, as evidenced by the high magnification scanning electron microscope image shown in Fig. 2c. Evidence of H-phase precipitates (identified by synchrotron X-ray diffraction as it will be presented later) are found in the heat affected zone, though these are not observed in the fusion zone of the welded joint.

Microhardness mapping across the joint was performed to evaluate the changes caused by the thermal cycle experienced by the material during welding. These results are depicted in Fig. 3. As it can be observed, the base material has the higher hardness, averaging roughly 490 HV, owing to the ageing heat treatment which promotes H-phase precipitation. However, the hardness values decrease in the heat affected zone to approximately 430 HV, and this decrease is even more drastic in the fusion zone decreasing to around 370 HV.

A superimposition of the X-ray diffraction patterns crossing all the regions of the welded joint (base material, heat affected zone and fusion zone) obtained using synchrotron radiation at room temperature is depicted in Fig. 4.

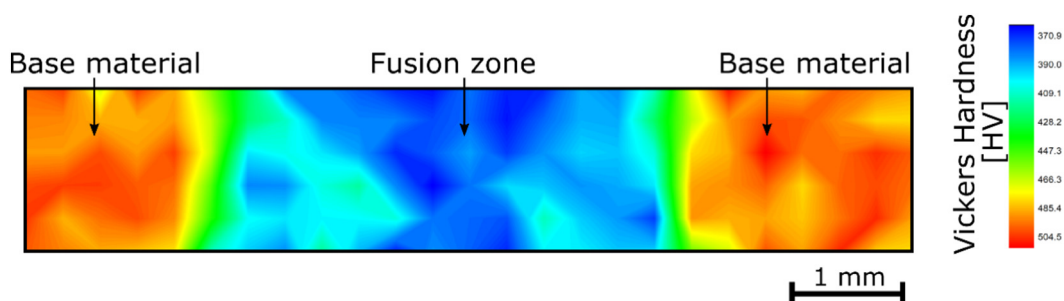
The diffraction patterns reveal a clear difference between the base material and the heat affected and fusion zones. To better elucidate on the microstructural-induced changes in the latter two regions, a representative pattern from each region of interest is depicted in Fig. 5.

As expected, at room temperature, the base material consisted of martensite and H-phase precipitates. For NiTiHf alloys it was found that ageing at 550 °C for 3 h gives rise to finely dispersed nanometer H-phase precipitation [48], which enhances the mechanical and

functional properties of the material. This results in precipitation strengthening of the alloy, while limiting the accumulation of residual strain during thermo-mechanical loading. In the fusion zone, only martensite peaks are detected, but with a distinct texture (i.e., different peak intensities, and number of peaks). It is noted that during the thermomechanical processing of the base material, a well-defined texture is developed from the hot working and extrusion processes. However, re-melting and subsequent solidification in the fusion zone removes the effect of previous thermomechanical processing. Therefore, the existence of new diffraction peaks of martensite in the fusion zone suggests that the texture of the extruded base material was lost or reduced. This can be related to the solidification conditions observed in fusion welding, which can promote preferential orientation of the grain structure [49,50].

The presence of a fully martensitic structure in the fusion zone, in contrast to the dual martensite/H-phase structure of the base material, further validates the decrease in hardness in the former region. In fact, for a fully homogenized NiTiHf alloy, (i.e., no H-phase precipitates), the hardness was measured to be 350 HV, approaching the 370 HV value measured here. In the fusion zone, the melting and subsequent fast cooling of the material resulted in a precipitate-free region, similar to the homogenized base material.

In the heat affected zone, the weld thermal cycle promotes grain growth and partial dissolution of the H-phase precipitates [51] close to the fusion boundary, hence resulting in lower hardness compared to the non-affected base material. This softening effect in the heat affected zone was also observed in laser welded joints of the NiTi system [52,53]. However, it must be noticed that in the heat affected zone closer to the base material, the weld thermal cycle is not enough to promote



**Fig. 3.** Microhardness mapping of the NiTiHf laser welded joint.

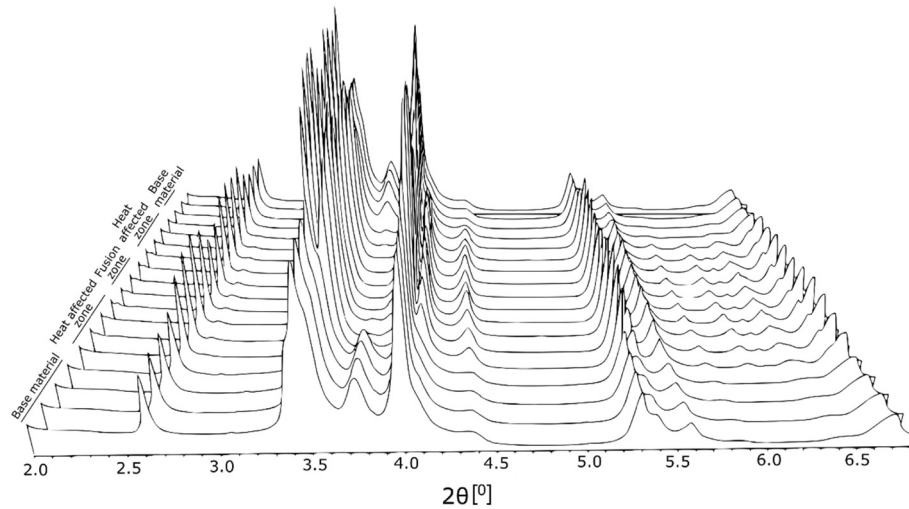


Fig. 4. Superimposition of X-ray diffraction patterns of the welded joint.

the complete dissolution of H-phase, as evidenced in the diffraction pattern corresponding to this region presented in Fig. 5. This results in higher hardness values compared to the precipitate-free regions. Closer to the fusion zone, where the peak temperatures increase, H-phase dissolution is more effective resulting in a precipitate-free region.

To evaluate the influence of laser welding on the mechanical properties of the joint, a load/unload cycle at both room temperature and 200 °C was performed and compared to the base material. These results are depicted in Fig. 6a and b, respectively. Remarkably, despite the microstructural modifications, which occurred in the fusion zone, there are

no significant changes in the mechanical behavior of the welded joints up to the tested loads. At room temperature, the material exhibited the classical stress-strain curve for these alloys [54] where the base material deformed slightly more (1.74% compared to 1.62%) up to the maximum tensile stress of 700 MPa. The elastic moduli were calculated to be 58 and 56 GPa, for the base and welded samples, respectively. Loading

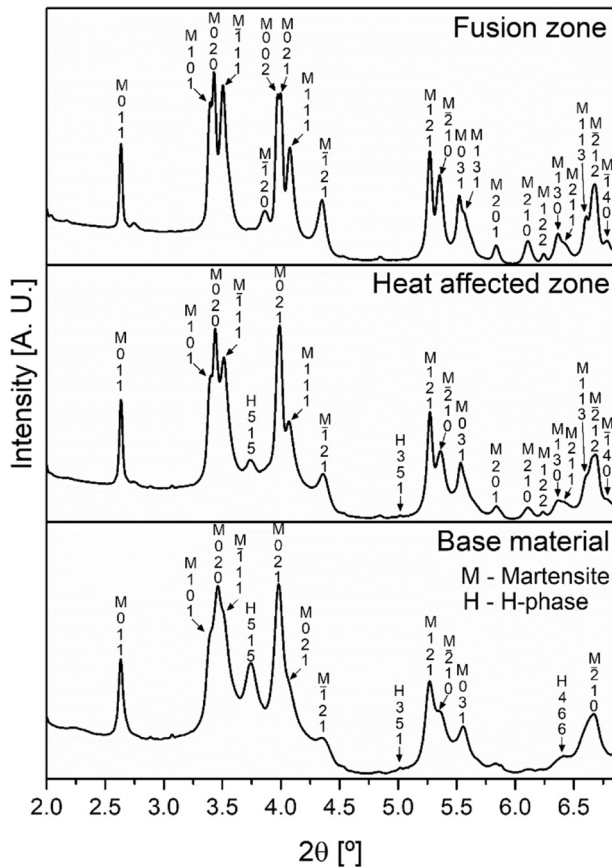


Fig. 5. Representative X-ray diffraction patterns from the base material, heat affected zone and fusion zone.

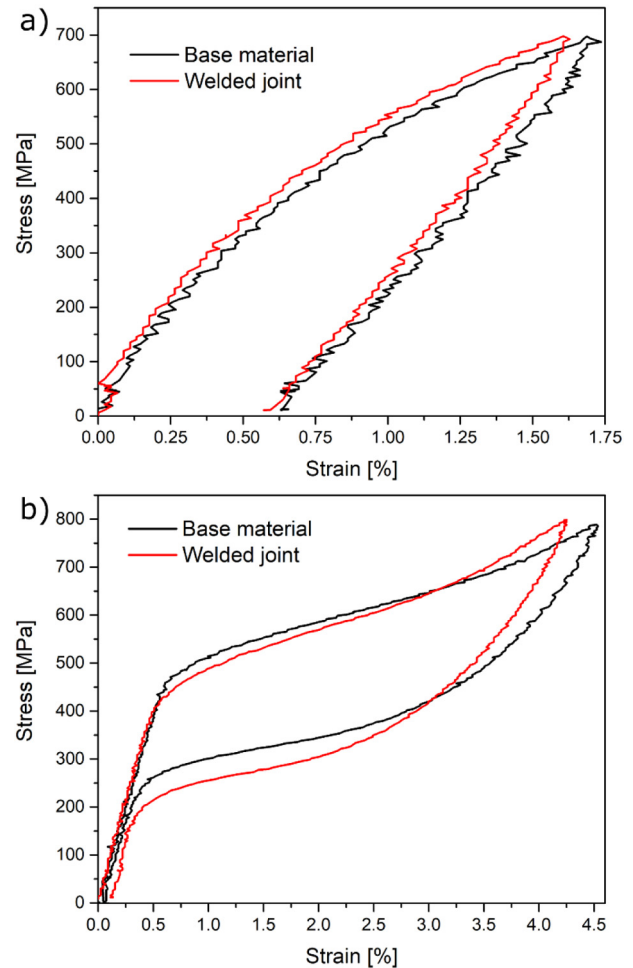


Fig. 6. Load/unload of the welded joint and base material at: a) room temperature; b) 200 °C.

beyond the elastic region into the reorientation and detwinning segment, including the unloading curves, showed near identical behavior. At high temperature, a classical superelastic curve was obtained with similar observations between the base and welded material (4.54% compared to 4.23% at max stress). The austenite elastic moduli were found to be 81 and 78 GPa, for the base and welded samples, respectively. It is noted that these small variations in strain may be due to nature of testing and not necessarily due to the integrity of the weld. In both cases (low and high temperature), all the strains were fully recovered upon heating for the case of room temperature test, triggering the shape memory effect, and upon unloading for the superelastic test. The nearly negligible change in the mechanical properties of the welded joint when compared to the base material can be justified by the unique characteristics of laser welding, where it is possible to concentrate the heat to promote joining in a very restrict region of the material, thus minimizing the potentially detrimental effects of the thermal cycle on the microstructural and mechanical characteristics of the joint.

Of special interest in these joints is the fact that the high temperature superelastic behavior after welding was not affected, with a typical superelastic curve for these alloys being observed. The absorbed energy during superelastic cycling was higher for the welded joint (8.45 MJ/m<sup>3</sup> vs 8.16 MJ/m<sup>3</sup>). The onset for the forward transformation, occurred at 480 MPa for the base material and at 450 MPa for the joint, while the reverse transformation was observed at 450 and 380 MPa, respectively. This slight change, which can be attributed to the softening effect of the heat affected and fusion zones [52], increasing the hysteric loop area, hence contributing to a higher amount of energy absorbed by the welded joint. It is also noted that the welded sample exhibited a small (0.12%) but higher residual strains upon unloading, commensurate with the effectively un-aged fusion zone that contribute to this effect. The high slope during the stress induced transformation observed in both specimens is typical of NiTiHf alloys [48]. It is noted that tests were limited to a single cycle at 700 and 800 MPa in this study, it is possible that higher stresses may have different outcomes. However, it is expected that most applications will be at or below this already high stresses for the most part. Additional tests regarding thermal cycling of the welded material under load are underway.

#### 4. Conclusions

Welding of a Ni-rich Ni<sub>50.3</sub>Ti<sub>29.7</sub>Hf<sub>20</sub> (at.%) shape memory alloy is reported for the first time. Defect-free laser welded joints were obtained while achieving a conduction mode welding. In the fusion zone, a single-phase martensitic structure was observed at room temperature, which contrasts with the heat-treated base material, which was composed of a mixture of martensite and H-phase precipitates. In the heat affected zone partial dissolution of H-phase occurred leading to material softening and a hardness decrease. Despite the microstructural changes, the welded joint exhibited similar mechanical properties to those of the base material. In fact, load/unload testing at room and high temperature revealed a stress-strain response with near zero residual strains. Near perfect superelasticity was observed in the high temperature cycling for the welded joint, opening new potential applications for these materials in aerospace applications. In summary, the ability of laser welding to confine the heat in a small region of the material, while promote joining, can preserve the functional properties of the alloy, despite the microstructural changes in the fusion zone.

#### CRedit authorship contribution statement

**J.P. Oliveira:** Conceptualization, Formal analysis, Investigation, Data curation, Writing - original draft, Writing - review & editing. **N. Schell:** Investigation, Data curation, Writing - review & editing. **N. Zhou:** Resources, Investigation, Writing - review & editing. **L. Wood:** Investigation, Data curation. **O. Benafan:** Investigation, Data curation, Writing - original draft, Writing - review & editing.

#### Acknowledgments

JPO acknowledges Fundação para a Ciência e a Tecnologia (FCT - MCTES) for its financial support via the project PEst-OE/EME/UI0667/2014 and DESY via beamtime proposal I-20160912. Funding from the NASA Aeronautics Research Mission Directorate (ARMD) Transformational Tools & Technologies (TTT) project is gratefully acknowledged.

The raw/processed data required to reproduce these findings cannot be shared at this time as the data also forms part of an ongoing study.

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