

Algebraic Bethe ansatz for the quantum group invariant open XXZ chain at roots of unity

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Received 15 April 2016; accepted 5 June 2016

Available online 9 June 2016

Editor: Hubert Saleur

Abstract

For generic values of q , all the eigenvectors of the transfer matrix of the $U_q sl(2)$ -invariant open spin-1/2 XXZ chain with finite length N can be constructed using the algebraic Bethe ansatz (ABA) formalism of Sklyanin. However, when q is a root of unity ($q = e^{i\pi/p}$ with integer $p \geq 2$), the Bethe equations acquire continuous solutions, and the transfer matrix develops Jordan cells. Hence, there appear eigenvectors of two new types: eigenvectors corresponding to continuous solutions (exact complete p -strings), and generalized eigenvectors. We propose general ABA constructions for these two new types of eigenvectors. We present many explicit examples, and we construct complete sets of (generalized) eigenvectors for various values of p and N .

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1. Introduction

In the pantheon of anisotropic integrable quantum spin chains, one model stands out for its high degree of symmetry: the $U_q sl(2)$ -invariant open spin-1/2 XXZ quantum spin chain, whose Hamiltonian is given by [1]

$$H = \sum_{k=1}^{N-1} \left[\sigma_k^x \sigma_{k+1}^x + \sigma_k^y \sigma_{k+1}^y + \frac{1}{2}(q + q^{-1}) \sigma_k^z \sigma_{k+1}^z \right] - \frac{1}{2}(q - q^{-1}) (\sigma_1^z - \sigma_N^z), \quad (1.1)$$

where N is the length of the chain, $\vec{\sigma}$ are the usual Pauli spin matrices, and $q = e^\eta$ is an arbitrary complex parameter. As is true for generic quantum integrable models, the Hamiltonian is a member of a family of commuting operators that can be obtained from a transfer matrix [2]; and the eigenvalues of the transfer matrix can be obtained in terms of admissible solutions $\{\lambda_k\}$ of the corresponding set of Bethe equations [3,2,1]¹

$$\begin{aligned} & \text{sh}^{2N} \left(\lambda_k + \frac{\eta}{2} \right) \prod_{\substack{j \neq k \\ j=1}}^M \text{sh}(\lambda_k - \lambda_j - \eta) \text{sh}(\lambda_k + \lambda_j - \eta) \\ &= \text{sh}^{2N} \left(\lambda_k - \frac{\eta}{2} \right) \prod_{\substack{j \neq k \\ j=1}}^M \text{sh}(\lambda_k - \lambda_j + \eta) \text{sh}(\lambda_k + \lambda_j + \eta), \\ & k = 1, 2, \dots, M, \quad M = 0, 1, \dots, \lfloor \frac{N}{2} \rfloor, \end{aligned} \quad (1.2)$$

where $\lfloor k \rfloor$ denotes the integer not greater than k .

When the anisotropy parameter η takes the values $\eta = i\pi/p$ with integer $p \geq 2$, and therefore $q = e^\eta$ is a root of unity, several interesting new features appear. In particular, the symmetry of the model is enhanced (for example, an $sl(2)$ symmetry arises from the so-called divided powers of the quantum group generators); the Hamiltonian has Jordan cells [4–6]; and the Bethe equations (1.2) admit continuous solutions [7], in addition to the usual discrete solutions (the latter phenomenon also occurs for the closed XXZ chain [8–12]).

We have recently found [7] significant numerical evidence that the Bethe equations have precisely the right number of admissible solutions to describe all the distinct (generalized) eigenvalues of the model's transfer matrix, even at roots of unity.

We focus here on the related problem of constructing, via the algebraic Bethe ansatz, all 2^N (generalized) eigenvectors of the transfer matrix. For generic q , the construction of these eigenvectors is similar to the one for the simpler spin-1/2 XXX chain: to each admissible solution of the Bethe equations, there corresponds a Bethe vector, which is a highest-weight state of $U_q sl(2)$ [1,13,14]; and lower-weight states can be obtained by acting on the Bethe vector with the quantum-group lowering operator F .

However, at roots of unity $q = e^{i\pi/p}$ with integer $p \geq 2$, we find that there are two additional features:

- i. Certain eigenvectors must be constructed using the continuous solutions noted above. These solutions contain p equally-spaced roots (so-called exact complete p -strings), whose centers

¹ In order to reduce the size of formulas, we denote the hyperbolic sine function (\sinh) by sh .

are arbitrary, see [Proposition 3.1](#) for more details. This construction is a generalization of the one proposed by Tarasov for the closed chain at roots of unity [12].

- ii. We propose that the generalized eigenvectors can be constructed using similar string configurations of length up to $p - 1$, except the centers tend to infinity. We refer to [Proposition 4.3](#) for more details.

We demonstrate explicitly for several values of p and N that the complete set of (generalized) eigenvectors can indeed be obtained in this way.

The outline of this paper is as follows. In section 2 we briefly review results and notations (specifically, the construction of the transfer matrix, the algebraic Bethe ansatz, and $U_qsl(2)$ symmetry) that are used later in the paper. In section 3 we work out in detail the construction noted in item i above with the result formulated in [Proposition 3.1](#), see in particular Eqs. (3.7) and (3.26). In section 4 we describe the construction noted in item ii above with the final result in [Proposition 4.3](#), see in particular Eq. (4.44). These two constructions are then used in section 5 to construct all the (generalized) eigenvectors for the $p = 2$ root of unity case with $N = 4, 5, 6$, as well as selected eigenvectors with $N = 7, 9$. We present all the (generalized) eigenvectors for various values of $p > 2$ and N in section 6. We conclude with a brief discussion in section 7. Some ancillary results are collected in four appendices. In [Appendix A](#), we explicitly describe the action of $U_qsl(2)$ in tilting modules at roots of unity. In [Appendix B](#), we present numerical evidence for the string solutions used in section 4 for constructing generalized eigenvectors. In [Appendix C](#), we derive a special off-shell relation (similar to the one found by Izergin and Korepin [15] for repeated Bethe roots), which we use in [Appendix D](#) to derive an off-shell relation for generalized eigenvectors.

2. Preliminaries

The transfer matrix and algebraic Bethe ansatz for the model (1.1) follow from the work of Sklyanin [2], which was already reviewed in [7]. However, we repeat here the main results, both for the convenience of the reader and also to explain a useful change in notation (see (2.8) and subsequent formulas).

2.1. Transfer matrix

The basic ingredients of the transfer matrix are the R-matrix (solution of the Yang–Baxter equation)

$$R(u) = \begin{pmatrix} \text{sh}(u + \eta) & 0 & 0 & 0 \\ 0 & \text{sh}(u) & \text{sh}(\eta) & 0 \\ 0 & \text{sh}(\eta) & \text{sh}(u) & 0 \\ 0 & 0 & 0 & \text{sh}(u + \eta) \end{pmatrix}, \quad (2.1)$$

and the left and right K-matrices (solutions of the boundary Yang–Baxter equations) given by the diagonal matrices

$$K^+(u) = \text{diag}(e^{-u-\eta}, e^{u+\eta}), \quad K^-(u) = \text{diag}(e^u, e^{-u}), \quad (2.2)$$

respectively. The R-matrix is used to construct the monodromy matrices

$$T_a(u) = R_{a1}(u) \cdots R_{aN}(u), \quad \hat{T}_a(u) = R_{aN}(u) \cdots R_{a1}(u). \quad (2.3)$$

Finally, the transfer matrix $t(u)$ is given by [2]

$$t(u) = \text{tr}_a K_a^+(u) \mathcal{U}_a(u), \quad (2.4)$$

where

$$\mathcal{U}_a(u) = T_a(u) K_a^-(u) \hat{T}_a(u). \quad (2.5)$$

The transfer matrix commutes for different values of the spectral parameter

$$[t(u), t(v)] = 0, \quad (2.6)$$

and contains the Hamiltonian (1.1) $H \sim t'(0)$ up to multiplicative and additive constants.

2.2. Algebraic Bethe ansatz

The A , B , C , and D operators of the algebraic Bethe ansatz are defined by [2]

$$\mathcal{U}_a(u) = \begin{pmatrix} A(u) & B(u) \\ C(u) & D(u) + \frac{\text{sh } \eta}{\text{sh}(2u+\eta)} A(u) \end{pmatrix}, \quad (2.7)$$

where $[B(u), B(v)] = 0$. However, in order to avoid a later shift of the Bethe roots (see e.g. Eq. (A.24) in [7]), we now introduce a shifted B operator

$$\mathcal{B}(u) \equiv B(u - \frac{\eta}{2}). \quad (2.8)$$

We define the Bethe states using this shifted B operator

$$|\lambda_1 \dots \lambda_M\rangle = \prod_{k=1}^M \mathcal{B}(\lambda_k) |\Omega\rangle, \quad (2.9)$$

where $|\Omega\rangle$ is the reference state with all spins up

$$|\Omega\rangle = \begin{pmatrix} 1 \\ 0 \end{pmatrix}^{\otimes N}, \quad (2.10)$$

and $\lambda_1, \dots, \lambda_M$ remain to be specified. The Bethe states satisfy the off-shell relation

$$t(u) |\lambda_1 \dots \lambda_M\rangle = \Lambda(u) |\lambda_1 \dots \lambda_M\rangle + \sum_{m=1}^M \Lambda^{\lambda_m}(u) B(u) \prod_{\substack{k \neq m \\ k=1}}^M \mathcal{B}(\lambda_k) |\Omega\rangle, \quad (2.11)$$

where $\Lambda(u)$ is given by the T-Q equation

$$\Lambda(u) = \frac{\text{sh}(2u+2\eta)}{\text{sh}(2u+\eta)} \text{sh}^{2N}(u+\eta) \frac{Q(u-\eta)}{Q(u)} + \frac{\text{sh}(2u)}{\text{sh}(2u+\eta)} \text{sh}^{2N}(u) \frac{Q(u+\eta)}{Q(u)}, \quad (2.12)$$

with

$$Q(u) = \prod_{k=1}^M \text{sh}\left(u - \lambda_k + \frac{\eta}{2}\right) \text{sh}\left(u + \lambda_k + \frac{\eta}{2}\right) = Q(-u - \eta). \quad (2.13)$$

Furthermore,

$$\Lambda^{\lambda_m}(u) = f(u, \lambda_m - \frac{\eta}{2}) \left[\text{sh}^{2N}(\lambda_m + \frac{\eta}{2}) \prod_{\substack{k \neq m \\ k=1}}^M \frac{\text{sh}(\lambda_m - \lambda_k - \eta) \text{sh}(\lambda_m + \lambda_k - \eta)}{\text{sh}(\lambda_m - \lambda_k) \text{sh}(\lambda_m + \lambda_k)} \right. \\ \left. - \text{sh}^{2N}(\lambda_m - \frac{\eta}{2}) \prod_{\substack{k \neq m \\ k=1}}^M \frac{\text{sh}(\lambda_m - \lambda_k + \eta) \text{sh}(\lambda_m + \lambda_k + \eta)}{\text{sh}(\lambda_m - \lambda_k) \text{sh}(\lambda_m + \lambda_k)} \right], \quad (2.14)$$

where

$$f(u, v) = \frac{\text{sh}(2u + 2\eta) \text{sh}(2v) \text{sh} \eta}{\text{sh}(u - v) \text{sh}(u + v + \eta) \text{sh}(2v + \eta)}. \quad (2.15)$$

It follows from the off-shell equation (2.11) that the Bethe state $|\lambda_1 \dots \lambda_M\rangle$ (2.9) is an eigenstate of the transfer matrix $t(u)$ (2.4) with eigenvalue $\Lambda(u)$ (2.12) if the coefficients Λ^{λ_m} of all the “unwanted” terms vanish; that is, according to (2.14), if $\lambda_1, \dots, \lambda_M$ satisfy the Bethe equations (1.2). In particular, the eigenvalues of the Hamiltonian (1.1) are given by

$$E = 2 \text{sh}^2 \eta \sum_{k=1}^M \frac{1}{\text{sh}(\lambda_k - \frac{\eta}{2}) \text{sh}(\lambda_k + \frac{\eta}{2})} + (N - 1) \text{ch} \eta. \quad (2.16)$$

We can restrict to solutions that are *admissible* [7]: all the λ_k ’s are finite and pairwise distinct (no two are equal), and each λ_k satisfies either

$$\Re e(\lambda_k) > 0 \quad \text{and} \quad -\frac{\pi}{2} < \Im m(\lambda_k) \leq \frac{\pi}{2} \quad (2.17)$$

or

$$\Re e(\lambda_k) = 0 \quad \text{and} \quad 0 < \Im m(\lambda_k) < \frac{\pi}{2}. \quad (2.18)$$

Moreover, for the root of unity case $\eta = i\pi/p$ with integer $p \geq 2$, we exclude solutions containing exact complete p -strings, see section 3 below. All the admissible solutions of the Bethe equations (1.2) for small values of p and N are given in [7].

2.3. $U_q \mathfrak{sl}(2)$ symmetry

For generic q , the quantum group $U_q \mathfrak{sl}(2)$ has generators E, F, K that satisfy the relations

$$K E K^{-1} = q^2 E, \quad K F K^{-1} = q^{-2} F, \quad [E, F] = \frac{K - K^{-1}}{q - q^{-1}}. \quad (2.19)$$

These generators are represented on the spin chain by (see e.g. [16])

$$E = \sum_{k=1}^N \mathbb{I} \otimes \dots \otimes \mathbb{I} \otimes \sigma_k^+ \otimes q^{\sigma_{k+1}^z} \otimes \dots \otimes q^{\sigma_N^z}, \\ F = \sum_{k=1}^N q^{-\sigma_1^z} \otimes \dots \otimes q^{-\sigma_{k-1}^z} \otimes \sigma_k^- \otimes \mathbb{I} \otimes \dots \otimes \mathbb{I}, \\ K = q^{\sigma_1^z} \otimes \dots \otimes q^{\sigma_N^z}. \quad (2.20)$$

The transfer matrix has $U_q sl(2)$ symmetry [17]

$$[t(u), E] = [t(u), F] = [t(u), K] = 0. \quad (2.21)$$

Moreover, the transfer matrix commutes with S^z

$$[t(u), S^z] = 0, \quad S^z = \frac{1}{2} \sum_{k=1}^N \sigma_k^z, \quad (2.22)$$

and the Bethe states satisfy

$$S^z |v_1 \dots v_M\rangle = \left(\frac{N}{2} - M\right) |v_1 \dots v_M\rangle. \quad (2.23)$$

As reviewed in [7], the Bethe states are $U_q sl(2)$ highest-weight states of spin- j representations V_j with

$$j = \frac{N}{2} - M, \quad (2.24)$$

and dimension

$$\dim V_j = 2j + 1 = N - 2M + 1. \quad (2.25)$$

For the root of unity case $q = e^{i\pi/p}$, the generators satisfy the additional relations

$$E^p = F^p = 0, \quad K^{2p} = 1. \quad (2.26)$$

The Lusztig's “divided powers” [18] are defined by (see e.g. [19])

$$e = \frac{1}{[p]_q!} K^p E^p, \quad f = \frac{(-1)^p}{[p]_q!} F^p, \quad h = \frac{1}{2} [e, f], \quad (2.27)$$

where

$$[n]_q = \frac{q^n - q^{-n}}{q - q^{-1}}, \quad [n]_q! = \prod_{k=1}^n [k]_q. \quad (2.28)$$

The generators e, f, h obey the usual $sl(2)$ relations

$$[h, e] = e, \quad [h, f] = -f. \quad (2.29)$$

The transfer matrix also has this $sl(2)$ symmetry at roots of unity.

The space of states of the spin chain is given by the N -fold tensor product of spin-1/2 representations $V_{1/2}$. As already reviewed in [7], for $q = e^{i\pi/p}$, this vector space decomposes into a direct sum of tilting $U_q sl(2)$ -modules T_j characterized by spin j ,

$$(V_{\frac{1}{2}})^{\otimes N} = \bigoplus_{j=0(1/2)}^{N/2} d_j^0 T_j, \quad (2.30)$$

where the sum starts from $j = 0$ for even N and $j = 1/2$ for odd N . The multiplicities d_j^0 of these T_j modules are given by [20]

$$d_j^0 = \sum_{n \geq 0} d_{j+np} - \sum_{n \geq t(j)+1} d_{j+np-1-2(j \bmod p)}, \quad (j \bmod p) \neq p - \frac{1}{2}, \frac{p-1}{2}, \quad (2.31)$$

where d_j is given by

$$d_j = \binom{N}{\frac{N}{2} - j} - \binom{N}{\frac{N}{2} - j - 1}, \quad d_j = 0 \quad \text{for} \quad j > \frac{N}{2}, \quad (2.32)$$

and

$$t(j) = \begin{cases} 1 & \text{for } (j \bmod p) > \frac{p-1}{2}, \\ 0 & \text{for } (j \bmod p) < \frac{p-1}{2}. \end{cases} \quad (2.33)$$

If $(j \bmod p) = p - \frac{1}{2}$, $\frac{p-1}{2}$, then $d_j^0 = d_j$.

The dimensions of the tilting modules are given by [7]

$$\dim T_j = \begin{cases} 2j + 1, & 2j + 1 \leq p \quad \text{or} \quad s(j) = 0, \\ 2(2j + 1 - s(j)), & \text{otherwise,} \end{cases} \quad (2.34)$$

where we set²

$$s(j) = (2j + 1) \bmod p. \quad (2.35)$$

2.4. General structure of the tilting modules

For our analysis, we need an explicit structure and the $U_qsl(2)$ action on the tilting modules T_j that appear in the decomposition (2.30). The structure of the tilting $U_qsl(2)$ -modules was studied in many works [1,21,18,22,20]. The tilting $U_qsl(2)$ -modules T_j in (2.30) for $2j + 1$ less than p or divisible by p are irreducible and isomorphic to the spin- j modules (or V_j in our notations).³ Otherwise, each T_j is indecomposable but reducible and contains V_j as a submodule while the quotient T_j/V_j is isomorphic to $V_{j-s(j)}$, where $s(j)$ is defined in (2.35). Both the components V_j and $V_{j-s(j)}$ are further reducible but indecomposable: V_j has the unique submodule isomorphic to the head (or irreducible quotient) of the $V_{j-s(j)}$ module, and $V_{j-s(j)}$ has the unique submodule isomorphic to the head of the V_{j-p} module. We denote the head of V_j by $\langle j \rangle$. Then, the sub-quotient structure of T_j in terms of the irreducible modules $\langle j \rangle$ can be depicted as

$$T_j : \begin{array}{ccc} & \langle j - s(j) \rangle & \\ \swarrow & & \searrow \\ \langle j - p \rangle & & \langle j \rangle \\ \searrow & & \swarrow \\ & \langle j - s(j) \rangle & \end{array} \quad (2.36)$$

where arrows correspond to irreversible action of $U_qsl(2)$ generators and we set $\langle j \rangle = 0$ for $j < 0$.

² $(j \bmod p)$ is the remainder on division of j by p .

³ The tilting modules T_j with $2j + 1 < p$ are the type-II representations in [1], while all others are of type I.

To compute dimensions $\dim\langle j \rangle$ of the irreducible subquotients in (2.36), we note the relation $\dim\langle j \rangle = 2j + 1 - \dim\langle j - s(j) \rangle$ that follows from the discussion above (2.36). It is then easy to check the following formula for dimensions⁴ by induction in $r \geq 0$:

$$\dim\langle j \rangle = s(j)(r + 1), \quad \text{where } 2j + 1 \equiv rp + s(j). \quad (2.37)$$

Note that the highest-weight vector in the irreducible module $\langle j \rangle$ has $S^z = j$.

We shall refer to the four irreducible subquotients in (2.36), starting from the top $\langle j - s(j) \rangle$ and going around clockwise, as the “top” \mathbf{T}_j , “right” \mathbf{R}_j , “bottom” \mathbf{B}_j , and “left” \mathbf{L}_j nodes, respectively. We refer the interested reader to Appendix A for the description of the basis and $U_qsl(2)$ -action in T_j .

3. Bethe states for exact complete p -strings

For $\eta = i\pi/p$ with integer $p \geq 2$ (so that $q = e^\eta$ is a root of unity), the Bethe equations (1.2) admit exact solutions consisting of p λ 's differing by η , e.g.

$$\{v, v + \eta, v + 2\eta, \dots, v + (p - 1)\eta\} \quad (3.1)$$

where v is arbitrary. Such solutions have been noticed in the context of (quasi) periodic chains [8–12], and were called in [9] “exact complete p -strings.” Such solutions do not lead to new eigenvalues of the transfer matrix, and therefore, we do not regard such solutions as admissible. Nevertheless, Bethe states corresponding to such solutions are necessary in order to construct the complete set of states when one or more tilting modules are spectrum degenerate [7].

The Bethe states (2.9) corresponding to such solutions are naively null, since

$$\prod_{r=0}^{p-1} \mathcal{B}(v + r\eta) = 0, \quad (3.2)$$

as already noticed by Tarasov for the (quasi) periodic chain in [12,23,24].⁵ We proceed, following [12] (see also [10]), by regularizing the solution and taking a suitable limit. Therefore, we now define

$$\eta_0 \equiv \frac{i\pi}{p}, \quad \mu \equiv \eta - \eta_0, \quad (3.3)$$

and we consider the limit $\mu \rightarrow 0$. Given a usual Bethe state $|\lambda_1 \dots \lambda_M\rangle$ (2.9), we define the operators⁶

$$\mathbb{B}_\mu(v) = \frac{1}{\mu} \prod_{r=0}^{p-1} \mathcal{B}(v + r\eta + \mu x_{r+1}) \quad (3.4)$$

⁴ If $s(j) = 0$, then $2j + 1$ is divisible by p , so the tilting module is irreducible (of dimension $2j + 1$ as noted above), and therefore the sub-quotient structure is trivial.

⁵ For the closed chain, the corresponding product of B operators is a component (top-right corner) of a fused [25, 26] monodromy matrix; and, for $\eta = i\pi/p$, this fused monodromy matrix becomes block diagonal, and therefore the top-right corner becomes zero. (See Proposition 5 parts (i) and (ii) in [23], and Lemmas 1.4 and 1.5 in [24].) The same logic applies to the open chain, in view of the open-chain generalization [27] of the fusion procedure.

⁶ For simplicity, we assume here that the λ_i 's are fixed and do not depend on μ . In principle, the analysis presented here could be generalized by not making any assumptions about the λ_i 's at the outset, which in fact is the approach taken in [12] for the closed chain. However, the result of such an analysis is that, in order to obtain an eigenvector of the transfer matrix, the λ_i 's must indeed be solutions of the Bethe equations with $\mu \rightarrow 0$.

and⁷

$$\mathbb{B}(v) = \lim_{\mu \rightarrow 0} \mathbb{B}_\mu(v), \quad (3.5)$$

as well as the corresponding new states

$$\|v; \lambda_1 \dots \lambda_M\rangle\rangle_\mu = \mathbb{B}_\mu(v) |\lambda_1 \dots \lambda_M\rangle \quad (3.6)$$

and

$$\begin{aligned} \|v; \lambda_1 \dots \lambda_M\rangle\rangle &= \mathbb{B}(v) |\lambda_1 \dots \lambda_M\rangle \\ &= \lim_{\mu \rightarrow 0} \frac{1}{\mu} \prod_{r=0}^{p-1} \mathcal{B}(v + r\eta + \mu x_{r+1}) |\lambda_1 \dots \lambda_M\rangle, \end{aligned} \quad (3.7)$$

where the transfer matrix $t(u)$ and the \mathcal{B} operators (including those used in the construction of the Bethe state $|\lambda_1 \dots \lambda_M\rangle$ of course) should be understood to be constructed with generic anisotropy η instead of η_0 , and x_1, \dots, x_p are still to be determined. To this end, we obtain the off-shell relation for this state (cf. (2.11))

$$\begin{aligned} t(u) \|v; \lambda_1 \dots \lambda_M\rangle\rangle_\mu &= X(u) \|v; \lambda_1 \dots \lambda_M\rangle\rangle_\mu \\ &+ \frac{1}{\mu} \sum_{m=1}^M Y_m B(u) \prod_{r=0}^{p-1} \mathcal{B}(v + r\eta + \mu x_{r+1}) \prod_{\substack{k \neq m \\ k=1}}^M \mathcal{B}(\lambda_k) |\Omega\rangle \\ &+ \frac{1}{\mu} \sum_{r=0}^{p-1} Z_r B(u) \prod_{\substack{s \neq r \\ s=0}}^{p-1} \mathcal{B}(v + s\eta + \mu x_{s+1}) \prod_{k=1}^M \mathcal{B}(\lambda_k) |\Omega\rangle, \end{aligned} \quad (3.8)$$

and the limit $\mu \rightarrow 0$ remains to be performed. Evidently, there are now two kinds of “unwanted” terms.

It is easy to see from (2.12) that $X(u)$, which appears in the first line of (3.8), is given by

$$\begin{aligned} X(u) &= \frac{\text{sh}(2u + 2\eta)}{\text{sh}(2u + \eta)} \text{sh}^{2N}(u + \eta) \frac{Q(u - \eta)}{Q(u)} \mathcal{E}^-(u) \\ &+ \frac{\text{sh}(2u)}{\text{sh}(2u + \eta)} \text{sh}^{2N}(u) \frac{Q(u + \eta)}{Q(u)} \mathcal{E}^+(u), \end{aligned} \quad (3.9)$$

where $Q(u)$ is given by (2.13), and the $\mathcal{E}^\pm(u)$ are defined by

$$\mathcal{E}^\pm(u) = \frac{Q(u \pm \eta)}{Q(u)}, \quad (3.10)$$

where

⁷ The operator (3.5) is well defined, since $\prod_{r=0}^{p-1} \mathcal{B}(v + r\eta + \mu x_{r+1}) = O(\mu)$ for $\mu \rightarrow 0$, as follows from (3.2) and the fact $\mathcal{B}(u) = \mathcal{B}(u)|_{\mu=0} + O(\mu)$, and non-zero in general, as follows from examples we studied.

$$\begin{aligned} \mathcal{Q}(u) &= \prod_{r=0}^{p-1} \text{sh}(u - v - (r - \tfrac{1}{2})\eta - \mu x_{r+1}) \text{sh}(u + v + (r + \tfrac{1}{2})\eta + \mu x_{r+1}) \\ &= \prod_{r=0}^{p-1} \text{sh}(u - v - (r - \tfrac{1}{2})\eta) \text{sh}(u + v + (r + \tfrac{1}{2})\eta) + O(\mu). \end{aligned} \quad (3.11)$$

In the second line of (3.11), we keep explicitly only the first term in the expansion around $\mu = 0$ and neglect contributions that vanish when μ vanishes. We see that $\mathcal{E}^\pm(u) \rightarrow 1$ in the limit $\mu \rightarrow 0$, and therefore $X(u) \rightarrow \Lambda(u)$.

Similarly, from (2.14) we find that Y_m , which appears in the second line of (3.8), is given by

$$\begin{aligned} Y_m &= f(u, \lambda_m - \tfrac{\eta}{2}) \left[\text{sh}^{2N}(\lambda_m + \tfrac{\eta}{2}) \mathcal{E}^-(\lambda_m - \tfrac{\eta}{2}) \prod_{\substack{k \neq m \\ k=1}}^M \frac{\text{sh}(\lambda_m - \lambda_k - \eta) \text{sh}(\lambda_m + \lambda_k - \eta)}{\text{sh}(\lambda_m - \lambda_k) \text{sh}(\lambda_m + \lambda_k)} \right. \\ &\quad \left. - \text{sh}^{2N}(\lambda_m - \tfrac{\eta}{2}) \mathcal{E}^+(\lambda_m - \tfrac{\eta}{2}) \prod_{\substack{k \neq m \\ k=1}}^M \frac{\text{sh}(\lambda_m - \lambda_k + \eta) \text{sh}(\lambda_m + \lambda_k + \eta)}{\text{sh}(\lambda_m - \lambda_k) \text{sh}(\lambda_m + \lambda_k)} \right], \end{aligned} \quad (3.12)$$

and therefore $Y_m \rightarrow \Lambda^{\lambda_m}$ as $\mu \rightarrow 0$. Hence, the “unwanted” terms of the first kind in (3.8) vanish provided that $\lambda_1, \dots, \lambda_M$ satisfy the usual Bethe equations (1.2) at $\eta = \eta_0$. (The factor $1/\mu$ in the second line of (3.8) is canceled by the contribution from $\prod_{r=0}^{p-1} \mathcal{B}(v + r\eta + \mu x_{r+1})$ which vanishes as fast as $O(\mu)$ for $\mu \rightarrow 0$, as we noticed above.)

Finally, again from (2.14) we find that Z_r , which appears in the third line of (3.8), is given by

$$\begin{aligned} Z_r &= f(u, v + (r - \tfrac{1}{2})\eta) \left[\text{sh}^{2N}(v + (r + \tfrac{1}{2})\eta) \frac{\mathcal{Q}(v + (r - \tfrac{3}{2})\eta)}{\mathcal{Q}(v + (r - \tfrac{1}{2})\eta)} \mathcal{Z}_r^- \right. \\ &\quad \left. - \text{sh}^{2N}(v + (r - \tfrac{1}{2})\eta) \frac{\mathcal{Q}(v + (r + \tfrac{1}{2})\eta)}{\mathcal{Q}(v + (r - \tfrac{1}{2})\eta)} \mathcal{Z}_r^+ \right], \end{aligned} \quad (3.13)$$

where

$$\begin{aligned} \mathcal{Z}_r^- &= \prod_{\substack{s \neq r \\ s=0}}^{p-1} \frac{\text{sh}((r - s - 1)\eta + \mu(x_{r+1} - x_{s+1})) \text{sh}(2v + (r + s - 1)\eta)}{\text{sh}((r - s)\eta) \text{sh}(2v + (r + s)\eta)}, \\ \mathcal{Z}_r^+ &= \prod_{\substack{s \neq r \\ s=0}}^{p-1} \frac{\text{sh}((r - s + 1)\eta + \mu(x_{r+1} - x_{s+1})) \text{sh}(2v + (r + s + 1)\eta)}{\text{sh}((r - s)\eta) \text{sh}(2v + (r + s)\eta)}, \end{aligned} \quad (3.14)$$

and we have again neglected contributions that vanish when μ vanishes. We find

$$\lim_{\mu \rightarrow 0} \frac{\mathcal{Z}_r^-}{\mu} = -(x_{r+1} - x_r) \frac{\text{sh}(2v + 2r\eta_0)}{\text{sh} \eta_0 \text{sh}(2v + (2r - 1)\eta_0)}, \quad r \neq 0, \quad (3.15)$$

while for $r = 0$ the above result continues to hold except with $x_0 = x_p + p$. Similarly,

$$\lim_{\mu \rightarrow 0} \frac{\mathcal{Z}_r^+}{\mu} = -(x_{r+2} - x_{r+1}) \frac{\text{sh}(2v + 2r\eta_0)}{\text{sh} \eta_0 \text{sh}(2v + (2r + 1)\eta_0)}, \quad r \neq p - 1, \quad (3.16)$$

while for $r = p - 1$ the above result continues to hold except with $x_{p+1} = x_1 - p$. We conclude that the “unwanted” terms of the second kind in (3.8) vanish provided that x_1, \dots, x_p satisfy

$$\frac{x_{r+1} - x_r}{x_{r+2} - x_{r+1}} = \left(\frac{\text{sh}(v + (r - \frac{1}{2})\eta_0)}{\text{sh}(v + (r + \frac{1}{2})\eta_0)} \right)^{2N} \frac{\text{sh}(2v + (2r - 1)\eta_0)}{\text{sh}(2v + (2r + 1)\eta_0)} \frac{Q(v + (r + \frac{1}{2})\eta_0)}{Q(v + (r - \frac{3}{2})\eta_0)} \quad (3.17)$$

for $r = 0, 1, \dots, p - 1$, where

$$x_0 = x_p + p, \quad x_{p+1} = x_1 - p, \quad (3.18)$$

and $Q(u)$ in (2.13) is to be evaluated with $\eta = \eta_0$.

In order to solve (3.17) for x_1, \dots, x_p , we now make (along the lines of [12]) the following ansatz

$$x_r = 1 - r - \frac{G(v + r\eta_0)}{F(v)}, \quad r = 0, \dots, p + 1, \quad (3.19)$$

where $F(u)$ and $G(u)$ are functions with periodicities η_0 and $i\pi$, respectively,

$$F(u + \eta_0) = F(u), \quad G(u + i\pi) = G(u). \quad (3.20)$$

Then the boundary conditions (3.18) are satisfied, and

$$\frac{x_{r+1} - x_r}{x_{r+2} - x_{r+1}} = \frac{H(v + r\eta_0)}{H(v + (r + 1)\eta_0)}, \quad (3.21)$$

where

$$H(u) = G(u + r\eta_0) - G(u) + F(u). \quad (3.22)$$

The conditions (3.20) and (3.22) can be satisfied by setting

$$F(u) = \frac{1}{p} \sum_{k=0}^{p-1} H(u + k\eta_0), \quad G(u) = \frac{1}{p} \sum_{k=1}^{p-1} kH(u + k\eta_0). \quad (3.23)$$

Comparing (3.17) and (3.21), we see that $H(u)$ must obey the functional relation

$$\frac{H(u)}{H(u + \eta_0)} = \left(\frac{\text{sh}(u - \frac{\eta_0}{2})}{\text{sh}(u + \frac{\eta_0}{2})} \right)^{2N} \frac{\text{sh}(2u - \eta_0)}{\text{sh}(2u + \eta_0)} \frac{Q(u + \frac{\eta_0}{2})}{Q(u - \frac{3\eta_0}{2})}, \quad (3.24)$$

which is satisfied by⁸

$$H(u) = \frac{\text{sh}^{2N}(u - \frac{\eta_0}{2}) \text{sh}(2u - \eta_0)}{Q(u - \frac{\eta_0}{2}) Q(u - \frac{3\eta_0}{2})}. \quad (3.25)$$

We have therefore proved the following proposition.

Proposition 3.1. *If $|\lambda_1 \dots \lambda_M\rangle$ is an eigenstate of the transfer matrix $t(u)$ with eigenvalue $\Lambda(u)$, then for any $v \in \mathbb{C}$ the corresponding state $\|v; \lambda_1 \dots \lambda_M\rangle$ constructed in (3.7) using an exact complete p -string, where x_r are given by (3.19), (3.23) and (3.25) using (2.13), is also an eigenstate of the transfer matrix with the same eigenvalue $\Lambda(u)$.*

⁸ One can multiply this solution by any function with periodicity η_0 , and it will still be a solution of (3.24), though it will not change the values of x_r 's. We are not aware of any other solutions of the functional equation, and expect that this one will be enough to construct the complete basis of eigenstates.

By this proposition we see that the operator $\mathbb{B}(v)$ in (3.5) maps the specific eigenstate $|\lambda_1 \dots \lambda_M\rangle$ defined in (2.9) to another eigenstate of $t(u)$. But acting with $\mathbb{B}(v)$ on other Bethe states does not give in general eigenstates, or saying differently the operator $\mathbb{B}(v)$ does not in general commute with $t(u)$, as its definition involves Bethe roots λ_i via the function $Q(u)$.

Remark 3.2. For the particular case $p = 2$, the $Q(u)$ function obeys $Q(u + 2\eta_0) = Q(u)$, and therefore the ratio of $Q(u)$ functions in (3.24) equals 1, which implies that $H(u)$ can be chosen independently of $\{\lambda_i\}$, e.g. $H(u) = \text{sh}^{2N}(u - \frac{\eta_0}{2}) \text{sh}(2u - \eta_0)$; and therefore $\{x_r\}$ and thus $\mathbb{B}(v)$ are independent of $\{\lambda_i\}$. This suggests that $\mathbb{B}(v)$ might be a symmetry of $t(u)$ as it maps any Bethe state to another eigenstate of the *same* eigenvalue. We have verified numerically for $p = 2$ and up to $N = 6$ that $\mathbb{B}(v)$ indeed commutes with $t(u)$ for any complex numbers u and v .

Several examples of the construction in Proposition 3.1 with $p = 2$ can be found in Sec. 5, see e.g. Secs. 5.2, 5.3, and 5.4. For $p > 2$, the first appearance of an exact complete p -string is for the case $p = 3$, $N = 8$, $M = 0$, see Section D.6 in [7]. We have constructed the vector $\|v; -\rangle$ (3.7) numerically for this case, with a generic value for v , and we have verified that it is an eigenvector of the Hamiltonian with the same eigenvalue as the reference state (namely, $E = 3.5$), yet it is linearly independent from the reference state. Moreover, it is a highest-weight vector with spin $j = 1$, exactly as required for the right node of the tilting module T_1 (recall the structure in (2.36) and its description above), which is spectrum-degenerate with the tilting module T_4 containing the reference state.

Remark 3.3. The generalization to the case of more than one exact complete p -string is straightforward: a vector with m such p -strings is given by

$$\|v_1, \dots, v_m; \lambda_1 \dots \lambda_M\rangle = \prod_{i=1}^m \mathbb{B}(v_i) |\lambda_1 \dots \lambda_M\rangle, \quad (3.26)$$

where $\mathbb{B}(v_i)$ is constructed as in (3.5) and with $\{x_{i,r}\}$ given by

$$x_{i,r} = 1 - r - \frac{G(v_i + r\eta_0)}{F(v)}, \quad r = 0, \dots, p-1, \quad i = 1, \dots, m, \quad (3.27)$$

with the same boundary conditions on $x_{i,r}$. We note that the S^z -eigenvalue of (3.26) is $\frac{N}{2} - M + mp$ and thus the operators $\prod_{i=1}^m \mathbb{B}(v_i)$ describe $t(u)$ degeneracies between S^z -eigenspaces that differ by a multiple of p . We stress that these degeneracies are extra to the degeneracies corresponding to the action by the divided powers of $U_qsl(2)$ that also change S^z by $\pm p$. We discuss below this new type of degeneracies. An example with two exact complete p -strings (i.e., $m = 2$, with $p = 2$) is given in Sec. 5.5.

4. Generalized Bethe states

The usual Bethe states (2.9) are, by construction, ordinary eigenvectors of the transfer matrix $t(u)$. In order to construct generalized eigenvectors (which, as noted in the Introduction, appear at roots of unity), something different must be done. We recall that *generalized* eigenvectors $|v\rangle$ are defined as⁹

⁹ The power in (4.1) is 2 because there are Jordan cells of maximum rank 2, and here $|v\rangle$ and $|v'\rangle$ belong to a Jordan cell of rank 2.

$$(t(u) - \Lambda(u)\mathbf{1})^2|v\rangle = 0, \quad (4.1)$$

or equivalently

$$t(u)|v\rangle = \Lambda(u)|v\rangle + |v'\rangle \quad \text{and} \quad t(u)|v'\rangle = \Lambda(u)|v'\rangle. \quad (4.2)$$

We note that a generalized eigenvector, as $|v\rangle$ in (4.2), is defined only up to the transformation

$$|v\rangle \rightarrow \alpha|v\rangle + \beta|v'\rangle, \quad \text{for } \alpha, \beta \in \mathbb{C}. \quad (4.3)$$

Generalized eigenvectors appear only in (direct sums of) the tilting $U_qsl(2)$ -modules T_j with $s(j)$ non-zero, i.e. in the cases where T_j are indecomposable but reducible, and thus are described by the diagram in (2.36). This fact is borne out by the explicit examples in our previous paper [7], see also [4,5] and the proof for $p = 2$ in [16]. As we will see further from an explicit construction in this section, it is only the states in the head of T_j – the top sub-quotient $\langle j - s(j) \rangle$ in (2.36) – on which the Hamiltonian (1.1) is non-diagonalizable. For the case $p = 2$, it was already shown in [16] using certain free fermion operators.

4.1. Introduction and overview

An important clue to a Bethe ansatz construction of the generalized eigenvectors can already be learned by considering the simplest case, namely a chain with two sites ($N = 2$). Indeed, for this case and for generic values of q , the eigenvectors of the Hamiltonian (1.1) are given by

$$\begin{aligned} |\mathbf{v}_1\rangle &= |\Omega\rangle = |\uparrow\uparrow\rangle = (1, 0, 0, 0)^T, \\ |\mathbf{v}_2\rangle &= F|\Omega\rangle = q^{-1}|\uparrow\downarrow\rangle + |\downarrow\uparrow\rangle = (0, q^{-1}, 1, 0)^T, \\ |\mathbf{v}_3\rangle &= \frac{1}{[2]_q} F^2|\Omega\rangle = |\downarrow\downarrow\rangle = (0, 0, 0, 1)^T, \\ |\mathbf{v}_4\rangle &= -q|\uparrow\downarrow\rangle + |\downarrow\uparrow\rangle = (0, -q, 1, 0)^T. \end{aligned} \quad (4.4)$$

The first three vectors, which form a spin-1 representation of $U_qsl(2)$, have the same energy eigenvalue $E_1 = \frac{1}{2}[2]_q$, while the fourth vector (a spin-0 representation) has the energy eigenvalue $E_0 = -\frac{3}{2}[2]_q$. For $p = 2$ (i.e., $q = e^{i\pi/2} = i$), the vectors $|\mathbf{v}_2\rangle$ and $|\mathbf{v}_4\rangle$ evidently coincide (and $E_1 = E_0 = 0$), signaling that the Hamiltonian is no longer diagonalizable. A generalized eigenvector of the Hamiltonian with generalized eigenvalue 0 can be constructed from the $q \rightarrow i$ limit of an appropriate linear combination of these two vectors, e.g.,

$$|\mathbf{w}\rangle = \lim_{q \rightarrow i} \frac{1}{[2]_q} (|\mathbf{v}_4\rangle - |\mathbf{v}_2\rangle) = -(0, 1, 0, 0)^T. \quad (4.5)$$

Let us now consider the corresponding Bethe ansatz description. For generic q , the vector $|\mathbf{v}_4\rangle$ is given by

$$|\mathbf{v}_4\rangle = a(\eta) \mathcal{B}(\nu)|\Omega\rangle, \quad \nu = \frac{1}{2} \log \left[-\frac{\text{sh}(\frac{\eta}{2} + \frac{i\pi}{4})}{\text{sh}(\frac{\eta}{2} - \frac{i\pi}{4})} \right], \quad (4.6)$$

where a depends on η such that $a(i\pi/2) = 0$. As q approaches i (i.e., η approaches $\frac{i\pi}{2}$), the Bethe root ν in (4.6) goes to infinity. Indeed, setting $\eta = \frac{i\pi}{2} - i\omega^2$, we find that

$$\nu = -\log \omega + \frac{1}{2} \log 2 + O(\omega^4) \quad (4.7)$$

for ω near 0. Expanding the Bethe vector in a series about $\omega = 0$, we observe that

$$\mathcal{B}(v)|\Omega\rangle = \frac{i}{2}\omega^{-4}(F|\Omega\rangle)\big|_{\omega=0} + O(\omega^{-2}). \quad (4.8)$$

We therefore can subtract $\frac{i}{2}\omega^{-2}F|\Omega\rangle$ from $\omega^2\mathcal{B}(v)|\Omega\rangle$ to get the final result

$$\begin{aligned} |\mathbf{v}\rangle &\equiv \lim_{\omega \rightarrow 0+} \left[\omega^2 \mathcal{B}(v)|\Omega\rangle - \frac{i}{2}\omega^{-2}F|\Omega\rangle \right] \\ &= (0, 0, -1, 0)^T = i|\mathbf{w}\rangle - |\mathbf{v}_2\rangle\big|_{q=i}, \end{aligned} \quad (4.9)$$

which is a *generalized* eigenvector of the Hamiltonian. Note the similarity of the constructions in (4.5) and (4.9): both involve subtracting from a (generically) highest-weight state a contribution proportional to $|\mathbf{v}_2\rangle = F|\Omega\rangle$ and taking the $q \rightarrow i$ limit. The generalized eigenvector $|\mathbf{v}\rangle$ is evidently a linear combination of the generalized eigenvector $|\mathbf{w}\rangle$ in (4.5) and the eigenvector $|\mathbf{v}_2\rangle$ in (4.4), recall that the generalized eigenvector is defined up to the transformation (4.3).

A construction of generalized Bethe states similar to (4.9) is possible for general values of N and p . We observe from numerical studies given in Appendix B that, as the anisotropy parameter η approaches $\eta_0 = i\pi/p$ with integer $p \geq 2$, the Bethe roots corresponding to a generalized eigenvalue contain a string of length $p' \in \{1, 2, \dots, p-1\}$, whose center (real part) approaches infinity. In more detail, such a string is a set of p' roots differing by $i\pi/p'$, e.g.

$$v_k^\infty = v_0 + \frac{i\pi}{2p'}(p' - (2k-1)), \quad k = 1, \dots, p', \quad (4.10)$$

with $v_0 \rightarrow \infty$. As we shall see below, the value of p' is related to the spin j of the tilting module T_j (the one containing the corresponding generalized eigenvector) by the simple formula

$$p' = s(j), \quad (4.11)$$

where $s(j) \in \{1, 2, \dots, p-1\}$ is defined in (2.35). For $p = 2$, the only possibility is $p' = 1$, i.e. an infinite real root, as already discussed. For $p = 3$, the only possibilities are $p' = 1$ and $p' = 2$, where the latter consists of the pair of roots $v_0 \pm i\pi/4$ with $v_0 \rightarrow \infty$. For $p = 4$, we can have $p' = 1, 2, 3$; the $p' = 3$ case consists of a triplet of roots $v_0, v_0 \pm i\pi/3$ with $v_0 \rightarrow \infty$, etc. The corresponding Bethe state has Bethe roots $\{v_k^\infty\}$ tending to infinity in the limit, and requires a certain subtraction to get a finite vector. In a nutshell, our construction of generalized eigenvectors in a tilting module T_j starts with the spin- j highest-weight state that lives in the right node denoted by $\langle j \rangle$ in the diagram (2.36). This state can be constructed using the ordinary ABA approach as in (2.9). Then, a generalized eigenstate living in the top node $\langle j - s(j) \rangle$ is constructed by applying a certain p' -string of $\mathcal{B}(v_k)$ operators (with v_k as in (4.10) but finite v_0) on the usual Bethe state in $\langle j \rangle$ at generic value of η , subtracting the image of $F^{p'}$ on the spin- j highest-weight state and taking the limit $\eta \rightarrow \eta_0$. We give below details of the construction with our final claim in Proposition 4.3, while our representation-theoretic interpretation is given in Sec. 4.5.

4.2. General ABA construction of generalized eigenstates

With these observations in mind, let

$$|\vec{\lambda}\rangle \equiv |\lambda_1 \dots \lambda_M\rangle = \prod_{k=1}^M \mathcal{B}(\lambda_k)|\Omega\rangle \quad (4.12)$$

denote an on-shell Bethe vector, i.e., an ordinary eigenvector of the transfer matrix

$$t(u)|\vec{\lambda}\rangle = \Lambda(u)|\vec{\lambda}\rangle, \quad (4.13)$$

where the eigenvalue $\Lambda(u)$ is given by (2.12). This state is an $U_qsl(2)$ highest-weight state with spin $j = N/2 - M$, see (2.24). Under the already-mentioned assumption that the top node $\langle j - s(j) \rangle$ of T_j contains generalized eigenstates, let us construct a generalized eigenvector $||\vec{\lambda}\rangle\rangle^{(p')} \equiv ||\lambda_1 \dots \lambda_M\rangle\rangle^{(p')}$ whose generalized eigenvalue is also $\Lambda(u)$, where $p' = s(j)$. To this end, we now set

$$\eta = \eta_0 - i\omega^{2p'}, \quad \eta_0 = \frac{i\pi}{p}, \quad (4.14)$$

and look for a generalized eigenvector as the limit

$$||\vec{\lambda}\rangle\rangle^{(p')} = \lim_{\omega \rightarrow 0+} ||\vec{\lambda}\rangle\rangle_{\omega}^{(p')}, \quad (4.15)$$

where

$$||\vec{\lambda}\rangle\rangle_{\omega}^{(p')} = \alpha |\vec{v}, \vec{\lambda}_{\alpha}\rangle + \beta F^{p'} |\vec{\lambda}_{\beta}\rangle, \quad (4.16)$$

with

$$|\vec{v}, \vec{\lambda}_{\alpha}\rangle = \prod_{j=1}^{p'} \mathcal{B}(v_j) \prod_{k=1}^M \mathcal{B}(\lambda_{\alpha,k}) |\Omega\rangle, \quad |\vec{\lambda}_{\beta}\rangle = \prod_{k=1}^M \mathcal{B}(\lambda_{\beta,k}) |\Omega\rangle. \quad (4.17)$$

Note that the subscripts α and β on $\lambda_{\alpha,k}$ and $\lambda_{\beta,k}$ are simply labels (i.e., not indices) that serve to distinguish $\lambda_{\alpha,k}$ from $\lambda_{\beta,k}$ and from λ_k . Note that λ_k is the Bethe solution precisely at the root of unity, when $\omega = 0$, while $\lambda_{\alpha,k}$ and $\lambda_{\beta,k}$ are a priori different functions of ω . And we assume that, as $\omega \rightarrow 0+$,

$$\begin{aligned} v_j &\rightarrow v_j^{\infty}, \\ \lambda_{\alpha,k} &\rightarrow \lambda_k, \\ \lambda_{\beta,k} &\rightarrow \lambda_k, \end{aligned} \quad (4.18)$$

where v_j^{∞} is given in (4.10) with v_0 diverging as $v_0 = -\log \omega$. However, the $\{v_j\}$, $\{\lambda_{\alpha,k}\}$, $\{\lambda_{\beta,k}\}$ as well as the coefficients α and β (actually certain powers of ω) are still to be determined. The \mathcal{B} operators and the transfer matrix $t(u)$ should again (as in Section 3) be understood to be constructed with anisotropy η instead of η_0 . Moreover, F is the $U_qsl(2)$ generator (see section 2.3) and as an operator it also depends on $q = e^{\eta}$.

We shall see that the state $||\vec{\lambda}\rangle\rangle^{(p')}$ or the limit (4.15) is well defined and has the same transfer-matrix (generalized) eigenvalue as $|\vec{\lambda}\rangle$ in (4.12), and both states belong to the same tilting module T_j , see Remark 4.4 below. As in the usual ABA construction, the state $||\vec{\lambda}\rangle\rangle^{(p')}$ in our construction also has the maximum value of S^z in the irreducible subquotient to which it belongs, namely, the top node $\langle j - s(j) \rangle$. We know from (2.23) and (4.17) that this state has $S^z = N/2 - M - p' = j - p'$. On the other hand, we know from the general structure of tilting modules (2.36) that $||\vec{\lambda}\rangle\rangle^{(p')}$ has $S^z = j - s(j)$. It follows that $p' = s(j)$, as already noted in (4.11).

Next, we observe that for $\omega \rightarrow 0$, the vector $|\vec{v}, \vec{\lambda}_{\alpha}\rangle$ has the power series expansion:

$$|\vec{v}, \vec{\lambda}_{\alpha}\rangle = c \omega^{-2p'N} \left(F^{p'} |\vec{\lambda}\rangle \right) \Big|_{\omega=0} + O(\omega^{-2p'(N-1)}), \quad (4.19)$$

where c is some numerical factor. For $p' = 1$, this follows from the fact that $B(u)|\Omega\rangle \sim e^{2Nu}F|\Omega\rangle + O(e^{2(N-1)u})$ for $u \rightarrow \infty$; hence, for $u \sim -\log \omega$, $B(u)|\Omega\rangle \sim \omega^{-2N}F|\Omega\rangle + O(\omega^{-2(N-1)})$. For $p' > 1$, the result (4.19) is a conjecture, which we have checked in many examples, see e.g. Secs. 4.7, 4.8. It follows that

$$\omega^{2p'(N-1)}|\vec{v}, \vec{\lambda}_\alpha\rangle - c\omega^{-2p'}F^{p'}|\vec{\lambda}_\beta\rangle = O(\omega^0) \quad (4.20)$$

for $\omega \rightarrow 0$. We therefore set

$$\alpha = \omega^{2p'(N-1)}, \quad \beta = -c\omega^{-2p'}, \quad (4.21)$$

which makes $\|\vec{\lambda}\rangle\rangle_\omega^{(p')}$ (4.16) finite for $\omega \rightarrow 0$.

According to the off-shell relation (2.11), the transfer matrix $t(u)$ has the following action on the off-shell Bethe vector $|\vec{v}, \vec{\lambda}_\alpha\rangle$:

$$t(u)|\vec{v}, \vec{\lambda}_\alpha\rangle = \Lambda_\alpha(u)|\vec{v}, \vec{\lambda}_\alpha\rangle + \sum_i \Lambda^{v_i}(u) B(u)|\hat{v}_i, \vec{\lambda}_\alpha\rangle + \sum_i \Lambda^{\lambda_{\alpha,i}}(u) B(u)|\vec{v}, \hat{\lambda}_{\alpha,i}\rangle, \quad (4.22)$$

where a hat over a symbol means that it should be omitted, i.e.

$$|\hat{v}_i, \vec{\lambda}_\alpha\rangle = \prod_{\substack{j=1 \\ j \neq i}}^{p'} \mathcal{B}(v_j) \prod_{k=1}^M \mathcal{B}(\lambda_{\alpha,k})|\Omega\rangle, \quad |\vec{v}, \hat{\lambda}_{\alpha,i}\rangle = \prod_{j=1}^{p'} \mathcal{B}(v_j) \prod_{\substack{k=1 \\ k \neq i}}^M \mathcal{B}(\lambda_{\alpha,k})|\Omega\rangle, \quad (4.23)$$

and

$$\begin{aligned} \Lambda_\alpha(u) &= \frac{\text{sh}(2u+2\eta)}{\text{sh}(2u+\eta)} \text{sh}^{2N}(u+\eta) \frac{Q_\alpha(u-\eta)Q_v(u-\eta)}{Q_\alpha(u)Q_v(u)} \\ &+ \frac{\text{sh}(2u)}{\text{sh}(2u+\eta)} \text{sh}^{2N}(u) \frac{Q_\alpha(u+\eta)Q_v(u+\eta)}{Q_\alpha(u)Q_v(u)}, \end{aligned} \quad (4.24)$$

and $Q_v(u)$ and $Q_\alpha(u)$ are defined as

$$\begin{aligned} Q_v(u) &= \prod_{j=1}^{p'} \text{sh}\left(u - v_j + \frac{\eta}{2}\right) \text{sh}\left(u + v_j + \frac{\eta}{2}\right), \\ Q_\alpha(u) &= \prod_{k=1}^M \text{sh}\left(u - \lambda_{\alpha,k} + \frac{\eta}{2}\right) \text{sh}\left(u + \lambda_{\alpha,k} + \frac{\eta}{2}\right). \end{aligned} \quad (4.25)$$

Moreover, according to (2.14), we have

$$\begin{aligned} \Lambda^{v_i}(u) &= \text{f}(u, v_i - \frac{\eta}{2}) \left[\text{sh}^{2N}\left(v_i + \frac{\eta}{2}\right) \frac{Q_\alpha(v_i - \frac{3\eta}{2})}{Q_\alpha(v_i - \frac{\eta}{2})} \prod_{\substack{j=1 \\ j \neq i}}^{p'} \frac{\text{sh}(v_i - v_j - \eta) \text{sh}(v_i + v_j - \eta)}{\text{sh}(v_i - v_j) \text{sh}(v_i + v_j)} \right. \\ &\quad \left. - \text{sh}^{2N}\left(v_i - \frac{\eta}{2}\right) \frac{Q_\alpha(v_i + \frac{\eta}{2})}{Q_\alpha(v_i - \frac{\eta}{2})} \prod_{\substack{j=1 \\ j \neq i}}^{p'} \frac{\text{sh}(v_i - v_j + \eta) \text{sh}(v_i + v_j + \eta)}{\text{sh}(v_i - v_j) \text{sh}(v_i + v_j)} \right], \end{aligned} \quad (4.26)$$

and

$$\begin{aligned} \Lambda^{\lambda_{\alpha,i}}(u) = & f(u, \lambda_{\alpha,i} - \frac{\eta}{2}) \left[\operatorname{sh}^{2N}(\lambda_{\alpha,i} + \frac{\eta}{2}) \frac{Q_v(\lambda_{\alpha,i} - \frac{3\eta}{2})}{Q_v(\lambda_{\alpha,i} - \frac{\eta}{2})} \right. \\ & \times \prod_{\substack{j \neq i \\ j=1}}^M \frac{\operatorname{sh}(\lambda_{\alpha,i} - \lambda_{\alpha,j} - \eta) \operatorname{sh}(\lambda_{\alpha,i} + \lambda_{\alpha,j} - \eta)}{\operatorname{sh}(\lambda_{\alpha,i} - \lambda_{\alpha,j}) \operatorname{sh}(\lambda_{\alpha,i} + \lambda_{\alpha,j})} \\ & \left. - \operatorname{sh}^{2N}(\lambda_{\alpha,i} - \frac{\eta}{2}) \frac{Q_v(\lambda_{\alpha,i} + \frac{\eta}{2})}{Q_v(\lambda_{\alpha,i} - \frac{\eta}{2})} \prod_{\substack{j \neq i \\ j=1}}^M \frac{\operatorname{sh}(\lambda_{\alpha,i} - \lambda_{\alpha,j} + \eta) \operatorname{sh}(\lambda_{\alpha,i} + \lambda_{\alpha,j} + \eta)}{\operatorname{sh}(\lambda_{\alpha,i} - \lambda_{\alpha,j}) \operatorname{sh}(\lambda_{\alpha,i} + \lambda_{\alpha,j})} \right]. \end{aligned} \quad (4.27)$$

Similarly, the action of the transfer matrix on the off-shell Bethe vector $|\vec{\lambda}_\beta\rangle$ is given by

$$t(u)|\vec{\lambda}_\beta\rangle = \Lambda_\beta(u)|\vec{\lambda}_\beta\rangle + \sum_i \Lambda^{\lambda_{\beta,i}}(u) B(u)|\hat{\lambda}_{\beta,i}\rangle, \quad (4.28)$$

where

$$\Lambda_\beta(u) = \frac{\operatorname{sh}(2u + 2\eta)}{\operatorname{sh}(2u + \eta)} \operatorname{sh}^{2N}(u + \eta) \frac{Q_\beta(u - \eta)}{Q_\beta(u)} + \frac{\operatorname{sh}(2u)}{\operatorname{sh}(2u + \eta)} \operatorname{sh}^{2N}(u) \frac{Q_\beta(u + \eta)}{Q_\beta(u)}, \quad (4.29)$$

with

$$Q_\beta(u) = \prod_{k=1}^M \operatorname{sh}\left(u - \lambda_{\beta,k} + \frac{\eta}{2}\right) \operatorname{sh}\left(u + \lambda_{\beta,k} + \frac{\eta}{2}\right), \quad (4.30)$$

and

$$\begin{aligned} \Lambda^{\lambda_{\beta,i}}(u) = & f(u, \lambda_{\beta,i} - \frac{\eta}{2}) \left[\operatorname{sh}^{2N}(\lambda_{\beta,i} + \frac{\eta}{2}) \prod_{\substack{j \neq i \\ j=1}}^M \frac{\operatorname{sh}(\lambda_{\beta,i} - \lambda_{\beta,j} - \eta) \operatorname{sh}(\lambda_{\beta,i} + \lambda_{\beta,j} - \eta)}{\operatorname{sh}(\lambda_{\beta,i} - \lambda_{\beta,j}) \operatorname{sh}(\lambda_{\beta,i} + \lambda_{\beta,j})} \right. \\ & \left. - \operatorname{sh}^{2N}(\lambda_{\beta,i} - \frac{\eta}{2}) \prod_{\substack{j \neq i \\ j=1}}^M \frac{\operatorname{sh}(\lambda_{\beta,i} - \lambda_{\beta,j} + \eta) \operatorname{sh}(\lambda_{\beta,i} + \lambda_{\beta,j} + \eta)}{\operatorname{sh}(\lambda_{\beta,i} - \lambda_{\beta,j}) \operatorname{sh}(\lambda_{\beta,i} + \lambda_{\beta,j})} \right]. \end{aligned} \quad (4.31)$$

We argue in [Appendix D](#) that, in order for $|||\vec{\lambda}||\rangle\rangle^{(p')}$ (4.15) to be a generalized eigenvector of the transfer matrix, i.e., it obeys (4.1), it suffices to satisfy the following conditions:

$$\lim_{\omega \rightarrow 0+} \beta (\Lambda_\beta(u) - \Lambda_\alpha(u)) \neq 0, \quad (4.32)$$

$$\lim_{\omega \rightarrow 0+} \beta (\Lambda_\beta(u) - \Lambda_\alpha(u))^2 = 0, \quad (4.33)$$

$$\lim_{\omega \rightarrow 0+} \omega^{2N} \beta \Lambda^{v_i}(u) = 0, \quad i = 1, \dots, p', \quad (4.34)$$

$$\lim_{\omega \rightarrow 0+} \beta \Lambda^{\lambda_{\alpha,i}}(u) = 0, \quad i = 1, \dots, M, \quad (4.35)$$

$$\lim_{\omega \rightarrow 0+} \beta \Lambda^{\lambda_{\beta,i}}(u) = 0, \quad i = 1, \dots, M, \quad (4.36)$$

where α and β are given by (4.21).

Recalling the expressions (4.26) and (4.27) for $\Lambda^{v_i}(u)$ and $\Lambda^{\lambda_{\alpha,i}}(u)$, we see that the conditions (4.34)–(4.35) require that $\{\vec{v}, \vec{\lambda}_{\alpha}\}$ be *approximate* solutions (as $\omega \rightarrow 0$) of the Bethe equations¹⁰

$$\begin{aligned} \text{sh}^{2N}(v_i + \frac{\eta}{2}) Q_{\alpha}(v_i - \frac{3\eta}{2}) \prod_{\substack{j \neq i \\ j=1}}^{p'} \text{sh}(v_i - v_j - \eta) \text{sh}(v_i + v_j - \eta) \\ = \text{sh}^{2N}(v_i - \frac{\eta}{2}) Q_{\alpha}(v_i + \frac{\eta}{2}) \prod_{\substack{j \neq i \\ j=1}}^{p'} \text{sh}(v_i - v_j + \eta) \text{sh}(v_i + v_j + \eta) \end{aligned} \quad (4.37)$$

and

$$\begin{aligned} \text{sh}^{2N}(\lambda_{\alpha,i} + \frac{\eta}{2}) Q_v(\lambda_{\alpha,i} - \frac{3\eta}{2}) \prod_{\substack{j \neq i \\ j=1}}^M \text{sh}(\lambda_{\alpha,i} - \lambda_{\alpha,j} - \eta) \text{sh}(\lambda_{\alpha,i} + \lambda_{\alpha,j} - \eta) \\ = \text{sh}^{2N}(\lambda_{\alpha,i} - \frac{\eta}{2}) Q_v(\lambda_{\alpha,i} + \frac{\eta}{2}) \prod_{\substack{j \neq i \\ j=1}}^M \text{sh}(\lambda_{\alpha,i} - \lambda_{\alpha,j} + \eta) \text{sh}(\lambda_{\alpha,i} + \lambda_{\alpha,j} + \eta). \end{aligned} \quad (4.38)$$

By ‘approximate solutions’ we mean that the equations are satisfied up to a certain order in ω , not necessarily in all orders, i.e., we solve equations (4.37) and (4.38) in the sense of perturbation theory in the small parameter ω , until (4.34)–(4.35) are satisfied. Similarly for the condition (4.36), it requires that $\vec{\lambda}_{\beta}$ be an approximate solution of the Bethe equations corresponding to $\Lambda^{\lambda_{\beta,i}}(u)$ in (4.31),

$$\begin{aligned} \text{sh}^{2N}(\lambda_{\beta,i} + \frac{\eta}{2}) \prod_{\substack{j \neq i \\ j=1}}^M \text{sh}(\lambda_{\beta,i} - \lambda_{\beta,j} - \eta) \text{sh}(\lambda_{\beta,i} + \lambda_{\beta,j} - \eta) \\ = \text{sh}^{2N}(\lambda_{\beta,i} - \frac{\eta}{2}) \prod_{\substack{j \neq i \\ j=1}}^M \text{sh}(\lambda_{\beta,i} - \lambda_{\beta,j} + \eta) \text{sh}(\lambda_{\beta,i} + \lambda_{\beta,j} + \eta). \end{aligned} \quad (4.39)$$

Let us therefore look for a solution $\{\vec{v}, \vec{\lambda}_{\alpha}\}$ of the Bethe equations (4.37)–(4.38) with $M + p'$ Bethe roots that approaches $\{\vec{v}^{\infty}, \vec{\lambda}\}$ as $\omega \rightarrow 0$, recall our assumption on the limit (4.18). We assume that for small ω this solution is given by

$$\begin{aligned} v_j = -\log \omega + \sum_{k \geq 1} a_{jk} \omega^{2(k-1)} + \frac{i\pi}{2p'}(p' - (2j - 1)), \quad j = 1, \dots, p', \\ \lambda_{\alpha,j} = \lambda_j + \sum_{k \geq 1} b_{jk} \omega^{2p'k}, \quad j = 1, \dots, M, \end{aligned} \quad (4.40)$$

where the coefficients $\{a_{jk}, b_{jk}\}$ are independent of ω . To determine these coefficients, we rewrite the Bethe equations (4.37)–(4.38) in the form

¹⁰ These are the usual Bethe equations (1.2) but with more Bethe roots, since we now have both λ_{α} ’s and v ’s. The v ’s appear in the Bethe equations for the λ_{α} ’s through Q_v functions and vice-versa.

$$\text{BAE}_k = 0, \quad k = 1, \dots, M + p', \quad (4.41)$$

where BAE_k is defined as the difference of the left-hand and right-hand sides. We insert (4.14) and (4.40) into (4.41), perform series expansions about $\omega = 0$, and solve the resulting equations for $\{a_{jk}, b_{jk}\}$, starting from the most singular terms in the series expansions (the most singular term has obviously a finite order in ω). In practice, the conditions (4.34)–(4.35) are satisfied by keeping sufficiently many terms in the expansion (4.40).

Similarly, we can find a solution $\tilde{\lambda}_\beta$ of the Bethe equations (4.39) with M Bethe roots that approaches $\tilde{\lambda}$ as $\omega \rightarrow 0$. We assume that for small ω this solution is given by

$$\lambda_{\beta,j} = \lambda_j + \sum_{k \geq 1} c_{jk} \omega^{2p'k}, \quad j = 1, \dots, M, \quad (4.42)$$

and we solve for the coefficients $\{c_{jk}\}$ in a similar way. We find in practice that, by keeping sufficiently many terms in the expansion (4.42), the condition (4.36) is also satisfied. In general, $\tilde{\lambda}_\beta \neq \tilde{\lambda}_\alpha$.

We then find by doing explicit expansion using (4.40) and (4.42), with the same number of terms in the sums as in the previous step, that $\Lambda_\beta(u) - \Lambda_\alpha(u)$ (recall the definitions (4.24), (4.29)) is of order $\omega^{2p'}$

$$\Lambda_\beta(u) - \Lambda_\alpha(u) = O(\omega^{2p'}). \quad (4.43)$$

For the choice of β in (4.21), it follows that both conditions (4.32) and (4.33) are also satisfied.

We have therefore demonstrated the following proposition, assuming that our conjecture (4.19) is true.

Proposition 4.3. *For anisotropy $\eta = i\pi/p$ with integer $p \geq 2$, given a Bethe eigenvector $|\tilde{\lambda}\rangle$ in (4.12) of the transfer matrix $t(u)$ with eigenvalue $\Lambda(u)$ (4.13), a generalized eigenvector of rank 2 with the same generalized eigenvalue is given by*

$$||\tilde{\lambda}\rangle\rangle^{(p')} = \lim_{\omega \rightarrow 0^+} \left[\omega^{2p'(N-1)} |\tilde{v}, \tilde{\lambda}_\alpha\rangle - c \omega^{-2p'} F^{p'} |\tilde{\lambda}_\beta\rangle \right], \quad (4.44)$$

where p' equals $N - 2M + 1$ modulo p , the vectors $|\tilde{v}, \tilde{\lambda}_\alpha\rangle$ and $|\tilde{\lambda}_\beta\rangle$ are given by (4.17), c is given by (4.19), and \tilde{v} , $\tilde{\lambda}_\alpha$, and $\tilde{\lambda}_\beta$ are given by the series expansions (4.40) and (4.42), whose coefficients are determined by the Bethe equations (4.37), (4.38), and (4.39) up to a certain order in ω such that (4.34)–(4.36) are satisfied.

Remark 4.4. In this remark, we address the problem of constructing the whole Jordan cell for the transfer matrix – the states $|v'\rangle$ and $|v\rangle$ in (4.2) – or what is the corresponding eigenvector $|v'\rangle$ for the generalized eigenvector $|v\rangle = ||\tilde{\lambda}\rangle\rangle^{(p')}$ constructed in (4.44)? We give two arguments, one is computational and uses the results of Appendix D where we stated Corollary D.1 in the end. It states that under the assumptions made in Proposition 4.3 $|v'\rangle$ is non-zero and equals $\kappa F^{p'} |\tilde{\lambda}\rangle$ where κ is the limit in (4.32). The other argument is less technical and counts only degeneracies. First, the state $|v'\rangle$ should have the same $S^z = N/2 - M - p'$ as $|v\rangle = ||\tilde{\lambda}\rangle\rangle^{(p')}$ has. Note further that $|v\rangle$ is in the same tilting module $T_{j=N/2-M}$ as the initial Bethe state $|\tilde{\lambda}\rangle$ because the two states have the same eigenvalue $\Lambda(u)$ of the transfer matrix $t(u)$, and the ordinary Bethe states of the same M value are non-degenerate (with respect to $t(u)$) at roots of unity [7]. Indeed, if the generalized eigenstate $|v\rangle$ would belong to another copy of $T_{j=N/2-M}$ not containing $|\tilde{\lambda}\rangle$, we could obtain by acting on $|v\rangle$ with $(p'$ power of) the raising $U_q \mathfrak{sl}(2)$ generator E a highest-weight

state, see the action in [Appendix A](#), which is another Bethe state,¹¹ say $|\vec{\lambda}'\rangle$, with the same M and by construction the same eigenvalue $\Lambda(u)$ as $|v\rangle$, which contradicts the non-degeneracy result in [\[7\]](#), and thus $|\vec{\lambda}'\rangle \sim |\vec{\lambda}\rangle$. Further, the weight $S^z = N/2 - M - p'$ is only doubly degenerate in $T_{j=N/2-M}$: $|v\rangle = ||\vec{\lambda}\rangle\rangle^{(p')}$ and the vector $F^{p'}|\vec{\lambda}\rangle$ in the bottom of T_j have this weight. We thus have [\(4.2\)](#) with

$$|v'\rangle \sim F^{p'}|\vec{\lambda}\rangle. \quad (4.45)$$

We have also checked this result explicitly for the examples in [Secs. 4.6–4.8](#).

We note that [Proposition 4.3](#) gives only sufficient conditions on existence of the generalized eigenvectors, and the construction if the conditions are satisfied. Their actual existence is clear in the examples we consider below. We give in [Secs. 4.6–4.8](#) explicit examples of constructing the Jordan cells and generalized eigenvectors for $p = 2, 3, 4$ using the construction in [Proposition 4.3](#). Readers who are interested more in these examples can skip the next subsection where we go back to representation theory and tilting modules.

4.5. Representation-theoretic description

We give here a representation-theoretic interpretation of our construction in [Proposition 4.3](#) by analyzing the contribution of V_j 's to different tilting modules in the root-of-unity limit. Then, we also discuss the problem of counting the (generalized) eigenvectors using this analysis.

We begin with the decomposition of the spin chain at *generic* q

$$(V_{\frac{1}{2}})^{\otimes N} = \bigoplus_{j=0(1/2)}^{N/2} d_j V_j, \quad (4.46)$$

where the multiplicity d_j of the spin- j representation V_j is defined in [\(2.32\)](#). It is instructive to compare this decomposition with the one [\(2.30\)](#) at roots of unity in terms of tilting modules T_j with multiplicities $d_j^0 \leq d_j$, see the expression in [\(2.31\)](#). We will consider further only those values of j for which $2j + 1$ modulo p is nonzero (that is, $s(j)$ defined in [\(2.35\)](#) is nonzero), i.e., when T_j are indecomposable but reducible and thus contain generalized eigenvectors, recall the discussion after [\(4.3\)](#). The multiplicity d_j^0 is then strictly less than d_j . Each such T_j contains V_j as a proper submodule. The corresponding spin- j highest-weight state lives in the node denoted by $\overset{\circ}{\langle j \rangle}$ in the left half of [Fig. 1](#). This state can be constructed using the ordinary ABA approach as in [\(2.9\)](#). The rest $d_j - d_j^0 = d_{j+p-s(j)}^0$ of the initial number of V_j 's are not submodules but *sub-quotients* in another tilting module – in $T_{j+p-s(j)}$ (recall the discussion in [Sec. 2.4](#).) Being ‘sub-quotient’ here means that the spin- j states lose the property “highest-weight” in the root-of-unity limit. These states are generalized eigenstates of $t(u)$. They live in the node $\overset{\bullet}{\langle j \rangle}$ in the right half of [Fig. 1](#).

We therefore expect that d_j^0 of the spin- j Bethe states have a well-defined limit as q approaches a root of unity and give ordinary $t(u)$ -eigenstates living in $\overset{\circ}{\langle j \rangle}$; and on the other side, we expect irregular behavior of the $d_j - d_j^0$ Bethe states – the corresponding Bethe roots v_k go to infinity as [\(4.10\)](#) – such that an appropriate limit gives the generalized eigenstates living in $\overset{\bullet}{\langle j \rangle}$.

¹¹ Or complete p -string operators on a Bethe state with M' lower than M by a multiple of p .

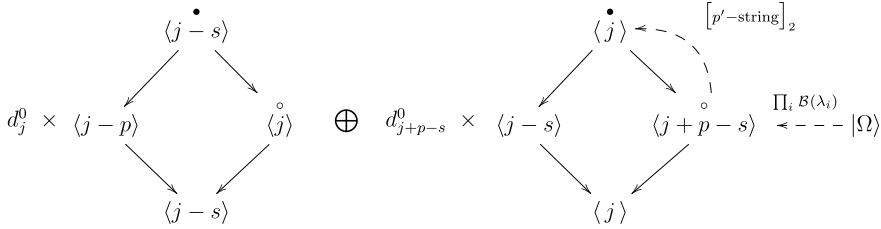


Fig. 1. The subquotient structure of the tilting module $d_j^0 T_j \oplus d_{j+p-s}^0 T_{j+p-s}$ with the solid arrows corresponding to the action of $U_q sl(2)$; here, $s \equiv s(j)$ for brevity and $s(j)$ is defined in (2.35). The spin- j highest-weight states (ordinary eigenstates) live in the node $\langle j \rangle$, on the left, while the spin- j generalized eigenstates are in $\langle j \rangle$, on the right part of the diagram, and are constructed from spin- $(j+p-s)$ highest-weight states – the curly arrow for the p' -string of $\mathcal{B}(v_k)$ operators and $[\dots]_2$ denotes the subtraction of the action of $F^{p'}$ (the construction of Proposition 4.3), with $p' = p-s$. The horizontal dashed arrow corresponds to the action of a product of $\mathcal{B}(\lambda_i)$ operators (the ordinary ABA construction).

By Proposition 4.3, we construct the latter states by applying the p' -string of $\mathcal{B}(v_k)$ operators on the usual Bethe states living in the node $\langle j+p-s \rangle$ in T_{j+p-s} and subtracting the image of $F^{p'}$ (as in (4.20)) on the spin- $(j+p-s)$ highest-weight state that guarantees absence of diverging terms in the limit. We sketched this in the right half of Fig. 1 where the subtraction is schematically denoted by $[\dots]_2$. Note that the difference in the highest S^z -eigenvalues in $\langle j \rangle$ and in $\langle j+p-s \rangle$ is $p-s(j)$. (Recall that the number j in $\langle j \rangle$ corresponds to the spin $S^z = j$ value of the highest-weight vector.) Hence, the number p' in the p' -string equals $p-s(j)$. Similarly, we should use a string of length $p' = s(j)$ to construct generalized eigenstates in $\langle j-s \rangle$ out of Bethe eigenstates from $\langle j \rangle$, in the left part of Fig. 1, as anticipated in (4.11) and in Proposition 4.3.

We give finally a comment about counting the (generalized) eigenstates. The limit of ordinary Bethe states gives as many linearly independent states as the number of admissible solutions of the Bethe equations at the root of unity, and we know [7] that there can be deviations of this number from d_j^0 (it is less than d_j^0 in general). Taking into account the deviations n_j studied in [7] we should thus have $d_j^0 - n_j$ linearly independent eigenstates and the number $d_{j+p-s}^0 - n_{j+p-s}$ of linearly independent generalized eigenstates of spin- j . To construct the missing eigenstates of spin- j or highest-weight states in $\langle j \rangle$, we should use the exact complete p -strings from Sec. 3. We believe that the same complete p -strings construction can be applied to generalized eigenvectors and it recovers the total number d_{j+p-s}^0 of generalized eigenvectors of spin- j .

Examples

We now illustrate the general construction (4.44) with several explicit examples.

4.6. $p = 2$

As already noted, for $p = 2$, the only possibility is $p' = 1$, i.e. an infinite real root. For even N and irrespectively of the value of M , the small- ω behavior of this root is given by

$$v = -\log \omega + O(\omega^0), \quad (4.47)$$

as in (4.7) and (4.40). We find that the construction (4.44) produces a generalized eigenvector irrespectively of the values of the $O(\omega^0)$ and higher-order terms. Hence, for $p = 2$ and even N , the generalized eigenvector $|||\vec{\lambda}\rangle\rangle\rangle^{(1)}$ corresponding to the on-shell Bethe vector $|\vec{\lambda}\rangle$ with any value of M is given by

$$|||\vec{\lambda}\rangle\rangle\rangle^{(1)} = \lim_{\omega \rightarrow 0+} \left[\omega^{2(N-1)} \mathcal{B}(\nu) - c \omega^{-2} F \right] |\vec{\lambda}\rangle, \quad (4.48)$$

for some “non-universal” constant c and ν is given by (4.47). We denote by $|||-\rangle\rangle\rangle^{(1)}$ the result for the reference state (no Bethe roots) $|\vec{\lambda}\rangle = |\Omega\rangle$. For odd N , there is no solution of the form (4.47), which is in correspondence with the fact that the Hamiltonian is diagonalizable at odd N .

For example, we have explicitly computed (4.48) with $|\vec{\lambda}\rangle = |\Omega\rangle$ for $N = 4, 6, 8$ using Mathematica, and we have verified that the result $|||-\rangle\rangle\rangle^{(1)}$ is a generalized eigenvector of the Hamiltonian (1.1), with generalized eigenvalue 0:

$$H^2 |||-\rangle\rangle\rangle^{(1)} = 0, \quad H |||-\rangle\rangle\rangle^{(1)} \sim F |\Omega\rangle, \quad (4.49)$$

where we use \sim to denote equality up to some nonzero numerical factor.

4.7. $p = 3$

For $p = 3$, both $p' = 1$ and $p' = 2$ are possible.

4.7.1. $p' = 1$

Let us first consider the case $p' = 1$, $N = 6$ and $M = 0$. Following the procedure explained in (4.40) and immediately below, we find that the corresponding ν is given by

$$\nu = -\log \omega + \frac{1}{4} \log 3 - \frac{\sqrt{3}}{12} \omega^2 + O(\omega^4), \quad (4.50)$$

and the corresponding vector $|||-\rangle\rangle\rangle^{(1)}$ is a generalized eigenvector of the Hamiltonian (1.1) with generalized eigenvalue $5/2$:

$$(H - \frac{5}{2})^2 |||-\rangle\rangle\rangle^{(1)} = 0, \quad (H - \frac{5}{2}) |||-\rangle\rangle\rangle^{(1)} \sim F |\Omega\rangle. \quad (4.51)$$

4.7.2. $p' = 2$

Let us now consider $p' = 2$. An example is the case $N = 4$ and $M = 0$, for which $\vec{\nu}$ (4.40) is given by

$$\nu_1, \nu_2 = \pm \frac{i\pi}{4} - \log \omega + \frac{1}{8} \log\left(\frac{243}{4}\right) \mp \frac{2i\sqrt{2}}{3^{5/4}} \omega^2 - \frac{13\sqrt{3}}{36} \omega^4 + O(\omega^6). \quad (4.52)$$

We have explicitly verified that $|||-\rangle\rangle\rangle^{(2)}$ is a generalized eigenvector of the Hamiltonian, with generalized eigenvalue $3/2$:

$$(H - \frac{3}{2})^2 |||-\rangle\rangle\rangle^{(2)} = 0, \quad (H - \frac{3}{2}) |||-\rangle\rangle\rangle^{(2)} \sim F^2 |\Omega\rangle. \quad (4.53)$$

Another example is the case $N = 6$ and $M = 1$. This is our first example with $M > 0$ (and $p > 2$), which makes this case particularly interesting. There are 4 solutions of the Bethe equations (1.2) with $p = 3$, $N = 6$, $M = 1$, and let us focus here on the simplest $\lambda = \frac{1}{2} \log 2 \approx 0.346574$. By following the procedure described around (4.40)–(4.42), we obtain

$$\begin{aligned}
v_1, v_2 &= \pm \frac{i\pi}{4} - \log \omega + \frac{1}{8} \log(108) \mp \frac{19i\sqrt{2}}{16 \cdot 3^{3/4}} \omega^2 - \frac{34493}{21888\sqrt{3}} \omega^4 + O(\omega^6), \\
\lambda_\alpha &= \frac{1}{2} \log 2 - \frac{3}{16} \sqrt{3} \omega^4 + O(\omega^8), \\
\lambda_\beta &= \frac{1}{2} \log 2 - \frac{1}{4} \sqrt{3} \omega^4 + O(\omega^8).
\end{aligned} \tag{4.54}$$

Note that $\lambda_\alpha \neq \lambda_\beta$. We have explicitly verified that the corresponding vector $|||\lambda\rangle\rangle\rangle^{(2)}$ (4.44) is a generalized eigenvector of the Hamiltonian with generalized eigenvalue $-3/2$,

$$(H + \frac{3}{2})^2 |||\lambda\rangle\rangle\rangle^{(2)} = 0, \quad (H + \frac{3}{2}) |||\lambda\rangle\rangle\rangle^{(2)} \sim F^2 |\lambda\rangle. \tag{4.55}$$

4.8. $p = 4$

For $p = 4$, we can have $p' = 1, 2, 3$, but we illustrate here only two of these three possibilities.

4.8.1. $p' = 1$

Let us first consider $p' = 1$. An example is the case $p = 4, N = 4, M = 0, p' = 1$, for which v from (4.40) is given by

$$v = -\log \omega + \frac{1}{4} \log 2 - \frac{1}{4} \omega^2 + O(\omega^4), \tag{4.56}$$

and the corresponding vector $|||-\rangle\rangle\rangle^{(1)}$ is a generalized eigenvector of the Hamiltonian with generalized eigenvalue $3\sqrt{2}/2$,

$$(H - \frac{3}{2}\sqrt{2})^2 |||-\rangle\rangle\rangle^{(1)} = 0, \quad (H - \frac{3}{2}\sqrt{2}) |||-\rangle\rangle\rangle^{(1)} \sim F|\Omega\rangle. \tag{4.57}$$

Another example is the case $p = 4, N = 6, M = 1, p' = 1$, which (as the example in Eq. (4.54)) has $M > 0$. There are 5 solutions of the Bethe equations (1.2) with $p = 4, N = 6, M = 1$, and let us focus here on the simplest $\lambda = \frac{1}{2} \operatorname{arcsinh}(1) \approx 0.440687$. We find

$$\begin{aligned}
v &= -\log \omega + \frac{1}{4} \log 2 - \frac{1}{6} \omega^2 + O(\omega^4), \\
\lambda_\alpha &= \frac{1}{2} \operatorname{arcsinh}(1) - \frac{5}{12} \sqrt{2} \omega^2 + O(\omega^4), \\
\lambda_\beta &= \frac{1}{2} \operatorname{arcsinh}(1) - \frac{1}{2} \sqrt{2} \omega^2 + O(\omega^4).
\end{aligned} \tag{4.58}$$

We have explicitly verified that the corresponding vector $|||\lambda\rangle\rangle\rangle^{(1)}$ (4.44) is a generalized eigenvector of the Hamiltonian with generalized eigenvalue $\sqrt{2}/2$,

$$(H - \frac{1}{2}\sqrt{2})^2 |||\lambda\rangle\rangle\rangle^{(1)} = 0, \quad (H - \frac{1}{2}\sqrt{2}) |||\lambda\rangle\rangle\rangle^{(1)} \sim F|\lambda\rangle. \tag{4.59}$$

4.8.2. $p' = 3$

Let us now consider $p' = 3$. An example is the case $p = 4, N = 6, M = 0, p' = 3$, for which \bar{v} (4.40) is given by

$$\begin{aligned}
v_1 &= \frac{i\pi}{3} - \log \omega + \frac{1}{12} \log(1352) - (-\frac{1}{13})^{1/3} \omega^2 - \frac{53}{12} (-\frac{1}{13})^{2/3} \omega^4 - \frac{3847}{3744} \omega^6 + O(\omega^8), \\
v_2 &= -\log \omega + \frac{1}{12} \log(1352) + (\frac{1}{13})^{1/3} \omega^2 - \frac{53}{12} (\frac{1}{13})^{2/3} \omega^4 - \frac{3847}{3744} \omega^6 + O(\omega^8), \quad v_3 = v_1^*.
\end{aligned} \tag{4.60}$$

We have explicitly verified that the corresponding vector $|||-\rangle\rangle\rangle^{(3)}$ (4.44) is a generalized eigenvector of the Hamiltonian with generalized eigenvalue $5\sqrt{2}/2$,

$$(H - \frac{5}{2}\sqrt{2})^2 |||-\rangle\rangle\rangle^{(3)} = 0, \quad (H - \frac{5}{2}\sqrt{2}) |||-\rangle\rangle\rangle^{(3)} \sim F^3 |\Omega\rangle. \tag{4.61}$$

5. Complete sets of eigenstates for $p = 2$

For the case $p = 2$, the decomposition of the space of states into tilting modules depends fundamentally on the parity of N :

Even N

For $p = 2$ and even N , the decomposition (2.30) consists of tilting modules T_j of dimension $4j$, where j is an integer. Recall the diagram in (2.36): each such module has a right node (or simple subquotient) \mathbf{R}_j of dimension $j + 1$, a bottom node \mathbf{B}_j of dimension j , a top node \mathbf{T}_j of dimension j , and a left node \mathbf{L}_j of dimension $j - 1$ (provided that $j > 1$). We use the basis and $U_qsl(2)$ -action in T_j in Appendix A to make the following statements. The right node consists of the vectors¹²

$$\mathbf{R}_j : |v\rangle, f|v\rangle, f^2|v\rangle, \dots, f^j|v\rangle, \quad (5.1)$$

where $|v\rangle$ can be either a usual Bethe state or a state constructed from an exact complete 2-string; and f is the $sl(2)$ lowering generator from $U_qsl(2)$. The bottom node consists of the vectors obtained by acting on the right node with the $U_qsl(2)$ lowering generator F

$$\mathbf{B}_j : F|v\rangle, Ff|v\rangle, Ff^2|v\rangle, \dots, Ff^{j-1}|v\rangle. \quad (5.2)$$

The top node consists of the *generalized* eigenvectors

$$\mathbf{T}_j : |||v\rangle\rangle\rangle^{(1)}, f|||v\rangle\rangle\rangle^{(1)}, f^2|||v\rangle\rangle\rangle^{(1)}, \dots, f^{j-1}|||v\rangle\rangle\rangle^{(1)}, \quad (5.3)$$

where $|||v\rangle\rangle\rangle^{(1)}$ is given by (4.48) with $|\vec{\lambda}\rangle = |v\rangle$. Finally, the left node \mathbf{L}_j consists of (ordinary) eigenvectors. We first introduce states obtained by acting on the top node with F

$$\tilde{\mathbf{L}}_j : F|||v\rangle\rangle\rangle^{(1)}, Ff|||v\rangle\rangle\rangle^{(1)}, Ff^2|||v\rangle\rangle\rangle^{(1)}, \dots, Ff^{j-2}|||v\rangle\rangle\rangle^{(1)}. \quad (5.4)$$

Together with (5.1), they form a basis in the direct sum $\mathbf{L}_j \oplus \mathbf{R}_j$, the states in \mathbf{L}_j are linear combinations of those in $\tilde{\mathbf{L}}_j$ and \mathbf{R}_j . For later convenience, we will refer to $\tilde{\mathbf{L}}_j$ instead of \mathbf{L}_j , see more details in Sec. 6 for the general case.

We note that the generalized eigenvectors appear only in the top node.

Odd N

For $p = 2$ and odd N , the decomposition (2.30) consists of *irreducible* tilting modules $T_j = V_j$ of dimension $2j + 1$, where j is half-odd integer – indeed, the number $s(j)$ is zero for all these j , and all T_j are then irreducible following the discussion in Sec. 2.4. Starting from a highest-weight vector $|v\rangle$, the remaining vectors of the multiplet are obtained by applying F and powers of f . For odd N there are only ordinary eigenvectors (i.e., no generalized eigenvectors), which is in agreement with [16].

Examples

We now illustrate the above general framework by exhibiting ABA constructions of complete sets of 2^N (generalized) eigenvectors for the cases $N = 4, 5, 6$. For each of these cases, we have explicitly verified that the vectors are indeed (generalized) eigenvectors of the Hamiltonian (1.1)

¹² The basis' construction (5.1)–(5.4) is just an example of the general one (6.2)–(6.5) for any p in the beginning of the next section. We found it is more convenient to describe the basis here as well.

and are linearly independent. The needed admissible solutions of the Bethe equations for $p = 2$ are given in Appendix C of [7].

We also consider selected eigenvectors for the cases $N = 7, 9$ in order to further illustrate the construction in Sec. 3. We emphasize that when one or more modules in the decomposition (2.30) are spectrum-degenerate (which can occur for either odd or even N), it is necessary to use this construction (3.7), (3.26) based on exact complete 2-strings.

5.1. $N = 4$

For $p = 2$, $N = 4$, the decomposition (2.30) is given by

$$2T_1 \oplus T_2.$$

The T_2 consists of the following 8 vectors:

$$\begin{aligned} \mathbf{R}_2 : & \quad |v\rangle, f|v\rangle, f^2|v\rangle, \\ T_2 : \quad \mathbf{B}_2 : & \quad F|v\rangle, Ff|v\rangle, \\ & \quad \mathbf{T}_2 : \quad |||v\rangle\rangle\rangle^{(1)}, f|||v\rangle\rangle\rangle^{(1)}, \\ & \quad \tilde{\mathbf{L}}_2 : \quad F|||v\rangle\rangle\rangle^{(1)}, \end{aligned} \quad (5.5)$$

where $|v\rangle = |\Omega\rangle$ is the reference state (2.10), and $|||v\rangle\rangle\rangle^{(1)} = ||| - \rangle\rangle\rangle^{(1)}$. Each of the two copies of T_1 consists of the following 4 vectors:

$$\begin{aligned} \mathbf{R}_1 : & \quad |v\rangle, f|v\rangle, \\ T_1 : \quad \mathbf{B}_1 : & \quad F|v\rangle, \\ & \quad \mathbf{T}_1 : \quad |||v\rangle\rangle\rangle^{(1)}, \end{aligned} \quad (5.6)$$

where $|v\rangle = \mathcal{B}(\lambda)|\Omega\rangle$, $|||v\rangle\rangle\rangle^{(1)} = |||\lambda\rangle\rangle\rangle^{(1)}$, and λ is an admissible solution of the Bethe equations with $N = 4$ and $M = 1$, of which there are two: $\lambda = 0.440687$ and $\lambda = 0.440687 + \frac{i\pi}{2}$. All together we thus find $2^4 = 16$ vectors.

5.2. $N = 5$

For $p = 2$, $N = 5$, the space of states decomposes into a direct sum of irreducible representations

$$5V_{\frac{1}{2}} \oplus 4V_{\frac{3}{2}} \oplus V_{\frac{5}{2}}.$$

The $V_{\frac{5}{2}}$, with dimension 6, has the reference state $|\Omega\rangle$ as its highest weight state. As noted in Appendix D of [7], this module is spectrum-degenerate with one copy of $V_{\frac{1}{2}}$; the latter has dimension 2 and highest weight $||v_1; -\rangle$ i.e. an eigenvector constructed from an exact perfect 2-string and no other Bethe roots (3.7), where v_1 is an arbitrary number (for arbitrary v_1 and v'_1 we get two vectors different only by a scalar). The other four copies of $V_{\frac{1}{2}}$ also have dimension 2, with highest-weight vectors $\mathcal{B}(\lambda_1)\mathcal{B}(\lambda_2)|\Omega\rangle$, where $\{\lambda_1, \lambda_2\}$ is an admissible solution of the Bethe equations with $N = 5$ and $M = 2$, of which there are four:

$$\begin{aligned} & \{0.337138, 0.921365\}, \quad \{0.337138 + \frac{i\pi}{2}, 0.921365\}, \\ & \{0.337138, 0.921365 + \frac{i\pi}{2}\}, \quad \{0.337138 + \frac{i\pi}{2}, 0.921365 + \frac{i\pi}{2}\}. \end{aligned}$$

Finally, each of the four copies of $V_{\frac{3}{2}}$ has dimension 4 and the highest-weight vector $\mathcal{B}(\lambda)|\Omega\rangle$, where λ is an admissible solution of the Bethe equations with $N = 5$ and $M = 1$, of which there are four: $\lambda = 0.337138$, $\lambda = 0.337138 + \frac{i\pi}{2}$, $\lambda = 0.921365$, $\lambda = 0.921365 + \frac{i\pi}{2}$. All together we find $2^5 = 32$ vectors.

5.3. $N = 6$

For $p = 2$, $N = 6$, the decomposition (2.30) is given by

$$5T_1 \oplus 4T_2 \oplus T_3.$$

The T_3 consists of the following 12 vectors:

$$\begin{aligned} \mathbf{R}_3 : & \quad |v\rangle, f|v\rangle, f^2|v\rangle, f^3|v\rangle, \\ T_3 : \quad \mathbf{B}_3 : & \quad F|v\rangle, Ff|v\rangle, Ff^2|v\rangle, \\ \mathbf{T}_3 : & \quad \llbracket v \rrbracket \rangle \rangle^{(1)}, f \llbracket v \rrbracket \rangle \rangle^{(1)}, f^2 \llbracket v \rrbracket \rangle \rangle^{(1)}, \\ \tilde{\mathbf{L}}_3 : & \quad F \llbracket v \rrbracket \rangle \rangle^{(1)}, Ff \llbracket v \rrbracket \rangle \rangle^{(1)}, \end{aligned} \quad (5.7)$$

where $|v\rangle = |\Omega\rangle$ is the reference state. As noted in Appendix D of [7], this module is spectrum-degenerate with one copy of T_1 ; the latter has the basis (5.6) where $|v\rangle = \llbracket v_1; - \rrbracket$ is a generalized eigenvector constructed from an exact perfect 2-string and no other Bethe roots, and v_1 is an arbitrary number. The remaining four copies of T_1 also have the basis (5.6), where $|v\rangle = \mathcal{B}(\lambda_1)\mathcal{B}(\lambda_2)|\Omega\rangle$, and $\{\lambda_1, \lambda_2\}$ is an admissible solution of the Bethe equations with $N = 6$ and $M = 2$, of which there are four:

$$\begin{aligned} \{0.274653, 0.658479\}, & \quad \{0.274653 + \frac{i\pi}{2}, 0.658479\}, \\ \{0.274653, 0.658479 + \frac{i\pi}{2}\}, & \quad \{0.274653 + \frac{i\pi}{2}, 0.658479 + \frac{i\pi}{2}\}. \end{aligned}$$

Finally, each of the four copies of T_2 has the basis (5.5) where $|v\rangle = \mathcal{B}(\lambda)|\Omega\rangle$, and λ is an admissible solution of the Bethe equations with $N = 6$ and $M = 1$, of which there are four: $\lambda = 0.274653$, $\lambda = 0.274653 + \frac{i\pi}{2}$, $\lambda = 0.658479$, $\lambda = 0.658479 + \frac{i\pi}{2}$. All together we find $2^6 = 64$ vectors.

5.4. $N = 7$

For $p = 2$, $N = 7$, the decomposition (2.30) is given by

$$14V_{\frac{1}{2}} \oplus 14V_{\frac{3}{2}} \oplus 6V_{\frac{5}{2}} \oplus V_{\frac{7}{2}}.$$

For this case we do not enumerate all the eigenvectors, focusing instead on those constructed with exact complete 2-strings.

As noted in Appendix D of [7], $V_{\frac{7}{2}}$ is spectrum-degenerate with *two* copies of $V_{\frac{3}{2}}$. The former, with dimension 8, has the reference state $|\Omega\rangle$ as its highest weight state. The latter have dimension 4 and have highest weights $\llbracket v_i; - \rrbracket$ where $i = 1, 2$, i.e. two eigenvectors constructed from exact perfect 2-strings and no other Bethe roots. We have explicitly verified that, provided $v_1 \neq v_2$ (but otherwise arbitrary), the eigenvectors $\llbracket v_1; - \rrbracket$ and $\llbracket v_2; - \rrbracket$ are indeed linearly independent.

Moreover, the 6 $V_{\frac{5}{2}}$ are spectrum-degenerate with 6 $V_{\frac{1}{2}}$. The former, with dimension 6, have highest-weight vectors $\mathcal{B}(\lambda)|\Omega\rangle$, where λ is an admissible solution of the Bethe equations with $N = 7$ and $M = 1$, of which there are six:

$$\begin{array}{lll} 0.232336, & 0.525032, & 1.09163, \\ 0.232336 + \frac{i\pi}{2}, & 0.525032 + \frac{i\pi}{2}, & 1.09163 + \frac{i\pi}{2}. \end{array}$$

Each of the corresponding $V_{\frac{1}{2}}$, with dimension 2, has the highest-weight vector $\|v_1; \lambda\rangle$ i.e. an eigenvector constructed from an exact perfect 2-string (v_1 is arbitrary) and the Bethe root λ . These are the first examples of the construction (3.7) that we meet involving a Bethe state other than the reference state. However, since here $p = 2$, then (as noted in Remark 3.2) the $\{x_r\}$ used in this construction do not depend on λ .

5.5. $N = 9$

For $p = 2$, $N = 9$, the decomposition (2.30) is given by

$$42V_{\frac{1}{2}} \oplus 48V_{\frac{3}{2}} \oplus 27V_{\frac{5}{2}} \oplus 8V_{\frac{7}{2}} \oplus V_{\frac{9}{2}}.$$

Again for this case we do not enumerate all the eigenvectors, focusing instead on those constructed with exact complete 2-strings.

As noted in Appendix D of [7], $V_{\frac{9}{2}}$ is spectrum-degenerate with *three* copies of $V_{\frac{5}{2}}$ as well as with *two* copies of $V_{\frac{1}{2}}$. The module $V_{\frac{9}{2}}$, with dimension 10, has the reference state $|\Omega\rangle$ as its highest weight state. The $V_{\frac{5}{2}}$ have dimension 6 and have highest-weight vectors $\|v_i; -\rangle$ where $i = 1, 2, 3$, i.e. three eigenvectors constructed from exact perfect 2-strings and no other Bethe roots. We have explicitly verified that, provided $v_1 \neq v_2 \neq v_3$ (but otherwise arbitrary), the three eigenvectors $\|v_i; -\rangle$ are indeed linearly independent. The two $V_{\frac{1}{2}}$, each with dimension 2, are particularly interesting, since they have highest-weight vectors $\|v_i, v_j; -\rangle$, i.e. with two exact perfect 2-strings (3.26). (This is the first, and in fact only, such example that we meet in this work.) We have explicitly verified that there are precisely two such linearly independent vectors. The modules $V_{\frac{9}{2}} \oplus 3V_{\frac{5}{2}} \oplus 2V_{\frac{1}{2}}$ account altogether for the 32 eigenvectors with eigenvalue 0, as we observed in [7].

Moreover, each of the 8 $V_{\frac{7}{2}}$ is spectrum-degenerate with 2 copies of $V_{\frac{3}{2}}$. The former, with dimension 8, have highest-weight vectors $\mathcal{B}(\lambda)|\Omega\rangle$, where λ is an admissible solution of the Bethe equations with $N = 9$ and $M = 1$, of which there are eight:

$$\begin{array}{llll} 0.178189, & 0.381455, & 0.658479, & 1.21812, \\ 0.178189 + \frac{i\pi}{2}, & 0.381455 + \frac{i\pi}{2}, & 0.658479 + \frac{i\pi}{2}, & 1.21812 + \frac{i\pi}{2}. \end{array}$$

The corresponding $V_{\frac{3}{2}}$, with dimension 4, have highest-weight vectors $\|v_i; \lambda\rangle$ where $i = 1, 2$, i.e. two eigenvectors constructed from exact perfect 2-strings and the Bethe root λ . Similarly to the case $N = 7$ (section 5.4), we have explicitly verified that, provided $v_1 \neq v_2$ (but otherwise arbitrary), the eigenvectors $\|v_1; \lambda\rangle$ and $\|v_2; \lambda\rangle$ are indeed linearly independent; and the $\{x_r\}$ do not depend on λ .

The remaining 24 $V_{\frac{5}{2}}$ (i.e., those that are not spectrum-degenerate with $V_{\frac{9}{2}}$, as discussed above) are spectrum-degenerate with 24 $V_{\frac{1}{2}}$. The former, with dimension 6, have highest-weight vectors $\mathcal{B}(\lambda_1)\mathcal{B}(\lambda_2)|\Omega\rangle$, where $\{\lambda_1, \lambda_2\}$ is an admissible solution of the Bethe equations with

$N = 9$ and $M = 2$, of which there are 24. The corresponding $V_{\frac{1}{2}}$, with dimension 2, have highest weights $\|v_1; \lambda_1, \lambda_2\rangle\rangle$.

6. Complete sets of eigenstates for $p > 2$

We now exhibit ABA constructions of complete sets of 2^N (generalized) eigenvectors for various values of $p > 2$ and N . The decomposition (2.30) consists of tilting modules T_j of dimension $2j + 1$ if $s(j) = 0$, see (2.35), and of dimension $4j + 2 - 2s(j) = 2pr$, where j is an integer or half-odd integer, and we set

$$2j + 1 \equiv rp + s \quad \text{and} \quad s \equiv s(j) \quad (6.1)$$

for brevity. Recall the diagram in (2.36): each T_j with non-zero $s(j)$ has a right node (or simple subquotient) \mathbf{R}_j of dimension $s(r + 1)$, a bottom node \mathbf{B}_j of dimension $(p - s)r$, a top node \mathbf{T}_j of dimension $(p - s)r$, and a left node \mathbf{L}_j of dimension $s(r - 1)$ (provided that $r > 1$). We use the basis (A.4) and $U_qsl(2)$ -action in T_j in Appendix A to make the following statements. The right node consists of the vectors

$$\mathbf{R}_j : \quad r_{k,l} = F^k f^l |v\rangle, \quad 0 \leq k \leq s - 1, \quad 0 \leq l \leq r, \quad (6.2)$$

where $|v\rangle$ can be either a usual Bethe state or a state constructed from an exact complete p -string – it is a highest-weight vector; and f is the $sl(2)$ lowering “divided power” generator from $U_qsl(2)$. The bottom node consists of the vectors obtained by acting on the right node with the $U_qsl(2)$ lowering generator F

$$\mathbf{B}_j : \quad b_{n,m} = F^{s+n} f^m |v\rangle, \quad 0 \leq n \leq p - s - 1, \quad 0 \leq m \leq r - 1. \quad (6.3)$$

The top node consists of the *generalized* eigenvectors

$$\mathbf{T}_j : \quad t_{n,m} = F^n f^m \|v\rangle\rangle^{(s)}, \quad 0 \leq n \leq p - s - 1, \quad 0 \leq m \leq r - 1, \quad (6.4)$$

where $\|v\rangle\rangle^{(s)}$ is given by (4.44). Finally, the left node \mathbf{L}_j consists of the (ordinary) eigenvectors $l_{n,m}$. To construct the basis $\{l_{n,m}\}$ in the left node \mathbf{L}_j , we first introduce states obtained by acting on the top node with F^{p-s} :

$$\tilde{\mathbf{L}}_j : \quad \tilde{l}_{n,m} = F^{p-s+n} f^m \|v\rangle\rangle^{(s)}, \quad 0 \leq n \leq s - 1, \quad 0 \leq m \leq r - 2. \quad (6.5)$$

Together with (6.2), they form a basis in the direct sum $\mathbf{L}_j \oplus \mathbf{R}_j$. The vectors $\tilde{l}_{n,m}$ do not belong to \mathbf{L}_j , they are a linear combination of $l_{n,m}$ and $r_{n,m+1}$: $\tilde{l}_{n,m} = \frac{1}{r}(r_{n,m+1} - l_{n,m})$, compare with the F action in Appendix A. We will use below the basis elements $\tilde{l}_{n,m}$ instead of $l_{n,m}$.

In all the examples below, we have explicitly checked that the vectors in (6.2)–(6.5) are indeed (generalized) eigenvectors of the Hamiltonian (1.1) and are linearly independent, and thus give a basis in T_j as they should. We have also verified by the explicit construction of the states that the dimensions of the nodes in T_j coincide with the values given by (2.36) and (2.37) and reviewed just above. We remind the reader that all the needed admissible solutions of the Bethe equations (1.2) are given in Appendix E in [7].

6.1. $p = 3, N = 4$

For $p = 3, N = 4$, the decomposition (2.30) is given by

$$T_0 \oplus 3T_1 \oplus T_2.$$

The T_2 (dimension 6) has the following basis, see (6.2)–(6.5) for $r = 1$ (i.e. \mathbf{L}_2 is absent) and $s = 2$:

- right node \mathbf{R}_2 consisting of 4 ordinary eigenvectors (namely, $|\Omega\rangle, f|\Omega\rangle, F|\Omega\rangle, Ff|\Omega\rangle$);
- bottom node \mathbf{B}_2 consisting of 1 ordinary vector ($F^2|\Omega\rangle$); and
- top node \mathbf{T}_2 consisting of 1 generalized eigenvector $|||-\rangle\rangle\rangle^{(2)}$, which is described in section 4.7.2.

Each of the three T_1 are irreducible representations of dimension 3 consisting of a highest-weight vector $\mathcal{B}(\lambda)|\Omega\rangle$ plus two more states obtained by lowering with F . The three admissible solutions of (1.2) with $p = 3$, $N = 4$, and $M = 1$ are $\lambda = 0.243868$, $\lambda = 0.658479$, $\lambda = 0.902347 + \frac{i\pi}{2}$.

The T_0 (dimension 1) consists of the vector $\mathcal{B}(\lambda_1)\mathcal{B}(\lambda_2)|\Omega\rangle$, where $\{\lambda_1, \lambda_2\} = \{0.256013, 0.857073\}$ is the admissible solution of (1.2) with $p = 3$, $N = 4$, $M = 2$.

All together we find $2^4 = 16$ vectors.

6.2. $p = 3$, $N = 5$

For $p = 3$, $N = 5$, the decomposition (2.30) is given by

$$T_{\frac{1}{2}} \oplus 4T_{\frac{3}{2}} \oplus T_{\frac{5}{2}}.$$

Each of the four $T_{\frac{3}{2}}$ (dimension 6) has the following basis, see (6.2)–(6.5) for $r = 1$ (i.e. $\mathbf{L}_{\frac{3}{2}}$ is absent) and $s = 1$:

- right node $\mathbf{R}_{\frac{3}{2}}$ consisting of the two ordinary eigenvectors $|v\rangle$ and $f|v\rangle$, where $|v\rangle = \mathcal{B}(\lambda)|\Omega\rangle$;
- bottom node $\mathbf{B}_{\frac{3}{2}}$ consisting of the two ordinary vectors $F|v\rangle$ and $F^2|v\rangle$; and
- top node $\mathbf{T}_{\frac{3}{2}}$ consisting of the two generalized eigenvectors $|||\lambda\rangle\rangle\rangle^{(1)}$ and $F|||\lambda\rangle\rangle\rangle^{(1)}$.

The four admissible solutions of (1.2) with $p = 3$, $N = 5$, and $M = 1$ are $\lambda = 0.189841$, $\lambda = 0.447048$, $\lambda = 1.08394$, $\lambda = 0.636889 + \frac{i\pi}{2}$.

The $T_{\frac{5}{2}}$ is an irreducible representation of dimension 6 consisting of a highest-weight vector $|\Omega\rangle$ plus five more vectors obtained by lowering with F and/or f .

The $T_{\frac{1}{2}}$ is an irreducible representation of dimension 2 consisting of the highest-weight vector $\mathcal{B}(\lambda_1)\mathcal{B}(\lambda_2)|\Omega\rangle$, plus the vector obtained by lowering with F , where $\{\lambda_1, \lambda_2\} = \{0.201117, 0.504773\}$ is the admissible solution of (1.2) with $p = 3$, $N = 5$, $M = 2$.

All together we find $2^5 = 32$ vectors.

6.3. $p = 3$, $N = 6$

For $p = 3$, $N = 6$, the decomposition (2.30) is given by

$$T_0 \oplus 9T_1 \oplus 4T_2 \oplus T_3.$$

The T_3 (dimension 12) has the following basis, see (6.2)–(6.5) for $r = 2$ and $s = 1$:

- right node \mathbf{R}_3 consisting of 3 ordinary eigenvectors (namely, $|\Omega\rangle, f|\Omega\rangle, f^2|\Omega\rangle$);

- bottom node \mathbf{B}_3 consisting of 4 ordinary eigenvectors (namely, $F|\Omega\rangle, Ff|\Omega\rangle, F^2|\Omega\rangle, F^2f|\Omega\rangle$);
- top node \mathbf{T}_3 consisting of 4 generalized eigenvectors $(|||-\rangle\rangle\rangle^{(1)}$, which is described in section 4.7.1, plus 3 more obtained by lowering with f and/or F , namely $f|||-\rangle\rangle\rangle^{(1)}, F|||-\rangle\rangle\rangle^{(1)}, Ff|||-\rangle\rangle\rangle^{(1)}$; and
- left node, or rather $\tilde{\mathbf{L}}_3$, consisting of 1 ordinary eigenvector obtained by lowering the generalized eigenvector $(F^2|||-\rangle\rangle\rangle^{(1)})$.

Each of the four T_2 (dimension 6) has the following basis:

- right node \mathbf{R}_2 consisting of 4 ordinary eigenvectors $(|\lambda\rangle = \mathcal{B}(\lambda)|\Omega\rangle)$ plus 3 more obtained by lowering, namely, $f|\lambda\rangle, F|\lambda\rangle, Ff|\lambda\rangle$;
- bottom node \mathbf{B}_2 consisting of 1 ordinary eigenvector $(F^2|\lambda\rangle)$; and
- top node \mathbf{T}_2 consisting of the corresponding generalized eigenvector $|||\lambda\rangle\rangle\rangle^{(2)}$, an example of which is described in section 4.7.2.

The four admissible solutions of (1.2) with $p = 3, N = 6, M = 1$ are $\lambda = 0.155953, \lambda = 0.346574, \lambda = 0.658479, \lambda = 0.502526 + \frac{i\pi}{2}$.

Each of the nine T_1 are irreducible representations of dimension 3 consisting of a highest-weight vector $\mathcal{B}(\lambda_1)\mathcal{B}(\lambda_2)|\Omega\rangle$ plus 2 more obtained by lowering with F . The nine admissible solutions $\{\lambda_1, \lambda_2\}$ of (1.2) with $p = 3, N = 6, M = 2$ are

$$\begin{aligned} &\{0.36275, 0.765051\}, \{0.16097, 0.774681\}, \{0.706816 \pm 0.526679i\}, \\ &\{0.151629, 1.00054 + \frac{i\pi}{2}\}, \{0.331821, 0.969804 + \frac{i\pi}{2}\}, \{0.47492 + \frac{i\pi}{2}, 1.23081 + \frac{i\pi}{2}\}, \\ &\{0.164318, 0.376118\}, \{0.583386, 0.853782 + \frac{i\pi}{2}\}, \{0.977905, 0.595372 + \frac{i\pi}{2}\}. \end{aligned}$$

The T_0 (which has dimension 1) consists of the vector $\mathcal{B}(\lambda_1)\mathcal{B}(\lambda_2)\mathcal{B}(\lambda_3)|\Omega\rangle$, where $\{\lambda_1, \lambda_2, \lambda_3\} = \{0.168223, 0.39058, 0.980264\}$ is the admissible solutions of (1.2) with $p = 3, N = 6, M = 3$.

All together we thus find $2^6 = 64$ vectors.

6.4. $p = 4, N = 4$

For $p = 4, N = 4$, the decomposition (2.30) is given by

$$2T_0 \oplus 2T_1 \oplus T_2.$$

The T_2 (dimension 8) has the following basis, see (6.2)–(6.5) for $r = 1$ (i.e. \mathbf{L}_2 is absent) and $s = 1$:

- right node \mathbf{R}_2 consisting of 2 ordinary eigenvectors (the reference state $|\Omega\rangle$ and $f|\Omega\rangle$);
- bottom node \mathbf{B}_2 consisting of 3 ordinary eigenvectors (namely, $F|\Omega\rangle, F^2|\Omega\rangle, F^3|\Omega\rangle$); and
- top node \mathbf{T}_2 consisting of 3 generalized eigenvectors $(|||-\rangle\rangle\rangle^{(1)}$, which is described in section 4.8.1, plus 2 more obtained by lowering with F .

Each of the two T_1 are irreducible representations of dimension 3 consisting of a highest-weight vector $\mathcal{B}(\lambda)|\Omega\rangle$ plus 2 more obtained by lowering with F . The two admissible solutions of (1.2) with $p = 4, N = 4, M = 1$ are $\lambda = 0.173287, \lambda = 0.440687$.

Each of the two T_0 (dimension 1) consists of the vector $\mathcal{B}(\lambda_1)\mathcal{B}(\lambda_2)|\Omega\rangle$, where $\{\lambda_1, \lambda_2\} = \{0.186864, 0.582103\}, \{0.703959 \pm 0.429694i\}$ are the two admissible solutions of (1.2) with $p = 4, N = 4, M = 2$.

All together we find $2^4 = 16$ vectors.

6.5. $p = 4, N = 6$

For $p = 4, N = 6$, the decomposition (2.30) is given by

$$4T_0 \oplus 4T_1 \oplus 5T_2 \oplus T_3.$$

The T_3 (dimension 8) has the following basis, see (6.2)–(6.5) for $r = 1$ (i.e. \mathbf{L}_3 is absent) and $s = 3$:

- right node \mathbf{R}_3 consisting of 6 ordinary eigenvectors (namely, $|\Omega\rangle, f|\Omega\rangle, F|\Omega\rangle, F^2|\Omega\rangle, Ff|\Omega\rangle, F^2f|\Omega\rangle$);
- bottom node \mathbf{B}_3 consisting of 1 ordinary eigenvector ($F^3|\Omega\rangle$); and
- top node \mathbf{T}_3 consisting of 1 generalized eigenvector $|||-\rangle\rangle\rangle^{(3)}$, which is described in section 4.8.2.

Each of the five T_2 (dimension 8) has the following basis:

- right node \mathbf{R}_2 consisting of 2 ordinary eigenvectors ($|\lambda\rangle = \mathcal{B}(\lambda)|\Omega\rangle$ and $f|\lambda\rangle$);
- bottom node \mathbf{B}_2 consisting of 3 ordinary eigenvectors ($F|\lambda\rangle, F^2|\lambda\rangle, F^3|\lambda\rangle$); and
- top node \mathbf{T}_2 consisting of 3 generalized eigenvectors ($|||\lambda\rangle\rangle\rangle^{(1)}$, an example of which is described in section 4.8.1, plus 2 more obtained by lowering with F).

The corresponding five admissible solutions of (1.2) with $p = 4, N = 6, M = 1$ are $\lambda = 0.111447, \lambda = 0.243868, \lambda = 0.440687, \lambda = 0.902347$, and $\lambda = 0.769926 + \frac{i\pi}{2}$.

Each of the four T_1 are irreducible representations of dimension 3 consisting of the highest-weight vector $\mathcal{B}(\lambda_1)\mathcal{B}(\lambda_2)|\Omega\rangle$ plus 2 more obtained by lowering with F . The four admissible solutions $\{\lambda_1, \lambda_2\}$ of (1.2) with $p = 4, N = 6, M = 2$ are

$$\begin{aligned} &\{0.260368, 0.516935\}, \{0.11923, 0.269157\}, \\ &\{0.116959, 0.523048\}, \{0.393822 \pm 0.39281i\}. \end{aligned} \quad (6.6)$$

Each of the four T_0 (dimension 1) consists of the vector $\mathcal{B}(\lambda_1)\mathcal{B}(\lambda_2)\mathcal{B}(\lambda_3)|\Omega\rangle$. The four admissible solutions $\{\lambda_1, \lambda_2, \lambda_3\}$ of (1.2) with $p = 4, N = 6, M = 3$ are

$$\begin{aligned} &\{0.124053, 0.285872, 0.670931\}, \{0.116697, 0.77288 \pm 0.427941i\}, \\ &\{0.261262, 0.749721 \pm 0.425077i\}, \{0.583433, 0.593097 \pm 0.402559i\}. \end{aligned} \quad (6.7)$$

All together we thus find $2^6 = 64$ vectors.

7. Discussion

We have seen that, when q is a root of unity ($q = e^{i\pi/p}$ with integer $p \geq 2$), the $U_qsl(2)$ -invariant open spin-1/2 XXZ chain has two new types of eigenvectors: eigenvectors corresponding to continuous solutions of the Bethe equations (exact complete p -strings), and generalized eigenvectors. We have proposed here general ABA constructions for these two new

types of eigenvectors. The construction for exact complete p -strings (3.7), (3.26) is a generalization of the one proposed by Tarasov [12] for the closed chain, while the construction of generalized eigenvectors (4.44) is new. We have demonstrated in examples with various values of p and N that these constructions are indeed sufficient for obtaining the complete set of (generalized) eigenvectors of the model.

The model (1.1) at primitive roots of unity is related to the unitary $(p-1, p)$ conformal Minimal Models, by restricting to the first $p-1$ irreducible tilting modules (see e.g. [1]), as well as to logarithmic conformal field theories if one keeps all the tilting modules [22,20]. We expect that our results can be easily generalized to the case of rational (non-integer) values of p , which is related to non-unitary Minimal Models. Indeed, for rational $p = a/b$, with a, b coprime and $a > b$, there are two different cases $q^a = \pm 1$, i.e., b even or odd. For odd b (or $q^a = -1$ and a can be odd or even), we have obviously the same structure of the tilting $U_qsl(2)$ modules, as the structure depends only on the conditions on q and it is the same as for $b = 1$. The repeated tensor products of the fundamental $U_qsl(2)$ representations (or the spin-chains) are decomposed in the same way as well (replacing p by a , of course) and thus with the same multiplicities d_j^0 , and therefore our construction of the generalized eigenstates should be the same but using a instead of p , i.e., the p' in the p' -string takes values from 1 to $a-1$, etc. For even b (or $q^a = 1$ and odd a), a more careful analysis is required. According to [18], for the case of $q^a = 1$, the tilting modules have the same structure as in Sec. 2.4, where one should again replace p by a , and the multiplicities in the tensor products are also identical to what we had here. The only real difference will be in the values of the Bethe roots, as the spectrum of the Hamiltonian is different for different choices of a and b , and thus the continuum limit too. We also expect that similar constructions can be used for quantum-group invariant spin chains at roots of unity with higher spin and/or rank of the quantum-group symmetry. It would be interesting to consider similar constructions for supersymmetric (\mathbb{Z}_2 -graded) spin chains, such as the $U_qsl(2|1)$ -invariant chain [28]. Of course, the algebraic Bethe ansatz would require nesting for rank greater than one, which would render the corresponding constructions more complicated.

We are currently investigating the symmetry operators – generators of a non-abelian symmetry of the transfer-matrix $t(u)$ – responsible for the higher degeneracies of the model, which are signaled by the appearance of continuous solutions of the Bethe equations, whose corresponding eigenvectors are obtained by the construction of section 3. It would also be interesting to find a group-theoretic understanding of the construction in section 4 of generalized eigenvectors, e.g. within the context of the quantum affine algebra $U_q\widehat{sl}(2)$ or rather its coideal q -Onsager subalgebra at roots of unity [29].

Acknowledgements

AMG thanks Hubert Saleur for valuable discussions, and the IPhT in Saclay for its hospitality. The work of AMG was supported by DESY and Humboldt fellowships, Centre National de la Recherche Scientifique and RFBR-grant 13-01-00386. RN thanks Rodrigo Pimenta and Vitaly Tarasov for valuable discussions, and the DESY theory group for its hospitality. The work of RN was supported in part by the National Science Foundation under Grant PHY-1212337, and by a Cooper fellowship.

Appendix A. Tilting $U_qsl(2)$ -modules at roots of unity

We explicitly describe here the $U_qsl(2)$ action in the tilting modules T_j for $q = e^{i\pi/p}$ and integer $p \geq 2$. For $2j+1 \leq p$, these modules are irreducible of dimension $2j+1 = s(j) \equiv s$,

recall our convention (2.35), and have the basis $\{a_n, 0 \leq n \leq s-1\}$ where a_0 is the highest-weight vector and the action is

$$K a_n = q^{s-1-2n} a_n, \quad h a_n = 0, \quad (\text{A.1})$$

$$E a_n = [n]_q [s-n]_q a_{n-1}, \quad e a_n = 0, \quad (\text{A.2})$$

$$F a_n = a_{n+1}, \quad f a_n = 0, \quad (\text{A.3})$$

where we set $a_{-1} = a_s = 0$.

For $2j+1 > p$, the T_j 's are identified¹³ with projective $U_q sl(2)$ -modules from [19] denoted there by $P_{p-s(j),r}^\alpha$ with $s \equiv s(j)$ and r defined from the equation $2j+1 = rp + s(j)$, i.e., s an integer $1 \leq s \leq p-1$ and $r \geq 1$, and $\alpha \equiv \alpha(r) = (-1)^{r-1}$. Using the identification and the known basis and action [19] in $P_{p-s(j),r}^\alpha$, we give below the $U_q sl(2)$ -action in T_j 's.

For $r > 1$ and $2j+1$ is not zero modulo p , T_j has the basis

$$\{t_{n,m}, b_{n,m}\}_{0 \leq n \leq p-s-1, 0 \leq m \leq r-1} \cup \{l_{k,l}\}_{0 \leq k \leq s-1, 0 \leq l \leq r-2} \cup \{r_{k,l}\}_{0 \leq k \leq s-1, 0 \leq l \leq r} \quad (\text{A.4})$$

where $\{t_{n,m}\}_{0 \leq n \leq p-s-1, 0 \leq m \leq r-1}$ is the basis corresponding to the top module \mathbf{T}_j in (2.36), $\{b_{n,m}\}_{0 \leq n \leq p-s-1, 0 \leq m \leq r-1}$ is the basis in the bottom \mathbf{B}_j , $\{l_{k,l}\}_{0 \leq k \leq s-1, 0 \leq l \leq r-2}$ is the basis in the left \mathbf{L}_j , and $\{r_{k,l}\}_{0 \leq k \leq s-1, 0 \leq l \leq r}$ is the basis in the right module \mathbf{R}_j . In thus introduced basis, the $sl(2)$ -generators e, f and h of $U_q sl(2)$ act in \mathbf{T}_j as in the r -dimensional $sl(2)$ -module:

$$h t_{n,m} = \frac{1}{2}(r-1-2m)t_{n,m}, \quad e t_{n,m} = m(r-m)t_{n,m-1}, \quad f t_{n,m} = t_{n,m+1} \quad (\text{A.5})$$

where we set $t_{n,-1} = t_{n,r} = 0$, and identically in \mathbf{B}_j , while for \mathbf{R}_j the action is

$$h r_{k,l} = \frac{1}{2}(r-2l)r_{k,l}, \quad e r_{k,l} = l(r+1-l)r_{k,l-1}, \quad f r_{k,l} = r_{k,l+1} \quad (\text{A.6})$$

where we set $r_{n,-1} = r_{n,r+1} = 0$, and identically in \mathbf{L}_j but with the replacement of r by $r-2$ in (A.6). The $U_q sl(2)$ -action of the three other generators E, F , and K in the basis (A.4) is given by

$$\begin{aligned} K t_{n,m} &= \alpha q^{p-s-1-2n} t_{n,m}, \quad 0 \leq n \leq p-s-1, \quad 0 \leq m \leq r-1, \\ K l_{k,m} &= -\alpha q^{s-1-2k} l_{k,m}, \quad 0 \leq k \leq s-1, \quad 0 \leq m \leq r-2, \\ K r_{k,m} &= -\alpha q^{s-1-2k} r_{k,m}, \quad 0 \leq k \leq s-1, \quad 0 \leq m \leq r, \\ K b_{n,m} &= \alpha q^{p-s-1-2n} b_{n,m}, \quad 0 \leq n \leq p-s-1, \quad 0 \leq m \leq r-1, \\ F t_{n,m} &= \begin{cases} t_{n+1,m}, & 0 \leq n \leq p-s-2, \\ \frac{1}{r} r_{0,m+1} - \frac{1}{r} l_{0,m}, & n = p-s-1 \quad (l_{0,r-1} \equiv 0), \end{cases} \quad 0 \leq m \leq r-1, \\ F l_{k,m} &= \begin{cases} l_{k+1,m}, & 0 \leq k \leq s-2, \\ b_{0,m+1}, & k = s-1, \end{cases} \quad 0 \leq m \leq r-2, \\ F r_{k,m} &= \begin{cases} r_{k+1,m}, & 0 \leq k \leq s-2, \\ b_{0,m}, & k = s-1 \quad (b_{0,r} \equiv 0), \end{cases} \quad 0 \leq m \leq r, \end{aligned}$$

¹³ The identification is easy to see using the diagram (2.36) with the formula for dimensions (2.37) and the general decomposition of the spin-chain over $U_q sl(2)$ in terms of projective covers in [20, Sec. 3.2].

$$\begin{aligned}
Fb_{n,m} &= b_{n+1,m}, \quad 1 \leq n \leq p-s-1, \quad 0 \leq m \leq r-1 \quad (b_{p-s,m} \equiv 0), \\
Et_{n,m} &= \begin{cases} \alpha[n]_q [p-s-n]_q t_{n-1,m} + \alpha g b_{n-1,m}, & 1 \leq n \leq p-s-1, \\ \alpha g \left(\frac{r-m}{r} r_{s-1,m} + \frac{m}{r} l_{s-1,m-1} \right), & n=0, \end{cases} \quad 0 \leq m \leq r-1, \\
El_{k,m} &= \begin{cases} -\alpha[k]_q [s-k]_q l_{k-1,m}, & 1 \leq k \leq s-1, \\ \alpha g (m-r+1) b_{p-s-1,m}, & k=0, \end{cases} \quad 0 \leq m \leq r-2, \\
Er_{k,m} &= \begin{cases} -\alpha[k]_q [s-k]_q r_{k-1,m}, & 1 \leq k \leq s-1, \\ \alpha g m b_{p-s-1,m-1}, & k=0, \end{cases} \quad 0 \leq m \leq r, \\
Eb_{n,m} &= \alpha[n]_q [p-s-n]_q b_{n-1,m}, \quad 1 \leq n \leq p-s-1, \quad 0 \leq m \leq r-1 \quad (b_{-1,m} \equiv 0),
\end{aligned}$$

where $g = \frac{(-1)^p [s]_q}{[p-1]_q!}$. For $r=1$, the basis (A.4) does not contain $\{l_{k,l}\}_{0 \leq k \leq p-s-1, 0 \leq l \leq r-2}$ terms and we imply $l_{k,l} \equiv 0$ in the action. Then, the formulas for the action are the same as above.

Appendix B. Large p' -strings

We provide here some numerical evidence that the Bethe equations (1.2) have solutions of the form (4.10) i.e.

$$v_k^\infty = v_0 + \frac{i\pi}{2p'}(p' - (2k-1)), \quad k=1, \dots, p', \quad p' = s(j), \quad (\text{B.1})$$

with $v_0 \rightarrow \infty$ as $\eta \rightarrow \eta_0 = i\pi/p$ with integer $p \geq 2$; and that the corresponding transfer-matrix eigenvalues become degenerate in this limit. Such “large p' -string” solutions play a key role in the construction described in section 4 of generalized eigenvectors. For convenience, in this section we set $\eta = i\pi/p$ with p real, and we study the limit that p approaches an integer.

B.1. $p=3, p'=1$

Let us consider the case $N=6$. For $p=3$, we know [7, Table 4(b)] that the transfer-matrix eigenvalue corresponding to the reference state ($M=0, j=3$) has degeneracy 12; while away from $p=3$, we find that this degeneracy splits into $7+5$. In view of (2.25) describing the transfer-matrix degeneracy, the corresponding two solutions of the Bethe equations must have $M=0$ and $M=1$, respectively. The latter solution is our p' -string with $p'=s(3)=1$. As p approaches 3, this real Bethe root becomes “large” i.e. tends to infinity. This solution corresponds to the generalized eigenvector $||| - \rangle\rangle\rangle^{(1)}$ in the tilting module T_3 discussed in sections 4.7.1 and 6.3.

B.2. $p=3, p'=2$

Let us first consider the case $N=4$. For $p=3$, we know [7, Table 4(b)] that the transfer-matrix eigenvalue corresponding to the reference state ($M=0, j=2$) has degeneracy 6; while away from $p=3$, we find that this degeneracy splits into $5+1$. In view of (2.25), the corresponding solutions of the Bethe equations must have $M=0$ and $M=2$, respectively. The latter solution is our p' -string with $p'=s(2)=2$. Fig. 2(a) shows a plot in the complex plane of the latter solution for values of p near 3. We observe that, as p approaches 3, the real part increases, and the imaginary parts approach $\pm\pi/4$. This solution corresponds to the generalized eigenvector $||| - \rangle\rangle\rangle^{(2)}$ in the tilting module T_2 discussed in sections 4.7.2 and 6.1.

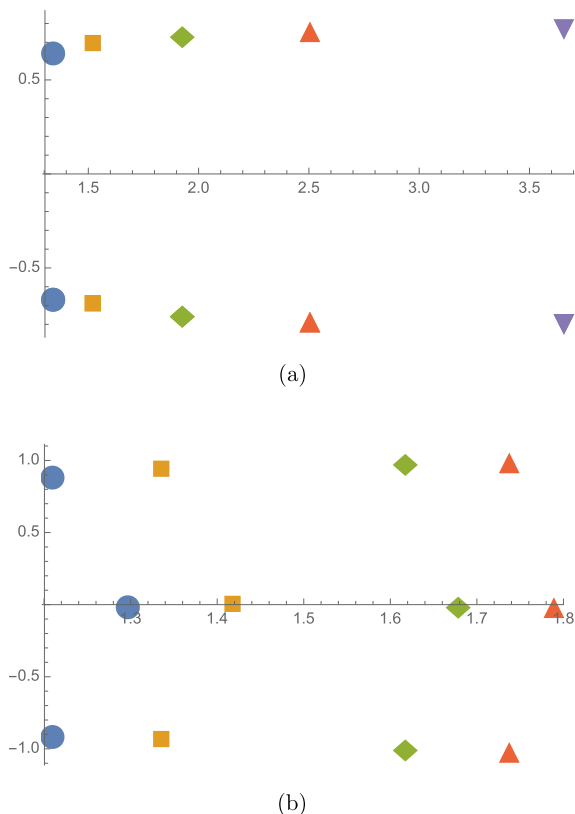


Fig. 2. (a) 2-Strings for $N = 4$ and $p = 3.1(\bullet)$, $3.05(\blacksquare)$, $3.01(\blacklozenge)$, $3.001(\blacktriangle)$, $3.00001(\blacktriangledown)$, (b) 3-strings for $N = 6$ and $p = 4.1(\bullet)$, $4.05(\blacksquare)$, $4.01(\blacklozenge)$, $4.005(\blacktriangle)$.

Let us next consider the case $N = 6$. For $p = 3$, we know [7, Table 3(b)] that there are 4 transfer-matrix eigenvalues corresponding to solutions of the Bethe equations with $M = 1$, $j = 2$, each of which has degeneracy 6. Away from $p = 3$, we find that this degeneracy splits into $5 + 1$. In view of (2.25), the corresponding solutions of the Bethe equations must have $M = 1$ and $M = 3$, respectively. We indeed find 4 solutions with $M = 3$ that consist of a real root and a 2-string, such that, as p approaches 3, the real root remains small, the center of the 2-string becomes large, and the imaginary parts of the 2-string approach $\pm\pi/4$. These solutions correspond to the generalized eigenvector $|||\lambda\rangle\rangle\rangle^{(2)}$ with $p' = s(2) = 2$ in the tilting module T_2 discussed in sections 4.7.2 and 6.3.

B.3. $p = 4$, $p' = 1$

Let us consider the case $N = 4$. For $p = 4$, we know [7, Table 4(c)] that the transfer-matrix eigenvalue corresponding to the reference state ($M = 0$, $j = 2$) has degeneracy 8; while away from $p = 4$, we find that this degeneracy splits into $5 + 3$. In view of (2.25), the corresponding solutions of the Bethe equations must have $M = 0$ and $M = 1$, respectively. The latter solution is our p' -string with $p' = s(2) = 1$. As p approaches 4, this real Bethe root becomes large. This

solution corresponds to the generalized eigenvector $||| - \rangle\rangle\rangle^{(1)}$ in the tilting module T_2 discussed in sections 4.8.1 and 6.4.

B.4. $p = 4$, $p' = 3$

Let us consider the case $N = 6$. For $p = 4$, we know [7, Table 4(c)] that the transfer-matrix eigenvalue corresponding to the reference state ($M = 0$, $j = 3$) has degeneracy 8; while away from $p = 4$, we find that this degeneracy splits into $7 + 1$. In view of (2.25), the corresponding solutions of the Bethe equations must have $M = 0$ and $M = 3$, respectively. The latter solution is our p' -string with $p' = s(3) = 3$. Fig. 2(b) shows a plot in the complex plane of the latter solution for values of p near 4. We observe that, as p approaches 4, the real part becomes large, and the nonzero imaginary parts approach $\pm\pi/3$. This solution corresponds to the generalized eigenvector $||| - \rangle\rangle\rangle^{(3)}$ in the tilting module T_3 discussed in sections 4.8.2 and 6.5.

Appendix C. Special off-shell relation

We derive here an off-shell relation for Bethe vectors of the special form $B(u) \prod_j B(v_j) |\Omega\rangle$ (i.e., with an “extra” factor $B(u)$, whose argument is the same as that of the transfer matrix $t(u)$), which we need in Appendix D to derive an off-shell relation for generalized eigenvectors. The proof is a generalization of the one developed by Izergin and Korepin [15] for repeated Bethe roots.

We begin by recalling the basic exchange relations [2] that are needed to derive the usual off-shell relation (2.11)

$$A(u) B(v) = f(u, v) B(v) A(u) + g(u, v) B(u) A(v) + w(u, v) B(u) D(v), \quad (\text{C.1})$$

$$D(u) B(v) = h(u, v) B(v) D(u) + k(u, v) B(u) D(v) + n(u, v) B(u) A(v), \quad (\text{C.2})$$

where

$$\begin{aligned} f(u, v) &= \frac{\text{sh}(u - v - \eta) \text{sh}(u + v)}{\text{sh}(u - v) \text{sh}(u + v + \eta)} \equiv \frac{\tilde{f}(u, v)}{\text{sh}(u - v)}, \\ g(u, v) &= \frac{\text{sh} \eta \text{sh}(2v)}{\text{sh}(u - v) \text{sh}(2v + \eta)} \equiv \frac{\tilde{g}(u, v)}{\text{sh}(u - v)}, \\ w(u, v) &= -\frac{\text{sh} \eta}{\text{sh}(u + v + \eta)}, \end{aligned} \quad (\text{C.3})$$

and

$$\begin{aligned} h(u, v) &= \frac{\text{sh}(u - v + \eta) \text{sh}(u + v + 2\eta)}{\text{sh}(u - v) \text{sh}(u + v + \eta)} \equiv \frac{\tilde{h}(u, v)}{\text{sh}(u - v)}, \\ k(u, v) &= -\frac{\text{sh} \eta \text{sh}(2u + 2\eta)}{\text{sh}(u - v) \text{sh}(2u + \eta)} \equiv \frac{\tilde{k}(u, v)}{\text{sh}(u - v)}, \\ n(u, v) &= \frac{\text{sh} \eta \text{sh}(2u + 2\eta) \text{sh}(2v)}{\text{sh}(2u + \eta) \text{sh}(2v + \eta) \text{sh}(u + v + \eta)}. \end{aligned} \quad (\text{C.4})$$

The entire difficulty stems from the fact that the exchange relations (C.1), (C.2) become singular when the two spectral parameters coincide. (See (C.3) and (C.4).) We can nevertheless derive regular exchange relations at $u = v$ by multiplying both sides of the exchange relations by $\text{sh}(u - v)$, differentiating with respect to v , and then letting $u \rightarrow v$. In this way, we arrive at

$$A(v) B(v) = \varphi(v) B(v) A(v) + \frac{\operatorname{sh} \eta \operatorname{sh}(2v)}{\operatorname{sh}(2v + \eta)} (B'(v) A(v) - B(v) A'(v)) - \frac{\operatorname{sh} \eta}{\operatorname{sh}(2v + \eta)} B(v) D(v), \quad (\text{C.5})$$

where

$$\varphi(v) = -\frac{\partial}{\partial v} \left[\tilde{f}(u, v) + \tilde{g}(u, v) \right] \Big|_{u=v}, \quad (\text{C.6})$$

and

$$D(v) B(v) = \psi(v) B(v) D(v) + \frac{\operatorname{sh} \eta \operatorname{sh}(2v + 2\eta)}{\operatorname{sh}(2v + \eta)} (B(v) D'(v) - B'(v) D(v)) + \frac{\operatorname{sh} \eta \operatorname{sh}(2v) \operatorname{sh}(2v + 2\eta)}{\operatorname{sh}^3(2v + \eta)} B(v) A(v), \quad (\text{C.7})$$

where

$$\psi(v) = -\frac{\partial}{\partial v} \left[\tilde{h}(u, v) + \tilde{k}(u, v) \right] \Big|_{u=v}. \quad (\text{C.8})$$

The transfer matrix (2.4) can be reexpressed as

$$t(u) = a(u) A(u) + d(u) D(u), \quad (\text{C.9})$$

where

$$a(u) = e^{-u} \frac{\operatorname{sh}(2u + 2\eta)}{\operatorname{sh}(2u + \eta)}, \quad d(u) = e^{u+\eta}. \quad (\text{C.10})$$

The reference state (2.10) is an eigenstate of $A(u)$ and $D(u)$,

$$A(u)|\Omega\rangle = \alpha(u)|\Omega\rangle, \quad D(u)|\Omega\rangle = \delta(u)|\Omega\rangle, \quad (\text{C.11})$$

where the corresponding eigenvalues are given by

$$\alpha(u) = e^u \operatorname{sh}^{2N}(u + \eta), \quad \delta(u) = e^{-u-\eta} \frac{\operatorname{sh}(2u)}{\operatorname{sh}(2u + \eta)} \operatorname{sh}^{2N} u. \quad (\text{C.12})$$

The action of $a(u)A(u)$ on the vector $B(u) \prod_j B(v_j)|\Omega\rangle$ produces three types of terms (instead of the usual two)

$$\begin{aligned} a(u)A(u) \left[B(u) \prod_j B(v_j)|\Omega\rangle \right] &= \Gamma^{(0)}(u) B(u) \prod_j B(v_j)|\Omega\rangle \\ &+ B^2(u) \sum_l \Gamma_l^{(1)}(u) \prod_{j \neq l} B(v_j)|\Omega\rangle \\ &+ \Gamma^{(2)}(u) B'(u) \prod_j B(v_j)|\Omega\rangle. \end{aligned} \quad (\text{C.13})$$

The coefficient $\Gamma^{(0)}(u)$ is obtained using the first, third and fourth terms in (C.5) and then the first term in (C.1) or (C.2), yielding

$$\Gamma^{(0)}(u) = a(u) \left\{ \varphi(u) \alpha(u) \prod_j f(u, v_j) - \frac{\text{sh } \eta}{\text{sh}(2u + \eta)} \delta(u) \prod_j h(u, v_j) - \frac{\text{sh } \eta \text{sh}(2u)}{\text{sh}(2u + \eta)} \frac{\partial}{\partial u} \left[\alpha(u) \prod_j f(u, v_j) \right] \right\}. \quad (\text{C.14})$$

For $\Gamma_l^{(1)}(u)$, we rewrite the vector as $B(v_l) \left[\prod_{j \neq l} B(v_j) \right] B(u) |\Omega\rangle$; using the second and third terms in (C.1) for $A(u)B(v_l)$, and then using exclusively the first terms in the exchange relations, we obtain

$$\Gamma_l^{(1)}(u) = a(u) \left\{ g(u, v_l) \left[\prod_{j \neq l} f(v_l, v_j) \right] f(v_l, u) \alpha(v_l) + w(u, v_l) \left[\prod_{j \neq l} h(v_l, v_j) \right] h(v_l, u) \delta(v_l) \right\}. \quad (\text{C.15})$$

Finally, with the help of (C.5), we readily obtain

$$\Gamma^{(2)}(u) = a(u) \frac{\text{sh } \eta \text{sh}(2u)}{\text{sh}(2u + \eta)} \alpha(u) \prod_j f(u, v_j). \quad (\text{C.16})$$

Similarly, acting with $d(u)D(u)$ also generates three terms

$$\begin{aligned} d(u)D(u) \left[B(u) \prod_j B(v_j) |\Omega\rangle \right] &= \Upsilon^{(0)}(u) B(u) \prod_j B(v_j) |\Omega\rangle \\ &+ B^2(u) \sum_l \Upsilon_l^{(1)}(u) \prod_{j \neq l} B(v_j) |\Omega\rangle \\ &+ \Upsilon^{(2)}(u) B'(u) \prod_j B(v_j) |\Omega\rangle, \end{aligned} \quad (\text{C.17})$$

with

$$\begin{aligned} \Upsilon^{(0)}(u) &= d(u) \left\{ \psi(u) \delta(u) \prod_j h(u, v_j) + \frac{\text{sh } \eta \text{sh}(2u) \text{sh}(2u + 2\eta)}{\text{sh}^3(2u + \eta)} \alpha(u) \prod_j f(u, v_j) \right. \\ &\quad \left. + \frac{\text{sh } \eta \text{sh}(2u + 2\eta)}{\text{sh}(2u + \eta)} \frac{\partial}{\partial u} \left[\delta(u) \prod_j h(u, v_j) \right] \right\}, \\ \Upsilon_l^{(1)}(u) &= d(u) \left\{ k(u, v_l) \left[\prod_{j \neq l} h(v_l, v_j) \right] h(v_l, u) \delta(v_l) \right. \\ &\quad \left. + n(u, v_l) \left[\prod_{j \neq l} f(v_l, v_j) \right] f(v_l, u) \alpha(v_l) \right\}, \\ \Upsilon^{(2)}(u) &= -d(u) \frac{\text{sh } \eta \text{sh}(2u + 2\eta)}{\text{sh}(2u + \eta)} \delta(u) \prod_j h(u, v_j). \end{aligned} \quad (\text{C.18})$$

Combining the results (C.9), (C.13), (C.17), we arrive at the desired off-shell relation for the transfer matrix

$$\begin{aligned} t(u) \left[B(u) \prod_j B(v_j) |\Omega\rangle \right] &= \Lambda^{(0)}(u) B(u) \prod_j B(v_j) |\Omega\rangle + B^2(u) \sum_l \Lambda_l^{(1)}(u) \prod_{j \neq l} B(v_j) |\Omega\rangle \\ &\quad + \Lambda^{(2)}(u) B'(u) \prod_j B(v_j) |\Omega\rangle, \end{aligned} \quad (\text{C.19})$$

where

$$\begin{aligned} \Lambda^{(0)}(u) &= \Gamma^{(0)}(u) + \Upsilon^{(0)}(u), \\ \Lambda_l^{(1)}(u) &= \Gamma_l^{(1)}(u) + \Upsilon_l^{(1)}(u), \\ \Lambda^{(2)}(u) &= \Gamma^{(2)}(u) + \Upsilon^{(2)}(u). \end{aligned} \quad (\text{C.20})$$

After some algebra, we find

$$\begin{aligned} \Lambda^{(0)}(u) &= \text{sh}^{2N}(u + \eta) \left\{ c_1(u) \prod_j f(u, v_j) - c_2(u) \frac{\partial}{\partial u} \left[\prod_j f(u, v_j) \right] \right\} \\ &\quad + \text{sh}^{2N}(u) \left\{ c_3(u) \prod_j h(u, v_j) + c_2(u) \frac{\partial}{\partial u} \left[\prod_j h(u, v_j) \right] \right\}, \end{aligned} \quad (\text{C.21})$$

where

$$\begin{aligned} c_1(u) &= \frac{1}{\text{sh}^3(2u + \eta)} \left[\text{sh}(2u + 2\eta) \text{sh}^2(2u + \eta) - 2 \text{sh}^2 \eta \text{sh}(2u + 2\eta) \right. \\ &\quad \left. - 4N \text{ch}^2(u + \eta) \text{sh} \eta \text{sh}(2u) \text{sh}(2u + \eta) \right], \\ c_2(u) &= \frac{1}{\text{sh}^2(2u + \eta)} \text{sh} \eta \text{sh}(2u) \text{sh}(2u + 2\eta), \\ c_3(u) &= \frac{1}{\text{sh}^3(2u + \eta)} \left[\text{sh}(2u) \text{sh}^2(2u + \eta) + 2 \text{sh}^2 \eta \text{sh}(2u + 2\eta) \right. \\ &\quad \left. + 4N \text{ch}^2 u \text{sh} \eta \text{sh}(2u + \eta) \text{sh}(2u + 2\eta) \right]. \end{aligned} \quad (\text{C.22})$$

Moreover,

$$\begin{aligned} \Lambda_l^{(1)}(u) &= f(u, v_l) \left[\text{sh}^{2N}(v_l + \eta) f(v_l, u) \prod_{j \neq l} f(v_l, v_j) \right. \\ &\quad \left. - \text{sh}^{2N}(v_l) h(v_l, u) \prod_{j \neq l} h(v_l, v_j) \right], \end{aligned} \quad (\text{C.23})$$

where $f(u, v)$ is defined in (2.15); and finally,

$$\begin{aligned} \Lambda^{(2)}(u) &= \frac{\text{sh} \eta \text{sh}(2u) \text{sh}(2u + 2\eta)}{\text{sh}^2(2u + \eta)} \left[\text{sh}^{2N}(u + \eta) \prod_j f(u, v_j) \right. \\ &\quad \left. - \text{sh}^{2N}(u) \prod_j h(u, v_j) \right]. \end{aligned} \quad (\text{C.24})$$

Appendix D. Off-shell relation for a generalized eigenvector

We derive here an off-shell relation for the vector $||\vec{\lambda}\rangle\rangle^{(p')}$ (4.15), which leads to the set (4.32)–(4.36) of sufficient conditions for this vector to be a generalized eigenvector of the transfer matrix.

Since $||\vec{\lambda}\rangle\rangle^{(p')}$ should be a generalized eigenvector of the transfer matrix $t(u)$ of rank 2, we proceed to compute the action of $(t(u) - \Lambda_\alpha(u))^2$ on the off-shell vector $||\vec{\lambda}\rangle\rangle^{(p')}$:

$$(t(u) - \Lambda_\alpha(u))^2 ||\vec{\lambda}\rangle\rangle_\omega^{(p')} = t(u)^2 ||\vec{\lambda}\rangle\rangle_\omega^{(p')} - 2\Lambda_\alpha(u)t(u)||\vec{\lambda}\rangle\rangle_\omega^{(p')} + \Lambda_\alpha(u)^2 ||\vec{\lambda}\rangle\rangle_\omega^{(p')}. \quad (\text{D.1})$$

We now evaluate in turn the three terms on the RHS of (D.1). We begin with the first term, which is the most difficult, since it requires a nontrivial step. Using (4.16) and the off-shell relations (4.22), (4.28), we obtain

$$\begin{aligned} t(u)^2 ||\vec{\lambda}\rangle\rangle_\omega^{(p')} &= t(u) \left[\alpha t(u) |\vec{v}, \vec{\lambda}_\alpha\rangle + \beta t(u) F^{p'} |\vec{\lambda}_\beta\rangle \right] \\ &= t(u) \left[\alpha \Lambda_\alpha(u) |\vec{v}, \vec{\lambda}_\alpha\rangle + \alpha \sum_i \Lambda^{v_i}(u) B(u) |\hat{v}_i, \vec{\lambda}_\alpha\rangle \right. \\ &\quad \left. + \alpha \sum_i \Lambda^{\lambda_{\alpha,i}}(u) B(u) |\vec{v}, \hat{\lambda}_{\alpha,i}\rangle \right. \\ &\quad \left. + \beta F^{p'} \Lambda_\beta(u) |\vec{\lambda}_\beta\rangle + \beta F^{p'} \sum_i \Lambda^{\lambda_{\beta,i}}(u) B(u) |\hat{\lambda}_{\beta,i}\rangle \right] = \dots \quad (\text{D.2}) \end{aligned}$$

In passing to the second line, we have made use of the important fact (2.21) that the transfer matrix commutes with F . Continuing the calculation, we obtain

$$\begin{aligned} \dots &= \alpha \Lambda_\alpha(u) \left[\Lambda_\alpha(u) |\vec{v}, \vec{\lambda}_\alpha\rangle + \sum_i \Lambda^{v_i}(u) B(u) |\hat{v}_i, \vec{\lambda}_\alpha\rangle + \sum_i \Lambda^{\lambda_{\alpha,i}}(u) B(u) |\vec{v}, \hat{\lambda}_{\alpha,i}\rangle \right] \\ &\quad + \alpha \sum_i \Lambda^{v_i}(u) t(u) B(u) |\hat{v}_i, \vec{\lambda}_\alpha\rangle + \alpha \sum_i \Lambda^{\lambda_{\alpha,i}}(u) t(u) B(u) |\vec{v}, \hat{\lambda}_{\alpha,i}\rangle \\ &\quad + \beta F^{p'} \Lambda_\beta(u) \left[\Lambda_\beta(u) |\vec{\lambda}_\beta\rangle + \sum_i \Lambda^{\lambda_{\beta,i}}(u) B(u) |\hat{\lambda}_{\beta,i}\rangle \right] \\ &\quad + \beta F^{p'} \sum_i \Lambda^{\lambda_{\beta,i}}(u) t(u) B(u) |\hat{\lambda}_{\beta,i}\rangle = \dots \quad (\text{D.3}) \end{aligned}$$

Now comes the nontrivial step, when we evaluate the action of $t(u)$ on $B(u)|\dots\rangle$ in three terms in (D.3). Indeed, the off-shell relation (2.11) cannot be applied, since its derivation assumes that the argument of the transfer matrix (namely, u) does not coincide with any of the arguments of the B operators used to construct the vector, which evidently is not the case for the vectors $B(u)|\dots\rangle$. We use instead the special off-shell relation (C.19), which we rewrite in a more condensed form here as

$$t(u) B(u) |\vec{\mu}\rangle = \tilde{\Lambda}^\mu(u) B(u) |\vec{\mu}\rangle + \sum_i \tilde{\Lambda}^{\mu, \mu_i}(u) B^2(u) |\hat{\mu}_i\rangle + \tilde{\tilde{\Lambda}}^\mu(u) B'(u) |\vec{\mu}\rangle, \quad (\text{D.4})$$

where $\vec{\mu}$ can be $\vec{v}, \vec{\lambda}_\alpha, \vec{\lambda}_\beta$, etc. Fortunately, we shall not need the explicit expressions for the coefficients $\tilde{\Lambda}^\mu(u)$, $\tilde{\Lambda}^{\mu, \mu_i}(u)$ and $\tilde{\tilde{\Lambda}}^\mu(u)$, which can be deduced from the results in [Appendix C](#).

Continuing the calculation from the point [\(D.3\)](#), we conclude that

$$\begin{aligned}
 t(u)^2 \|\vec{\lambda}\rangle\rangle\rangle_\omega^{(p')} &= \alpha \Lambda_\alpha(u)^2 |\vec{v}, \vec{\lambda}_\alpha\rangle \\
 &+ \alpha \Lambda_\alpha(u) \sum_i \Lambda^{v_i}(u) B(u) |\hat{v}_i, \vec{\lambda}_\alpha\rangle + \alpha \Lambda_\alpha(u) \sum_i \Lambda^{\lambda_{\alpha,i}}(u) B(u) |\vec{v}, \hat{\lambda}_{\alpha,i}\rangle \\
 &+ \alpha \sum_i \Lambda^{v_i}(u) \left[\tilde{\Lambda}^{v_i}(u) B(u) |\hat{v}_i, \vec{\lambda}_\alpha\rangle + \sum_j \tilde{\tilde{\Lambda}}^{v_i, v_j}(u) B^2(u) |\hat{v}_i, \hat{v}_j, \vec{\lambda}_\alpha\rangle \right. \\
 &\quad \left. + \sum_j \tilde{\tilde{\Lambda}}^{v_i, \lambda_{\alpha,j}}(u) B^2(u) |\hat{v}_i, \hat{\lambda}_{\alpha,j}\rangle + \tilde{\tilde{\Lambda}}^{v_i}(u) B'(u) |\hat{v}_i, \vec{\lambda}_\alpha\rangle \right] \\
 &+ \alpha \sum_i \Lambda^{\lambda_{\alpha,i}}(u) \left[\tilde{\Lambda}^{\lambda_{\alpha,i}}(u) B(u) |\vec{v}, \hat{\lambda}_{\alpha,i}\rangle + \sum_j \tilde{\tilde{\Lambda}}^{\lambda_{\alpha,i}, v_j}(u) B^2(u) |\hat{v}_j, \hat{\lambda}_{\alpha,i}\rangle \right. \\
 &\quad \left. + \sum_j \tilde{\tilde{\Lambda}}^{\lambda_{\alpha,i}, \lambda_{\alpha,j}}(u) B^2(u) |\vec{v}, \hat{\lambda}_{\alpha,i}, \hat{\lambda}_{\alpha,j}\rangle + \tilde{\tilde{\Lambda}}^{\lambda_{\alpha,i}}(u) B'(u) |\vec{v}, \hat{\lambda}_{\alpha,i}\rangle \right] \\
 &+ \beta \Lambda_\beta(u)^2 F^{p'} |\vec{\lambda}_\beta\rangle + \beta \Lambda_\beta(u) \sum_i \Lambda^{\lambda_{\beta,i}}(u) F^{p'} B(u) |\hat{\lambda}_{\beta,i}\rangle \\
 &+ \beta F^{p'} \sum_i \Lambda^{\lambda_{\beta,i}}(u) \left[\tilde{\Lambda}^{\lambda_{\beta,i}}(u) B(u) |\hat{\lambda}_{\beta,i}\rangle + \sum_j \tilde{\tilde{\Lambda}}^{\lambda_{\beta,i}, \lambda_{\beta,j}}(u) B^2(u) |\hat{\lambda}_{\beta,i}, \hat{\lambda}_{\beta,j}\rangle \right. \\
 &\quad \left. + \tilde{\tilde{\Lambda}}^{\lambda_{\beta,i}}(u) B'(u) |\hat{\lambda}_{\beta,i}\rangle \right]. \tag{D.5}
 \end{aligned}$$

The second term in [\(D.1\)](#) is much simpler to evaluate:

$$\begin{aligned}
 -2\Lambda_\alpha(u) t(u) \|\vec{\lambda}\rangle\rangle\rangle_\omega^{(p')} &= -2\Lambda_\alpha(u) \left[\alpha t(u) |\vec{v}, \vec{\lambda}_\alpha\rangle + \beta F^{p'} t(u) |\vec{\lambda}_\beta\rangle \right] \\
 &= -2\Lambda_\alpha(u) \left[\alpha \Lambda_\alpha(u) |\vec{v}, \vec{\lambda}_\alpha\rangle + \alpha \sum_i \Lambda^{v_i}(u) B(u) |\hat{v}_i, \vec{\lambda}_\alpha\rangle \right. \\
 &\quad \left. + \alpha \sum_i \Lambda^{\lambda_{\alpha,i}}(u) B(u) |\vec{v}, \hat{\lambda}_{\alpha,i}\rangle + \beta F^{p'} \Lambda_\beta(u) |\vec{\lambda}_\beta\rangle + \beta F^{p'} \sum_i \Lambda^{\lambda_{\beta,i}}(u) B(u) |\hat{\lambda}_{\beta,i}\rangle \right]. \tag{D.6}
 \end{aligned}$$

Finally, the third term in [\(D.1\)](#) immediately gives

$$\Lambda_\alpha(u)^2 \|\vec{\lambda}\rangle\rangle\rangle_\omega^{(p')} = \Lambda_\alpha(u)^2 \left(\alpha |\vec{v}, \vec{\lambda}_\alpha\rangle + \beta F^{p'} |\vec{\lambda}_\beta\rangle \right). \tag{D.7}$$

Collecting all the terms from [\(D.5\)](#), [\(D.6\)](#), [\(D.7\)](#), we finally obtain the desired off-shell relation

$$\begin{aligned}
 (t(u) - \Lambda_\alpha(u))^2 \|\vec{\lambda}\rangle\rangle\rangle_\omega^{(p')} &= \beta \left(\Lambda_\beta(u) - \Lambda_\alpha(u) \right)^2 F^{p'} |\vec{\lambda}_\beta\rangle \\
 &+ \alpha \sum_i \Lambda^{v_i}(u) \left(\tilde{\Lambda}^{v_i}(u) - \Lambda_\alpha(u) \right) B(u) |\hat{v}_i, \vec{\lambda}_\alpha\rangle
 \end{aligned}$$

$$\begin{aligned}
& + \alpha \sum_i \Lambda^{\lambda_{\alpha,i}}(u) \left(\tilde{\Lambda}^{\lambda_{\alpha,i}}(u) - \Lambda_{\alpha}(u) \right) B(u) |\vec{v}, \hat{\lambda}_{\alpha,i}\rangle \\
& + \beta \sum_i \Lambda^{\lambda_{\beta,i}}(u) \left[\tilde{\Lambda}^{\lambda_{\beta,i}}(u) + \Lambda_{\beta}(u) - 2\Lambda_{\alpha}(u) \right] F^{p'} B(u) |\hat{\lambda}_{\beta,i}\rangle \\
& + \alpha \sum_i \Lambda^{v_i}(u) \left[\sum_j \tilde{\Lambda}^{v_i, v_j}(u) B^2(u) |\hat{v}_i, \hat{v}_j, \vec{\lambda}_{\alpha}\rangle + \sum_j \tilde{\Lambda}^{v_i, \lambda_{\alpha,j}}(u) B^2(u) |\hat{v}_i, \hat{\lambda}_{\alpha,j}\rangle \right. \\
& \quad \left. + \tilde{\Lambda}^{v_i}(u) B'(u) |\hat{v}_i, \vec{\lambda}_{\alpha}\rangle \right] \\
& + \alpha \sum_i \Lambda^{\lambda_{\alpha,i}}(u) \left[\sum_j \tilde{\Lambda}^{\lambda_{\alpha,i}, v_j}(u) B^2(u) |\hat{v}_j, \hat{\lambda}_{\alpha,i}\rangle \right. \\
& \quad \left. + \sum_j \tilde{\Lambda}^{\lambda_{\alpha,i}, \lambda_{\alpha,j}}(u) B^2(u) |\vec{v}, \hat{\lambda}_{\alpha,i}, \hat{\lambda}_{\alpha,j}\rangle \right. \\
& \quad \left. + \tilde{\Lambda}^{\lambda_{\alpha,i}}(u) B'(u) |\vec{v}, \hat{\lambda}_{\alpha,i}\rangle \right] \\
& + \beta F^{p'} \sum_i \Lambda^{\lambda_{\beta,i}}(u) \left[\sum_j \tilde{\Lambda}^{\lambda_{\beta,i}, \lambda_{\beta,j}}(u) B^2(u) |\hat{\lambda}_{\beta,i}, \hat{\lambda}_{\beta,j}\rangle + \tilde{\Lambda}^{\lambda_{\beta,i}}(u) B'(u) |\hat{\lambda}_{\beta,i}\rangle \right] \\
& \xrightarrow{\omega \rightarrow 0} 0, \tag{D.8}
\end{aligned}$$

whose RHS we demand to vanish in the limit $\omega \rightarrow 0+$.

Since $||\vec{\lambda}\rangle\rangle^{(p')}$ should *not* be an ordinary eigenvector of the transfer matrix, we also require that $(t(u) - \Lambda_{\alpha}(u)) ||\vec{\lambda}\rangle\rangle_{\omega}^{(p')}$ should *not* vanish in the limit $\omega \rightarrow 0+$. This means that we also require

$$\begin{aligned}
& (t(u) - \Lambda_{\alpha}(u)) ||\vec{\lambda}\rangle\rangle_{\omega}^{(p')} = (t(u) - \Lambda_{\alpha}(u)) \left(\alpha |\vec{v}, \vec{\lambda}_{\alpha}\rangle + \beta F^{p'} |\vec{\lambda}_{\beta}\rangle \right) \\
& = \alpha \left[\Lambda_{\alpha}(u) |\vec{v}, \vec{\lambda}_{\alpha}\rangle + \sum_i \Lambda^{v_i}(u) B(u) |\hat{v}_i, \vec{\lambda}_{\alpha}\rangle + \sum_i \Lambda^{\lambda_{\alpha,i}}(u) B(u) |\vec{v}, \hat{\lambda}_{\alpha,i}\rangle \right] \\
& \quad + \beta F^{p'} \left[\Lambda_{\beta}(u) |\vec{\lambda}_{\beta}\rangle + \sum_i \Lambda^{\lambda_{\beta,i}}(u) B(u) |\hat{\lambda}_{\beta,i}\rangle \right] - \Lambda_{\alpha}(u) \left(\alpha |\vec{v}, \vec{\lambda}_{\alpha}\rangle + \beta F^{p'} |\vec{\lambda}_{\beta}\rangle \right) \\
& = \beta \left(\Lambda_{\beta}(u) - \Lambda_{\alpha}(u) \right) F^{p'} |\vec{\lambda}_{\beta}\rangle + \beta \sum_i \Lambda^{\lambda_{\beta,i}}(u) F^{p'} B(u) |\hat{\lambda}_{\beta,i}\rangle \\
& \quad + \alpha \sum_i \Lambda^{v_i}(u) B(u) |\hat{v}_i, \vec{\lambda}_{\alpha}\rangle + \alpha \sum_i \Lambda^{\lambda_{\alpha,i}}(u) B(u) |\vec{v}, \hat{\lambda}_{\alpha,i}\rangle \\
& \xrightarrow{\omega \rightarrow 0} |v'\rangle \neq 0, \tag{D.9}
\end{aligned}$$

where $|v'\rangle$ was introduced in (4.2).

In order to satisfy both conditions (D.8) and (D.9), we conjecture that it suffices to have:

$$\lim_{\omega \rightarrow 0+} \beta \left(\Lambda_{\beta}(u) - \Lambda_{\alpha}(u) \right) \neq 0, \tag{D.10}$$

$$\lim_{\omega \rightarrow 0+} \beta (\Lambda_\beta(u) - \Lambda_\alpha(u))^2 = 0, \quad (\text{D.11})$$

$$\lim_{\omega \rightarrow 0+} \omega^{2N} \beta \Lambda^{v_i}(u) = 0, \quad i = 1, \dots, p', \quad (\text{D.12})$$

$$\lim_{\omega \rightarrow 0+} \beta \Lambda^{\lambda_{\alpha,i}}(u) = 0, \quad i = 1, \dots, M, \quad (\text{D.13})$$

$$\lim_{\omega \rightarrow 0+} \beta \Lambda^{\lambda_{\beta,i}}(u) = 0, \quad i = 1, \dots, M, \quad (\text{D.14})$$

where the limit in the first line (D.10) is supposed to be finite. Indeed, the conditions (D.10), (D.11) and (D.14) are fairly obvious. The condition (D.13) is less evident, since it is instead $\alpha \Lambda^{\lambda_{\alpha,i}}(u)$ that appears in (D.8) and (D.9). However, some of the terms with this factor also contain the vector $B(u)|\vec{v}, \hat{\lambda}_{\alpha,i}\rangle$ which is of order $\omega^{-2p'N}$ according to (4.19). Hence, we need $\omega^{-2p'N} \alpha \Lambda^{\lambda_{\alpha,i}}(u)$ to vanish as $\omega \rightarrow 0$, which is equivalent to (D.13), since α and β are given by (4.21).¹⁴ The condition (D.12) has a similar explanation: although $\alpha \Lambda^{v_i}(u)$ appears in (D.8) and (D.9), some of the terms with this factor also contain the vector $|\hat{v}_i, \dots\rangle$, which is missing the factor $\mathcal{B}(v_i)$, and therefore is of order $\omega^{-2(p'-1)N}$. Hence, we require $\omega^{-2(p'-1)N} \alpha \Lambda^{v_i}(u)$ to vanish in the limit.

Corollary D.1. *As a corollary of the expression in (D.9) and if the sufficient conditions above are satisfied, the limit of $(t(u) - \Lambda_\alpha(u)) \|\vec{\lambda}\rangle\rangle\rangle_\omega^{(p')}$ equal $(t(u) - \Lambda(u)) \|\vec{\lambda}\rangle\rangle\rangle^{(p')}$ is non-zero and proportional to $F^{p'}|\vec{\lambda}\rangle$. Indeed, the proportionality coefficient is (D.10) and finite non-zero by the assumption, while the limit of $F^{p'}|\vec{\lambda}_\beta\rangle$ is $F^{p'}|\vec{\lambda}\rangle$ and it is non-zero due to our special choice of $p' = s(j)$ – it is a state in the bottom node of the tilting module T_j , recall the discussion just above (4.19) and Sec. 4.5.*

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¹⁴ We have explicitly verified in several examples that the contributions from the $\tilde{\Lambda}$ and $\tilde{\tilde{\Lambda}}$ terms in (D.8) are finite in the $\omega \rightarrow 0$ limit. Some of the $\tilde{\tilde{\Lambda}}$ terms in (D.8) are divergent, as are the vectors that they multiply; nevertheless, the total degree of divergence is consistent with (D.12) and (D.13).

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