

# Starobinsky-Type Inflation (from $\alpha'$ -Corrections)

1411.6010 & 1509.00024

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## VSI Lunch Seminar

with D. Ciupke, F. Pedro, and A. Westphal



I will talk about ...

Inflation, the CMB and Power-loss

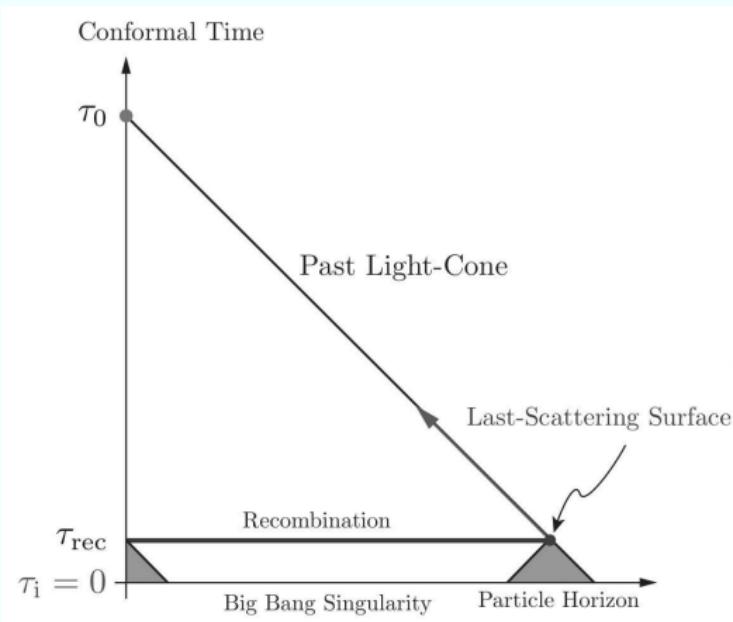
## String Inflation from $\alpha'$ -corrections

$f(R)$  - Theory

$f(R)$  beyond  $R^2$

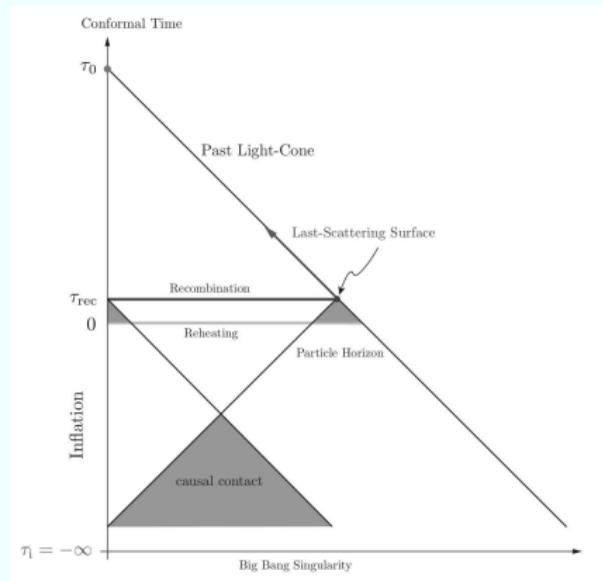
# Part I

# Why Inflation? I



$$d\tau = \frac{1}{a(t)} dt, \quad \chi(\tau)_{ds^2=0} = \int_{t_i}^{t_f} \frac{1}{a(t)} dt$$

# Why Inflation? II



Idea:

$$\ddot{a} > 0, a \propto e^{Ht} \quad \Rightarrow \quad \int_{-\infty}^{t_f} \frac{1}{a(t)} dt \rightarrow \infty$$

Also: Flatness, LSS ...

# De-Sitter & Inflation I

Consider

$$\mathcal{L} = \frac{1}{2} (R - 2\Lambda)$$

This has

$$P_\Lambda = -\rho_\Lambda$$

Recall that for scalar field

$$P_\phi = \frac{1}{2} \dot{\phi}^2 - V(\phi), \quad \rho_\phi = \frac{1}{2} \dot{\phi}^2 + V(\phi)$$

Thus seek

$$\dot{\phi}^2 \ll V(\phi)$$

To realise above, need

$$\epsilon_V = \frac{1}{2} \left( \frac{V'}{V} \right)^2 \ll 1$$

## De-Sitter & Inflation II

To have  $\epsilon_V \ll 1$  for sufficiently long time, need

$$\eta_V = \frac{V''}{V} \ll 1$$

To cut long story short...

$$\left(\frac{\dot{a}}{a}\right)^2 = H^2 \sim \frac{V(\phi)}{3}$$

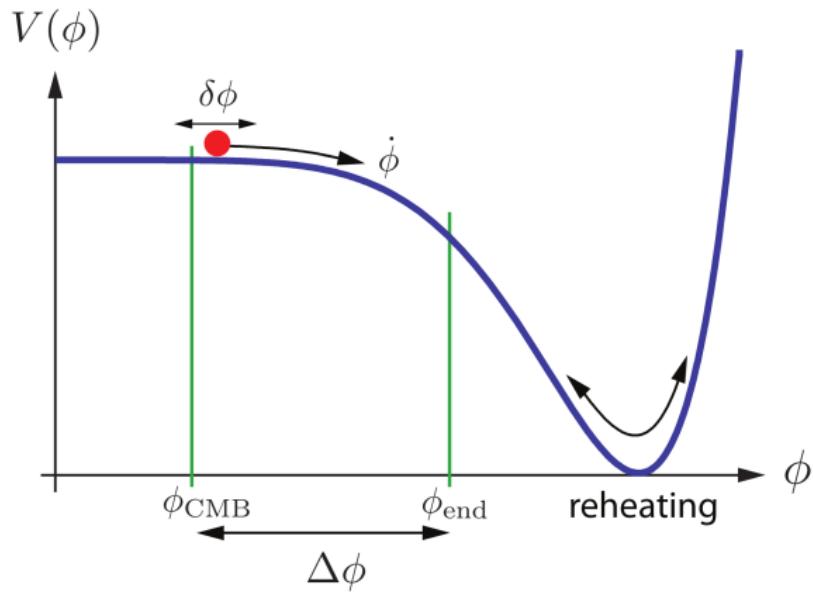
and hence

$$a(t) \propto e^{Ht}$$

Thus for inflation, need

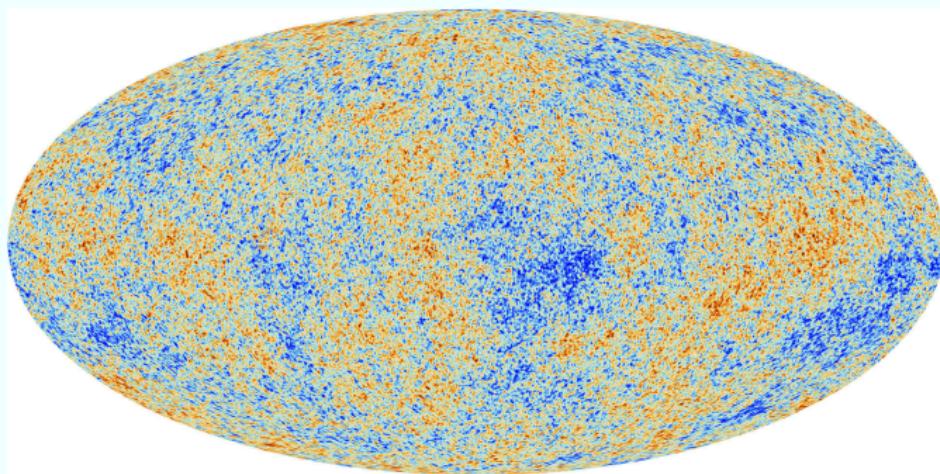
$$\mathcal{L} = \frac{R}{2} - \frac{1}{2} (\partial\phi)^2 - V(\phi), \quad \epsilon_V, \eta_V \ll 1$$

# De-Sitter & Inflation III



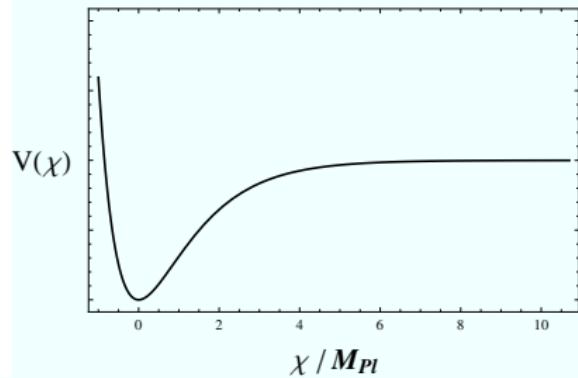
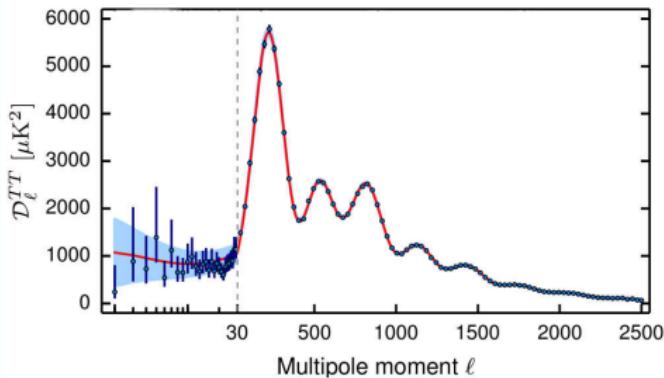
# Inflation & The CMB

Quantum fluctuations  $\delta\phi(t, \vec{x})$  of the inflaton  $\Rightarrow$  Inflation ends at slightly different times  $\Rightarrow$  spacetime is distorted  $\Rightarrow$  gravitational potentials  $\Rightarrow$  over and underdense regions/fluctuations in the primordial plasma  $\Rightarrow$  universe cools & expands  $\Rightarrow$  free streaming photons carry imprint of plasma's fluctuations  $\Delta T/T \sim 10^{-5} \dots$



$$\langle 0 | \hat{\delta\phi}_k^\dagger(t, \vec{x}) \hat{\delta\phi}_{k'}(t, \vec{x}) | 0 \rangle \sim H^2$$

# The CMB

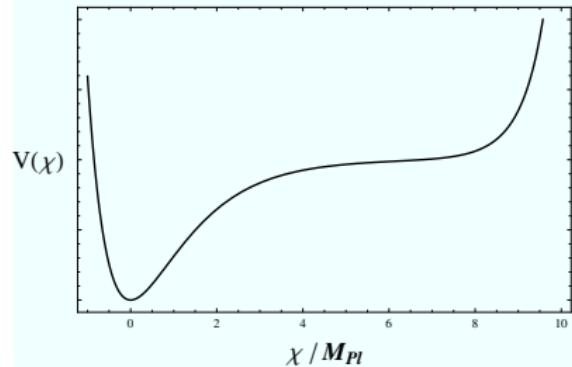
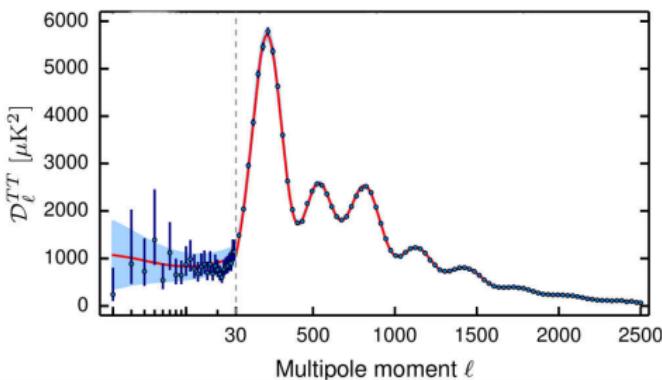


$$V_{inf} = V_0 \left( 1 - e^{-\sqrt{\frac{2}{3}}\chi} \right)^2 \iff f(R) = R + \alpha R^2, \quad \alpha = \frac{1}{8V_0}$$

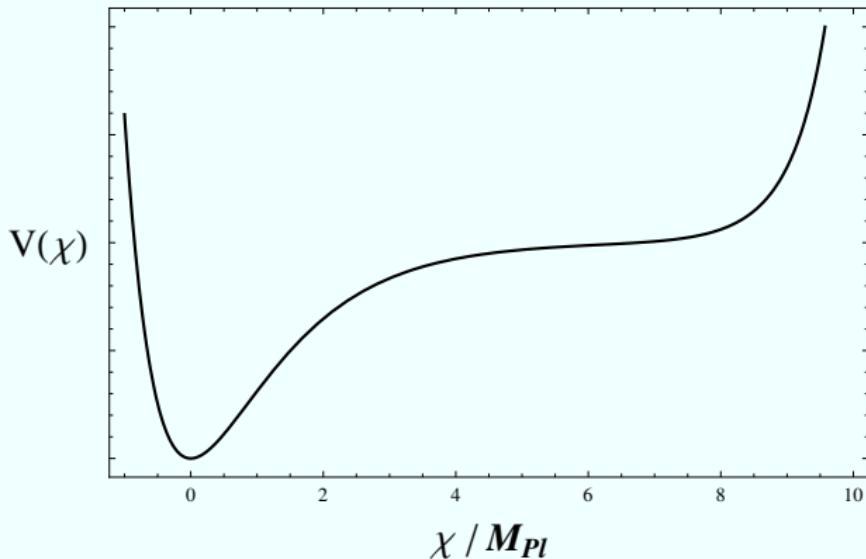
[1502.02114]

# Hints for power suppression at low $\ell$

$$\Delta_s^2(k) \sim (k/k^*)^{n_s - 1}$$



$$V_{inf} = V_0 \left(1 - e^{-\sqrt{\frac{2}{3}}\chi}\right)^2 + \varepsilon e^{\sqrt{\frac{2}{3}}\chi} \quad \Longleftrightarrow \quad f(R) = R + \alpha R^2 + \dots ?$$



Possible to obtain above potential from recently computed higher derivative  $(\alpha')^3$ -corrections in combination with string loop effects.  
*Caveat: Terms and Conditions may apply (ie. tuning)*

# Large Volume Scenario in a nutshell...

Cicoli, Conlon, Burgess, Quevedo

IIB Flux compactifications with K3-fibred  $\mathcal{V}(\tau_1, \tau_2, \tau_3)$ , where  $\tau_1, \tau_2 \gg \tau_3$

$$K = -2 \log \left( \mathcal{V} + \frac{\hat{\xi}}{2} \right) \quad \text{and} \quad W = W_0 + A e^{-a\tau_3},$$

F-term scalar potential is generated for the Kähler moduli:

$$V^{LVS}(\mathcal{V}, \tau_3) = g_s \left[ \frac{8a_3^2 A_3^2}{3\alpha\gamma} \frac{\sqrt{\tau_3}}{\mathcal{V}} e^{-2a_3\tau_3} - 4W_0 a_3 A_3 \frac{\tau_3}{\mathcal{V}^2} e^{-a_3\tau_3} + \frac{3\hat{\xi}W_0^2}{4\mathcal{V}^3} \right]$$

Potential does **not** depend on  $\tau_1$  and  $\tau_2$ .  $V^{LVS}$  has minima to stabilise

$$\langle \tau_3 \rangle = \left( \frac{\hat{\xi}}{2\alpha\gamma} \right)^{2/3}, \quad \langle \mathcal{V} \rangle = \frac{3\alpha\gamma}{4a_3 A_3} W_0 \sqrt{\langle \tau_3 \rangle} e^{a_3 \langle \tau_3 \rangle}$$

# Four-Superspace-Derivative Correction

[1505.03092]

Ciupke, Louis, Westphal

$$V_{(1)} = -g_s^2 \hat{\lambda} \frac{|W_0|^4}{\mathcal{V}^4} \Pi_i t^i \quad \Rightarrow \quad V_{eff} = V^{LVS} + V_{(1)}$$

Recall

$$\mathcal{V} \sim k_{ijk} t^i t^j t^k, \quad \tau_i = \frac{\partial \mathcal{V}}{\partial t^i}$$

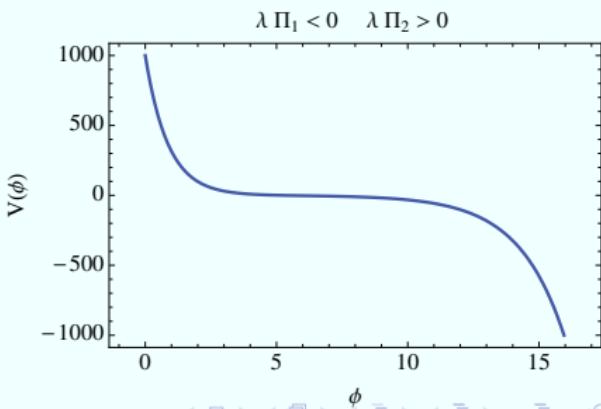
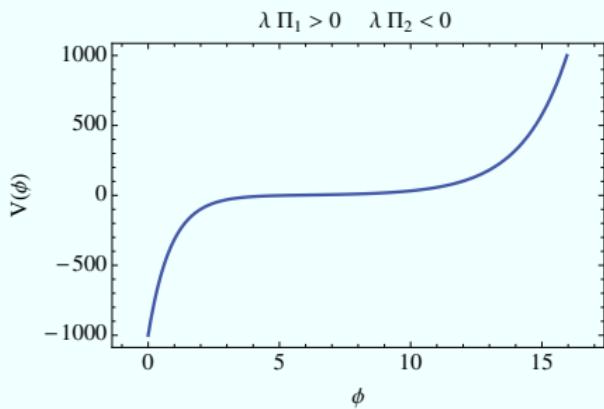
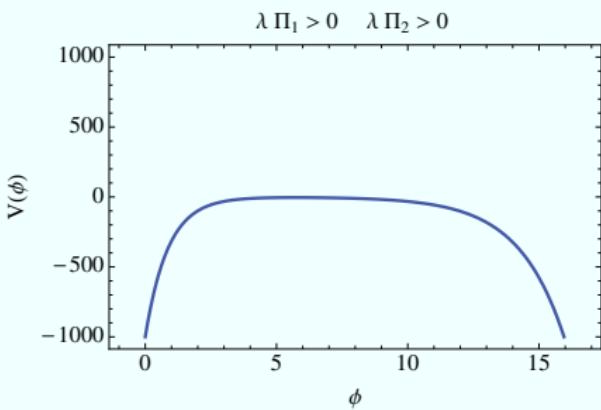
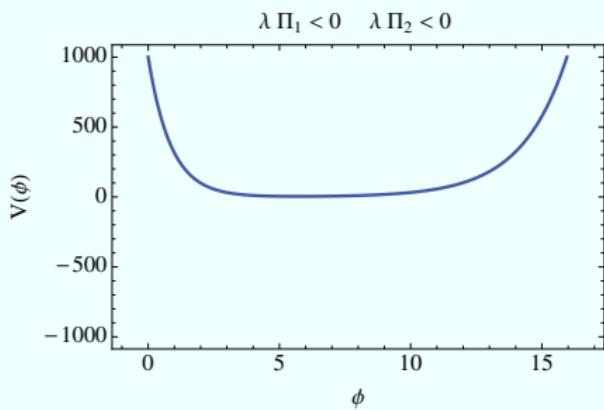
**Non-trivial** to find  $t^i$  as function of  $\tau_i$ . Choose a geometry

$$\mathcal{V} = \alpha \left( \sqrt{\tau_1} \tau_2 - \gamma \tau_3^{3/2} \right)$$

$$V_{(1)} \simeq -g_s^2 \hat{\lambda} \frac{|W_0|^4}{\mathcal{V}^4} \left( \Pi_1 \frac{\mathcal{V}}{\tau_1} + \Pi_2 \lambda_1^{-1/2} \sqrt{\tau_1} \right)$$

$$V_{eff} = V^{LVS} - g_s^2 \hat{\lambda} \frac{|W_0|^4}{\langle \mathcal{V} \rangle^4} \left( \Pi_1 \langle \mathcal{V} \rangle e^{-2/\sqrt{3}\varphi} + \Pi_2 \lambda_1^{-1/2} e^{\varphi/\sqrt{3}} \right)$$

# Possible Inflationary Potentials



# String Loop Corrections

$$V_{eff} + \delta V_{(g_s)} \simeq V^{LVS} + V_{(1)} + \frac{g_s |W_0|^2}{\mathcal{V}^2} \left( g_s^2 \frac{(C_1^{KK})^2}{\tau_1^2} + 2g_s^2 (\alpha C_2^{KK})^2 \frac{\tau_1}{\mathcal{V}^2} \right)$$

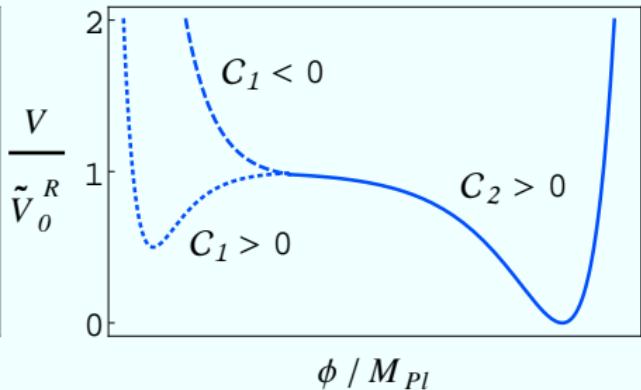
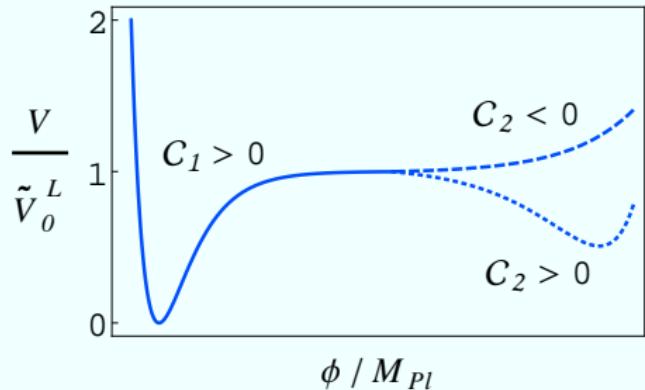
$$V = V_{\delta_{up}}^{LVS} + V_0 \left( -\mathcal{C}_1 e^{-2/\sqrt{3}\varphi} - \mathcal{C}_2 e^{\varphi/\sqrt{3}} + \mathcal{C}_1^{loop} e^{-4/\sqrt{3}\varphi} + \mathcal{C}_2^{loop} e^{2\sqrt{3}\varphi} \right)$$

where we have defined

$$V_0 = g_s^2 \frac{|W_0|^4}{\mathcal{V}^4}, \quad \mathcal{C}_1 = \hat{\lambda} \Pi_1 \mathcal{V}, \quad \mathcal{C}_2 = \hat{\lambda} \Pi_2 \lambda_1^{-1/2},$$

$$\mathcal{C}_1^{loop} = \frac{\mathcal{V}^2}{|W_0|^2} g_s (C_1^{KK})^2 > 0, \quad \mathcal{C}_2^{loop} = \frac{2g_s}{|W_0|^2} (\alpha C_2^{KK})^2 > 0$$

# Viable Inflationary Potentials



$$V_{inf}^L \sim V_0 \left( -\frac{\mathcal{C}_1}{\tau_1} + \frac{\mathcal{C}_1^{loop}}{\tau_1^2} \right)$$

$$V_{inf}^L = \tilde{V}_0^L \left( 1 - e^{-\kappa\phi} \right)^2$$

$$V_{inf}^R \sim V_0 \left( -\mathcal{C}_2 \sqrt{\tau_1} + \mathcal{C}_2^{loop} \tau_1 \right)$$

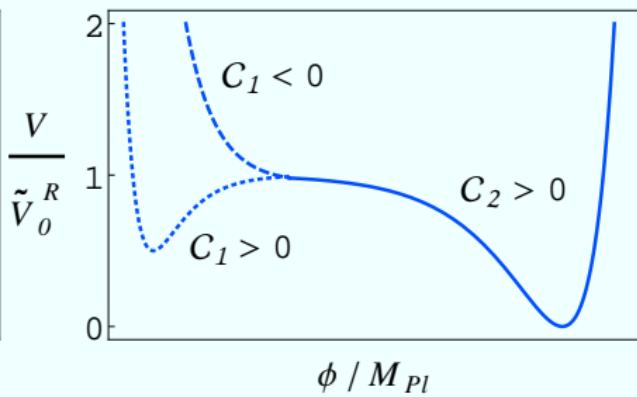
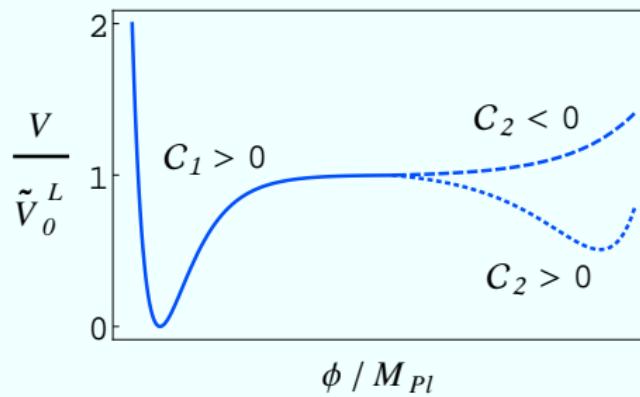
$$V_{inf}^R = \tilde{V}_0^R \left( 1 - e^{\frac{\kappa}{2}\phi} \right)^2$$

# First Order Observables

$$V_{inf} = V_0 \left(1 - e^{\pm\nu\phi}\right)^2 \quad \Rightarrow \quad n_s = 1 - \frac{2}{N}, \quad r \sim \nu^{-2} \frac{8}{N^2}$$

	$n_s(50)$	$n_s(60)$	$r(50)$	$r(60)$
<i>right</i>	0.960	0.967	0.0077	0.0055
<i>left</i>	0.960	0.967	0.0043	0.0016

# Second Order Observables I



## Second Order Observables II

$$V_{inf}^L \sim V_0 \left( -\frac{\mathcal{C}_1}{\tau_1} + \frac{\mathcal{C}_1^{loop}}{\tau_1^2} + \mathcal{C}_2 \sqrt{\tau_1} \right) \Rightarrow \tilde{V}_0^L \left( 1 - 2e^{-\kappa\phi} + \varepsilon^2 e^{\frac{\kappa}{2}\phi} \right)$$

$$n_s = 1 - \frac{2}{N} - \frac{3\sqrt{2}\varepsilon^2\kappa}{\sqrt{N}} + \frac{\varepsilon^2\kappa^3}{\sqrt{2}}\sqrt{N} - \frac{3}{2}\varepsilon^4\kappa^4 N + \dots$$

Considering the  $2\sigma$  bounds by PLANCK,  $\delta n_s \lesssim 0.008$  at  $N = 55$ , obtain

$$\varepsilon^2 \sim \lambda^{-3/2} \mathcal{V}^{-1} \left( g_s^{5/2} \mathcal{V} \right)^{3/2} \Pi_2 \Pi_1^{-5/2} (C_1^{KK})^{3/2} \lesssim 10^{-3}$$

# Second Order Observables III

## Inflation to the Right

$$V_{inf}^R \sim V_0 \left( -\frac{\mathcal{C}_1}{\tau_1} - \mathcal{C}_2 \sqrt{\tau_1} + \mathcal{C}_2^{loop} \tau_1 \right) \Rightarrow V_0^R \left( 1 - 2e^{\frac{\kappa}{2}\phi} + \varepsilon^2 e^{-\kappa\phi} \right)$$

$$n_s = 1 - \frac{2}{N} - 3\varepsilon^2\kappa^4 N + \frac{\varepsilon^2\kappa^6}{2} N^2 + \dots$$

$$\lambda^{-3} \mathcal{V} g_s^{15/2} \Pi_1 \Pi_2^{-4} (C_2^{KK})^6 \lesssim 2.4 \times 10^{-6}$$

**Power-loss:**  $n_s = d \ln P / d \ln k = P^{-1} dP/dN$  and hence

$$\frac{\Delta P(\delta n_s)}{P} \Big|_{N+\Delta N}^N = \int_{N+\Delta N}^N \delta n_s \sim \delta n_s \Delta N \rightarrow 4\%$$

## What you have to ensure...

<i>To the Left</i>	<i>resulting bound</i>
minimum at $\tau_1 \gtrsim 1$	$g_s^{5/2} \mathcal{V}(C_1^{KK})^2 \gtrsim 1$
$\tau_1^{min} < \tau_1^c$	$\mathcal{C}_1^{loop} < \frac{1}{2} \left( \frac{2}{\mathcal{C}_2} \right)^{2/3} \mathcal{C}_1^{5/3}$
PLANCK	$\lambda^2  W_0 ^6 \mathcal{V}^{-4} g_s^{-2} (C_1^{KK})^{-2} \sim 10^{-9}$
<i>To the Right</i>	<i>resulting bound</i>
plateau at $\tau_1 \gtrsim 1$	$2 \frac{g_s^{5/2} (C_2^{KK})^2}{\lambda  W_0 ^2 \Pi_2} \ll 1$
$\tau_1^{min} > \tau_1^c$	$\mathcal{C}_2^{loop} < \left( \frac{\mathcal{C}_2^4}{ \mathcal{C}_1 } \right)^{1/3}$
PLANCK	$\lambda^2  W_0 ^6 \mathcal{V}^{-4} g_s^{-2} (C_2^{KK})^{-2} \sim 5 \times 10^{-9}$

It's not a free lunch :( ... What about masses?

# Mass Hierarchy

Remaining scalars must be heavier than Hubble scale  $H$  during inflation

$$m_{cs}^2, m_S^2, m_{\tau_3}^2 \sim g_s \frac{|W_0|^2}{\mathcal{V}^2}$$

Overall volume  $\mathcal{V}$

$$m_{\mathcal{V}}^2 \sim g_s \frac{|W_0|^2}{\mathcal{V}^3}$$

One must therefore make sure that

$$m_{\mathcal{V}}^2 \gg H^2 \sim V$$

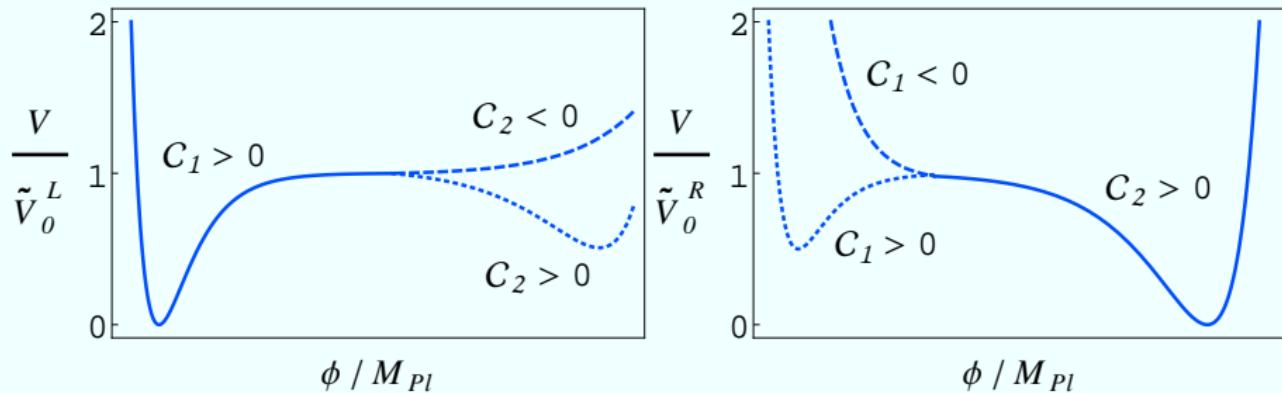
such that no other field than the fibre modulus  $\tau_1$  plays a role in inflation.

## Numerical Examples

	$W_0$	$g_s$	$\mathcal{V}$	$\tau_1^{min}$	$\Pi_1$	$\Pi_2$	$C_1^{KK}$	$C_2^{KK}$	$n_s$
$\mathcal{R}_1$	5	0.2	625.5	3000	0	100	0.00242	0.799	0.968
$\mathcal{R}_2$	25	0.3	1886.2	3500	0	10	0.000859	0.732	0.967
$\mathcal{L}_1$	2	0.3	460	3	100	1	0.163	0.0288	0.966
$\mathcal{L}_2$	5	0.4	1031.6	6	50	0	0.189	0.0266	0.969

**Table:** Examples of compactifications parameters and inflationary observables for inflation to the left ( $\mathcal{L}_1$  and  $\mathcal{L}_2$ ) and to the right ( $\mathcal{R}_1$  and  $\mathcal{R}_2$ ).

# Conclusions I



Possible to obtain above potentials from recently computed higher derivative  $(\alpha')^3$ -corrections in combination with string loop effects.

## Part II

# $f(R)$ in a Nutshell

Consider

$$R \rightarrow f(R)$$

Weyl-transform via

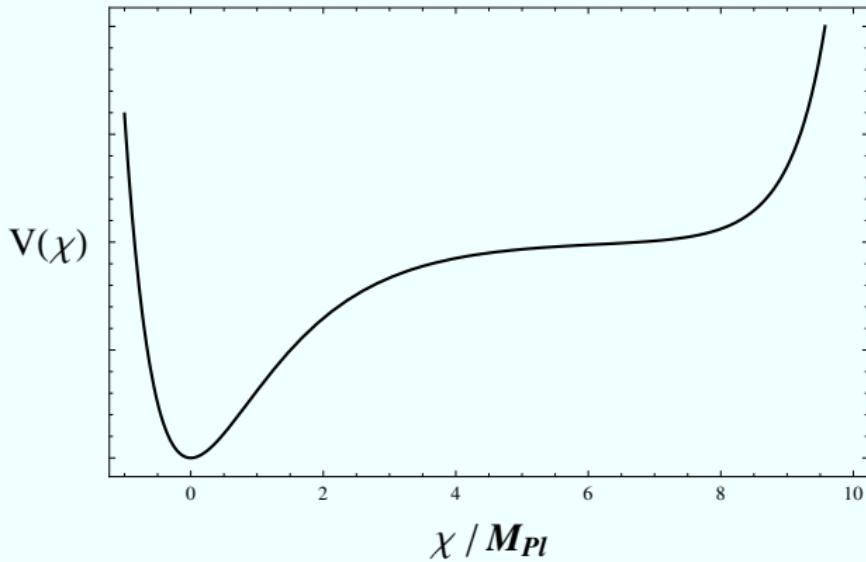
$$\tilde{g}_{\mu\nu} = \frac{\partial f}{\partial R} g_{\mu\nu}$$

and obtain

$$\frac{\mathcal{L}}{\sqrt{-\tilde{g}}} = \frac{\tilde{R}}{2} - \frac{1}{2} (\partial\chi)^2 - V(\chi),$$

for  $\chi \equiv \sqrt{3/2} \ln f'$  with potential

$$V(\chi) = \frac{f'(R) R - f(R)}{2f'(R)^2}$$



Corresponding  $f(R)$  - dual is to leading order  $R^n$  with  $1 < n < 2$ .

# The Potential at Large Fields

If potential at large field values is

$$V(\chi) \sim V_0 e^{n \kappa \chi},$$

with  $n \geq 1$ , have to solve differential equation

$$V_0 f'^n = \frac{f' R - f}{2 f'^2}.$$

Obtain asymptotic solution

$$f(R) \sim R^{(n+2)/(n+1)} + \dots$$

# An exact $f(R)$ - Toy Model

Consider

$$V(\chi) = V_0 \left[ \left( 1 - e^{-\sqrt{2/3}\chi} \right)^2 + \varepsilon e^{\sqrt{2/3}\chi} \right] - \varepsilon V_0$$

to obtain exact

$$f(R) = \frac{\varepsilon - 1}{3\varepsilon} R + 4\varepsilon V_0 \left[ \frac{(1-\varepsilon)^2}{9\varepsilon^2} + \frac{2}{3\varepsilon} + \frac{R}{6\varepsilon V_0} \right]^{3/2} + K$$

Taylor expanding for  $\varepsilon \rightarrow 0$  recovers Starobinsky coefficients, e.g.

$$\lim_{\varepsilon \rightarrow 0} c_2 = \frac{1}{8V_0}$$

Need  $\varepsilon \lesssim \mathcal{O}(10^{-4})$  for  $n_s \sim 0.97$

# A non-zero $\Lambda$ for free?

It is easy to show that when  $V(\mathbf{0}) = \mathbf{0}$

$$f(R|_{\chi=0}) = R|_{\chi=0} = 2\varepsilon V_0$$

Checklist for  $f(R)$

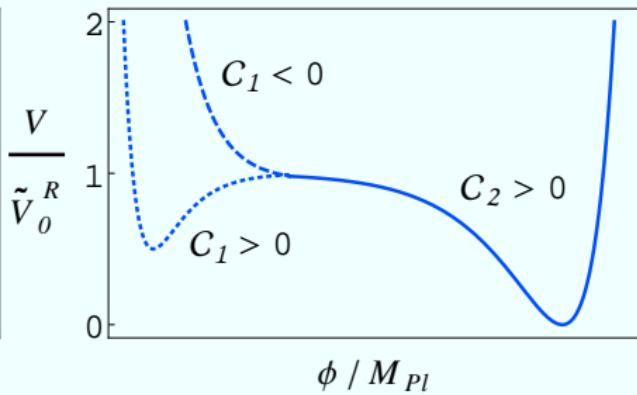
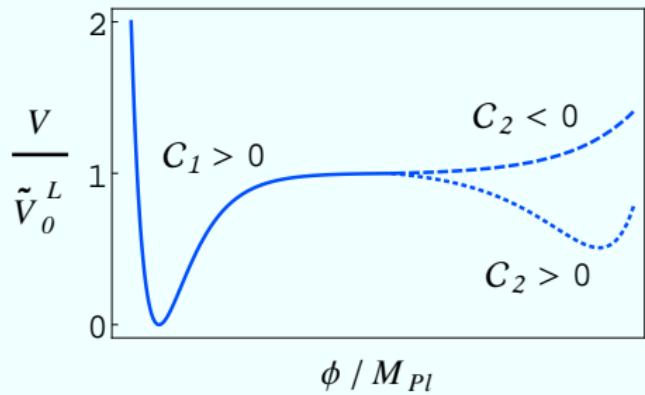
$$f(0) = 0, \quad f(R), f'(R) > 0 \quad \forall R > 0$$

At first attempt, **not possible** to have both

$$f(R|_{\chi=0}), f(0) = 0$$

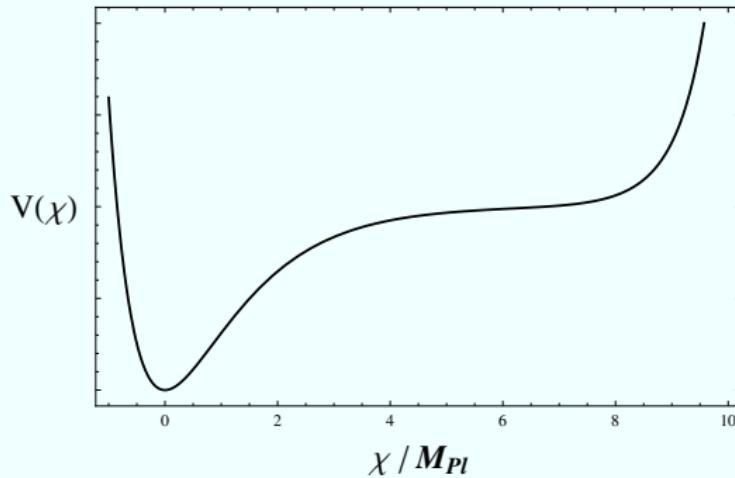
at the same time (e. g. shifting  $\chi \rightarrow \chi + \chi_0$  or adjusting  $K$ )

## Recap: Conclusions I



Possible to obtain above potentials from recently computed higher derivative  $(\alpha')^3$ -corrections in combination with string loop effects.

## Conclusions II



Corresponding  $f(R)$  - dual is to leading order  $R^n$  with  $1 < n < 2$ .

**Thank you very much for your attention!**