

# Proton Structure and PDFs from HERA

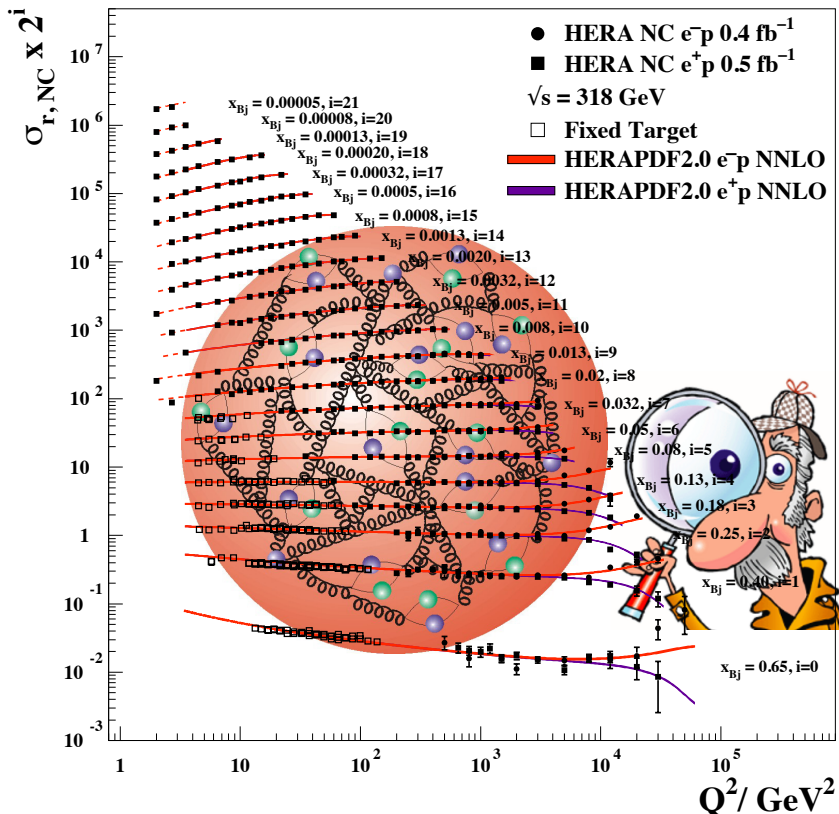


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on behalf of the H1 and ZEUS Collaborations

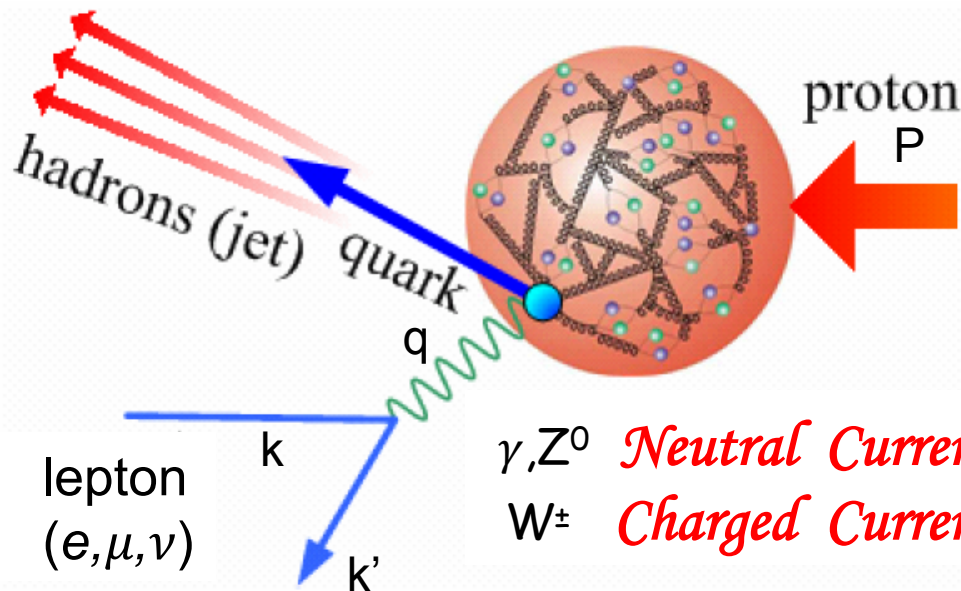


## H1 and ZEUS



- DIS / Proton SF / PDFs
- H1&ZEUS inclusive data at HERA
- Combination of all NC&CC at HERA
- $F_2$ ,  $F_2^{\gamma Z}$ ,  $xF_3^{\gamma Z}$ ,  $F_L$ ,  $\sigma_{CC}^{\text{tot}}$ , ...
- HERAPDF 2.0 and its variants
- also with charm and jet HERA data;  $\alpha_s$

# Proton Structure Functions in Deep-Inelastic $ep/\mu p/\nu p$ Scattering (DIS)



→ inclusive DIS cross section depends on three kinematical variables:

$$\begin{aligned}
 Q^2 = -q^2 = -(k-k')^2 & \text{ virtuality of } \gamma^*, Z^0, W \\
 x = Q^2/2(Pq) & \text{ Bjorken } x \\
 y = (Pq)/(Pk) & \text{ inelasticity} \\
 Q^2 = sxy & \quad s=(k+P)^2
 \end{aligned}$$

$\gamma, Z^0$  **Neutral Current (NC):**  $ep \rightarrow eX, \mu p \rightarrow \mu X, \nu p \rightarrow \nu X$

$W^\pm$  **Charged Current (CC):**  $ep \rightarrow \nu X, \mu p \rightarrow \nu X, \nu p \rightarrow \mu X$

→ inclusive cross section can be expressed via three proton structure functions, e.g.

$$\tilde{\sigma}_{NC}^\pm \equiv \frac{d^2\sigma_{NC}^{e^\pm p}}{dx dQ^2} \frac{xQ^4}{2\pi\alpha^2} \frac{1}{Y_\pm} \equiv \tilde{F}_2 - \frac{y^2}{Y_+} \tilde{F}_L \mp \frac{Y_-}{Y_+} x\tilde{F}_3$$

$$Y_\pm = 1 \pm (1-y)^2$$

# QCD and Parton Distribution Functions (PDFs)

according to the QCD **factorisation theorem** for hard processes  $\sigma = \hat{\sigma} \otimes \text{PDF}$  where universal PDFs containing long-distance structure of the proton can be measured at initial scale  $Q_0^2$  and then be calculated at any other scale  $Q^2$  using the QCD evolution equations, e.g. DGLAP.

In DIS inclusive cross sections are sums over partons in the proton:

$F_2$  - over quarks and antiquarks,  $xF_3$  - over valence quarks

$$F_2(x, Q^2) = \sum A_q(xq + \bar{x}\bar{q}) \quad xF_3(x, Q^2) = \sum B_q(xq - x\bar{q})$$
$$F_L(x, Q^2) \propto \alpha_s \cdot xg \quad \text{is a pure QCD effect (in QPM } F_L = 0 \text{)}$$

The universal parton distribution functions (of quarks of different flavor and gluon) in the proton measured in DIS can be applied to other hard processes which include proton, e.g. pp collisions at LHC

→ PDFs are determined in QCD fits to measured cross sections

→ HERA inclusive DIS data are obligatory input to any modern QCD PDF analysis

→ for HERAPDF the HERAFitter platform is used:



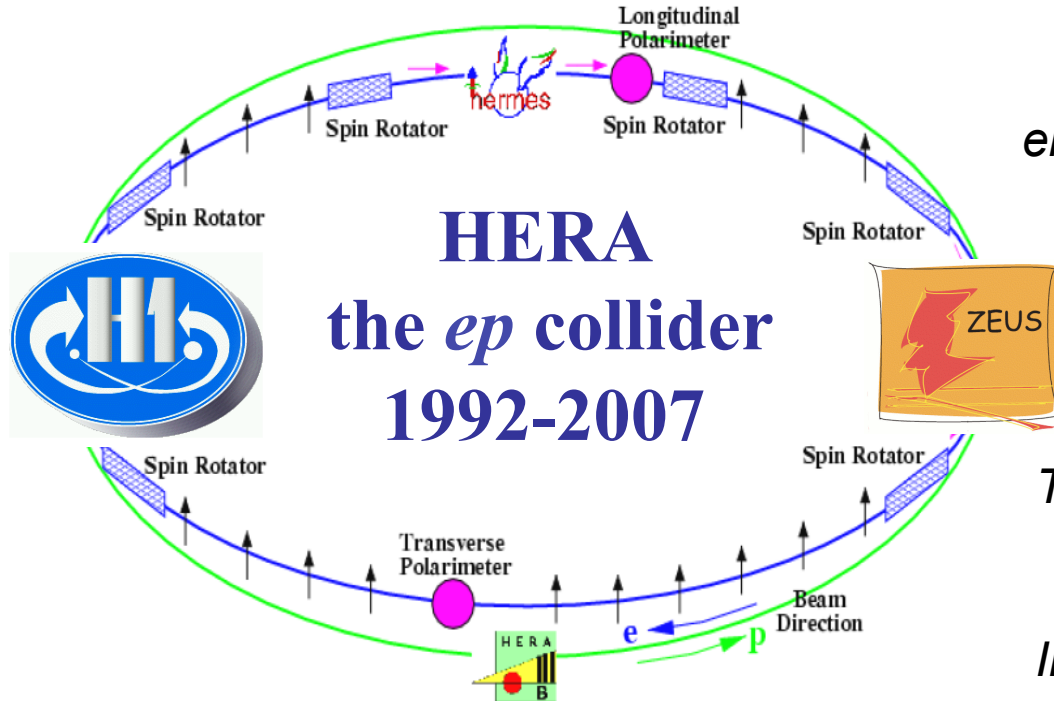
# The only $ep$ collider HERA

15 years (1992-2007) of operation  
at DESY in Hamburg

electrons & positrons of  $E_e = 27.5$  GeV  
collided with protons of  
 $E_p = 920, 820, 575$  and 460 GeV  
corresponding to  
 $\sqrt{s} = 319, 301, 251$  and 225 GeV

Two multi-purpose collider experiments  
H1 & ZEUS collected in total  $1 \text{ fb}^{-1}$ .

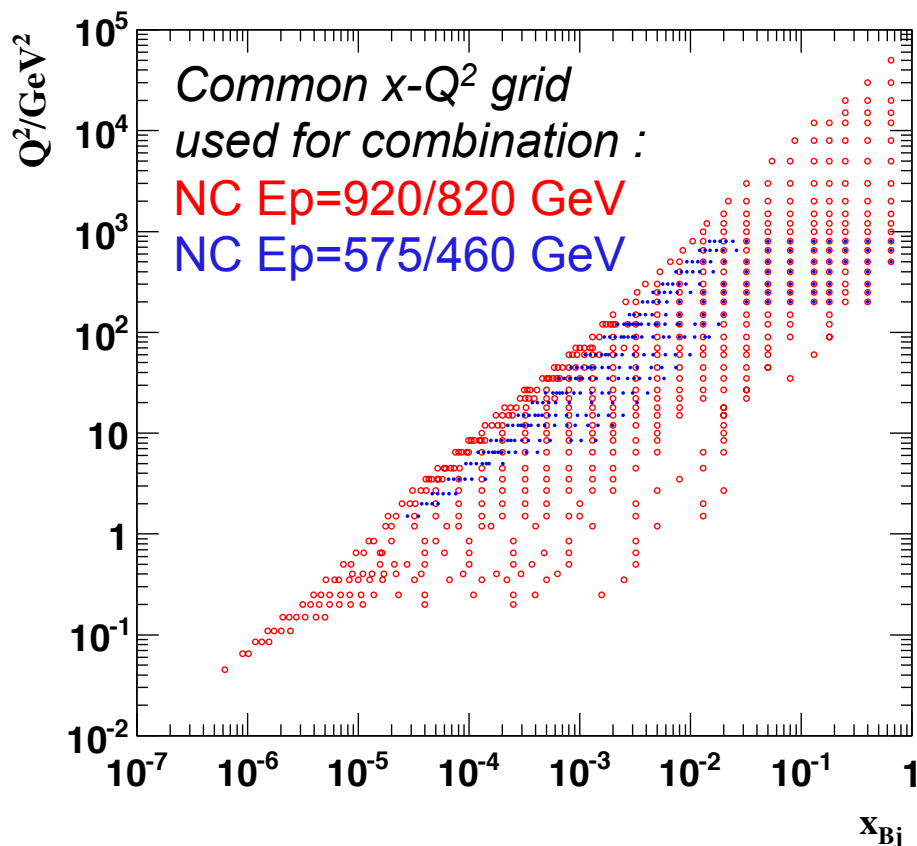
In the second phase of HERA operation  
(2003-2007) the lepton beam was  
longitudinally polarized ( $\sim 40\%$ ).



# NC and CC inclusive data sets at HERA

41 NC and CC data sets from H1 and ZEUS corresponding to  $1 \text{ fb}^{-1}$   
 $0.045 \leq Q^2 \leq 50000 \text{ GeV}^2$ ,  $6 \cdot 10^{-7} \leq x \leq 0.65$

## H1 and ZEUS



*21 data sets from HERA I*

*NC & CC at  $E_p=920$  and  $820 \text{ GeV}$*

*and 20 data sets from HERA II*

*12 NC & CC sets at  $E_p=920 \text{ GeV}$*

*4 NC sets at  $E_p=575 \text{ GeV}$*

*4 NC sets at  $E_p=460 \text{ GeV}$*

These data sets are collected during 15 years with changing detectors and different focus. They are sometimes very precise, sometimes less. It is difficult to handle them properly, e.g. in view of possible correlations

→ combine them into one coherent data set as it was done for HERA I before

(JHEP 1001:109, 2010 and HERAPDF 1.0)

# Averaging Procedure

The combination of all H1 and ZEUS unpolarized NC and CC data is performed using **HERAverager** ([wiki-zeuthen.desy.de/HERAverager](http://wiki-zeuthen.desy.de/HERAverager))

- the data points are moved to common  $x, Q^2$  grid (previous slide)
- in each grid point the same cross section is expected for each measurement
- all 162 systematic sources of uncertainties are treated as multiplicative in one simultaneous minimization of  $\chi^2$
- expert knowledge in the treatment of the correlations between individual data sets is taken into account

The following  $\chi^2$  definition is used:

$$\chi_{\text{exp,ds}}^2(m, b) = \sum_{i,ds} + \sum_{j,b} = \sum_i \frac{\left[ m^i - \sum_j \gamma_j^i m^i b_j - \mu^i \right]^2}{\delta_{i,\text{stat}}^2 \mu^i \left( m^i - \sum_j \gamma_j^i m^i b_j \right) + \left( \delta_{i,\text{uncor}} m^i \right)^2} + \sum_j b_j^2$$

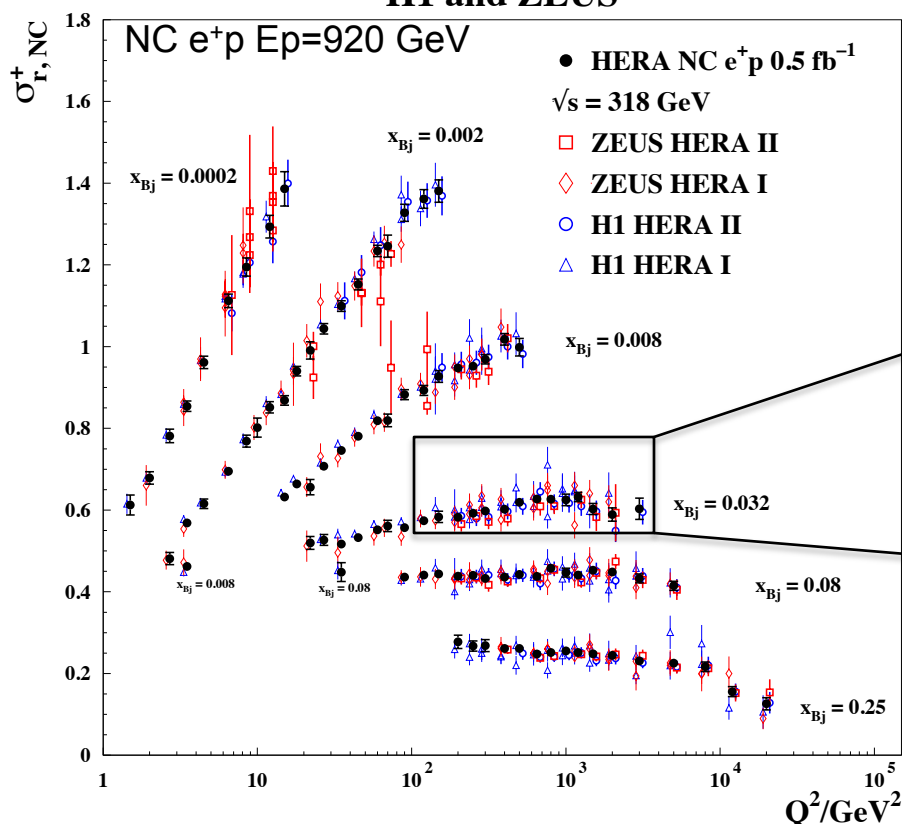
7 additional procedural errors correspond to:

multiplicative vs. additive, correlation over all data sets of photoproduction bkg and hadronic energy scale uncertainties and in addition 4 procedural errors related to cross correlations between different syst. uncertainties

# Averaging of all NC and CC data at HERA

2927 cross sections are combined to 1307 points with 169 correlated systematic errors  
and  $\chi^2/ndf = 1685/1620$

## H1 and ZEUS



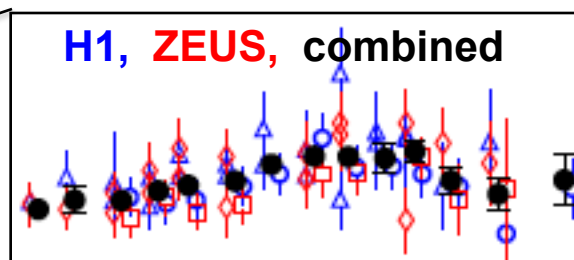
arxiv:

Coherent set of  $e^+p$  NC&CC at three  $\sqrt{s}$  :

→ [www.desy.de/h1zeus/herapdf20](http://www.desy.de/h1zeus/herapdf20)

→ precise, complete and easy in use

→ with reduced stat. and syst. errors



$e^+p$  NC&CC ( $E_p=920$  GeV)

$e^+p$  NC ( $E_p = 820, 575, 460$  GeV)

$0.045 \leq Q^2 \leq 50000$  GeV<sup>2</sup>,  $6 \cdot 10^{-7} \leq x_{Bj} \leq 0.65$

total unc. < 1.5% for  $Q^2$  up to 500 GeV<sup>2</sup>

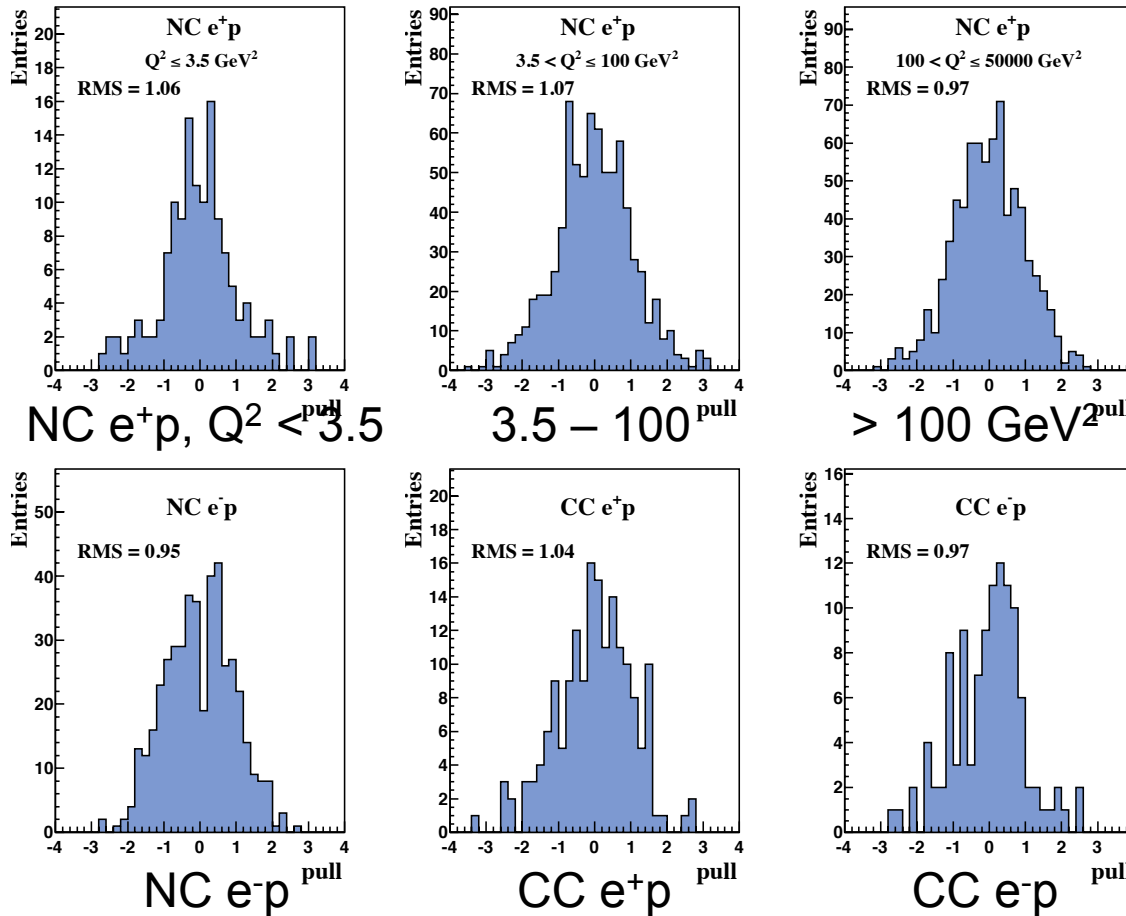
→ up to 6 measurements are combined into one averaged point

→ correlated shift are propagated to all points (even measured only by one exper.)



# Perfect consistency of the input data sets

## H1 and ZEUS



Very good overall (close to one)  
 $\chi^2/d.o.f. = 1685/1620$

Checks in different corners of the phase space show consistency with expected one sigma gaussian distribution of pulls.

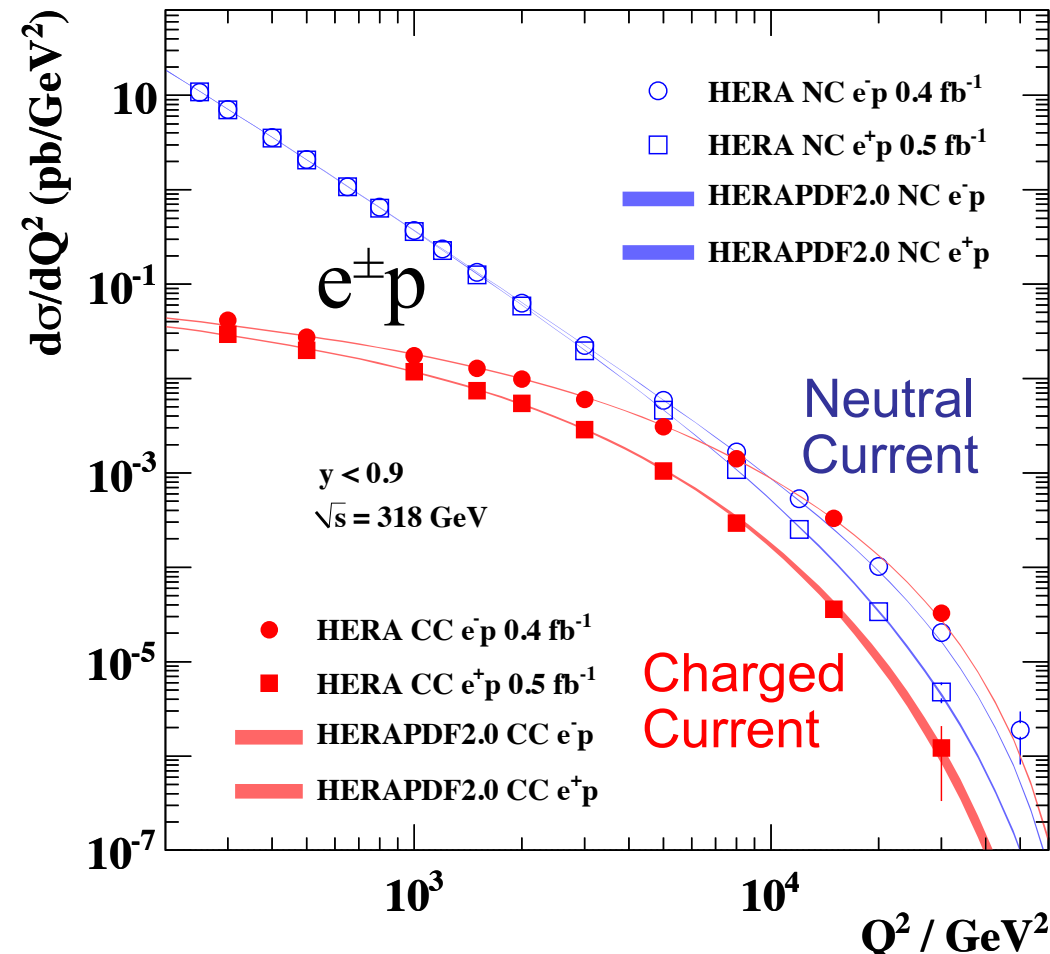
Pulls are defined as

$$p^{ik} = \frac{\mu^{ik} - \mu^i (1 - \sum_j \gamma_j^{ik} b'_j)}{\sqrt{\Delta_{ik}^2 - \Delta_i^2}}$$



# Combined NC and CC ( $d\sigma/dQ^2$ )

## H1 and ZEUS



## electroweak unification

$$\sigma_{\text{NC}} \approx \sigma_{\text{CC}} \quad \text{at} \quad Q^2 \approx M_Z^2, M_W^2$$

remaining differences are due to

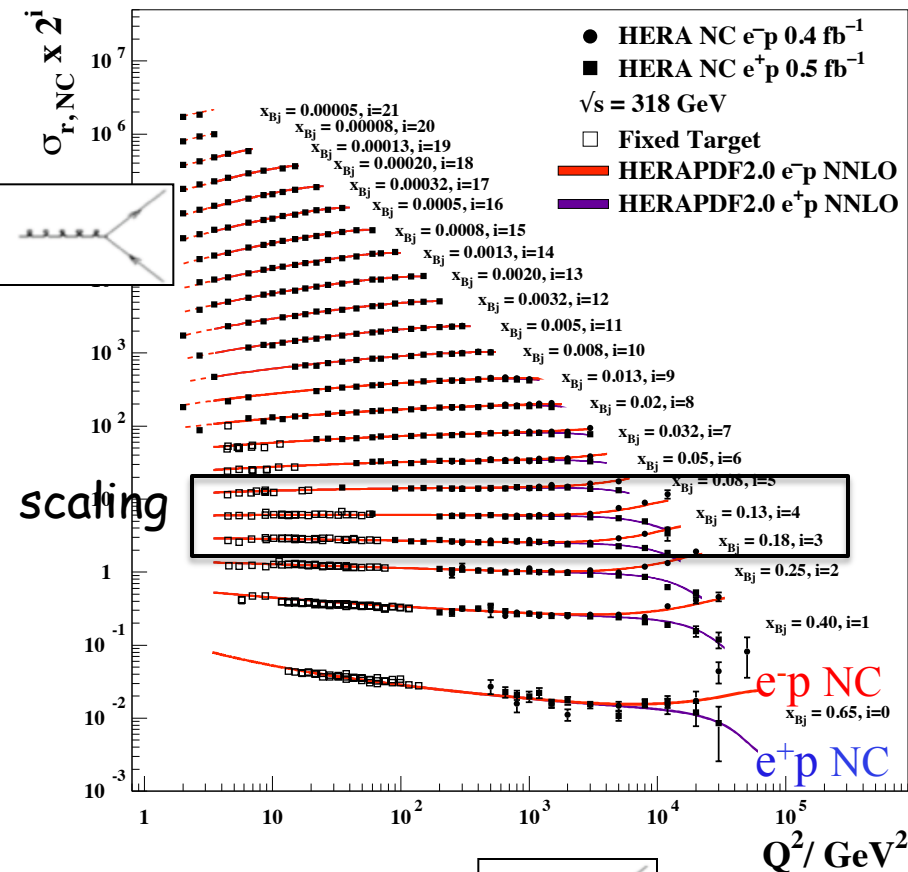
- $x F_3$  (NC) related to valence  $u_v, d_v$
- $u, d$  quarks content of the proton and helicity factors (CC)

→ probe distances of  $10^{-18}$  m

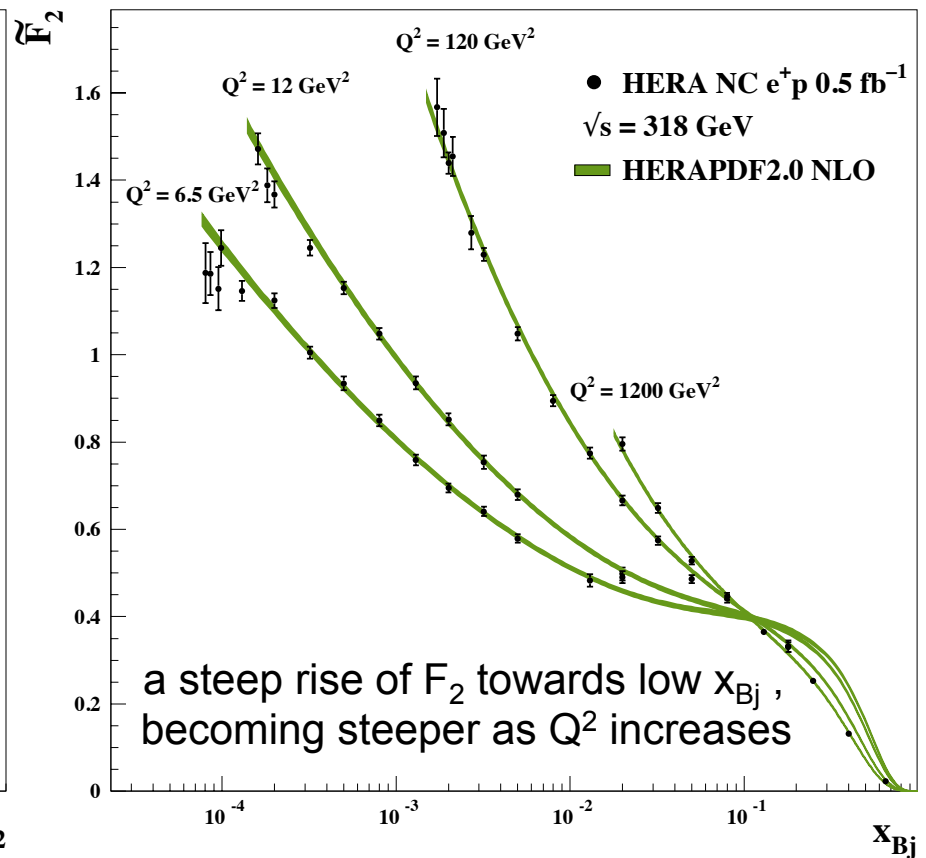
# Proton structure function $F_2$

$F_2$  scaling (independence of  $Q^2$ ) at moderate  $x$  and scaling violations at high  $x_{Bj}$  and low  $x_{Bj}$  due to gluon emission and gluon splitting

H1 and ZEUS



H1 and ZEUS



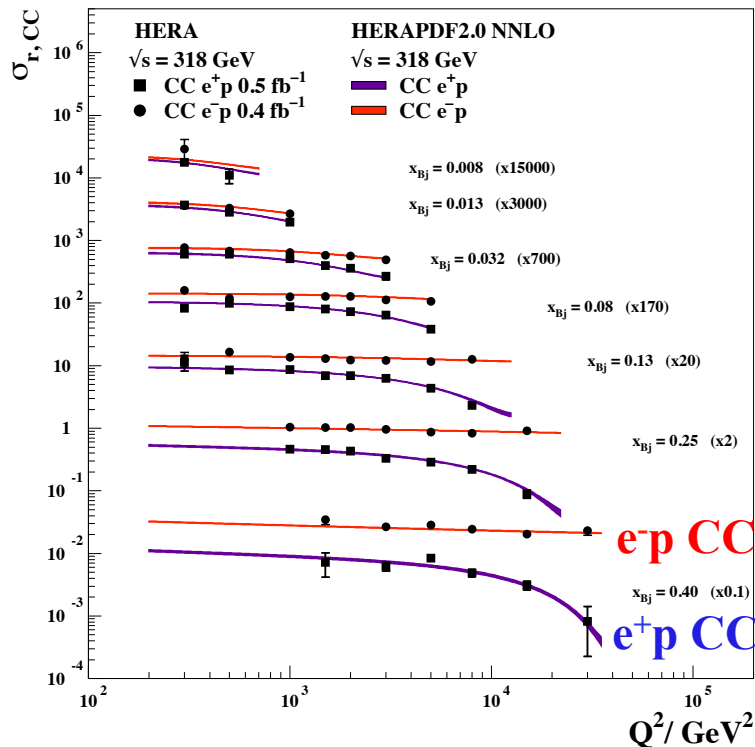
# $e^\pm p$ CC probe u/d composition of proton

$$\tilde{\sigma}_{CC} = \frac{2\pi x}{G_F^2} \left[ \frac{M_W^2 + Q^2}{M_W^2} \right]^2 \frac{d^2\sigma_{CC}}{dx dQ^2}$$

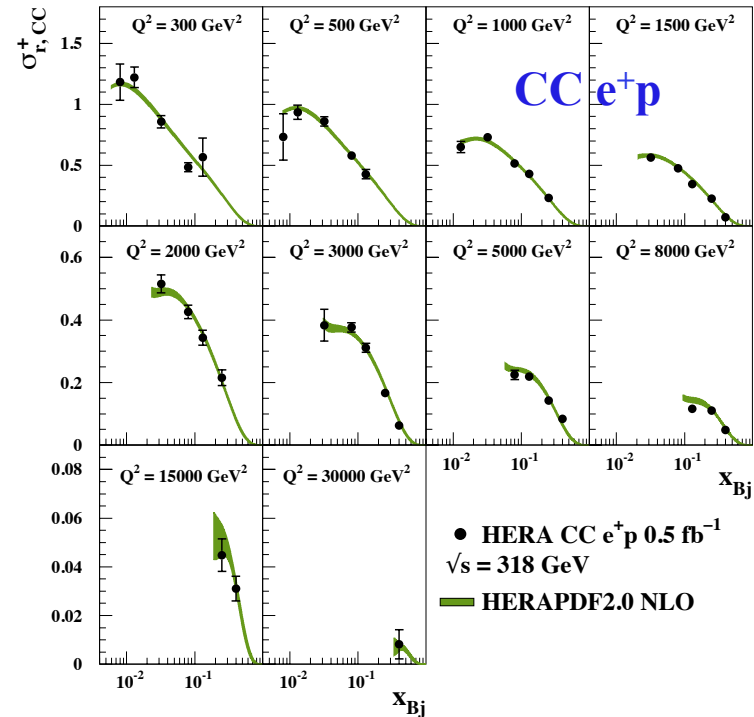
$$\tilde{\sigma}_{CC}^+ \sim (x\bar{u} + x\bar{c}) + (1-y)^2 (x\textcolor{red}{d} + xs)$$

$$\tilde{\sigma}_{CC}^- \sim (x\textcolor{red}{u} + xc) + (1-y)^2 (x\bar{d} + x\bar{s})$$

H1 and ZEUS



H1 and ZEUS



$e^+p$  CC at high  $x$  is related to  $d$ -quark ( $Q^2$  dependence is due to helicity factor  $(1-y)^2$ )

$e^-p$  CC is dominated by  $u$ -quark and depends weakly on  $Q^2$  at given  $x$

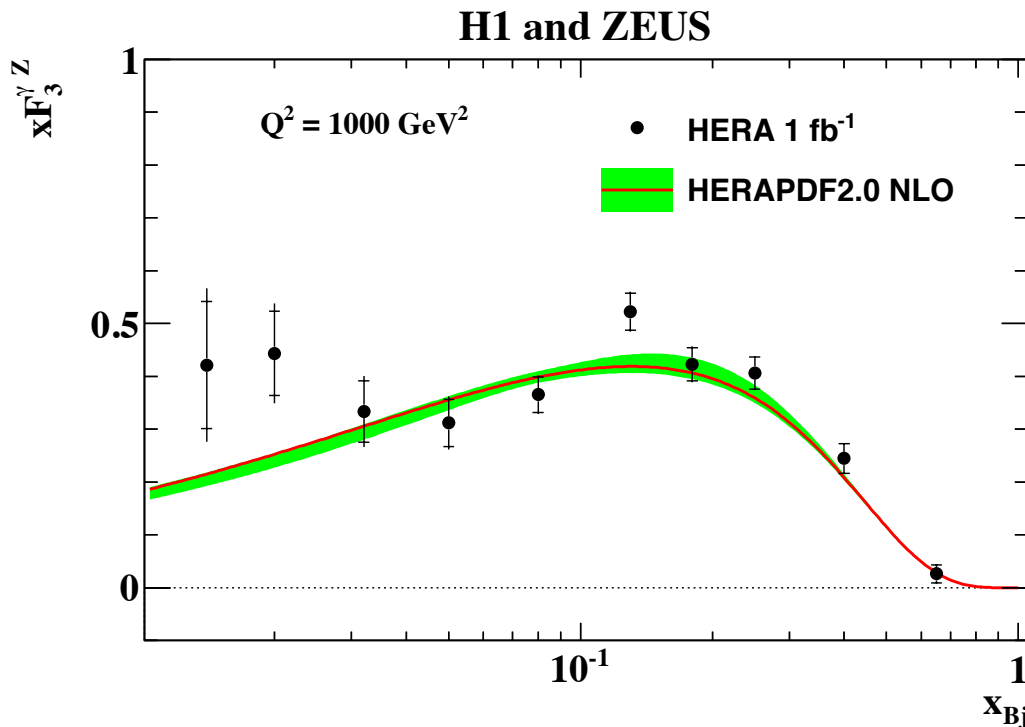
# $e^\pm p$ NC: lepton charge dependence and $xF_3$

$$xF_3^{\tilde{}} = \frac{Y_+}{2Y_-} (\tilde{\sigma}_{NC}^- - \tilde{\sigma}_{NC}^+)$$

charge asymmetry of unpolarised  $e^\pm p$  NC cross sections is mostly due to  $\gamma Z$  interference

$$xF_3^{\gamma Z} = -xF_3^{\tilde{}} \cdot (Q^2 + M_Z^2) / (a_e \kappa Q^2)$$

$$\kappa^{-1} = 4 \frac{M_W^2}{M_Z^2} \left( 1 - \frac{M_W^2}{M_Z^2} \right)$$



transform the  $xF_3^{\gamma Z}(x, Q^2)$  measurements to  $Q^2 = 1000 \text{ GeV}^2$  and average them to get  $xF_3^{\gamma Z}(x)$  at  $Q^2 = 1000 \text{ GeV}^2$

→ related to valence quark:

$$F_3^{\gamma Z} \approx (2u_v + d_v)/3$$

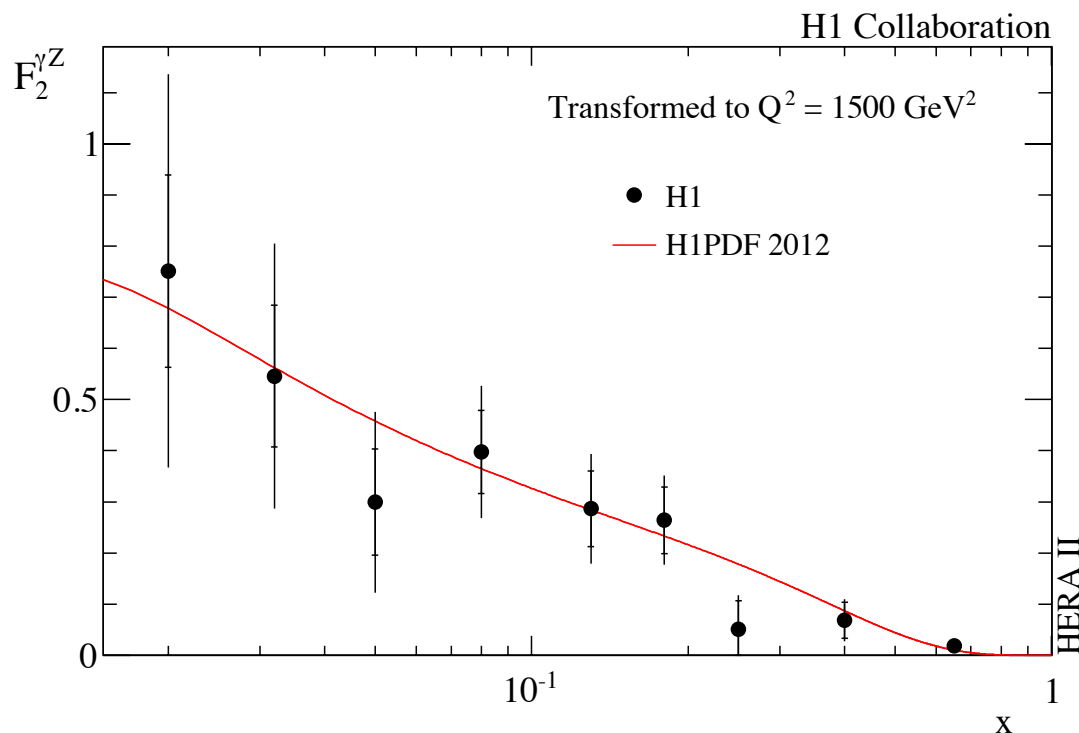
→ integration over the measured range  $0.016 < x_{Bj} < 0.725$  gives  
 $1.165 \pm 0.042 - 0.053$  for data and  
 $1.314 \pm 0.057(\text{stat}) \pm 0.057(\text{syst})$  using  
 HERAPDF2.0

# NC: The parity violating structure function $F_2^{\gamma Z}$

$$\frac{\sigma^\pm(P_L^\pm) - \sigma^\pm(P_R^\pm)}{P_L^\pm - P_R^\pm} = \frac{\kappa Q^2}{Q^2 + M_Z^2} \left[ \mp a_e F_2^{\gamma Z} + \frac{Y_-}{Y_+} v_e x F_3^{\gamma Z} - \frac{Y_-}{Y_+} \frac{\kappa Q^2}{Q^2 + M_Z^2} (v_e^2 + a_e^2) x F_3^Z \right]$$

taking the difference for  $e^+p$  and  $e^-p$ , the terms with  $x F_3^{\gamma Z}$  and  $x F_3^Z$  cancel and  $F_2^{\gamma Z}$  can be directly extracted from measured polarised cross sections

$$\kappa^{-1} = 4 \frac{M_W^2}{M_Z^2} \left( 1 - \frac{M_W^2}{M_Z^2} \right)$$



**longitudinal polarisation** of e-beam :

$P_e = (N_r - N_l) / (N_r + N_l)$ , where

$N_l (N_r)$  - number of left- (right-) handed leptons in e-beam

“left” and “right” data periods are with

$P_L = \langle P_e \rangle$  below zero ( $>0$ )

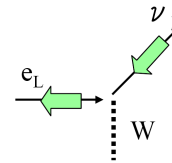
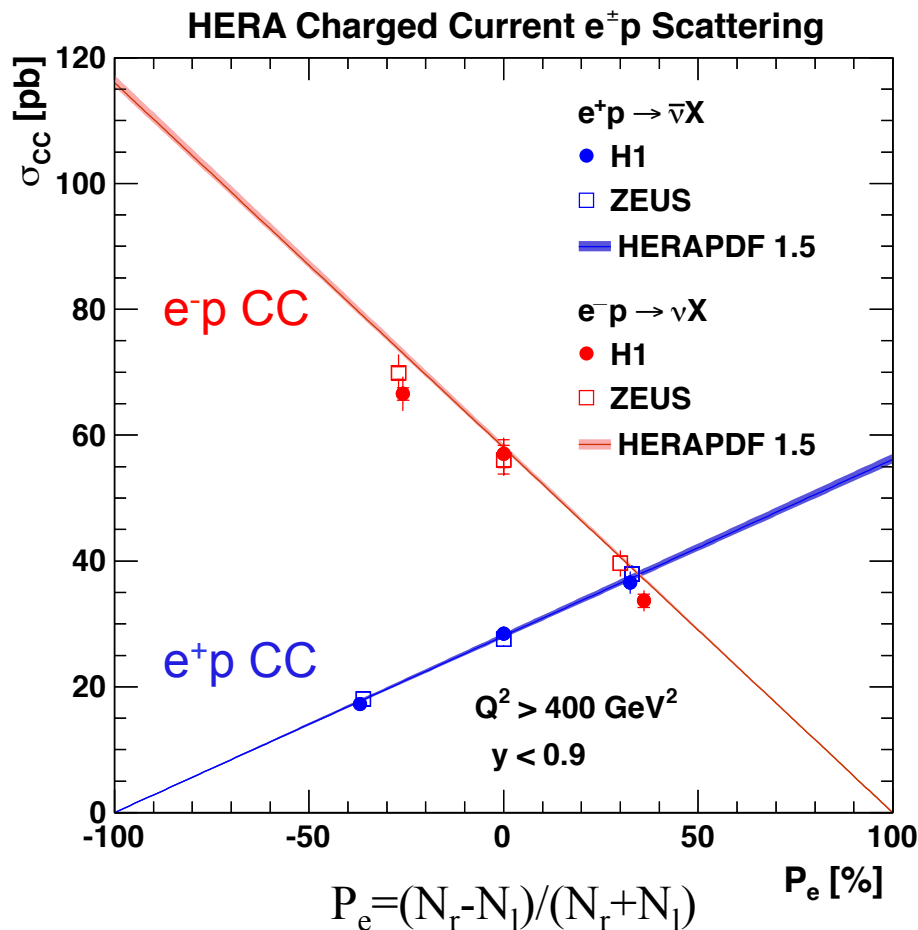
$P_R = \langle P_e \rangle$  above zero ( $<0$ )

transform the  $F_2^{\gamma Z}(x, Q^2)$  measurements to  $Q^2 = 1500 \text{ GeV}^2$  and average them to get  $F_2^{\gamma Z}(x)$  at  $Q^2 = 1500 \text{ GeV}^2$

$$\rightarrow F_2^{\gamma Z} = \sum 2e_q v_q (xq + x\bar{q})$$

# Probe (V-A) structure of CC

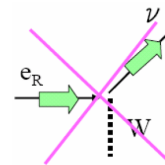
**Polarisation dependence of the total CC cross section ( $Q^2 > 400 \text{ GeV}^2$ ,  $y < 0.9$ )**



V-A structure of CC  
(pure left-handed)

$$\sigma_{CC}^{e^\pm p} = (1 \pm P_e) \sigma_{CC}^{e^\pm p} (P_e = 0)$$

linear dependence with  $\sigma=0$  intercept  
at  $P_e=1$  for  $e^-p$  and  $P_e=-1$  for  $e^+p$

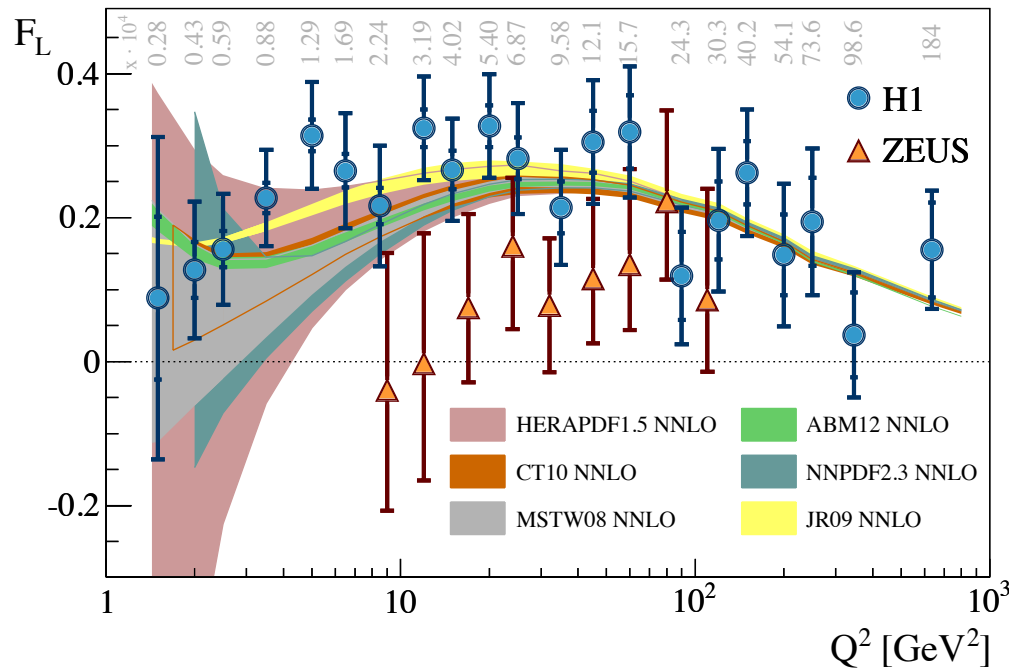


→ absence of right-handed  
weak currents

# Longitudinal structure function $F_L$

$F_L$  is a pure QCD effect sensitive to gluon density  $F_L(x, Q^2) = \frac{\alpha_s}{4\pi} x^2 \int_x^1 \frac{dz}{z^3} \left[ \frac{16}{3} F_2 + 8 \sum_q e_q^2 \left(1 - \frac{x}{z}\right) \cdot xg \right]$

H1 and ZEUS



Consistency of the H1 and ZEUS  $F_L$  data was checked accounting for corr. errors:  $\chi^2/\text{ndf}=11/8$  ( $p\text{-value}=20\%$ ).

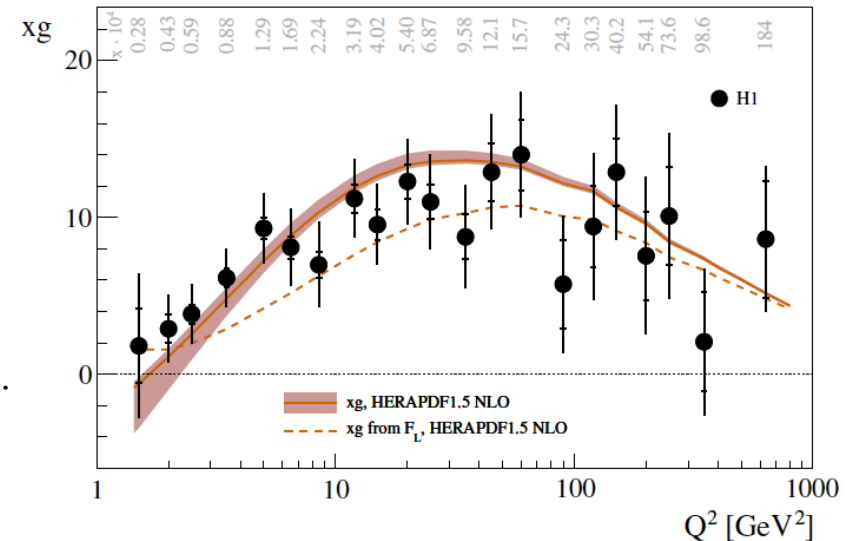
$$R = \sigma_L / \sigma_T = F_L / (F_2 - F_L) = 0.23 \pm 0.04 \text{ (H1, } 1.5 \leq Q^2 \leq 800 \text{ GeV}^2)$$

$$R = 0.105 + 0.055 - 0.037 \text{ (ZEUS, } 9 \leq Q^2 \leq 110 \text{ GeV}^2)$$

approximate relation between  $F_L$  and gluon (order of  $\alpha_s$ , with  $a=1$ )

$$xg(x, Q^2) \approx 1.77 \frac{3\pi}{2\alpha_s(Q^2)} F_L(ax, Q^2)$$

H1 Collaboration





# HERAPDF2.0 QCD Fit

**The combined  $e^\pm p$  NC/CC HERA data set is the only input**

- no nuclear, heavy target or HT corrections, consistency of data,  $\Delta\chi^2 = 1$  criterion
- parametrisation of PDFs at starting scale  $Q_0^2=1.9 \text{ GeV}^2$  with 14 free parameters

$$xg(x) = A_g x^{B_g} (1-x)^{C_g} - A'_g x^{B'_g} (1-x)^{C'_g},$$

$$xu_v(x) = A_{uv} x^{B_{uv}} (1-x)^{C_{uv}} (1 + E_{uv} x^2), \quad x\bar{U}(x) = A_{\bar{U}} x^{B_{\bar{U}}} (1-x)^{C_{\bar{U}}} (1 + D_{\bar{U}} x),$$

$$xd_v(x) = A_{dv} x^{B_{dv}} (1-x)^{C_{dv}}, \quad x\bar{D}(x) = A_{\bar{D}} x^{B_{\bar{D}}} (1-x)^{C_{\bar{D}}}.$$

- QCD evolution of PDFs using DGLAP equations at NLO and NNLO
- Thorne-Roberts general mass variable-flavor-number scheme RTOPT (as used in MMHT)
- default  $Q_{\min}^2=3.5 \text{ GeV}^2$ ,  $f_s=0.40$
- $M_c$  and  $M_b$  values are optimized using HERA charm and beauty data
- $\alpha_s(M_Z^2)=0.118$  is consistent with HERA jet data

→ available on LHAPDF:

**HERAPDF2.0 at NLO and NNLO**

also with a scan of  $\alpha_s(M_Z^2)$  from 0.110 to 0.130 in steps of 0.001

additional PDF sets :

HERAPDF2.0HiQ2 at NLO and NNLO -  $Q_{\min}^2=10 \text{ GeV}^2$

HERAPDF2.0AG at LO, NLO and NNLO - alternative gluon parameterisation (strictly positive)

HERAPDF3.0FF3A and FF3B - fixed flavor number schemes at NLO

# Uncertainties of HERAPDF2.0

Three types of PDF uncertainties are considered:

## Experimental uncertainty band

- Hessian method with  $\Delta\chi^2 = 1$  verified by MC method - replicas of data

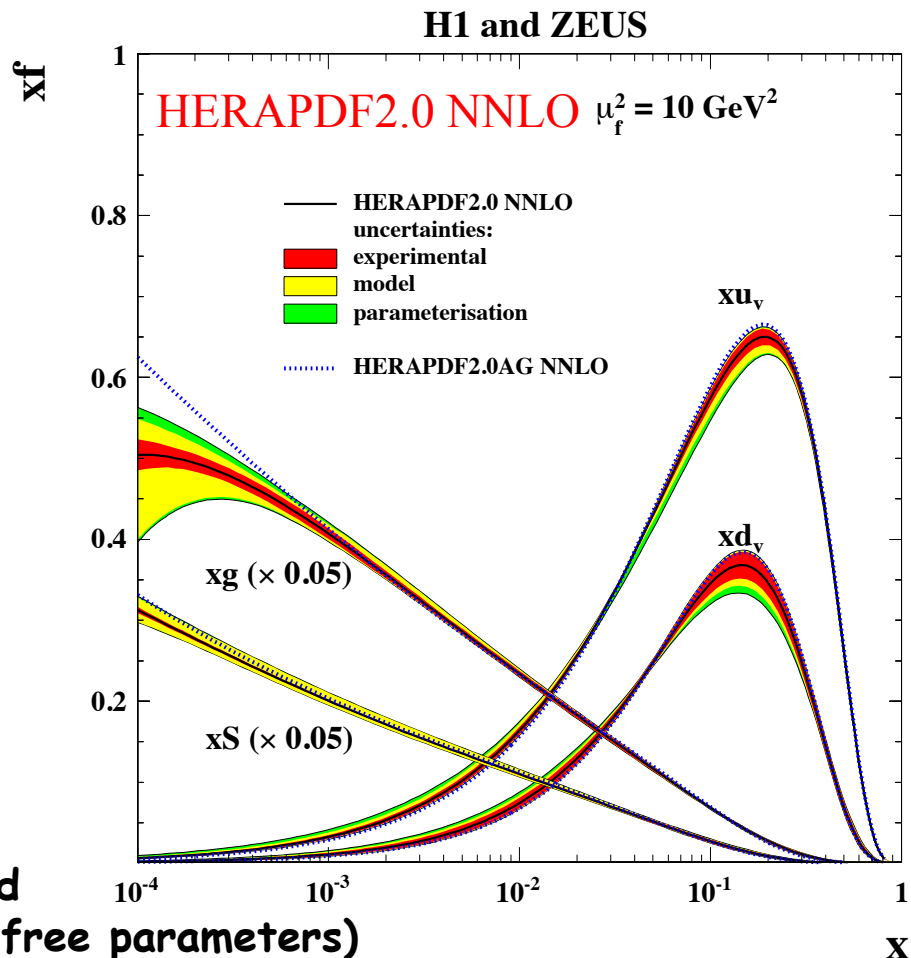
## Model uncertainty band

- variation of model assumptions

Variation	Standard Value	Lower Limit	Upper Limit
$Q_{\min}^2$ [GeV <sup>2</sup> ]	3.5	2.5	5.0
$Q_{\min}^2$ [GeV <sup>2</sup> ] HiQ2	10.0	7.5	12.5
$M_c$ (NLO) [GeV]	1.47	1.41	1.53
$M_c$ (NNLO) [GeV]	1.43	1.37	1.49
$M_b$ [GeV]	4.5	4.25	4.75
$f_s$	0.4	0.3	0.5
$\alpha_s(M_Z^2)$	0.118	—	—
$\mu_{f_0}$ [GeV]	1.9	1.6	2.2

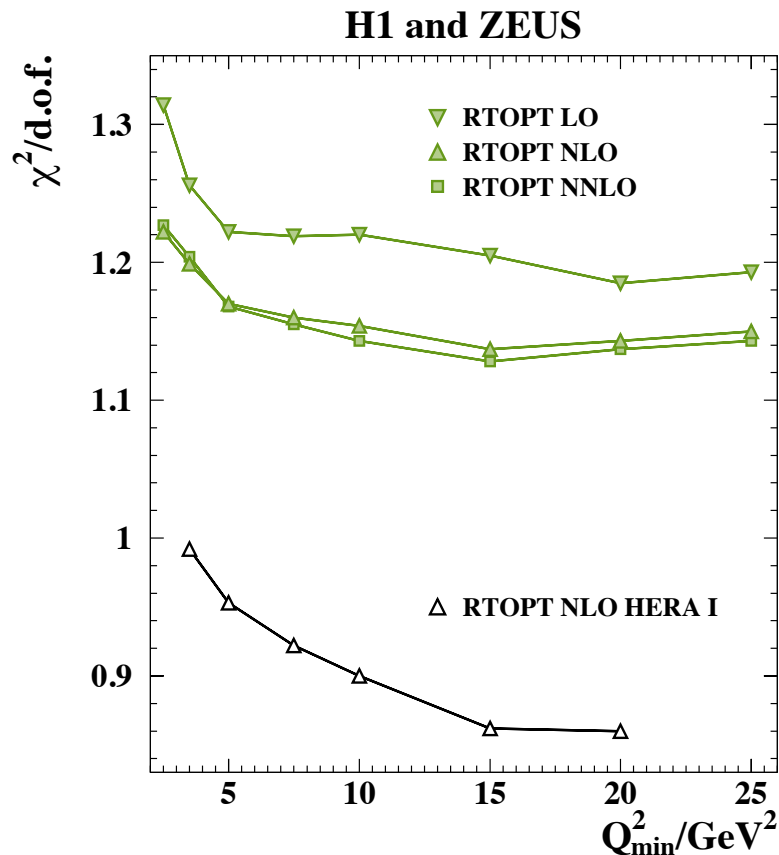
## Parameterisation uncertainty band

- variation of the starting scale  $Q_0^2$  and
  - form of parameterisation (number of free parameters)
- valid in the  $x$ -range covered by the QCD fit to HERA data

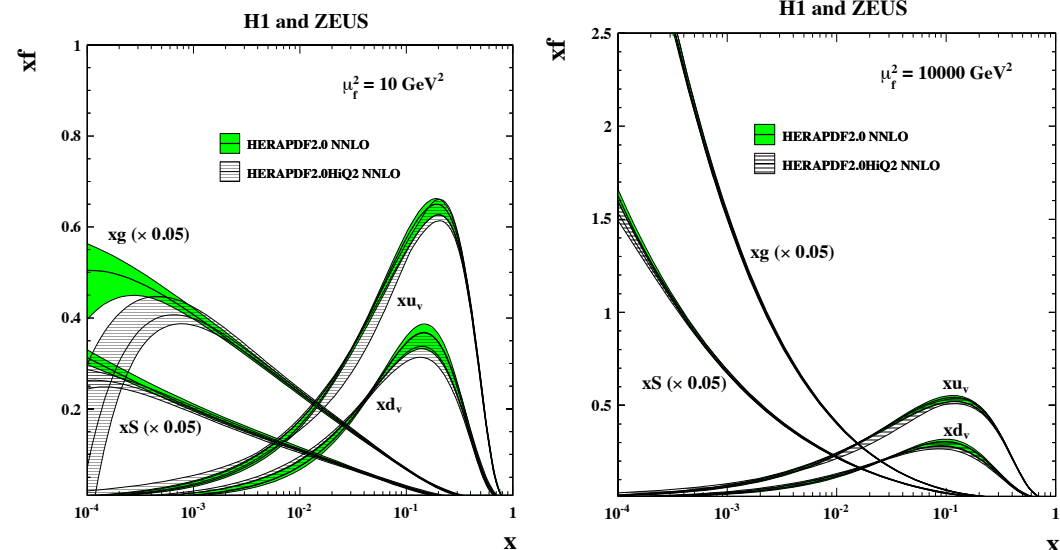


# HERAPDF2.0 dependence on $Q_{\min}^2$

$\chi^2/\text{d.o.f.}$  is improving from 1.20 to 1.15 with increasing  $Q_{\min}^2$  from 3.5 to 10  $\text{GeV}^2$  (similar behavior was for HERAPDF1.0 although at smaller values of  $\chi^2/\text{d.o.f.}$ )



HERAPDF2.0HiQ2 is very similar to HERAPDF2.0 apart from low  $x$  measured at low  $Q^2 < 10 \text{ GeV}^2$

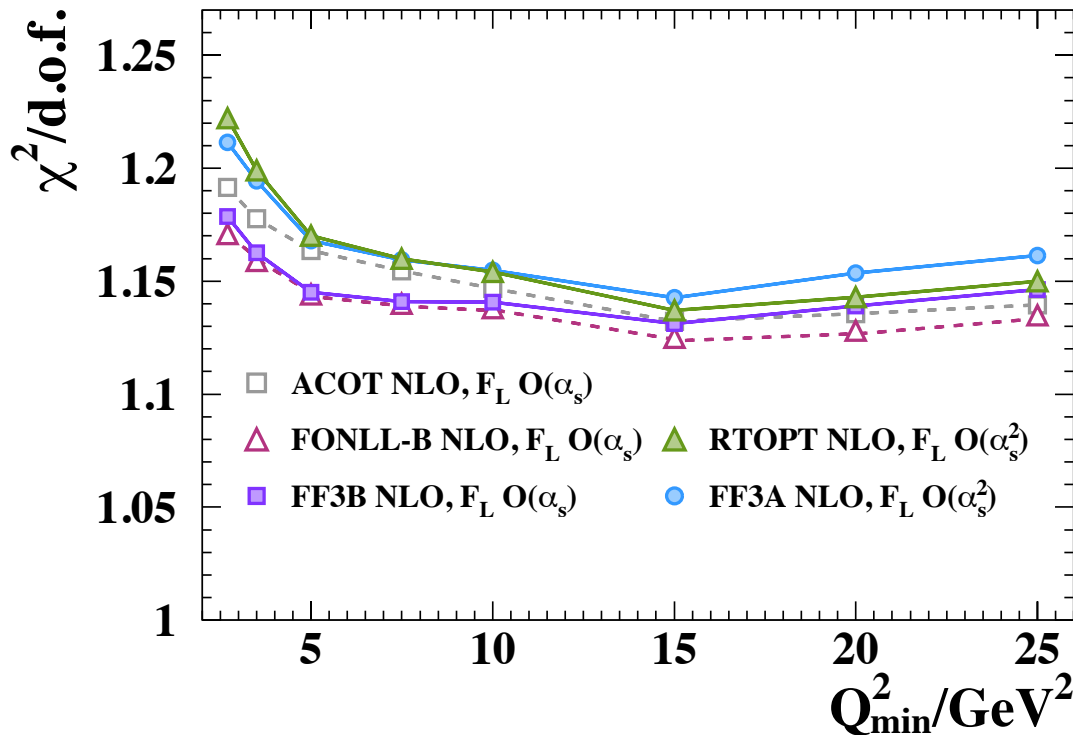


*→ this difference plays no role at large scales, for example at LHC*

# Low $Q^2$ (and $x$ ) domain and $F_L$ description

low  $Q^2$  / low  $x$  domain (with increased  $\chi^2/\text{d.o.f.}$ ) is very interesting for study of low  $x$  phenomenology

## H1 and ZEUS

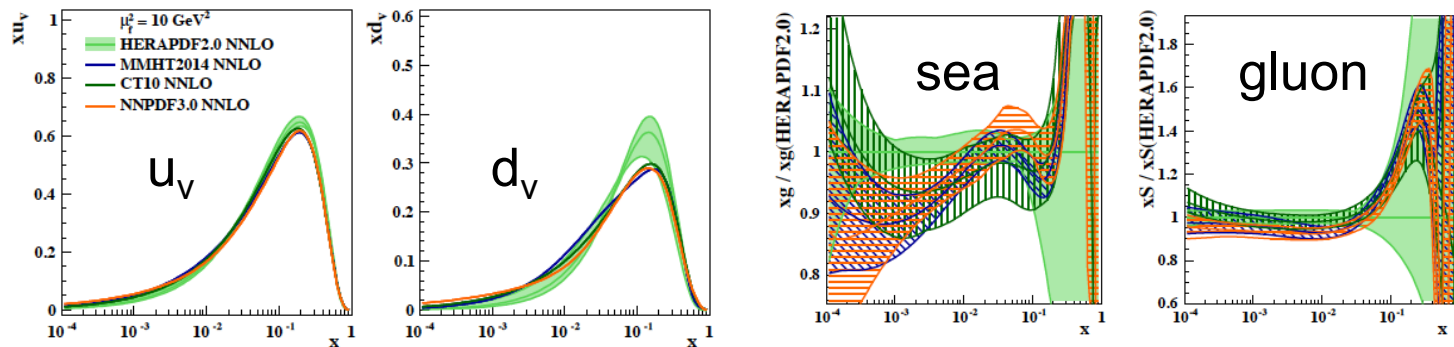


it seems that in this domain the order of the  $F_L$  calculation is more important than other QCD fit settings:

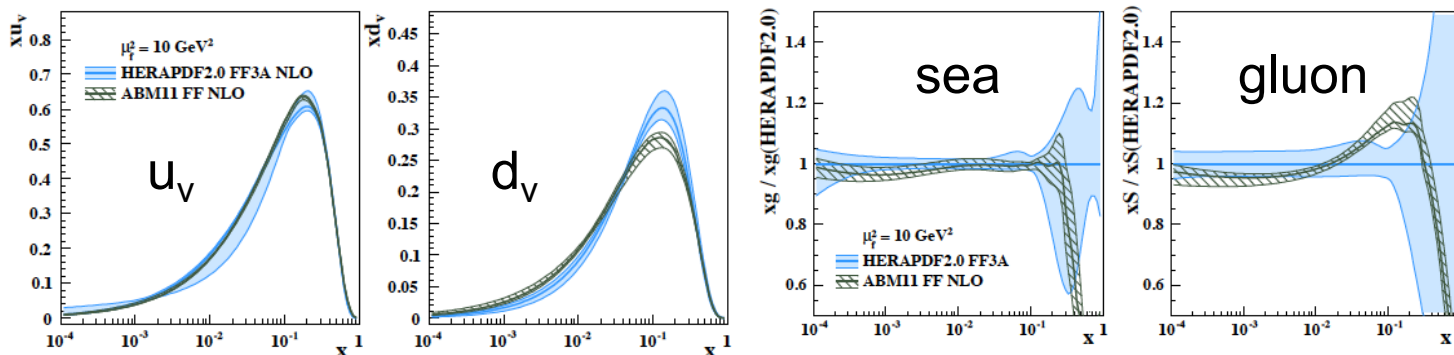
→ order of  $O(\alpha_s)$  is preferred

# Comparison with modern PDFs from global fits

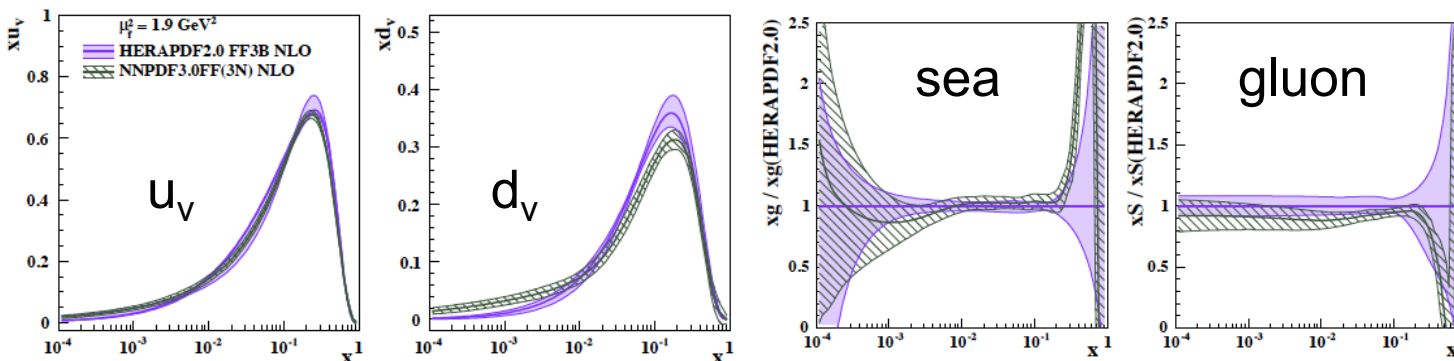
*vs. PDFs using variable-flavor-number scheme: MMHT2014, CT10, NNPDF3.0*



*vs. PDFs using fixed-flavor-number scheme: ABM11 FF, NNPDF3.0FF(3N)*



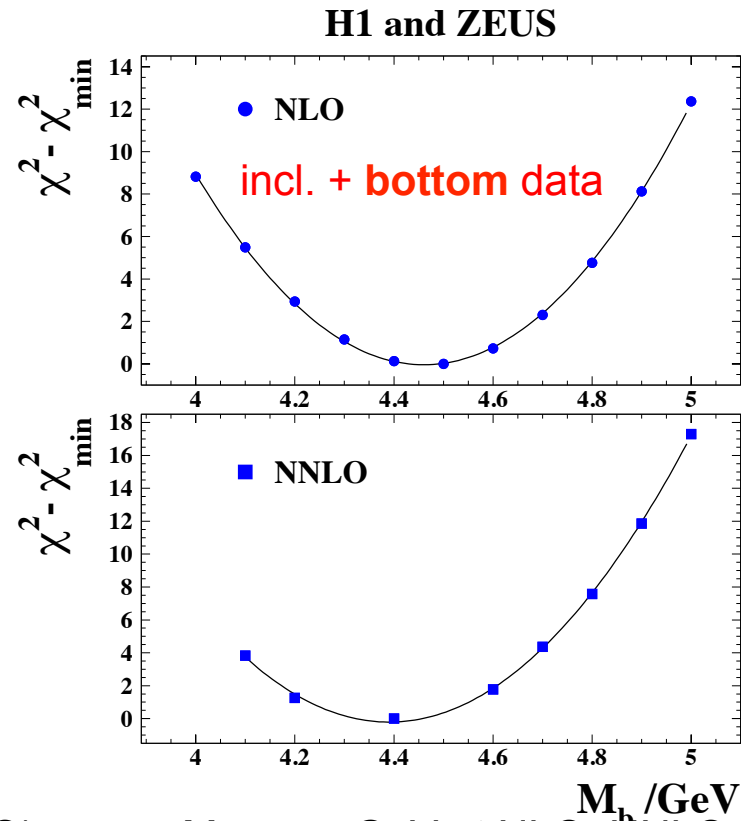
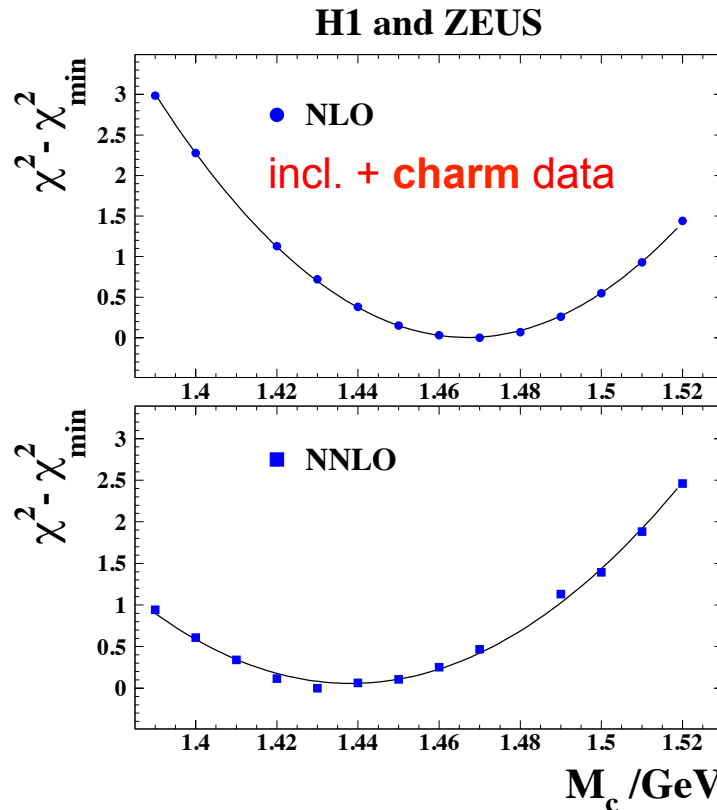
→ differences in  
valence quarks  
at high  $x$ : new  
HERA data



→ sea and gluon  
are consistent

# Charm and bottom mass parameters in HERAPDF2.0

$M_c$  and  $M_b$ , charm and bottom mass parameters, are determined in  $\chi^2$  scans of the HERA charm and bottom data together with combined inclusive data



**$\rightarrow$  reduction of the  $M_c$  and  $M_b$  uncertainties in HERAPDF fits**

# HERAPDF2.0Jets

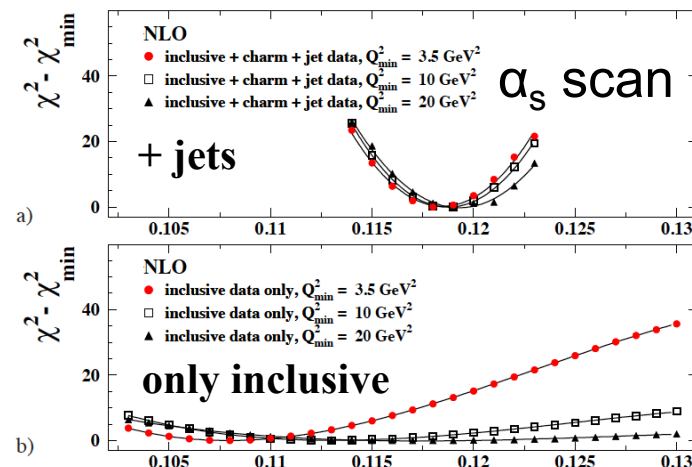
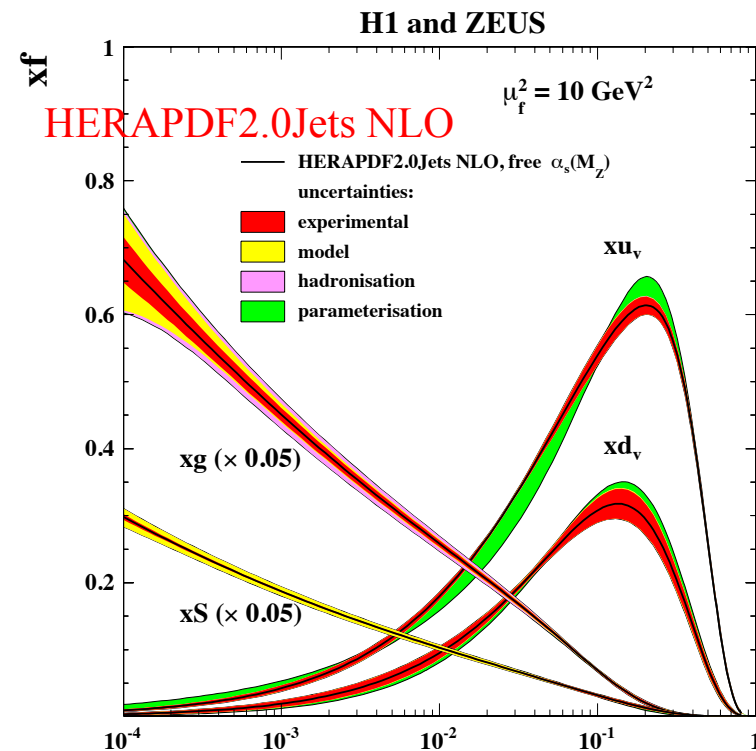
## (inclusive + charm + jets)

**include also HERA combined charm production and selected jet production data :**

at NLO, with free  $\alpha_s$  and additional error band related to hadronisation of jets

→  $\alpha_s$ , determined in a simultaneous fit with PDFs:

$$\alpha_s(M_Z^2) = 0.1183 \pm 0.0009(\text{exp}) \pm 0.0005(\text{model/param}) \pm 0.0012(\text{hadronisation})^{+37}_{-30}(\text{scale})$$



PDFs and the error bands are very close to HERAPDF2.0 obtained using inclusive data and  $M_c$  and  $M_b$  already optimized using charm and bottom HERA data and  $\alpha_s=0.118$ , consistent with the HERA multi-jet data. (slight increase of err. band is due to hadronisation).



# Conclusions

H1 and ZEUS completed the inclusive DIS program at HERA by combining all inclusive unpolarised measurements into one coherent data set of NC & CC  $e^+p$  &  $e^-p$  at  $\sqrt{s} = 319, 302, 251$  and  $225$  GeV with 169 common correlated systematic errors.

All three proton structure functions  $F_2$ ,  $F_2^{\gamma Z}$ ,  $xF_3^{\gamma Z}$  and  $F_L$  are measured exploiting charge and polarity dependencies of the cross section measurements at HERA

This combined inclusive HERA data set of the NC and CC cross sections is used as a sole input to the QCD analysis of the data resulting in the set of parton distribution functions HERAPDF2.0 which is available in LHAPDF together with its variants.