

Monitoring surface resonances on Co₂MnSi(100) by spin-resolved photoelectron spectroscopyJ. Braun,¹ M. Jourdan,² A. Kronenberg,² S. Chadov,³ B. Balke,⁴ M. Kolbe,² A. Gloskovskii,⁵ H. J. Elmers,² G. Schönhense,² C. Felser,^{3,4} M. Kläui,² H. Ebert,¹ and J. Minár^{1,6}¹*Department Chemie, Ludwig-Maximilians-Universität München, 81377 München, Germany*²*Institut für Physik, Johannes-Gutenberg-Universität Mainz, Staudingerweg 7, 55128 Mainz, Germany*³*Max-Planck-Institut für Chemische Physik fester Stoffe, 01187 Dresden, Germany*⁴*Institut für Anorganische und Analytische Chemie, Johannes-Gutenberg-Universität Mainz, Staudingerweg 9, 55128 Mainz, Germany*⁵*Deutsches-Elektronen-Synchrotron DESY, 22603 Hamburg, Germany*⁶*New Technologies - Research Center, University of West Bohemia, Univerzitní 8, 306 14 Pilsen, Czech Republic*
(Received 11 February 2015; revised manuscript received 24 April 2015; published 18 May 2015)

The magnitude of the spin polarization at the Fermi level of ferromagnetic materials at room temperature is a key property for spintronics. Investigating the Heusler compound Co₂MnSi, a value of 93% for the spin polarization has been observed at room temperature, where the high spin polarization is related to a stable surface resonance in the majority band extending deep into the bulk. In particular, we identified in our spectroscopical analysis that this surface resonance is embedded in the bulk continuum with a strong coupling to the majority bulk states. The resonance behaves very bulklike, as it extends over the first six atomic layers of the corresponding (001) surface. Our study includes experimental investigations, where the bulk electronic structure as well as surface-related features have been investigated using spin-resolved photoelectron spectroscopy (SR-UPS) and for a larger probing depth spin-integrated high energy x-ray photoemission spectroscopy (HAXPES). The results are interpreted in comparison with first-principles band structure and photoemission calculations which consider all relativistic, surface, and high-energy effects properly.

DOI: [10.1103/PhysRevB.91.195128](https://doi.org/10.1103/PhysRevB.91.195128)

PACS number(s): 75.70.Rf, 75.50.Cc, 73.20.-r, 71.15.-m

I. INTRODUCTION

For many spintronics applications it is not the bulk, but the surface or interface electronic structure at the Fermi energy given by the difference of the normalized total number of spin-up and spin-down electrons of the involved materials, which is relevant for applications. However, theoretical predictions for this sample region are much more demanding compared with calculations of bulk properties. A key property for spintronics is the spin polarization at the Fermi level. Concerning surface and interface states it is necessary to distinguish between materials with a finite total spin polarization at the Fermi energy and materials with zero total but momentum dependent spin polarization, which is nonzero for specific k values. Examples for the second class of materials are topological insulators like Bi₂Se₃ [1], but also simple materials like bismuth [2] and tungsten [3]. However, the first class of materials, i.e., with a nonzero total spin polarization, can be realized by ferro- or ferrimagnetic materials only. Accordingly, in the following the term spin polarization is always used for the total spin polarization at the Fermi energy. By surface sensitive spin and angular-resolved photoemission spectroscopy (SR-ARPES) values of the spin polarization close to 100% at room temperature were observed for metastable CrO₂ [4] and for Fe₃O₄ [5], but there was no corresponding state-of-the-art photoemission calculation or discussion of possible surface states. Furthermore, these materials did not allow for large spin transport effects and are not compatible with other materials relevant for applications. For these reasons intermetallic Heusler compounds [6] with their predicted half-metallic properties [7] moved into the focus of interest [8–13]. In addition to being interesting for applications, intermetallic Heusler materials represent a test for modern electronic structure calculations for materials with electronic correlations

of moderate strength [14–17]. In fact, by means of various band structure methods many Heusler compounds have been predicted to be 100% spin polarized in the bulk. However, the direct observation of a huge surface spin polarization in any Heusler compound by photoemission spectroscopy was possible only very recently [18]. In our preliminary work we identified a 93% polarized surface resonance investigating the Heusler compound Co₂MnSi at room temperature. Within this paper we investigate in more detail the occupied as well as the unoccupied electronic structure of Co₂MnSi. Furthermore, we compare our spectroscopical analysis to corresponding experimental data, with special emphasis on surface-related features of the electronic structure.

II. THEORETICAL AND EXPERIMENTAL DETAILS**A. Theoretical and computational details**

Experimentally, the interesting valence band region around the Fermi energy is accessible by means of ultraviolet photoemission spectroscopy (PES) [19] and inverse photoemission spectroscopy (IPE) [20]. From the theoretical point of view the most successful theoretical approach to deal with photoemission is the so-called one-step model as originally proposed by Pendry and co-workers [21–23]. A review of the various developments and refinements [24] of the approach can be found in Ref. [25]. In a recent development, our spectroscopic analysis is based on the fully relativistic one-step model, in its spin-density matrix formulation. This approach allows for describing properly the complete spin-polarization vector of the photo current. The corresponding spin-density matrix of the photocurrent is defined by the following equation [26]:

$$\bar{\rho}_{ss'}^{\text{PES}}(\mathbf{k}_{\parallel}, \epsilon_f) = \langle s, \epsilon_f, \mathbf{k}_{\parallel} | G_2^+ \Delta G_1^+ \Delta^\dagger G_2^- | \epsilon_f, \mathbf{k}_{\parallel}, s' \rangle. \quad (1)$$

It follows then for the spin-density matrix ρ :

$$\rho_{ss'}^{\text{PES}}(\mathbf{k}_{\parallel}, \epsilon_f) = t \frac{1}{2i} (\bar{\rho}_{ss'}^{\text{PES}}(\mathbf{k}_{\parallel}, \epsilon_f) - \bar{\rho}_{s's}^{*\text{PES}}(\mathbf{k}_{\parallel}, \epsilon_f)). \quad (2)$$

The intensity of the photocurrent results in:

$$I^{\text{PES}}(\mathbf{k}_{\parallel}, \epsilon_f) = Sp(\rho_{ss'}^{\text{PES}}(\mathbf{k}_{\parallel}, \epsilon_f)), \quad (3)$$

and the corresponding spin-polarization vector is given by:

$$\mathbf{P} = \frac{1}{I} Sp(\boldsymbol{\sigma} \cdot \rho), \quad (4)$$

where $\boldsymbol{\sigma}$ denotes the vector of the three Pauli spin matrices. Finally, the spin-projected photocurrent is obtained from the following equation:

$$I_{\mathbf{n}}^{\pm \text{PES}} = \frac{1}{2} (1 \pm \mathbf{n} \cdot \mathbf{P}) I^{\text{PES}}. \quad (5)$$

The spin polarization is calculated with respect to the vector \mathbf{n} . This, for example, allows the complete calculation of all three components of the spin-polarization vector for each pair of (k_x, k_y) values which define the coordinate system for momentum images. Within this formalism I^{PES} denotes the elastic part of the photocurrent. Vertex renormalizations are neglected. This excludes inelastic energy losses and corresponding quantum-mechanical interference terms [22,27,28]. Furthermore, the interaction of the outgoing photoelectron with the rest of the system is not accounted for, which means that the so-called sudden approximation has been applied. This approximation is expected to be justified for photon energies that are not too small. The initial and final states are constructed within spin-polarized low-energy electron diffraction (SPLEED) theory where the final state is represented by a so-called time-reversed SPLEED state [25,29]. Many-body effects are included phenomenologically in the final-state calculation, using a parameterized, weakly energy-dependent and complex inner potential as in Ref. [21]. This generalized inner potential accounts for inelastic corrections to the elastic photocurrent [27] as well as the actual (real) inner potential, which serves as a reference energy inside the solid with respect to the vacuum level [30]. Due to the finite imaginary part, the inelastic mean free path (IMFP) is accounted for and thus the amplitude of the high-energy photoelectron state can be neglected beyond a certain distance from the surface.

The self-consistent electronic structure calculations were performed within the *ab initio* framework of spin-density functional theory, in a fully relativistic mode by solving the corresponding Dirac equation. For the exchange and correlation potential the Perdew-Burke-Ernzerhof parametrization was used [31]. To guarantee a quantitative description of the $\text{Co}_2\text{MnSi}(100)$ surface we applied the fully relativistic multiple scattering theory (SPRKKR) in its tight-binding-like mode (TB-SPRKKR) [32] where eight vacuum layers had been used for the numerical calculation. To account for electronic correlations beyond the local spin-density approximation (LSDA), we employed a self-consistent combination of the LSDA and the dynamical mean field theory. This computational LSDA+DMFT scheme, self-consistent in both the self-energy calculation and the charge-density calculation, is implemented within the relativistic SPR-KKR formalism [32–35]. The effective DMFT impurity problem

was solved through the spin polarized T-matrix fluctuation-exchange (SPTF) solver [36], working on a Matsubara energy grid corresponding to a temperature of 400 K. The SPTF solver is accurate for moderately correlated systems as was shown in several successful applications for various materials [33]. Simultaneous convergence of the electronic charge density and the self energy had been achieved by use of 4096 Matsubara frequencies. The double counting was corrected using the fully localized limit (FLL) scheme (for details concerning DC corrections within KKR calculations see Ref. [33]). The FLL was successfully applied to Co_2MnSi recently within LSDA+U calculations [37], where we used the fully rotationally invariant U matrix with U parameters $U_{\text{Mn}} = 3.0$ eV and $U_{\text{Co}} = 1.5$ eV for Mn and Co. The exchange parameter J was chosen to be 0.9 eV for both Mn and Co. These parameters were fixed by a former theoretical study on this material [15]. Furthermore, we found that it is not sufficient to explain all spectral properties by considering static correlations only. Peak positions and intensities are significantly improved due to the use of the DMFT method which explicitly accounts for dynamical correlations [15]. Our electronic structure calculations are in close agreement with those presented by S. J. Hashemifar *et al.* [38]. Furthermore, it should be mentioned here that only very minor differences appear in the layer-resolved DOS calculated as a function of the magnetization direction.

Additionally, for the photoemission calculations, we account for the surface barrier by use of a Rundgren-Malmström-type surface potential [39], which can be easily included into the formalism as an additional layer. This procedure allows for the correct description of its asymptotic behavior. As this surface barrier represents a z -dependent potential, a surface contribution as part of the total photocurrent results, which accounts explicitly for the energetics and dispersion of all surface features. Furthermore, the relative intensities of surface-related spectral distributions are quantitatively accounted for by calculating the corresponding matrix elements in the surface region. This procedure is described in detail, for example, in Refs. [40] and [41]. Also, energy and momentum conservation are naturally included in the formalism [23,25]. To take care of impurity scattering, a small constant imaginary value of $V_{i1} = 0.05$ eV was used for the initial state, this way describing the finite lifetime of the initial state. Lifetime effects in the final state are accounted for by the imaginary part of the inner potential (see above). A constant imaginary value of $V_{i2} = 1.5$ eV has been chosen again in a phenomenological way for excitation energies in the ARPES regime. According to the experimental setup the spectroscopic calculations were performed for linearly p -polarized light.

B. Experimental details

The high reactivity of Heusler materials makes photoemission spectroscopy (PES), the most powerful method for investigations of the electronic band structure of solids, challenging. Sample degradation is a major problem and often results in missing Fermi edges in the photoemission spectra and unexpectedly small experimentally obtained spin polarizations, such as 12% for the predicted half-metal Co_2MnSi [42]. Sputter cleaning of the samples prior to

PES yields slightly increased spin polarization values, for instance 20% for $\text{Co}_2\text{Cr}_{0.6}\text{Fe}_{0.4}\text{Al}$ [43]. However, in these and many other cases neither the observed spin polarization nor the overall energy dependence of the total intensity resembles the results of band structure calculations [44]. Generally three issues result in poor agreement of theory with experiment: Imperfect surface preparation often leads to disorder, aging of the sample results in surface oxidation, and the discrepancies with calculated spectra may arise from shortcomings of the calculations themselves, e.g., the neglect of surface states. However, calculations of surface states of nonstoichiometric $\text{Co}_2\text{MnSi}(100)$ thin films are available [16], which were used to explain the low spin polarization of about 20% measured by UPS ($h\nu = 5.9$ eV) on *ex situ* prepared and *in situ* sputter cleaned $\text{Co}_2\text{Mn}_{1.19}\text{Si}_{0.88}$ thin films. Fetzer *et al.* [45] published experiments of *ex situ* prepared stoichiometric $\text{Co}_2\text{MnSi}(100)$ capped by 20 monolayers of MgO through which they obtained spin resolved UPS data ($h\nu = 5.9$ eV). They identified no interface states, which they attributed to defects at the $\text{Co}_2\text{MnSi}/\text{MgO}$ interface. A spin polarization of about 40% was obtained. Another way to avoid spurious photoemission results due to degraded sample surfaces is the use of less surface-sensitive hard x-ray photoemission spectroscopy (HAXPES). The identification of several experimentally obtained intensity features with the density of states (DOS) was possible for materials like $\text{NiTi}_{0.9}\text{Sc}_{0.1}\text{Sn}$, NiMnSb , or $\text{Co}_x\text{Mn}_y\text{Ge}_z$ ($x : z = 2 : 0.38$) thin Heusler films [46,47]. However, due to the low intensities of HAXPES experiments no spin-resolved results are available up to now. We demonstrated that by *in situ* UPS with highly efficient spin filtering [48] on epitaxial Heusler thin films the problem of surface degradation can be solved and a spin polarization of 55% investigating Co_2MnGa could be obtained [49]. In particular our HAXPES data have been collected from *ex situ* capped Co_2MnSi thin layers with an energy resolution of $\Delta E = 200$ meV for a photon energy of $h\nu = 6$ keV. The AI-UPS spectra were measured *in situ* on an uncapped Co_2MnSi layer with an energy resolution of $\Delta E = 400$ meV. Under these experimental conditions we were able to measure very recently a record value of 93% at room temperature investigating Co_2MnSi [18].

III. RESULTS AND DISCUSSION

In Fig. 1 we present the fully-relativistic band structure calculated for the Δ direction of the bulk Brillouin zone. The Co_2MnSi films are magnetized in-plane along the $\bar{\Gamma}-\bar{M}$ line of the surface Brillouin zone. However, we have calculated additionally the bulk-band dispersions for a magnetization direction perpendicular to the surface to demonstrate that the dispersion strongly depends on the magnetization direction. This behavior results from the interplay between spin-orbit coupling (SOC) and magnetic exchange interaction and depends on the band symmetry [50]. Here, the magnetization direction perpendicular to the surface is indicated by the black color and the in-plane direction as given from the experimental conditions is marked by the green (light) color. As the underlying symmetry is different for these two cases, the spin-orbit coupling causes pronounced changes in the dispersion and energetic position of nearly all bands if the magnetization

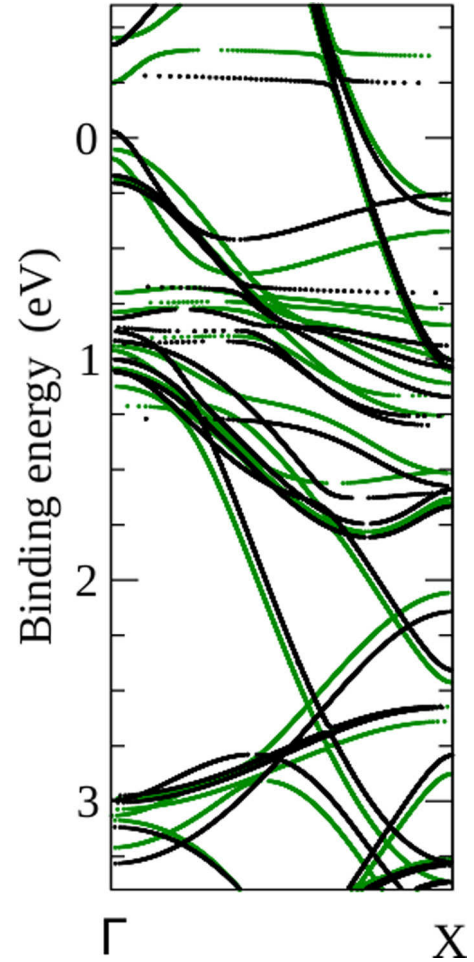


FIG. 1. (Color online) Relativistic bulk band structure calculated along the Δ direction for a magnetization direction perpendicular to the (001) surface (black color) and for an in-plane magnetization direction along $\bar{\Gamma}-\bar{M}$ (green, light color).

is switched from the in-plane to out-of-plane direction. The corresponding energy-band splittings and hybridization gaps typically range from some meV up to about 100 meV. In contrast the calculated magnetocrystalline anisotropy energy (MAE), which is defined as the energy difference between $\Delta E_{\text{MAE}} = E_{M||[001]} - E_{M||[110]}$ of bulk Co_2MnSi results to $\Delta E_{\text{MAE}} = 0.4$ μeV . This should be expected for bulk Co_2MnSi due its cubic structure. The reason for the different energy scales appearing here is found in the fact that the SOC-split energy-band regions only contribute to the MAE if they are located in the vicinity of the Fermi level, where they induce significant deformations of the Fermi surface [51,52]. As a consequence they do not contribute to the MAE if they appear at finite binding energies. Note that similar effects can be observed for a magnetization which is directed parallel to the (100) and (001) crystallographic axis. The energetic difference vanishes for the bulk system because these two axis are equivalent. In this case the electronic band structure is invariant under rotations of the quantization axis together with the magnetization direction [53]. As discussed before, this means that all these modifications cancel each other after integration over all occupied states and do not contribute [as

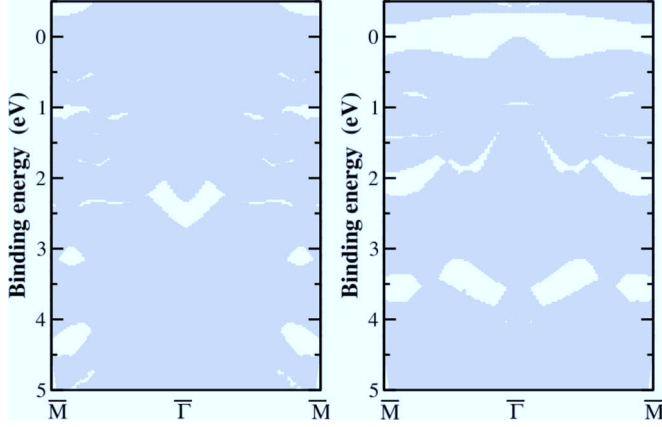


FIG. 2. Projected relativistic bulk band structures for majority spin (left panel) and minority spin character (right panel) along the $\bar{\Gamma}$ - \bar{M} direction. Gray colored regions represent the projection of bulk states.

in the cases of (100) and (001) directions] or only create very small contributions [in the present case of (110) and (001) directions] to the magnetocrystalline anisotropy.

One should expect that surface-related features of the electronic structure also will be significantly influenced if the magnetization direction changes. This is indeed the case and will be discussed later in context with the nearly 100% spin polarization which was found for in-plane magnetized samples. Next we inspect the electronic structure of Co_2MnSi (100) along the two high symmetry directions $\bar{\Gamma}$ - \bar{M} and $\bar{\Gamma}$ - \bar{X} of the two-dimensional Brillouin zone. The bulk states of Co_2MnSi projected along these two directions are shown in Figs. 2 and 3, where the gray color indicates bulklike regions. The left panels present the majority states and the right panels the corresponding minority states, with the total gaps visible in the minority projected bulk-band structures around the Fermi level E_F . Besides the total gaps appearing in the bulk-related minority spin states no further gap structures

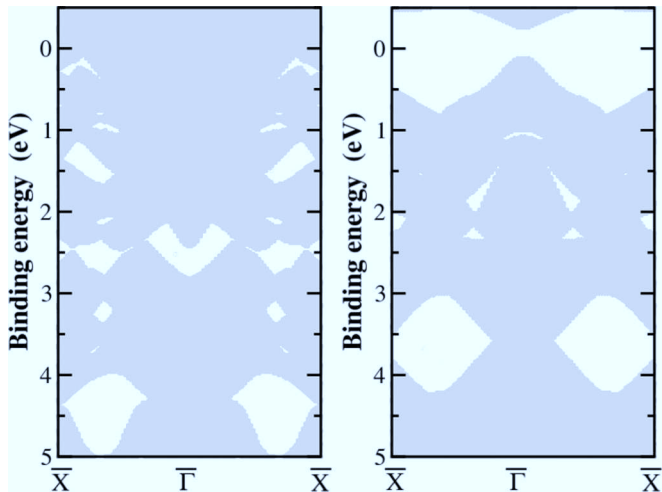


FIG. 3. Projected relativistic bulk band structures for majority spin (left panel) and minority spin character (right panel) along $\bar{\Gamma}$ - \bar{X} direction. Gray colored regions represent the projection of bulk states.

are visible below E_F . Only for binding energies higher than about 1.5 eV do symmetry-induced off-normal gaps exist. As a consequence one would not expect to find pronounced surface-related features dispersing in this binding-energy regime. The situation is different for the unoccupied states. Relatively small gaps appear in both minority spin-projected bulk-band structures just above the Fermi level for nonzero $k_{||}$ values. Furthermore, along $\bar{\Gamma}$ - \bar{M} larger off-normal gaps appear in the majority-spin projected band structure near the \bar{M} point, serving this way as an important precondition for the existence of surface resonances. The problem with ground state electronic structure calculations is that surface-related features are often hidden in the continuum of bulk states, because of their relatively small spectral weight. Their determination by use of photoemission calculations is often more successful as surface resonances are typically enhanced in their spectral weight due to the excitation process. This is a typical matrix element effect. Furthermore, the determinant criterion [54] allows for an additional check on the surface contribution of a specific spectral distribution.

In the following angle-integrated photocurrent calculations will be presented for the occupied states (AI-UPS), as well as for the unoccupied states (AI-IPE), where IPE means inverse photoemission. Figure 4 shows a series of spectra calculated for linear p -polarized light as a function of the photon energy. The incidence angle of the incoming photon beam was chosen $\theta_p = 45^\circ$ with respect to the surface normal. Just below the Fermi level a surface-related intensity distribution appears. This signal has to be attributed to the majority surface resonance which is located about 0.4 eV above E_F . Due to convolution with a Fermi distribution function for room

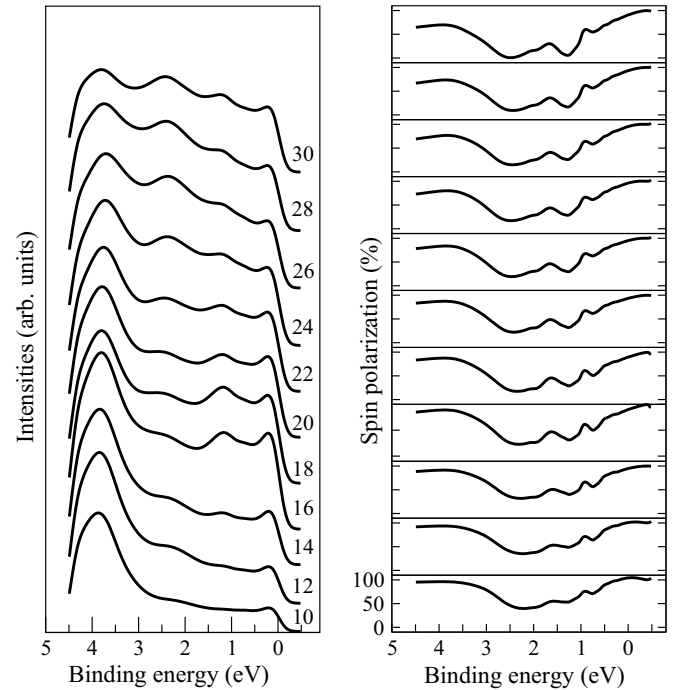


FIG. 4. Left panel: Angle-integrated photoemission spectra calculated for different photon energies in the range from $h\nu = 10$ to 30 eV for p -polarized light. Right panel: In-plane component of the spin polarization vector calculated along $\bar{\Gamma}$ - \bar{M} .

temperature and due to a Gaussian folding with full width at half maximum of 0.2 eV, the tail of this spectral features seems to appear as a peak located at a finite binding energy [55,56]. At about 1.2 eV binding energy a bulklike signal is shown which could be attributed to Co and Mn majority d states. The peak is visible over the whole range of photon energies but with the highest intensity around 20 eV excitation energy. The dispersion is not very pronounced with the tendency that the peak disperses to lower binding energies for higher photon energies. A third spectral feature is found at about 2.5 eV binding energy, also with a less pronounced dispersion and relatively small variations in the maximum intensity. These features also originate from majority Co and Mn- d states. The last spectral feature appears at about 4 eV binding energy and represents excitation from majority Co and Mn d states, as well as from Si p states. In the right panel of Fig. 4 we present the corresponding spin polarization as a function of the excitation energy. First, one may observe that for all photon energies the spin polarization reaches nearly 100% in the vicinity of the Fermi level. The spin polarization decreases to about 40% for binding energies around 2 eV. For higher binding energies up to 4.5 eV the spin polarization increases again and reaches high polarization values between 75% and 95%, where the increase is more pronounced for lower excitation energies. This result is in excellent agreement with corresponding experimental data available for photon energies of $h\nu = 16.67$ eV and $h\nu = 21.2$ eV, and will be discussed in more detail below. As a next step we want to find out the reason for these unexpected high spin-polarization values at the Fermi level. To do so spin-resolved IPE spectra have been calculated, again as a function of the photon energy. The result is presented in Fig. 5, where the left panel shows the intensity distributions and the right panel the corresponding spin polarizations. A dominant spectral feature appears for all excitation energies. This is the unoccupied majority surface resonance (SR), which is responsible for the spectral intensity just below E_F . The resonance is very intense, and it is nearly 100% spin polarized. This is clearly seen in the right panel of Fig. 5, where the spin polarizations are shown. This way our analysis reveals that the pure bulk contribution of the experimental spin polarization, which due to the limited experimental energy resolution was about 50% only, is increased to about 100% by the surface resonance.

Even more, the amount of spectral weight and the high spin polarization value of this spectral feature are intimately connected with the in-plane magnetization of the sample. In the case where the magnetization is directed perpendicular to the surface this resonance vanishes with a very low spectral weight into the bulk continuum, and instead one observes an occupied minority surface state located just below E_F . As a consequence the spin polarization at the Fermi level is reduced to values significantly smaller than the pure bulk value. The origin for this peculiar behavior is found in the very different electronic structures, which result for in-plane and out-of-plane magnetization directions of the sample surface. This is clearly seen if one inspects Fig. 1 again.

In Fig. 6 the spectroscopical calculations and the experimental spin-integrated UPS and HAXPES results are compared. Nearly quantitative agreement for both UV and hard x-ray photon energies is obtained. Only the intensity

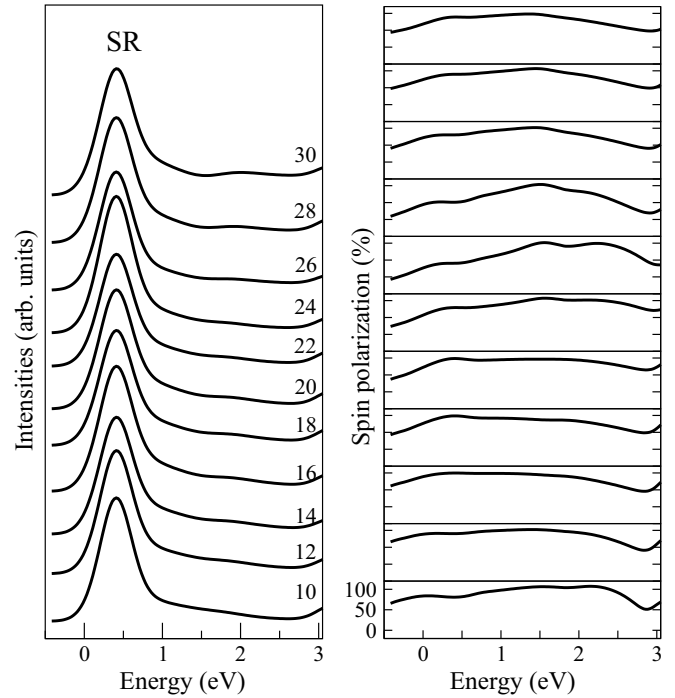


FIG. 5. Left panel: Angle-integrated inverse photoemission spectra calculated for different photon energies in the range from $h\nu = 10$ to 30 eV for p -polarized light. Right panel: In-plane component of the spin polarization vector calculated along $\bar{\Gamma}-M$.

distribution calculated just below E_F at $h\nu = 16.67$ eV is overestimated in comparison with the experimental data. The reason is found in the energy-dependent cross section of the

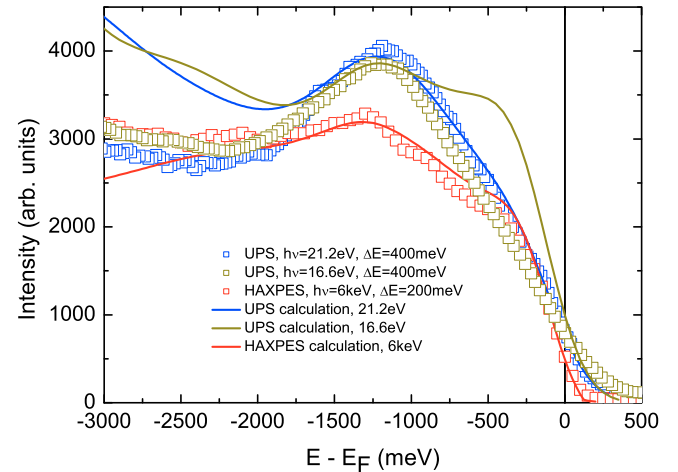


FIG. 6. (Color online) Comparison of measured and calculated AI-UPS and angle-integrated HAXPES spectra. The HAXPES data have been collected from *ex situ* capped Co_2MnSi thin layers with an energy resolution of $\Delta E = 200$ meV for a photon energy of $h\nu = 6$ keV. The AI-UPS spectra were measured *in situ* on an uncapped Co_2MnSi layer with an energy resolution of $\Delta E = 400$ meV for two different photon energies of $h\nu = 16.67$ and 21.2 eV. The corresponding one-step photoemission calculations are based on electronic structure calculations in the framework of the SPRKKR+DMFT method with $U_{\text{Mn}} = 3.0$ eV and $U_{\text{Co}} = 1.5$ eV for Mn and Co.

surface resonance which increases at lower photon energies because of the energy-dependent multiple scattering between bulk and surface. As a consequence the wave function of the resonance is strongly energy dependent, and so are the corresponding matrix elements. Therefore, the theoretically overestimated spectral distribution may be ascribed to a typical matrix-element effect. Besides this, and with regard to the small DOS just below the Fermi energy, the agreement of the calculations with the high UPS and HAXPES intensities in this energy range is remarkably good, and it is mostly traced back to this bulklike surface resonance occurring in the majority-spin channel. The energetic position and dispersion behavior of this spectroscopical feature has been discussed in detail above. Here it remains to remind us of the fact that our self-consistent electronic structure calculation leads to a half-metallic band structure with a total gap located around E_F in the minority spin-projected states. But also from our experimental data strong evidence for half metallicity is provided as we have estimated the position of the lower band edge of the minority gap at about $E - E_F = -0.5$ eV, directly from the corresponding spectroscopical data. As shown in Fig. 6, the inclusion of the complete surface-related photoexcitation in the UPS calculation results in nearly perfect agreement with experiment. If the surface resonance were not present, half-metallic behavior would persist, but the finite experimental resolution in photoemission would hinder the observation of a high spin polarization. As mentioned before, the theoretical analysis reveals an experimental resolution limited spin polarization lower than 50% for a pure bulklike calculation. This provides further evidence for the calculated half-metallic band structure of Co_2MnSi .

In Fig. 7 the highest experimentally observed spin polarization is shown together with the calculated spin polarization for two different photon energies of $h\nu = 16.67$ and 21.2 eV,

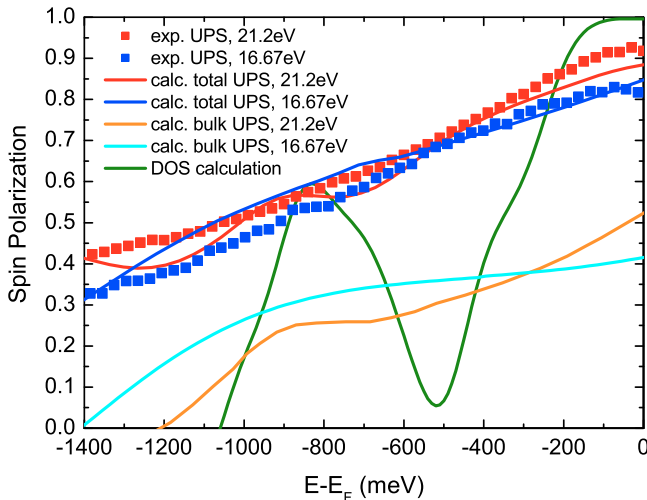


FIG. 7. (Color online) Comparison of measured spin polarizations, taken *in situ* from an uncapped Co_2MnSi layer, with calculated ones. Shown are the corresponding results for photon energies of $h\nu = 16.67$ and 21.2 eV. In addition a bulklike calculation of the spin polarization is presented, where the surface contribution of the theoretically obtained photocurrent is suppressed. The calculated DOS is shown in the green (light) color, respectively. Data for $h\nu = 21.2$ is taken from Ref. [18].

and with the corresponding theoretical DOS. The calculated photoemission asymmetries include all relevant broadening effects occurring in the measurements. In particular, the influence of intrinsic lifetime broadening generated by electronic correlations, broadening effects from impurity scattering, and the experimental resolution of about $\Delta E = 0.4$ eV are considered. If one compares first the pure bulklike theoretical spectrum with the calculated DOS the correspondence between these two intensity distributions is obvious. The broadening effects in combination with the absence of surfacelike emission reduce the effective spin polarization tremendously, although half-metallic behavior persists. Considering surface-related effects changes the situation dramatically. A true surface state which typically disperses in a huge gap of a projected bulk-band structure shows up with a maximum spectral weight at the first atomic layer. A well-known example for such a surface feature is the Shockley state dispersing on the $\text{Cu}(111)$ surface [57]. Therefore, the spectral weight compared to normal bulk states is small. Thus the combined effect of a very short inelastic mean free path and an energy-dependent cross section reduces the spectral weight in the photoemission process significantly. The situation is very different for Co_2MnSi because the majority surface resonance is embedded in the unoccupied bulk continuum with a strong coupling to the majority bulk states. This is because a layer-dependent analysis of the spectral weight showed that the resonance extends over the first six atomic layers of the semi-infinite bulk. This is similar to the case of $\text{W}(110)$, where we found a surface resonance revealing a considerable bulk contribution [58] as well. The spectral weight of this surface resonance is much larger than that of a true surface state resulting in a significant contribution to the total intensity even at hard x-ray energies.

As a last point in our analysis we present spin-resolved ARPES spectra calculated as a function of binding energy and $k_{||}$ value along the $\bar{\Gamma}-\bar{M}$ direction of the surface Brillouin zone. The left panel of Fig. 8 shows the majority-spin intensity distribution in the form of a contour plot. In the right panel the corresponding contour plot for minority-spin states is shown. High spectral weight is indicated by light colors. Not surprisingly, the highest spectral weight belongs to the surface resonance. This is clearly observable from the majority

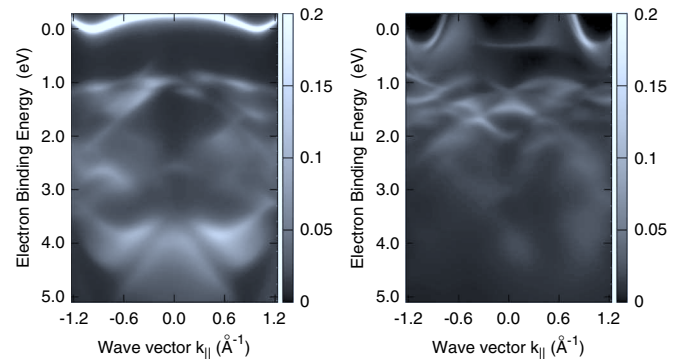


FIG. 8. Contour plots for majority (left panel) and minority (right panel) angle-resolved spectral densities are shown, which have been calculated within the fully relativistic one-step model along the $\bar{\Gamma}-\bar{M}$ direction for a photon energy of $h\nu = 21.2$ eV. High spectral weight is indicated by light colors.

contour plot. This feature slightly disperses around $\bar{\Gamma}$ at about 0.3 eV above the Fermi level. It is noticeable here that this feature disperses towards the Fermi level for higher k_{\parallel} values and nearly touches E_F at $k_{\parallel} \approx 1.0$ inverse Angström. This result is not obtainable from angle-integrated photocurrent calculations. It supports even more our finding that the resonance is able to enhance the experimental resolution limited spin polarization by almost a factor of two, although this feature seems to be located quite far from the Fermi level if only calculated AI-UPS data are inspected. At about 0.5 eV binding energy the bulk states show up to disperse as a function of E and k_{\parallel} . At lower binding energies in the region between 0.5 and 3 eV mainly Co and Mn majority d states are visible, where at higher binding energies around 4 eV a mixture of Si p states and Co and Mn d states exists with stronger dispersion behavior. In agreement with our DOS calculations the spectral features dispersing down to about 5 eV are more intense in their spectral signals than most of the states at lower binding energies. This is due to the intense peak appearing in the DOS, which is ascribed to Si p states, and is obviously a shortcoming of the electronic structure calculation as discussed in detail in Ref. [15].

In the right panel of Fig. 8 we present the minority states in the form of an E versus k_{\parallel} contour plot. Besides the fact that the minority Mn and Co d states are visible with their dispersion in k_{\parallel} , the most interesting observation is the nonvanishing spectral density at the Fermi level. At k_{\parallel} values of about ± 0.6 inverse Angström a nonzero spectral signal is present. This is the fingerprint of a minority surface state which disperses into the total gap of the minority spin-projected bulk-band structure. This feature is not visible in the AI-UPS spectra because of its low spectral weight. The origin for this peculiar result is found in the in-plane magnetization of the sample. In fact this true surface state appears with high spectral weight if the magnetization of the corresponding sample points perpendicular to the surface, where the spectral weight of our surface resonance decreases strongly. The existence of this

feature, even for a 100% in-plane magnetized sample surface is the most possible reason why the spin polarization is reduced by a few percent from 100% to about 93%.

IV. SUMMARY

In conclusion, we were able to demonstrate half-metallic behavior for Co_2MnSi in combination with a nearly 100% spin polarization at room temperature, directly measured and confirmed by our theoretical analysis. Our spectroscopical work has clearly demonstrated that the spin polarization depends very sensitively on the interplay of bulk- and surface-related spectral features, where the magnetization direction of the sample surface plays a major role. In particular, we found that the high spin polarization at the Fermi energy is related to a stable surface resonance in the majority-spin projected states extending deep into the bulk of the sample. A description within the LSDA approach in combination with the DMFT method results in a quantitative description of the electronic structure of Co_2MnSi . The use of a DMFT+LSDA electronic structure calculation is important, whereas the application of the fully relativistic one-step model of photoemission in its spin-density matrix formulation guarantees a quantitative analysis of the spectroscopical data. Our observations may serve as useful information for future spintronic applications on the basis of Heusler alloys.

ACKNOWLEDGMENTS

Financial support by the Deutsche Forschungsgemeinschaft through the SFB 925 (project B5), FOR1464 “ASPIMATT” (Project P 1.2-A), FOR 1346, Eb-154/23, Eb-154/26, and GSC 266 MAINZ as well as the EU (ERC-2007-StG 208162), is gratefully acknowledged. J.M. would like to acknowledge the CENTEM project (Grant No. CZ.1.05/2.1.00/03.0088) and CENTEM PLUS (LO1402) cofunded by Ministry of Education, Youth and Sports of Czech Republic.

-
- [1] D. Hsieh, Y. Xia, D. Qian, L. Wray, J. H. Dil, F. Meier, J. Osterwalder, L. Patthey, J. G. Checkelsky, N. P. Ong, A. V. Fedorov, H. Lin, A. Bansil, D. Grauer, Y. S. Hor, R. J. Cava, and M. Z. Hasan, *Nature (London)* **460**, 1101, (2009).
 - [2] A. Takayama, T. Sato, S. Souma, and T. Takahashi, *Phys. Rev. Lett.* **106**, 166401 (2011).
 - [3] K. Miyamoto, A. Kimura, K. Kuroda, T. Okuda, K. Shimada, H. Namatame, M. Taniguchi, and M. Donath, *Phys. Rev. Lett.* **108**, 066808 (2012).
 - [4] K. P. Kämper, W. Schmitt, G. Güntherodt, R. J. Gambino, and R. Ruf, *Phys. Rev. Lett.* **59**, 2788 (1987).
 - [5] S. Yu, U. Dedkov, U. Rüdiger, and G. Güntherodt, *Phys. Rev. B* **65**, 064417 (2002).
 - [6] T. Graf, C. Felser, and S. S. P. Parkin, *Prog. Sol. State Chem.* **39**, 1 (2011).
 - [7] R. A. de Groot, F. M. Mueller, P. G. van Engen, and K. H. J. Bushow, *Phys. Rev. Lett.* **50**, 2024 (1983).
 - [8] S. Plogmann, T. Schlathölter, J. Braun, M. Neumann, Yu. M. Yarmoshenko, M. V. Yablonskikh, E. I. Shreder, E. Z. Kurmaev, A. Wrona, and A. Ślebarski, *Phys. Rev. B* **60**, 6428 (1999).
 - [9] H. Kolev, G. Rangelov, J. Braun, and M. Donath, *Phys. Rev. B* **72**, 104415 (2005).
 - [10] M. Donath, G. Rangelov, J. Braun, and W. Grentz, *Magnetization, Spin polarization, and electronic structure of NiMnSb surfaces*, in Local-Moment Ferromagnets, edited by M. Donath and W. Nolting, Lecture Notes in Physics 678 (Springer Verlag, Berlin, Heidelberg, 2005).
 - [11] J. S. Correa, Ch. Eibl, G. Rangelov, J. Braun, and M. Donath, *Phys. Rev. B* **73**, 125316 (2006).
 - [12] M. V. Yablonskikh, J. Braun, M. T. Kuchel, A. V. Postnikov, J. D. Denlinger, E. I. Shreder, Y. M. Yarmoshenko, M. Neumann, and A. Moewes, *Phys. Rev. B* **74**, 085103 (2006).
 - [13] J. Minár, J. Braun, S. Bornemann, H. Ebert, and M. Donath, *J. Phys. D: Appl. Phys.* **42**, 084009 (2009).

- [14] M. I. Katsnelson, V. Yu. Irkhin, L. Chioncel, A. I. Lichtenstein, and R. A. de Groot, *Rev. Mod. Phys.* **80**, 315 (2008).
- [15] S. Chadov, G. H. Fecher, C. Felser, J. Minár, J. Braun, and H. Ebert, *J. Phys. D: Appl. Phys.* **42**, 084002 (2009).
- [16] J. P. Wüstenberg, R. Fetzter, M. Aeschlimann, M. Cinchetti, J. Minár, J. Braun, H. Ebert, T. Ishikawa, T. Uemura, and M. Yamamoto, *Co₂MnSi*, *Phys. Rev. B* **85**, 064407 (2012).
- [17] J. Braun, H. Ebert and J. Minár, *Correlation and chemical disorder in Heusler alloys: A spectroscopical study*, in *Spintronics; From Materials to Devices*, edited by C. Felser and G. H. Fecher (Springer Science+Business Media, Dordrecht, 2013), p. 97.
- [18] M. Jourdan, J. Minár, J. Braun, A. Kronenberg, S. Chadov, B. Balke, A. Gloskowsky, M. Kolbe, H. J. Elmers, G. Schönhense, H. Ebert, C. Felser, and M. Kläui, *Nat. Commun.* **5**, 3974 (2014).
- [19] *Photoemission and the Electronic Properties of Surfaces*, edited by M. Cardona and L. Ley (Wiley, New York, 1978); *Photoemission in Solids*, Vol. 1 (Springer, Berlin, 1978); J. E. Inglesfield, *Rep. Prog. Phys.* **45**, 223 (1982).
- [20] V. Dose, *Prog. Surf. Sci.* **13**, 225 (1983); *Surf. Sci. Rep.* **5**, 337 (1985); G. Borstel and G. Thörner, *ibid.* **8**, 1 (1988); N. V. Smith, *Rep. Prog. Phys.* **51**, 1227 (1988); M. Donath, *Surf. Sci. Rep.* **20**, 251 (1994).
- [21] J. B. Pendry, *Low Energy Electron Diffraction* (Academic, London, 1974).
- [22] J. B. Pendry, *Surf. Sci.* **57**, 679 (1976).
- [23] J. F. L. Hopkinson, J. B. Pendry, and D. J. Titterton, *Comput. Phys. Commun.* **19**, 69 (1980).
- [24] B. Ginatempo, P. J. Durham, and B. I. Gyorffy, *J. Phys.: Condens. Matter* **1**, 6483 (1989); J. Henk, S. V. Halilov, T. Scheunemann, and R. Feder, *Phys. Rev. B* **50**, 8130 (1994); M. Fluchtmann, M. Grass, J. Braun, and G. Borstel, *ibid.* **52**, 9564 (1995).
- [25] J. Braun, *Rep. Prog. Phys.* **59**, 1267 (1996).
- [26] S. V. Halilov, E. Tamura, D. Meinert, H. Gollisch, and R. Feder, *J. Phys.: Condens. Matter* **5**, 3859 (1993).
- [27] G. Borstel, *Appl. Phys. A* **38**, 193 (1985).
- [28] C. Caroli, D. Lederer-Rozenblatt, B. Roulet, and D. Saint-James, *Phys. Rev. B* **8**, 4552 (1973).
- [29] J. Braun, *New developments in UPS and XPS from ferromagnetic materials*, in *Band-Ferromagnetism: Ground-State and Finite-Temperature Phenomena*, edited by K. Baberschke, M. Donath and W. Nolting, from Lecture Notes in Physics (Springer, Berlin, 2001), Vol. 580, p. 341.
- [30] G. Hilgers, M. Potthoff, N. Müller, U. Heinzmann, L. Haunert, J. Braun, and G. Borstel, *Phys. Rev. B* **52**, 14859 (1995).
- [31] J. P. Perdew, K. Burke, and M. Ernzerhof, *Phys. Rev. Lett.* **77**, 3865 (1996).
- [32] H. Ebert, D. Ködderitzsch, and J. Minár, *Rep. Prog. Phys.* **74**, 096501 (2011).
- [33] J. Minár *J. Phys.: Condens. Matter* **23**, 253201 (2011).
- [34] J. Minár, L. Chioncel, A. Perlov, H. Ebert, M. I. Katsnelson, and A. I. Lichtenstein, *Phys. Rev. B* **72**, 045125 (2005).
- [35] H. Ebert *et al.*, The munich SPR-KKR package, version 7.2, <http://olymp.cup.uni-muenchen.de/ak/ebert/SPRKKR> (2012).
- [36] L. V. Pourovskii, M. I. Katsnelson, and A. I. Lichtenstein, *Phys. Rev. B* **72**, 115106 (2005).
- [37] M. Meinert, C. Friedrich, G. Reiss, and S. Blügel, *Phys. Rev. B* **86**, 245115 (2012).
- [38] S. Javad Hashemifar, Peter Kratzer, and Matthias Scheffler, *Phys. Rev. Lett.* **94**, 096402 (2005).
- [39] G. Malmström and J. Rundgren, *Comput. Phys. Commun.* **19**, 263 (1980).
- [40] A. Nuber, J. Braun, F. Forster, J. Minár, F. Reinert, and H. Ebert, *Phys. Rev. B* **83**, 165401 (2011).
- [41] A. Bendounan, K. Ait-Mansour, J. Braun, J. Minár, S. Bornemann, R. Fasel, O. Gröning, F. Sirotti, and H. Ebert, *Phys. Rev. B* **83**, 195427 (2011).
- [42] W. H. Wang, M. Przybylski, W. Kuch, L. I. Chelaru, J. Wang, Y. F. Lu, J. Barthel, H. L. Meyerheim, and J. Kirschner, *Phys. Rev. B* **71**, 144416 (2005).
- [43] Feng Wu, Shigemi Mizukami, Daisuke Watanabe, Hiroshi Naganuma, Mikihiro Oogane, Yasuo Ando, and Terunobu Miyazaki, *Appl. Phys. Lett.* **94**, 122503 (2009).
- [44] Sabine Wurmehl, Gerhard H. Fecher, Kristian Kroth, Florian Kronast, Hermann A. Dürr, Yukiharu Takeda, Yuji Saitoh, Keisuke Kobayashi, Hong-Ji Lin, Gerd Schönhense, and Claudia Felser, *J. Phys. D: Appl. Phys.* **39**, 803 (2006).
- [45] Roman Fetzter, Jan-Peter Wüstenberg, Tomoyuki Taira, Tetsuya Uemura, Masafumi Yamamoto, Martin Aeschlimann, and Mirko Cinchetti, *Phys. Rev. B* **87**, 184418 (2013).
- [46] Siham Ouardi, Gerhard H. Fecher, Xeniya Kozina, Gregory Stryganyuk, Benjamin Balke, Claudia Felser, Eiji Ikenaga, Takeharu Sugiyama, Naomi Kawamura, Motohiro Suzuki, and Keisuke Kobayashi, *Phys. Rev. Lett.* **107**, 036402 (2011).
- [47] S. Ouardi, G. H. Fecher, S. Chadov, B. Balke, X. Kozina, C. Felser, T. Taira, and M. Yamamoto, *Appl. Phys. A* **111**, 395 (2013).
- [48] M. Kolbe, P. Lushchik, B. Petereit, H. J. Elmers, G. Schönhense, A. Oelsner, C. Tusche, and J. Kirschner, *Phys. Rev. Lett.* **107**, 207601 (2011).
- [49] M. Kolbe, S. Chadov, E. Arbelo Jorge, G. Schönhense, C. Felser, H.-J. Elmers, M. Kläui, and M. Jourdan, *Phys. Rev. B* **86**, 024422 (2012).
- [50] G. H. O. Daalderop, P. J. Kelly, and M. F. H. Schuurmans, *Phys. Rev. B* **50**, 9989 (1994).
- [51] S. Ouazi, S. Vlaic, S. Rusponi, G. Moulas, P. Bulushek, K. Halleux, S. Bornemann, S. Mankovsky, J. Minar, J. B. Staunton, H. Ebert, and H. Brune, *Nat. Commun.* **3**, 1313 (2012).
- [52] S. Bornemann, Ph.D. thesis, LMU Munich, 2011, <http://edoc.ub.uni-muenchen.de/14207/>.
- [53] J. Stöhr, *J. Magn. Magn. Mat.* **200**, 470 (1999).
- [54] J. Braun and M. Donath, *J. Phys.: Condens. Matter* **16**, S2539 (2004).
- [55] M. Donath and V. Dose, *Euro. Phys. Lett.* **9**, 821 (1989).
- [56] S. D. Stolwijk, A. B. Schmidt, and M. Donath, *Phys. Rev. B* **82**, 201412(R) (2010).
- [57] M. Grass, J. Braun, G. Borstel, R. Schneider, H. Dürr, Th. Fauster, and V. Dose, *J. Phys.: Condens. Matter* **5**, 599 (1993).
- [58] J. Braun, K. Miyamoto, A. Kimura, T. Okuda, M. Donath, H. Ebert, and J. Minár, *New J. Phys.* **16**, 015005 (2014).