



* Hierarchical Axions

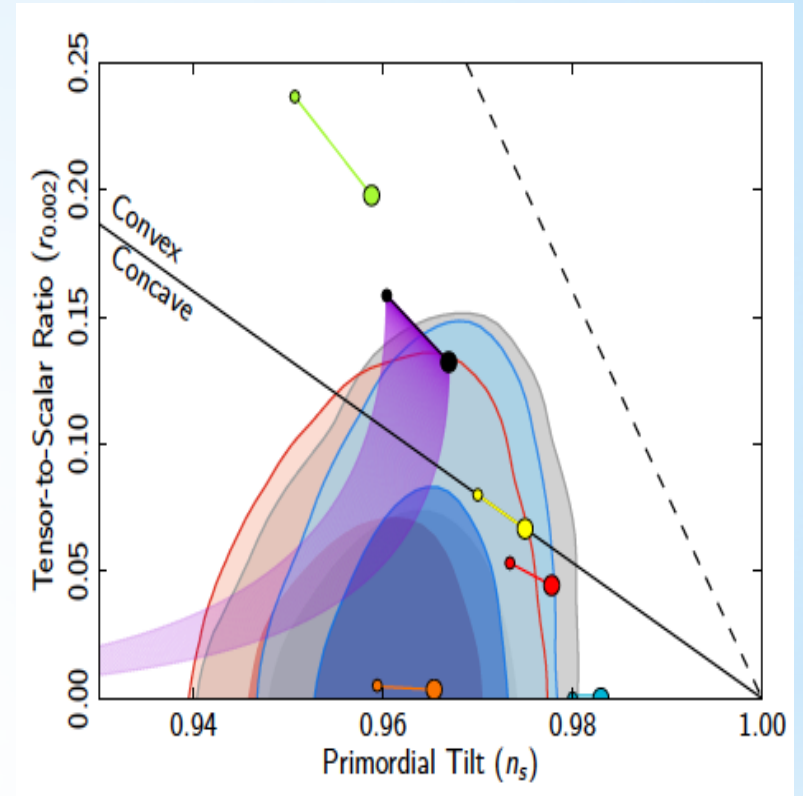
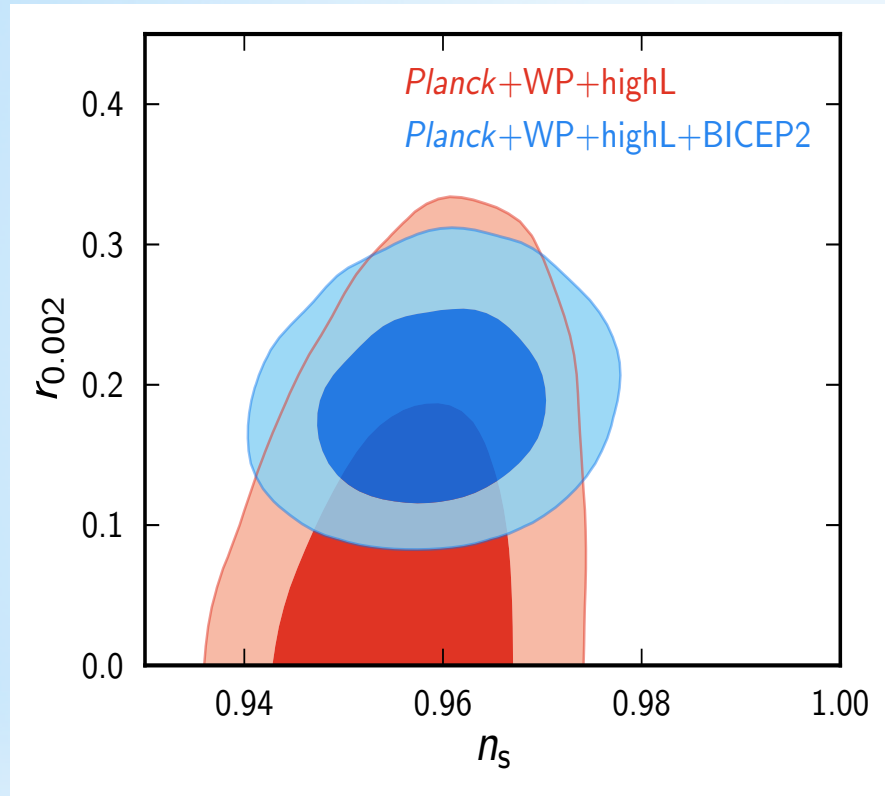
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ICTP, Trieste

*Outline

- * Axion/Natural Inflation
- * Hierarchical Axion mechanism (Field Theory)
1403.7773
- * String theory embedding (arXiv on Wednesday)
- * Outlook: Underlying Mathematical structure -
Monge-Ampere equation (WOP)



* Observational Status

THE GOOD THE BAD & THE UGLY



* Consider a single axion with a canonical kinetic term and a decay constant f .

* For certain values of f :

1. Protected by a shift symmetry.

2. Eternally inflates.

3. Graceful exit.

4. Large or small field model.

5. Fits observations.

$$V = \Lambda^4 \left[1 - \cos \left(\frac{\phi}{f} \right) \right]$$

* Natural Inflation - The Good!



* Natural Inflation - The Bad!

$$f > \sim 5M_p$$



* Natural Inflation ST- The Ugly!

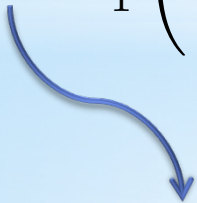
- * Specifically in string theory $f \ll M_p$
- * The axions are coupled to moduli that must be stabilized
- * Full analysis of Inflation and Moduli Stabilization is required.



* Hierarchical Axions

- * Generating $f_{\text{eff}} > 1$ by a hierarchy in the axion decay constants. Originally all $f_i \ll 1$.
- * Just 2 axions
- * Non-perturbative effects only
- * Least amount of tuning of the input parameters
- * The trajectory is contained in a very small field domain

$$V = \Lambda_1^4 \left(1 - \cos \left(\frac{p_1}{\tilde{f}_1} \phi_1 + \frac{p_2}{\tilde{f}_2} \phi_2 \right) \right) + \Lambda_2^4 \left(1 - \cos \left(\frac{q_1}{\tilde{f}_1} \phi_1 + \frac{q_2}{\tilde{f}_2} \phi_2 \right) \right),$$


$$V = \Lambda_{eff.}^4 [1 - \cos(\phi_{eff.}/f_{eff.})]$$

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$$V = \Lambda_1^4 \left(1 - \cos \left(\frac{p_1}{\tilde{f}_1} \phi_1 + \frac{p_2}{\tilde{f}_2} \phi_2 \right) \right) + \Lambda_2^4 \left(1 - \cos \left(\frac{q_1}{\tilde{f}_1} \phi_1 + \frac{q_2}{\tilde{f}_2} \phi_2 \right) \right),$$

* If we write $V = V(\phi_1 + \phi_2) \Rightarrow \det V_{ij} = 0$

We have a flat direction (KNP, DI and HA)

* Set $p_2=0$, and turn on Λ_1

* No alignment, but we have a hierarchy if $p_1 \ll q_1$. $p_1 \rightarrow 0$ reproduces exact flatness.

* Around the origin - a stable minimum. $\frac{\Lambda_2}{\Lambda_1} > \sqrt{\frac{p_1}{q_1}}.$

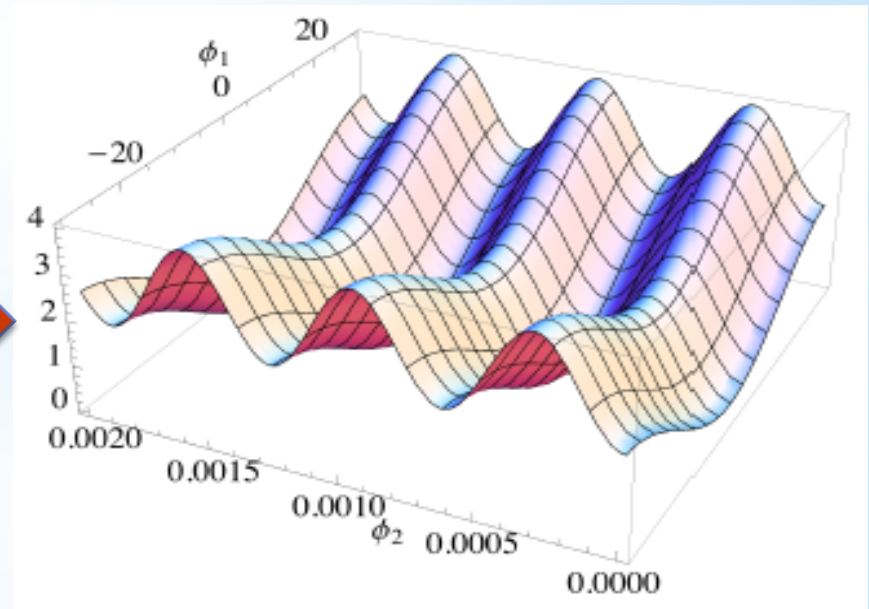
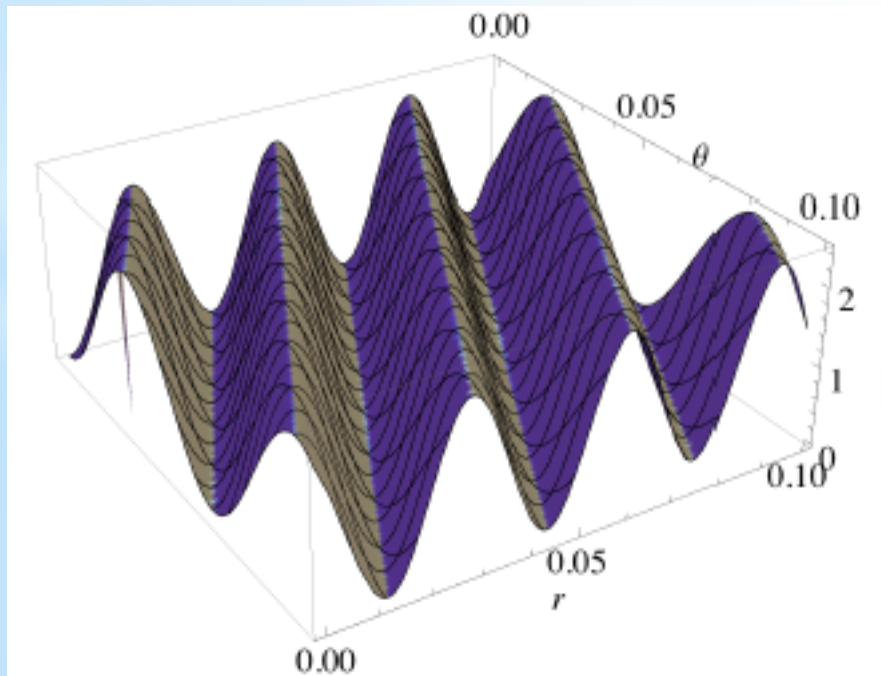
$$\det M^2 = \Lambda_1^4 \Lambda_2^4 \frac{p_1^2 q_2^2}{\tilde{f}_1^2 \tilde{f}_2^2},$$

* Hierarchical Axions

* Diagonalizing the mass matrix: $m_1 \ll m_2$

$$m_1^2 = \frac{\Lambda_1^4}{\tilde{f}_2^2} \left(\frac{p_1 q_2}{q_1} \right)^2, \quad m_2^2 = \frac{\Lambda_1^4 p_1^2}{\tilde{f}_1^2} + \Lambda_2^4 \left(\frac{q_1^2}{\tilde{f}_1^2} + \frac{q_2^2}{\tilde{f}_2^2} \right)$$

* Integrating out the heavier axion gives $f_{eff} = \tilde{f}_2 \frac{q_1}{q_2 p_1} > 1$



*Combine HA (and KNP) with moduli stabilization. Analyze both KKLT and LVS scenarios.

$$K = -2 \ln \mathcal{V}, \quad W = W_0 + A e^{-aT} + P e^{-p_1 G_1 - p_2 G_2} + Q e^{-q_1 G_1 - q_2 G_2},$$

$$\mathcal{V} = c_L \left(T_L + \bar{T}_L - i \frac{1}{2(\tau - \bar{\tau})} \kappa_{L\beta\gamma} (G - \bar{G})^\beta (G^\gamma - \bar{G}^\gamma) \right)^{3/2} \\ - \sum_{i=2 \dots h_+^{1,1}} c_i \left(T_i + \bar{T}_i - i \frac{1}{2(\tau - \bar{\tau})} \kappa_{i\beta\gamma} (G - \bar{G})^\beta (G^\gamma - \bar{G}^\gamma) \right)^{3/2}.$$

*String theory embedding

- * For a tractable analysis, we construct a hierarchy $V_0 \gg V_1 \gg V_2$.
- * V_0 is the modified KKLT/LVS potential due to the existence of the additional b and c axions
- * V_1 (and V_2), will uplift the remaining flat directions and will be the inflationary potential.
- * The same hierarchy needed for the original moduli stabilization gives the hierarchy needed for inflation.

$$V_{inf} < V_{barrier} \sim \frac{W_0^2}{\mathcal{V}^2}$$

*String Theory Embedding

* Chiral fields and geometric fields. D5 generated superpotential

$$\Psi = \{T_1, T_2, G_1, G_2, S\}, \quad \psi = \{\tau_1, \rho_1, \tau_2, \rho_2, b_1, b_2, c_1, c_2, s, C_0\}$$

$$K = -2 \log \left[\Sigma_1^{3/2} - \Sigma_2^{3/2} + \xi (S + \bar{S})^{3/2} \right] - \log [S + \bar{S}] ,$$

$$\Sigma_1 \equiv T_1 + \bar{T}_1 + \frac{k_{11}}{2(S + \bar{S})} (G_1 + \bar{G}_1)^2 + \frac{k_{12}}{2(S + \bar{S})} (G_2 + \bar{G}_2)^2,$$

$$\Sigma_2 \equiv T_2 + \bar{T}_2 + \frac{k_{21}}{2(S + \bar{S})} (G_1 + \bar{G}_1)^2 + \frac{k_{22}}{2(S + \bar{S})} (G_2 + \bar{G}_2)^2.$$

* Simplest way to avoid ghosts and a stable minimum at $b_1=b_2=0$:

$$k_{ij} < 0 \ \& \quad |k_{11}| > |k_{21}| \sqrt{\tau_2/\tau_1} \quad , \quad |k_{12}| > |k_{22}| \sqrt{\tau_2/\tau_1}$$

* LVS example

*The large and small cycle moduli are stabilized at the standard location, $b_1=b_2=0$.

$$V_{LVS} = \frac{9(b_1^2 k_{11} + b_2^2 k_{12})^2 W_0^2}{64 g_s \tau_1^5} + \frac{3\xi W_0^2}{32 \sqrt{g_s} \tau_1^{9/2}} - \frac{a^2 A^2 (b_1^2 k_{12} k_{21}^2 + b_2^2 k_{11} k_{22}^2)}{12 k_{11} k_{12} \tau_1^2} e^{a \left(\frac{b_1^2 k_{21} + b_2^2 k_{22}}{g_s} - 2\tau_2 \right)} \\ + \frac{a^2 A^2 g_s \sqrt{\tau_2}}{6 \tau_1^{3/2}} e^{a \left(\frac{b_1^2 k_{21} + b_2^2 k_{22}}{g_s} - 2\tau_2 \right)} - \frac{g_s a A W_0 \tau_2}{4 \tau_1^3} e^{a \left(\frac{b_1^2 k_{21} + b_2^2 k_{22}}{2 g_s} - \tau_2 \right)}.$$

$$\tau_1^{3/2} = \frac{3 W_0 \sqrt{\tau_2} (-1 + a\tau_2)}{a A (-1 + 4a\tau_2)} e^{a\tau_2} \sim \frac{3 W_0 \sqrt{\tau_2}}{4 a A} e^{a\tau_2} \left(1 - \frac{3}{4 a \tau_2} \right),$$

$$\tau_2^{3/2} = \frac{\xi (-1 + 4a\tau_2)^2}{16 g_s^{3/2} a \tau_2 (-1 + a\tau_2)} \sim \frac{\xi}{g_s^{3/2}} \left(1 + \frac{1}{2 a \tau_2} \right).$$

*LVS Example

*The inflationary part:

$$V_1 = \frac{3PW_0\xi}{64a\sqrt{g_s}\tau_1^{9/2}\tau_2} \cos[c_1p_1 + c_2p_2] + \frac{3QW_0\xi}{64a\sqrt{g_s}\tau_1^{9/2}\tau_2} \cos[c_1q_1 + c_2q_2]$$

$$V_2 = -\frac{PQ}{\tau_1^2} \frac{(k_{12}p_1q_1 + k_{11}p_2q_2)}{6k_{11}k_{12}} \cos[c_1p_1 + c_2p_2 - c_1q_1 - c_2q_2] \\ - \frac{P^2}{\tau_1^2} \frac{(k_{12}p_1^2 + k_{11}p_2^2)}{12k_{11}k_{12}} - \frac{Q^2}{\tau_1^2} \frac{(k_{12}q_1^2 + k_{11}q_2^2)}{12k_{11}k_{12}}.$$

$$V_{LVS} \sim \mathcal{O}\left(-\frac{W_0^2}{\mathcal{V}^3 \log \mathcal{V}}\right), \quad V_1 \sim \mathcal{O}\left(\frac{QW_0 + PW_0}{\mathcal{V}^3 \log \mathcal{V}}\right), \quad V_2 \sim \mathcal{O}\left(\frac{PQ + P^2 + Q^2}{\mathcal{V}^{4/3}}\right).$$

$$P, Q \ll \frac{W_0}{\mathcal{V}^{5/6} \sqrt{\log \mathcal{V}}} \quad \text{ED3/ED1 case:} \quad P, Q \ll \frac{1}{\sqrt{\log \mathcal{V}}},$$

*LVS example

	W_0	A	a	P	Q	p_1	q_1	r	δ	k_{11}	k_{12}	k_{21}	k_{22}	g_s	ξ
KNP ₁	1	1	π	10^{-4}	10^{-3}	$\frac{\pi}{2}$	$\frac{\pi}{2}$	1	0.01	-3	-3	-3	-3	0.3	0.5
KNP ₂	8	2	$\frac{2\pi}{5}$	0.01	0.01	$\frac{\pi}{5}$	$\frac{\pi}{5}$	0.8	0.1	-6	-6	-6	-6	0.3	1

Table 5. Input parameters for the LVS string embedding.

	W_0	A	a	P	Q	p_1	p_2	q_1	q_2	k_{11}	k_{12}	k_{21}	k_{22}	g_s	ξ
HA ₁	1	1	$\frac{2\pi}{5}$	10^{-3}	10^{-3}	0	$\frac{\pi}{11}$	$\frac{\pi}{11}$	π	-1	-1	-1	-1	0.4	1.5
HA ₂	10	2	π	5×10^{-3}	5×10^{-3}	0	$\frac{2\pi}{15}$	$\frac{2\pi}{25}$	π	-10	-10	-1	-1	0.5	0.7

Table 6. Input parameters for the LVS string embedding.

	τ_1	τ_2	$\Lambda_1^4 \times 10^{12}$	$\Lambda_2^4 \times 10^{12}$	$\Lambda_3^4 \times 10^{12}$	f_{eff}
KNP ₁	48.2	2.22	0.016	0.16	11.8	13.4
KNP ₂	51.3	3.64	30	30	709	5.0
HA ₁	19.3	3.59	41	41	443	5.1
HA ₂	42.3	1.70	21	21	306	11.23

Table 7. Compactification features and inflationary parameters. Dimensionful quantities in units of M_P .

* Numerical Example

ED3/ED1 Reduce the tuning by a factor of 10-100

- * We fully embedded the HA (and KNP) variant of natural inflation in string theory with moduli stabilization.
- * Just 2 axions
- * Non-perturbative effects only
- * Least amount of tuning of the input parameters
- * The trajectory is contained in a very small field domain. Sheds light on the small vs. large field discussion. (The “argument” is only relevant for fundamental fields. It’s trivial for low energy effective ones)

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* Executive Summary

* Outlook

* KNP and HA have the same origin:

$$V = V(\phi_1 + \phi_2) \Rightarrow \det V_{ij} = 0$$

* So called “Monge-Ampere equation”:

* Novel classification of models:

1. $\det V_{ij} = V_i = 0$ at a point $\Rightarrow$ Inflection/Hilltop/Accidental/
Small field models.

2. $\det V_{ij} = 0$ everywhere (or submanifold) $\Rightarrow$ guaranteed
“Almost” inflationary trajectory. If at a point $V_i = 0$ and the
other eigenvalues are positive $\Rightarrow$ Inflation.

3. A full model is constructed by adding a subdominant
positive potential a la HA/DI $\delta V = \delta V(\phi_1), \delta V_{11} > 0$

* Can use the mathematical literature on solutions of the
Monge-Ampere equation.

* Outlook example

- * Another 2-field solution of the MA equation, f is an arbitrary function:

$$V = (c_1 x + c_2 y) f\left(\frac{x}{y}\right)$$

- * Add $\delta V = c_3 x^2$

- * With $f'' > 0$, we can construct an inflationary model $\delta V'' > 0$