

Hadron structure from generalized parton distributions

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1. Introduction
2. Factorization
3. Some basics about GPDs
4. Impact parameter
5. Spin effects
6. Summary

QCD at scales above ~ 1 GeV

- ▶ quarks and gluons become “visible” as degrees of freedom
- ▶ but observed particles are still hadrons where quarks and gluons are confined
- ▶ hadrons as highly relativistic many-body systems particle number not conserved (sea quarks, gluons)

two aims/motivations:

- ▶ understand hadron structure from $\mathcal{L}_{\text{QCD}}$
- ▶ describe high-energy processes, e.g. at LHC

tool: factorization of dynamics into

partonic processes

short times/distances

perturbation theory in α_s



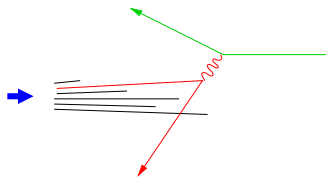
parton distributions

and related quantities

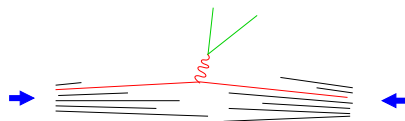
hadron structure at parton level

The parton model

- ▶ in processes with large energy-momentum transfer collisions of individual partons **possibly involving γ , W , ...**
- ▶ hadron $\sim$ bunch of almost-collinear, quasi-free partons **in frame where it moves fast**
- ▶ “initial conditions” of collision described by **parton distributions** in hadron



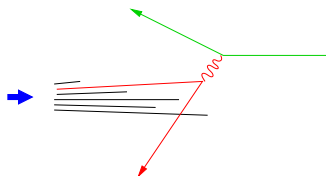
Deep inelastic scattering: $\ell p \rightarrow \ell X$



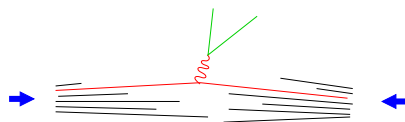
Drell-Yan: $pp \rightarrow \ell^+ \ell^- X$

Short-distance factorization in QCD

- ▶ implements parton model ideas into quantum field theory
- ▶ corrections to partons being free
perturbation theory at small α_s
- ▶ factorization proofs for specific processes and observables
in specific kinematics
exhibit limitations of parton model



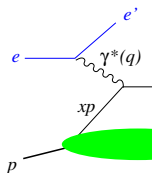
Deep inelastic scattering: $\ell p \rightarrow \ell X$



Drell-Yan: $pp \rightarrow \ell^+ \ell^- X$

Factorization

- ▶ deeply inelastic scattering: $\ell p \rightarrow \ell + X$



- ▶ $Q^2 = -q^2$ and $W^2 = (q + p)^2$ large
at fixed $Q^2/W^2 \rightsquigarrow$

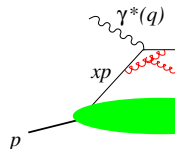
$$\sigma_{\text{tot}}(\gamma^* p) \propto \text{scattering on parton}$$

$$\otimes \text{parton distribution } f(x)$$

- ▶ systematic treatment in QCD

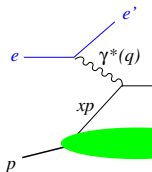
- ▶ prove factorization in limit $Q^2 \rightarrow \infty$
- ▶ hard scattering in perturbation theory
- ▶ partonic final states beyond single q

$$\rightsquigarrow x > x_B \approx \frac{Q^2}{W^2 + Q^2}$$



Factorization

- ▶ deeply inelastic scattering: $\ell p \rightarrow \ell + X$



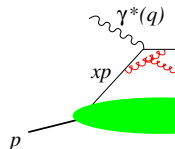
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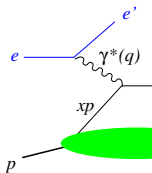
- ▶ systematic treatment in QCD

- ▶ scale μ to separate parton distribution from hard scattering
- ▶ physically: resolution scale
separate “object” from “probe”
- ▶ DGLAP evolution equation
for $\mu \frac{d}{d\mu} f(x, \mu)$



Factorization

- ▶ deeply inelastic scattering: $\ell p \rightarrow \ell + X$

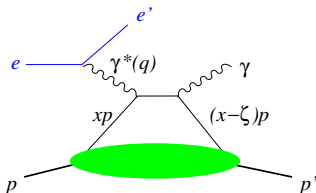


- ▶ $Q^2 = -q^2$ and $W^2 = (q + p)^2$ large
at fixed $Q^2/W^2 \rightsquigarrow$

$$\sigma_{\text{tot}}(\gamma^* p) \propto \text{scattering on parton}$$

$$\otimes \text{parton distribution } f(x)$$

- ▶ deeply virtual Compton scattering: $\ell p \rightarrow \ell \gamma$



- ▶ Q^2 and W^2 large, $t = (p - p')^2$ small $\rightsquigarrow$

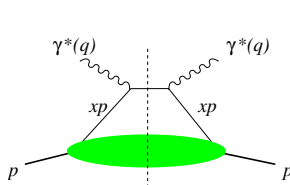
$$\mathcal{A}(\gamma^* p \rightarrow \gamma p) \propto \text{scattering on parton}$$

$$\otimes \text{Generalized Parton Distribution } f(x, \zeta, t)$$

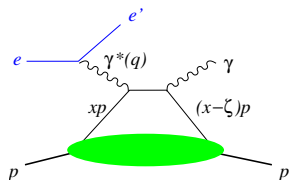
D. Müller et al '94

X. Ji '96; A. Radyushkin '96

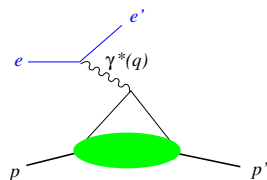
J. Collins et al '96



$f(x, 0, 0)$
parton density



$f(x, \zeta, t)$
GPD

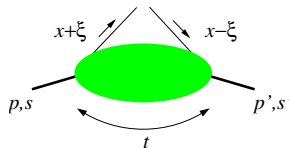


$\int dx f(x, \zeta, t) = F(t)$
form factor



- ▶ define $f(x, \zeta, t)$ as matrix element $\langle p' | \mathcal{O} | p \rangle$
 $\mathcal{O}$ = operator with quark/gluon fields at **light-like** distance
- ▶ renormalization of $\mathcal{O} \leftrightarrow$ evolution eq. for $\mu \frac{d}{d\mu} f(x, \zeta, t; \mu)$

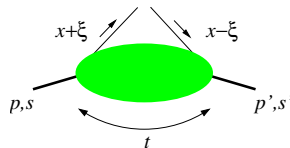
Definition and properties of GPDs



$$\int dz^- e^{ixP^+z^-} \langle p', s' | \bar{q}(-\frac{1}{2}z) \gamma^+ q(\frac{1}{2}z) | p, s \rangle \Big|_{z^+=0, \mathbf{z}=0}$$

- ▶ light-cone variables $v^\pm = \frac{1}{\sqrt{2}}(v^0 \pm v^3)$
transverse components $\mathbf{v} = (v^1, v^2)$
 - ▶ for fast right-moving particle: $p^0 \approx p^3$
 $\Rightarrow p^+ \approx \sqrt{2}p^3, \quad p^- \ll p^+$
 - ▶ boost along z : $v^+ \rightarrow v^+\alpha, \quad v^- \rightarrow v^-/\alpha$

Definition and properties of GPDs



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$$\propto H^q \bar{u}(p', s') \gamma^+ u(p, s) + E^q \bar{u}(p', s') \frac{i}{2m_p} \sigma^{+\alpha} (p' - p)_\alpha u(p, s)$$

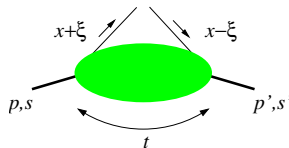
► kin. variables in H^q, E^q :

x, ξ momentum fractions w.r.t. $P = \frac{1}{2}(p + p')$
in DVCS and light meson production:

$\xi = x_B / (2 - x_B)$, x integrated over

t can trade for **transverse** momentum transfer $\Delta = p' - p$

Definition and properties of GPDs



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- proton spin structure:

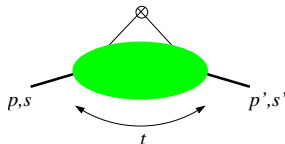
$H^q \leftrightarrow s = s'$ for $p = p'$ recover usual densities:

$$H^q(x, \xi = 0, t = 0) = \begin{cases} q(x) & x > 0 \\ -\bar{q}(-x) & x < 0 \end{cases}$$

$E^q \leftrightarrow s \neq s'$ decouples for $p = p'$

- similar definitions for polarized quarks and for gluons

Definition and properties of GPDs

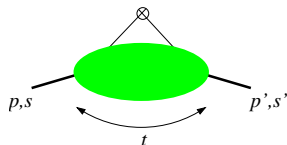


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- ▶ Mellin moments: $\int dx x^n \rightarrow$ **local** operators $\bar{q}(\vec{D}^+)^n \gamma^+ q$
 $\rightarrow$ form factors
- ▶ calculations in lattice QCD
- ▶ $\sum_q e_q \int dx H^q(x, \xi, t) = F_1(t)$ Dirac f.f.
 $\sum_q e_q \int dx E^q(x, \xi, t) = F_2(t)$ Pauli f.f.

Definition and properties of GPDs



$$\int dz^- e^{ixP^+z^-} \langle p', s' | \bar{q}(-\frac{1}{2}z) \gamma^+ q(\frac{1}{2}z) | p, s \rangle \Big|_{z^+=0, \mathbf{z}=0}$$

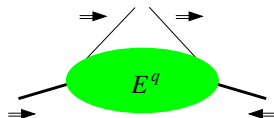
$$\propto \textcolor{blue}{H}^q \bar{u}(p', s') \gamma^+ u(p, s) + \textcolor{blue}{E}^q \bar{u}(p', s') \frac{i}{2m_p} \sigma^{+\alpha} (p' - p)_\alpha u(p, s)$$

► $\int dx x \rightarrow$ **energy-momentum tensor**

Ji's sum rule $\frac{1}{2} \int dx x (H^q + E^q) = J^q(t)$

$J^q(0) =$ **total** angular momentum carried
by quark flavor q (helicity and **orbital** part)

Definition and properties of GPDs



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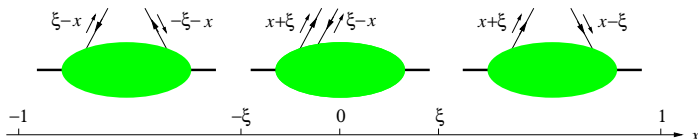
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- ▶ $E^q \neq 0$ **needs** orbital angular momentum between partons

Partonic interpretation



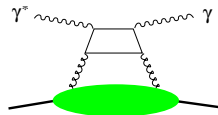
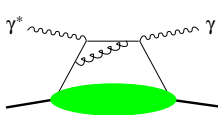
- ▶ $|x| > \xi$ similar to parton densities
correlation $\psi_{x-\xi}^* \psi_{x+\xi}$ instead of probability $|\psi_x|^2$
- ▶ $|x| < \xi$ coherent emission of $q\bar{q}$ pair
- ▶ regions related by **Lorentz invariance**
spacelike partons incoming in some frames, outgoing in others

Key processes involving GPDs

- deeply virtual Compton scattering

best control over theory

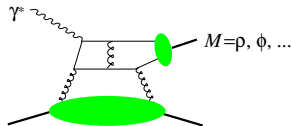
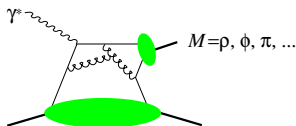
D. Müller et al.



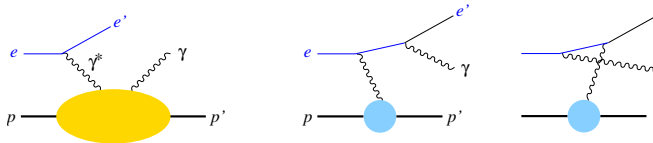
- meson production: large Q^2 or heavy quarks

help for separating quark flavors and gluons

radiative and power corrections important, even for $Q^2 \sim 10 \text{ GeV}^2$



From cross sections to GPDs



- ▶ virtual Compton scattering + Bethe-Heitler process interference term $\rightsquigarrow \mathcal{A}(\gamma^* p \rightarrow \gamma p)$ including its phase
- ▶ relation $\mathcal{A}(\gamma^* p \rightarrow \gamma p) \leftrightarrow \sigma(\ell p \rightarrow \ell \gamma p)$ becomes **simple** in relevant kinematics (Q^2 and W^2 large, t small)
- ▶ detailed information from angular distrib's and polarization isolate interference in **beam charge asymmetry**

M.D., T.Gousset, B.Pire, J.Ralston '97
A.Belitsky, A.Kirchner, D.Müller '01

- ▶ measurements from JLAB, HERMES, HERA Collider hopefully soon from COMPASS, eventually from EIC

Localizing partons: impact parameter

- ▶ states with definite light-cone momentum p^+ and transverse position (impact parameter):

$$|p^+, \mathbf{b}\rangle = \int d^2\mathbf{p} e^{-i\mathbf{b}\cdot\mathbf{p}} |p^+, \mathbf{p}\rangle$$

formal: eigenstates of 2 dim. position operator

D. Soper '77

- ▶ can exactly localize proton in 2 dimensions
no limitation by Compton wavelength
- ▶ and stay in frame where proton moves fast
 $\rightsquigarrow$ parton interpretation
- ▶ different from localization in 3 spatial dimensions
well-known for form factors; also for GPDs

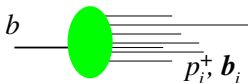
Belitsky, Ji, Yuan '03

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- ▶ $\mathbf{b}$ is center of momentum of the partons in proton



$$\mathbf{b} = \frac{\sum_i p_i^+ \mathbf{b}_i}{\sum_i p_i^+} \quad (i = q, \bar{q}, g)$$

consequence of Lorentz invariance: transverse boosts

$$k^+ \rightarrow k^+ \quad \mathbf{k} \rightarrow \mathbf{k} - k^+ \mathbf{v}$$

nonrelativistic analog: Galilei invariance $\xrightarrow{\text{Noether}}$ center of mass

Impact parameter distributions

- from $\langle p^+, p' | \mathcal{O} | p^+, p \rangle$ to $\langle p^+, \mathbf{b} | \mathcal{O} | p^+, \mathbf{b} \rangle$

$$\rightsquigarrow q(x, \mathbf{b}^2) = (2\pi)^{-2} \int d^2\Delta e^{-i\mathbf{b}\Delta} H^q(x, \xi = 0, t = -\Delta^2)$$

gives distribution of quarks with

- longitudinal momentum fraction x
- transverse distance $\mathbf{b}$ from proton center

M. Burkardt '00

- average impact parameter

$$\langle \mathbf{b}^2 \rangle_x = \frac{\int d^2b \mathbf{b}^2 q(x, \mathbf{b}^2)}{\int d^2b q(x, \mathbf{b}^2)} = 4 \frac{\partial}{\partial t} \log H(x, \xi = 0, t) \Big|_{t=0}$$

- can generalize to $\xi \neq 0$

M.D. '02

t dependence of hard exclusive processes

$\rightsquigarrow$ spatial distribution of partons

e.g. from $ep \rightarrow ep \rho$, $ep \rightarrow ep \phi$, $ep \rightarrow ep J/\Psi$, DVCS

Impact parameter distributions

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- integrated over $x \rightsquigarrow$ form factor

$$\langle \mathbf{b}^2 \rangle = \frac{\int d\mathbf{x} \int d^2b \mathbf{b}^2 q(x, b^2)}{\int d\mathbf{x} \int d^2b q(x, b^2)} = 4 \frac{\partial}{\partial t} \log F_1(t) \Big|_{t=0}$$

Apples, oranges, and other fruits

form factor	distribution	$\langle b^2 \rangle$
F_1^p	$\sum_q e_q (q - \bar{q})$	$(0.66 \text{ fm})^2$
G_E^p		$(0.71 \text{ fm})^2 = (0.66 \text{ fm})^2 + \frac{\kappa_p}{m_p^2}$
G_A	$\Delta u + \Delta \bar{u} - (\Delta d + \Delta \bar{d})$	$(0.52 \text{ to } 0.54 \text{ fm})^2$

- ▶ in form factor integral parton distributions have average $x \sim 0.2$
- ▶ generalized **gluon** dist. at $x = 10^{-3} \rightsquigarrow \langle b^2 \rangle = (0.57 \text{ to } 0.60 \text{ fm})^2$
from J/Ψ photoproduction at HERA

note:

$$4 \left. \frac{\partial}{\partial t} \log G(t) \right|_{t=0} = \text{squared impact parameter}$$

$$6 \left. \frac{\partial}{\partial t} \log G(t) \right|_{t=0} = \text{squared radius}$$

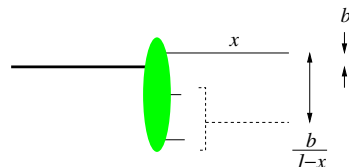
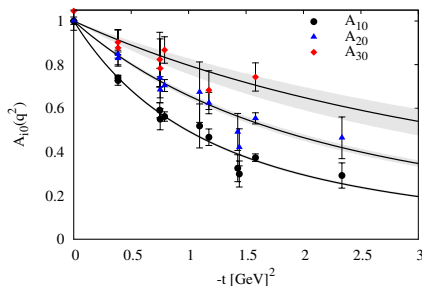
numbers: G_E and F_1 from Particle Data Group; G_A from Bernard et al. '01

Lattice calculations

- results for moments

$$A_{n,0}(t) = \int dx x^{n-1} H(x, \xi = 0, t) = \int d^2b e^{ib\Delta} \int dx x^{n-1} q(x, b^2)$$

QCDSF Collab. '06: $A_{n0}(t)/A_{n0}(0)$



$b/(1-x) =$
distance of selected parton
from spectator system

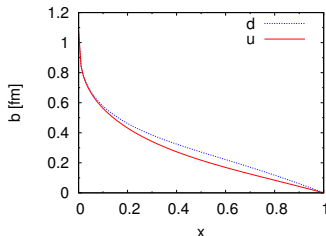
- steeper t slope for larger n
 $\rightsquigarrow$ decrease of $\langle b^2 \rangle_x$ with x

Analysis of form factor data

M.D., T.Feldmann, R.Jakob, P.Kroll '04; cf. also M.Polyakov et al. '04

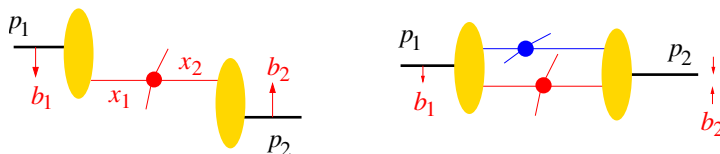
- ▶ ansatz for $H^q(x, \xi, t)$ consistent with parton densities and electromagnetic form factor data

valence quarks $q - \bar{q}$



- ▶ $\bar{b}$ decreases with x
same trend as in lattice results
- ▶ averaged over x have $\langle b^2 \rangle = (0.66 \text{ fm})^2$
- ▶ tendency: d wider than u
but not conclusive

Aside: multiple parton interactions



- ▶ hard inclusive process, e.g. $pp \rightarrow \text{jet jet} + X$
 - no impact parameter dependence
integrate over b_1 and b_2 independently
- ▶ secondary soft or hard interactions
 - do not affect inclusive cross section, but change event structure
will affect many analyses at LHC
 - sensitive to transverse distance between partons
- ▶ information from GPDs can help description of mult. interactions
 - b dependence and its interplay with momentum fraction x

Now add spin

- ▶ $E \leftrightarrow$ nucleon helicity flip $\langle \downarrow | \mathcal{O} | \uparrow \rangle$
 $\leftrightarrow$ transverse pol. difference $|X_{\pm}\rangle = \frac{1}{\sqrt{2}}(|\uparrow\rangle \pm |\downarrow\rangle)$
 $\langle X+ | \mathcal{O} | X+ \rangle - \langle X- | \mathcal{O} | X- \rangle = \langle \uparrow | \mathcal{O} | \downarrow \rangle + \langle \downarrow | \mathcal{O} | \uparrow \rangle$
- ▶ quark density in proton state $|X+\rangle$

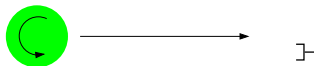
$$q^X(x, \mathbf{b}) = q(x, \mathbf{b}^2) - \frac{b^y}{m} \frac{\partial}{\partial \mathbf{b}^2} e^q(x, \mathbf{b}^2)$$

is shifted in y direction

M. Burkardt '02

$e^q(x, b)$ is Fourier transform of $E^q(x, \xi = 0, t)$

- ▶ semi-classical picture: rotating matter distribution



gives alternative view on Ji's sum rule $L^x = b^y p^z$

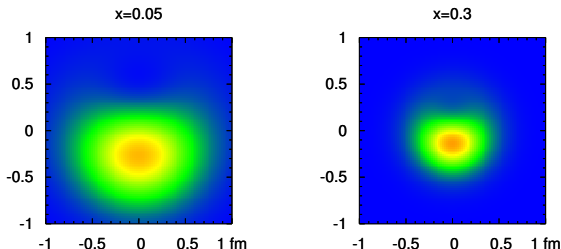
M. Burkardt '05

$(d - \bar{d})$ density in transverse plane

quark density in
proton state $|X+\rangle$

$$q^X(x, \mathbf{b}) = q(x, \mathbf{b}^2) - \frac{b^y}{m} \frac{\partial}{\partial \mathbf{b}^2} e^q(x, \mathbf{b}^2)$$

is shifted



M.D., Th. Feldmann, R. Jakob, P. Kroll '04

- $\int dx E^u(x, 0, 0) = \kappa^u \approx 1.67$
- $\int dx E^d(x, 0, 0) = \kappa^d \approx -2.03$

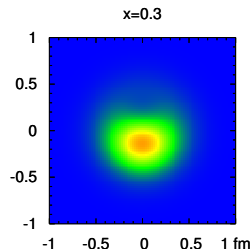
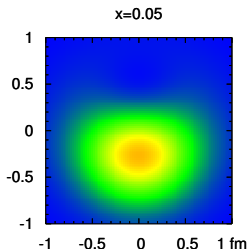
from p and n magnetic moments
→ large **spin-orbit** correlations

$(d - \bar{d})$ density in transverse plane

quark density in
proton state $|X+\rangle$

$$q^X(x, \mathbf{b}) = q(x, \mathbf{b}^2) - \frac{b^y}{m} \frac{\partial}{\partial \mathbf{b}^2} e^q(x, \mathbf{b}^2)$$

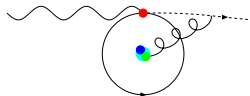
is shifted



M.D., Th. Feldmann, R. Jakob, P. Kroll '04

- explanation of **Sivers effect** by chromodynamic lensing
anisotropic p_T distrib. of π produced from transv. polarized target

struck quark interacts with spectators
anisotropic spectator distribution } $\Rightarrow$ anisotropic p_T distrib.
of struck quark



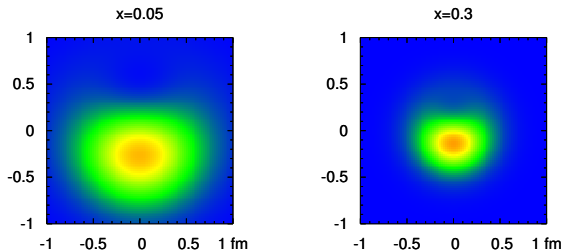
M. Burkardt '04
figure from arXiv:0807.2599

$(d - \bar{d})$ density in transverse plane

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M.D., Th. Feldmann, R. Jakob, P. Kroll '04

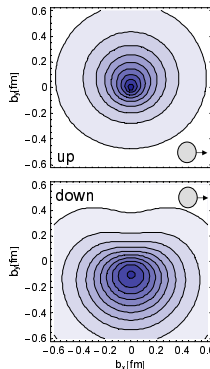
- ▶ analogous phenomenon for **transv. pol. quarks** in **unpol. target**
- ▶ chromodynamic lensing found in spectator models
Burkardt, Hwang '03; Meissner, Metz, Goeke '07; Gamberg, Schlegel '09

Calculations in lattice QCD

nucleon: QCDSF Collab., M. Gökeler et al., hep-lat/0612032

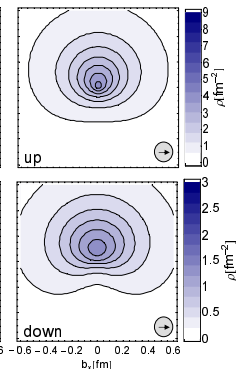
$$\int dx q^X(x, \mathbf{b})$$

polarized p



$$\int dx q_T^X(x, \mathbf{b})$$

polarized q

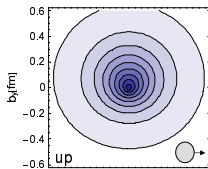


Calculations in lattice QCD

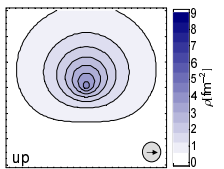
nucleon: QCDSF Collab., M. Gökeler et al., hep-lat/0612032

pion: QCDSF Collab., D. Brömmel et al., arXiv:0708.2294

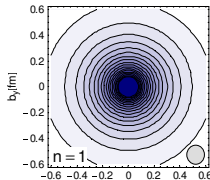
$\int dx q^X(x, \mathbf{b})$
polarized p



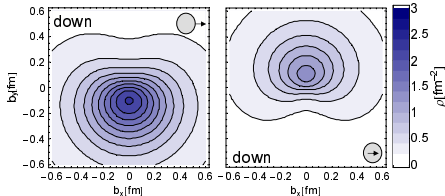
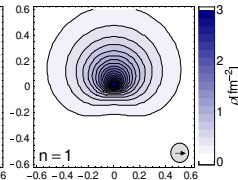
$\int dx q_T^X(x, \mathbf{b})$
polarized q



$\int dx q(x, \mathbf{b})$
 u in π^+



$\int dx q_T^X(x, \mathbf{b})$
polarized u in π^+



average shifts:

- ▶ $\langle b_y \rangle = 0.154(6)$ fm in proton
- ▶ $\langle b_y \rangle = 0.162(11)$ fm in pion

The proton spin budget

- Ji's sum rule: $\frac{1}{2} = J^g + \sum_q J^q$ with Ji '96

$$J^q = \frac{1}{2} \int dx x (H^q + E^q) \Big|_{\xi=0}^{t=0} \quad J^g = \frac{1}{2} \int dx (H^g + E^g) \Big|_{\xi=0}^{t=0}$$

- further decompose $J^q = L^q + \frac{1}{2}\Sigma$ and $J^g = L^g + \Delta g$
 with Σ and Δg from ordinary parton densities
 operator interpretation of L^g nontrivial Wakamatsu '10
- alternative decomp. $\frac{1}{2} = \mathcal{J}^g + \sum_q \mathcal{J}^q$ Bashinski, Jaffe '98

with $\mathcal{J}^g = \mathcal{L}^g + \Delta g$ and $\mathcal{J}^q = \mathcal{L}^q + \frac{1}{2}\Sigma$

- $J^q \neq \mathcal{J}^q$, $L^q \neq \mathcal{L}^q$ and $J^g \neq \mathcal{J}^g$ Burkardt, Hikmat '08
- no known connection of $\mathcal{L}^q$ and $\mathcal{L}^g$ with observables

The proton spin budget

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- alternative decomp. $\frac{1}{2} = \mathcal{J}^g + \sum_q \mathcal{J}^q$ Bashinski, Jaffe '98

- ambiguities in decomposition reflect difficulty to separate
- “quark” from “gluon” contrib's in presence of interactions
gluon field contains physical and unphysical (gauge) d.o.f.
 - “intrinsic” from orbital angular momentum for spin-1 particles

similar issues already in QED

there need not be a **unique** choice

The proton spin budget

- Ji's sum rule: $\frac{1}{2} = J^g + \sum_q J^q$ with Ji '96

$$J^q = \frac{1}{2} \int dx x (H^q + E^q) \Big|_{\xi=0}^{t=0} \quad J^g = \frac{1}{2} \int dx (H^g + E^g) \Big|_{\xi=0}^{t=0}$$

- further decompose $J^q = L^q + \frac{1}{2}\Sigma$ and $J^g = L^g + \Delta g$
 with Σ and Δg from **ordinary** parton densities
 operator interpretation of L^g nontrivial Wakamatsu '10
- lattice $\rightsquigarrow \Sigma$ and J^q J^g difficult, Δg impossible
- directly get **integrals over x** at $\xi = 0$
- exclusive processes $\rightsquigarrow$ GPDs $\rightsquigarrow J^q$ and **(more difficult)** J^g
- exclusive **(and inclusive)** processes: $\int dx$ difficult
 - measure at $\xi \neq 0$
 - but direct access to x **dependence** of $E^{q,g}(x, x, t)$

Current estimates of L^q at scale $\mu = 2 \text{ GeV}$

- ▶ lattice results QCDSF, M. Ohtani et al. '07

$$J^u = 0.230(8) \quad J^d = -0.004(8)$$

$$L^{u+d} = 0.025(27)$$

- ▶ lattice results LHPC, J.D. Bratt, Ph. Hägler et al. '10

$$J^u = 0.236(6) \quad J^d = 0.0018(37)$$

$$L^{u+d} = 0.056(11) \text{ or } 0.030(12)$$

- ▶ phenomenological analysis of Pauli form factors M.D. et al '04

$$J_v^u = 0.19 \text{ to } 0.23 \quad J_v^d = -0.02 \text{ to } 0.02$$

$$L_v^{u+d} = -0.11 \text{ to } -0.06$$

only valence ($q - \bar{q}$) contrib's from $\sum_q e_q \int dx E^q(x, 0, t) = F_2(t)$

- ▶ still important systematic uncertainties

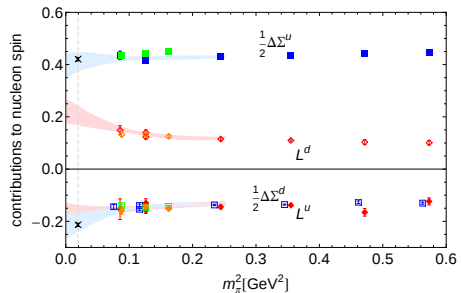
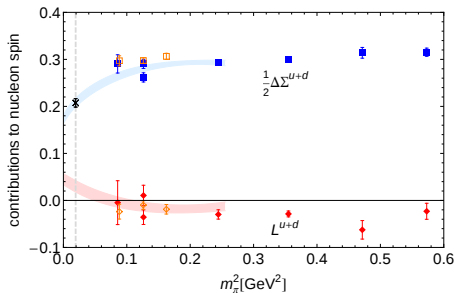
- ▶ trend: $J^u > 0$, $J^d \approx 0$

$$L^u < 0, L^d > 0 \text{ and } L^u + L^d \approx 0$$

A closer look at lattice results

figures courtesy Ph. Hägler

- ▶ all values in $\overline{\text{MS}}$ scheme at $\mu = 2 \text{ GeV}$
- ▶ rather weak pion mass dep'ce for $290 \text{ MeV} < m_\pi < 760 \text{ MeV}$



data:

blue and red: LHPC $n_F = 2 + 1$ mixed action, arXiv:1001.3620

orange and green: LHPC domain wall fermions, preliminary (Syritsin et al.)

crosses: $\Delta\Sigma$ from HERMES, hep-ex/0609039

Summary

Generalized parton distributions

- ▶ versatile tool to study hadrons in terms of quarks and gluons firmly rooted in QCD
- ▶ natural extension of knowledge gained from parton densities and form factors
- ▶ important trends already established:
 - correlation of impact parameter and momentum fraction
 - large spin-orbit correlations in valence quark sector
 - tendency of cancellation between u and d in orbital angular momentum
- ▶ next generation of experiments and lattice calculations will hopefully bring more detailed and quantitative knowledge