Identification of hadronic tau decays in CMS.

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The algorithm used for reconstruction and identification of hadronic tau decays by the CMS experiment at the LHC is presented. The tau reconstruction in CMS takes advantage of the particle-flow algorithm which allows to reconstruct individual hadronic decay modes. The performance of the algorithm in terms of tau identification efficiency and rates for jets to be misidentified as hadronic tau decays is measured in \textit{pp} collision data recorded in 2012 at a center-of-mass energy (\sqrt{s}) of 8 TeV, corresponding to an integrated luminosity of 19.7 fb\textsuperscript{-1}.

1 Tau leptons and their decay

Tau is the heaviest known lepton (\(m_\tau = 1.78\text{ GeV}/c^2\)) which decays into lighter leptons (BR \(\sim 35\%\)) or hadrons \(\tau_h\) (BR \(\sim 65\%\)) in the presence of up to two neutrinos. CMS has developed Hadron Plus Strips (HPS) \cite{1, 2} algorithm for reconstruction of hadronic tau decay modes. It uses decay mode identification techniques which allows to reconstruct hadronic tau decays, denoted by \(\tau_h\), with high efficiency and suppress the potentially large backgrounds from quarks and gluons that occasionally hadronize into low particle multiplicity jets.

2 Particle Flow and Hadron Plus Strips algorithm

CMS utilizes the fine granularity of the calorimeters, precision tracking and muon system to identify and reconstruct final state particles in an event. This is achieved by the use of particle flow (PF) \cite{3} algorithm to make the best use of information available from all the sub-detectors and reconstruct all the stable particles (namely, charged hadrons, photons, neutral hadrons, muons, and electrons) in the detector. The resulting list of particles is used to reconstruct hadronic decays of taus by the HPS algorithm.

The HPS algorithm is designed to reconstruct individual decay modes of the tau. This requires reconstruction of the neutral pions that are produced in the majority of hadronic tau decays. The high probability for photons originating from \(\pi^0 \rightarrow \gamma\gamma\) decays to convert within the volume of the tracking detector is accounted for by clustering the photon constituents of the jet that seeds the tau reconstruction into \(\eta - \phi\) strips. The size of the strips, 0.20 \times 0.05, is enlarged in \(\eta\) direction, taking into consideration the bending of \(e^+e^-\) pairs produced by photon conversions in the 3.8 Tesla magnetic field. Strips containing one or more photons and passing a cut \(p_T > 2.5\text{ GeV}\) on the sum of photon transverse momenta are kept as \(\pi^0\) candidates for further processing. The \(\tau_h\) candidates are built by combining the the neutral objects with charged hadrons reconstructed by the PF algorithm. Figure 1 (left) shows the
fraction of generated taus of a given type reconstructed in a certain decay mode for a sample of simulated \( Z \rightarrow \tau\tau \) events. A data-to-Monte Carlo (MC) comparison in \( Z \rightarrow \tau\tau \rightarrow \mu\tau_h \) events of the number of taus reconstructed in different tau decay modes and of the \( \tau_h \) candidate mass is shown in Figure 1.

Requiring reconstructed hadronic tau candidates to pass strict isolation requirements constitutes the main handle to reduce the large jet background. Being colorless and produced in decays of colorless bosons, tau leptons are typically isolated with respect to other particles in the event, and so are their decay products, in contrast to quark and gluon jets. The isolation sum is computed using two different approaches:

- **Cut based isolation** : The isolation of the \( \tau_h \) candidates is computed by summing the transverse momenta of charged particles of \( p_T > 1 \text{ GeV} \) plus photons of \( E_T > 1.5 \text{ GeV} \) within a cone of size of \( \Delta R = 0.5 \) centered on the \( \tau_h \) direction. The contribution of pile-up to the \( \tau_h \) isolation is subtracted by means of \( \Delta \beta \) corrections.

  \[
  I_\tau = \Sigma p_T^{\text{charged}} (d_Z < 0.2 \text{ cm}) + \max (p_T^\tau - \Delta \beta, 0)
  \]  
  \( \quad \) (1)

  The \( \Delta \beta \) corrections are computed by summing the \( p_T \) of charged particles that have a longitudinal impact parameter \( d_Z > 0.2 \text{ cm} \) with respect to the \( \tau_h \) production vertex and are within a cone of size \( \Delta R = 0.8 \) around the \( \tau_h \) direction. The sum is scaled by a factor 0.4576, chosen to make the \( \tau_h \) identification efficiency insensitive to pile-up.

  \[
  \Delta \beta = 0.4576 \cdot \Sigma p_T^{\text{charged}} (d_Z > 0.2 \text{ cm})
  \]  
  \( \quad \) (2)

- **Multivariate (MVA) approach** : The MVA-based tau identification discriminator utilizes the transverse impact parameter of leading (highest \( p_T \)) track of the \( \tau_h \) candidate. In case of \( \tau_h \) candidates reconstructed in the three charged hadron decay mode the distance between the tau production and decay vertex, reconstructed by fitting the three tracks to a common vertex, is used as additional handle to remove the jet \( \rightarrow \tau_h \) background. A boosted decision tree discriminator (BDT) has been trained to discriminate hadronic tau decays (signal) from quark and gluon jets (background).

Several working points are provided, corresponding to looser or tighter cuts on the isolation \( p_T \)-sum \( I_\tau \) and on the MVA output respectively, and yielding different tau identification efficiency and jet \( \rightarrow \tau_h \) misidentification rates.
3 Efficiency and misidentification rate

The efficiency to identify hadronic tau decays is measured in $Z \rightarrow \tau \tau \rightarrow \tau \mu \tau h$ events selected in the 2012 data using the tag-and-probe method. The hadronic tau identification efficiency $\epsilon_\tau$ is defined as the ratio of the number of genuine hadronic taus passing the tau identification discriminator under study over the total number of genuine hadronic taus:

$$\epsilon_\tau = \frac{N_{\tau \text{pass}}}{N_{\tau \text{pass}} + N_{\tau \text{fail}}}$$ (3)

The number of hadronic taus passing and failing the tau identification discriminator under study, $N_{\tau \text{pass}}$ and $N_{\tau \text{fail}}$, is obtained via a simultaneous template fit. The multiplicity of tracks within a cone size $\Delta R = 0.5$ around the $\tau h$ candidate, $N_{\text{tracks}}$, is used as observable to perform the fit. The efficiency measured in data and simulation is shown in Fig. 2 along with the data-to-simulation scale factors for both cut based and MVA-based tau identification discriminators.

The probability for quark and gluon jets to be misidentified as hadronic tau decays is measured in QCD multijet events. The results are shown in Fig 3.

Figure 2: Tau identification efficiency measured as function of $p_T$ in $Z \rightarrow \tau \tau \rightarrow \tau \mu \tau h$ events compared to Monte Carlo predictions, for the cut based HPS combined isolation (left) and for the MVA-based tau identification discriminator that includes tau lifetime information (right).
Figure 3: Jet $\rightarrow \tau_h$ misidentification rate in QCD multijet events, for cut based (left) and MVA-based (right) tau identification discriminators.

4 Conclusions

CMS has developed an advanced and robust $\tau_h$ reconstruction algorithm, which has been successfully commissioned with the data collected in LHC run 1. The decay mode of the tau is reconstructed correctly with a probability higher than 80%. The tau identification efficiency amounts to 50–60% and is almost independent as function of $p_T$, while the jet $\rightarrow \tau_h$ misidentification rate varies strongly with $p_T$, ranging from about 1% for low $p_T$ jets and loose tau identification criteria to $O(10^{-4})$ for high $p_T$ jets and tight tau identification criteria.

References

