Searches for supersymmetry using the $M_{T2}$ variable in hadronic events produced in pp collisions at 8 TeV

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ABSTRACT: Searches for supersymmetry (SUSY) are performed using a sample of hadronic events produced in 8 TeV pp collisions at the CERN LHC. The searches are based on the $M_{T2}$ variable, which is a measure of the transverse momentum imbalance in an event. The data were collected with the CMS detector and correspond to an integrated luminosity of 19.5 fb$^{-1}$. Two related searches are performed. The first is an inclusive search based on signal regions defined by the value of the $M_{T2}$ variable, the hadronic energy in the event, the jet multiplicity, and the number of jets identified as originating from bottom quarks. The second is a search for a mass peak corresponding to a Higgs boson decaying to a bottom quark-antiquark pair, where the Higgs boson is produced as a decay product of a SUSY particle. For both searches, the principal backgrounds are evaluated with data control samples. No significant excess over the expected number of background events is observed, and exclusion limits on various SUSY models are derived.

KEYWORDS: Supersymmetry, Hadron-Hadron Scattering

ArXiv ePrint: 1502.04358
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1 Introduction

Searches for physics beyond the standard model (SM) based on final states with jets and large values of transverse momentum imbalance $\vec{p}_T^{\text{miss}}$ are sensitive to a broad class of new-physics models. Here, we report the results of such searches based on the $M_{T2}$ variable [1]. The $M_{T2}$ variable characterizes $\vec{p}_T^{\text{miss}}$ in events with two pair-produced heavy particles, each of which decays to at least one undetected particle, leading to $\vec{p}_T^{\text{miss}}$. An example is supersymmetry (SUSY) with R-parity conservation [2], in which pair-produced SUSY...
particles each decay to SM particles and to a massive, neutral, weakly interacting lightest SUSY particle (LSP), which escapes without detection. The value of $M_{T2}$ reflects the masses of the pair-produced particles, which are much lighter for SM background processes than expected for SUSY particles such as squarks and gluinos. The $M_{T2}$ variable was previously used for top-quark mass measurements by the CDF and CMS experiments [3, 4], and for SUSY searches by the CMS [5, 6] and ATLAS [7–13] experiments.

This paper describes searches for physics beyond the SM performed using a data sample of pp collisions collected in 2012 at a centre-of-mass energy of 8 TeV with the CMS detector at the CERN LHC. The size of the sample, measured by its integrated luminosity, is 19.5 fb$^{-1}$.

Two different $M_{T2}$-based searches are presented. The first search, called the inclusive-$M_{T2}$ search, employs several signal regions defined by the number of jets ($N_j$), the number of tagged bottom-quark jets ($N_b$), the value of $M_{T2}$, and the hadronic energy in an event. This general search aims to cover a large variety of SUSY and other new-physics signatures. The second search, called the $M_{T2}$-Higgs search, is a specialized analysis targeting events with a Higgs boson produced in the decay of a heavy SUSY particle. The SM Higgs boson decays primarily to a bottom quark-antiquark ($b\bar{b}$) pair. For a large variety of SUSY models, the lightest Higgs boson ($h$ boson) has SM properties, especially if the masses of all other SUSY Higgs bosons are much larger. In the $M_{T2}$-Higgs search, we therefore search for an excess of events at the SM Higgs boson mass of 125 GeV in the invariant mass distribution of $b$-tagged jet pairs.

The two searches rely on similar selection criteria for the $M_{T2}$ variable to enhance the sensitivity to a potential SUSY signal and to reduce the background from SM multijet events to a minimal level. The remaining SM background consists mostly of Z+jets events where the Z boson decays to neutrinos, and W+jets and $t\bar{t}$+jets events where one W boson decays leptonically. These backgrounds are mostly estimated by methods using data.

This analysis extends a previous CMS publication [5], based on pp collisions at 7 TeV, by exploiting a higher collision energy and a larger data sample. Alternative inclusive searches in hadronic final states based on the 8 TeV data sample are presented in refs. [14–18].

This paper is organized as follows. In section 2, the $M_{T2}$ variable is defined. A description of the detector and trigger is given in section 3. The data sets and the general event selection procedures are discussed in section 4. Section 5 presents the analysis strategy for the inclusive-$M_{T2}$ and $M_{T2}$-Higgs searches, and section 6 the background estimation method based on data control samples. A comparison between the observed numbers of events and the predicted background yields is presented for the two searches in section 7. Systematic uncertainties are discussed in section 8. The statistical procedures used to calculate exclusion limits on SUSY particles are presented in section 9, with the limits themselves presented in section 10. Section 11 contains a summary.

2 Definition of the $M_{T2}$ variable and interpretation

The use of $M_{T2}$ as a search variable is discussed in our previous publication [5]. Here, we recapitulate the most salient aspects. The kinematic mass variable $M_{T2}$ was introduced as
a means to measure the mass of pair-produced particles in situations where both particles decay to a final state containing an undetected particle $X$ of mass $m_X$. For each decay chain, the visible system is defined by the transverse momentum $\vec{p}_{T}^{\text{vis}(i)}$, transverse energy $E_{T}^{\text{vis}(i)}$, and mass $m_{\text{vis}(i)}$ ($i = 1, 2$) obtained by summing the four-momenta of all detected particles in the decay chain. The two visible systems are accompanied by the two undetected particles with unknown transverse momenta $\vec{p}_{T}^{X(i)}$. In analogy with the transverse mass used for the W boson mass determination [19], two transverse masses are defined for the two pair-produced particles:

$$ (M_{T}^{(i)})^2 = (m_{\text{vis}(i)})^2 + m_X^2 + 2 \left( E_{T}^{\text{vis}(i)} E_{T}^{X(i)} - \vec{p}_{T}^{\text{vis}(i)} \cdot \vec{p}_{T}^{X(i)} \right). $$ (2.1)

If the correct values of $m_X$ and $\vec{p}_{T}^{X(i)}$, $m_{\text{vis}(i)}$, and $\vec{p}_{T}^{\text{vis}(i)}$ are chosen, the transverse masses $M_{T}^{(i)}$ do not exceed the mass of the parent particles. The momenta $\vec{p}_{T}^{X(i)}$ of the unseen particles, however, are not experimentally accessible individually. Only their sum, the missing transverse momentum $\vec{p}_{T}^{\text{miss}}$, is known. A generalization of the transverse mass, the $M_{T2}$ variable, is defined as:

$$ M_{T2}(m_X) = \min_{\vec{p}_{T}^{X(1)} + \vec{p}_{T}^{X(2)} = \vec{p}_{T}^{\text{miss}}} \left[ \max \left( M_{T}^{(1)}, M_{T}^{(2)} \right) \right], $$ (2.2)

where the unknown mass $m_X$ is a free parameter. The minimization is performed over trial momenta of the undetected particles fulfilling the $\vec{p}_{T}^{\text{miss}}$ constraint.

In this analysis, all visible objects, such as jets, are clustered into two pseudojets. For this purpose, we use the hemisphere algorithm defined in section 13.4 of ref. [20]. The algorithm is seeded by the two jets with largest dijet invariant mass. The clustering is performed by minimizing the Lund distance measure [21, 22]. Standard model multijet events, interpreted as two pseudojets, may give rise to large $M_{T2}$ if both pseudojets have large masses. Setting $m_{\text{vis}(i)} = 0$ in eq. (2.1) suppresses the multijet contributions without affecting signal sensitivity, since the kinematic terms of eq. (2.1) are large for most new-physics scenarios. In the following, $M_{T2}$ is computed using $E_{T}^{\text{vis}(i)}$, $\vec{p}_{T}^{\text{vis}(i)}$ ($i = 1, 2$), and $\vec{p}_{T}^{\text{miss}}$, setting both $m_{\text{vis}(i)}$ terms in eq. (2.1) to zero.

Although most the background from SM multijet events is thus characterized by small values of $M_{T2}$, a residual background at large $M_{T2}$ arises from multijet events in which the two pseudojets are not back-to-back because of jet energy mismeasurements. Further selection criteria are applied to suppress these events, as discussed in section 4.

### 3 Detector and trigger

The central feature of the CMS apparatus is a superconducting solenoid of 6 m internal diameter, providing a magnetic field of 3.8 T. Within the superconducting solenoid volume are a silicon pixel and strip tracker, a lead-tungstate crystal electromagnetic calorimeter, and a brass/scintillator hadron calorimeter, each composed of a barrel and two endcap sections. Muons are measured in gas-ionization detectors embedded in the steel flux-return yoke outside the solenoid. Extensive forward calorimetry complements the coverage provided by the barrel and endcap detectors. The detector is nearly hermetic, covering...
$0 < \phi < 2\pi$ in azimuth, and thus allows the measurement of momentum balance in the plane transverse to the beam direction. The first level of the CMS trigger system, composed of custom hardware processors, uses information from the calorimeters and muon detectors to select the most interesting events in a fixed time interval of less than 4 $\mu$s. The high level trigger processor farm further decreases the event rate, from around 100 kHz to around 300 Hz, before data storage. A more detailed description of the CMS detector, together with a definition of the coordinate system used and the relevant kinematic variables, can be found in ref. [23].

Events are selected using three complementary triggers. A trigger based on the scalar sum of jet $p_T$ values ($H_T$) requires $H_T > 650$ GeV. A second trigger requires $E_T^{\text{miss}} > 150$ GeV, where $E_T^{\text{miss}}$ is the magnitude of $\vec{p}_T^{\text{miss}}$. A third trigger requires $H_T > 350$ GeV and $E_T^{\text{miss}} > 100$ GeV. The trigger efficiency is measured to be larger than 99% for events that satisfy the event selection criteria outlined in section 4.

4 Data sets and event selection

The event selection is designed using simulated samples of background and signal processes. Background events are generated with the MadGraph 5 [24], pythia 6.4.26 [22], and POWHEG 1.0 [25] programs. Signal event samples based on simplified model scenarios (SMS) [26] are generated using the MadGraph 5 program, with the decay branching fractions of SUSY particles set either to 0% or 100% depending on the SUSY scenario under consideration. We also generate signal events in the context of the constrained minimal supersymmetric SM (cMSSM/mSUGRA) [27]. The cMSSM/mSUGRA events are generated using the pythia program, with the sdecay [28] program used to describe the SUSY particle decay branching fractions and the softsusy [29] program to calculate the SUSY particle mass spectrum. The pythia program is used to describe the parton shower and hadronization. While all generated background samples are processed with the detailed simulation of the CMS detector response, based on GEANT 4 [30], for signal samples the detector simulation is performed using the CMS fast simulation package [31]. Detailed cross checks are conducted to ensure that the results obtained with fast simulation are in agreement with the ones obtained with GEANT-based detector simulation. For SM backgrounds, the most accurate calculations of the cross sections available in the literature are used [32, 33]. These are usually at next-to-leading order (NLO) in $\alpha_S$. For the SUSY signal samples, cross sections are calculated at NLO [34–38] using the Prospino 2.1 [39] program.

The data and simulated events are reconstructed and analyzed in an identical manner. The event reconstruction is based on the particle-flow (PF) algorithm [40, 41], which reconstructs and identifies charged hadrons, neutral hadrons, photons, muons, and electrons. Electrons and muons are required to have transverse momentum $p_T > 10$ GeV and pseudorapidity $|\eta| < 2.4$. For electrons, the transition region between barrel and endcaps ($1.442 < |\eta| < 1.566$) is excluded because the electron reconstruction in this region is not optimal. An isolation requirement is also employed, requiring that the $p_T$ sum of photons, charged hadrons, and neutral hadrons, in a cone of $\Delta R = \sqrt{(\Delta \eta)^2 + (\Delta \phi)^2} = 0.3$ along the lepton direction, divided by the lepton $p_T$ value, be less than 0.15 for electrons and
0.20 for muons. The isolation value is corrected for the effects of pileup, that is, multiple pp collisions within the same bunch crossing as the primary interaction. The electron and muon reconstruction and identification criteria are described in refs. [42] and [43], respectively. All particles, except the isolated electrons and muons, are clustered into PF jets [44] using the anti-\(k_T\) jet-clustering algorithm [45] with a size parameter of 0.5. The jet energy is calibrated by applying correction factors as a function of the \(p_T\) and the \(\eta\) of the jet [44]. The effect of pileup on jet energies is treated as follows: tracks not associated with the primary interaction are removed from the jet; for the neutral part of the jet, the effect of pileup is reduced using the FASTJET pileup subtraction procedure [46, 47]. All jets are required to satisfy basic quality criteria (jet ID [48]), which eliminate, for example, spurious events due to calorimeter noise. Jets are also required to have \(p_T > 20\,\text{GeV}\) and \(|\eta| < 2.4\). Jets are b-tagged using the medium working point of the combined secondary vertex (CSV) algorithm [49]. Tau leptons are reconstructed in their decays to one or three charged particles [50] and are required to have \(p_T > 20\,\text{GeV}\) and \(|\eta| < 2.3\). The \(\tau\) leptons are also required to satisfy a loose isolation selection: the \(p_T\)-sum of charged hadrons and photons that appear within \(\Delta R < 0.5\) of the candidate \(\tau\)-lepton direction is required to be less than 2 GeV after subtraction of the pileup contribution. Throughout this paper, any mention of a \(\tau\) lepton refers to its reconstructed hadronic decay. Photons [51] are required to have \(p_T > 20\,\text{GeV}\), \(|\eta| < 2.4\), and to not appear in the transition region between the barrel and endcap detectors. Photons are further required to satisfy selection criteria based on the shape of their calorimetric shower, to deposit little energy in the hadron calorimeter, and to fulfill isolation requirements.

The missing transverse momentum vector \(\vec{p}_T^{\text{miss}}\) is defined as the projection onto the plane perpendicular to the beam axis of the negative vector sum of the momenta of all reconstructed particles in the event. Its magnitude is referred to as \(E_T^{\text{miss}}\). The hadronic activity in the event, \(H_T\), is defined to be the scalar \(p_T\) sum of all accepted jets with \(p_T > 50\,\text{GeV}\) and \(|\eta| < 3.0\). Events selected with the pure-\(H_T\) trigger described in section 3 are required to satisfy \(H_T > 750\,\text{GeV}\). Events selected with one of the two other triggers are required to satisfy \(H_T > 450\,\text{GeV}\) and \(E_T^{\text{miss}} > 200\,\text{GeV}\).

Corrections for differences observed between the simulation and data due to the jet energy scale [44], the b-tagging efficiencies [49], and the \(p_T\) spectrum of the system recoil [52] are applied to simulated events.

Events are required to contain at least two jets that, in addition to the previous general jet requirements, have \(p_T > 100\,\text{GeV}\). To reduce the background from events with W(\(\ell\nu\))+jets and top-quark production, events are rejected if they contain an isolated electron, muon, or \(\tau\) lepton. Background from multijet events, which mostly arises because of jet energy misreconstruction, is reduced by requiring the minimum difference \(\Delta \phi_{\text{min}}\) in azimuthal angle between the \(\vec{p}_T^{\text{miss}}\) vector and one of the four jets with highest \(p_T\) to exceed 0.3 radians. To reject events in which \(E_T^{\text{miss}}\) arises from unclustered energy or from jets aligned near the beam axis, a maximum difference of 70 GeV is imposed on the magnitude of the vectorial difference between \(\vec{p}_T^{\text{miss}}\) and the negative vector sum of the \(p_T\) of all leptons and jets. Finally, events with possible contributions from beam halo processes or anomalous noise in the calorimeter or tracking systems are rejected [53].
Figure 1. Definition of the topological signal regions in terms of the number of jets $N_j$ and the number of b-tagged jets $N_b$ (left), and their subsequent division in terms of $H_T$ and $E_T^{\text{miss}}$ (right). The pie charts illustrate the expected contributions from different SM processes in the different signal regions; they are similar in all three $H_T$ regions.

5 Search strategy

The $M_{T2}$-based search strategy is outlined in this section. For both the inclusive-$M_{T2}$ and the $M_{T2}$-Higgs searches, all selected jets are clustered into two pseudojets as described in section 2. Several mutually exclusive signal regions are defined to optimize the search for a wide variety of new-physics models. The definition of signal regions is based on the event topology and event kinematic variables. The more general inclusive-$M_{T2}$ search is described first.

The inclusive-$M_{T2}$ and $M_{T2}$-Higgs searches are not mutually exclusive. All but 4% of the events selected by the $M_{T2}$-Higgs search are also selected by the inclusive-$M_{T2}$ search.

5.1 Inclusive-$M_{T2}$ search

For the inclusive-$M_{T2}$ search, nine regions, called topological regions, are defined by $N_j$ and $N_b$, the numbers of jets and b-tagged jets in the event with $p_T > 40\text{ GeV}$, as illustrated in figure 1 (left). These regions are chosen after testing the sensitivity of the search to various SUSY SMS models using simulated data. The regions with $N_b = 0$ are the most sensitive to the production of gluinos that do not decay to top and bottom quarks, and to the production of squarks of the first two generations. The regions with $N_b > 0$ and low (high) values of $N_j$ are designed for bottom- and top-squark production with decays to bottom (top) quarks. Finally, the signal regions with $N_j \geq 3$ and $N_b \geq 3$ provide extra sensitivity to final states with multiple bottom or top quarks, for example from gluino pair-production. Since the values of $M_{T2}$ and $H_T$ in a SUSY event depend strongly on the mass of the initially produced SUSY particles, a wide range of values in $M_{T2}$ and $H_T$ is considered. Each of the nine topological regions is divided into three sub-regions of $H_T$, as shown in figure 1 (right): the low-$H_T$ region $450 < H_T \leq 750\text{ GeV}$, the medium-$H_T$ region $750 < H_T \leq 1200\text{ GeV}$, and the high-$H_T$ region $H_T > 1200\text{ GeV}$.
Low-$H_T$ region

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<th>$N_j = 3–5$, $N_b = 2$</th>
<th>$N_j \geq 6$, $N_b = 0$</th>
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Medium-$H_T$ region

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<th>$N_j \geq 6$, $N_b = 0$</th>
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High-$H_T$ region

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Table 1. Definition of the signal regions used in the inclusive-$M_{T2}$ search.

Each of these regions is examined in bins of $M_{T2}$, where the number of bins (up to nine) depends on the specific topological and $H_T$ selection. By design, the lowest bin in $M_{T2}$ is chosen such that the multijet background is expected to be less than $\sim 1–10\%$ of the total background. The minimum threshold on $M_{T2}$ varies between 100 and 200 GeV, depending on the topological region and the $H_T$ requirement. The edges of the $M_{T2}$ bins are adjusted to ensure that there are a sufficient number of events in each bin of the corresponding control samples for the background evaluation (section 6). The definitions of all signal regions are specified in table 1.

Figure 2 shows the $M_{T2}$ distributions in simulation and data for the low-, medium-, and high-$H_T$ selections, inclusively in all signal regions of the $N_j–N_b$ plane. For $M_{T2} < 80$ GeV the distribution in the medium- and high-$H_T$ regions is completely dominated by multijet events. For this reason, these bins are used only as control regions.

In the signal regions with $N_j = 2$ or $N_b = 0$, the dominant background is from $Z (\nu\nu)$+jets production. The next-most important background is from $W(\ell\nu)$+jets events, while the background from $t\bar{t}$+jets events is small. In the regions with $N_b = 1$ all three processes ($Z (\nu\nu)$+jets, $W(\ell\nu)$+jets, and $t\bar{t}$+jets production) are important. For all regions requiring multiple b-tagged jets, $t\bar{t}$+jets events are the dominant source of background. The $t\bar{t}$+jets contribution to the total background typically increases with the jet multiplicity and is important for all selections with $N_j \geq 6$, regardless of the $N_b$ selection. The
relative contribution of $t\bar{t}$ +jets production decreases with increasing $M_{T2}$ because of the natural cutoff of $M_{T2}$ above the top-quark mass for these events.

Contributions from other backgrounds, such as $\gamma$+jets, $Z(\ell^+\ell^-)$+jets, and diboson production, are found to be negligible.

5.2 $M_{T2}$-Higgs search

The $M_{T2}$-Higgs search is designed to select events with a light $h$ boson produced in a cascade of supersymmetric particles initiated through the strong pair production of squarks or gluinos. As the dominant decay mode of the $h$ boson in many SUSY models is $h \rightarrow bb$, a signature of a SUSY signal would be an excess in the invariant mass distribution of the selected b-tagged jet pairs, $M_{bb}$. An excess could help identify a preferred new-
physics model, as the associated new particles would couple to the Higgs sector. Such an identification is not possible with the inclusive-$M_{T2}$ search.

Within a cascade of SUSY particles, the $h$ boson is produced together with the LSP in the decays of neutralinos, such as $\tilde{\chi}_2^0 \to \tilde{\chi}_1^0 + h$. As the neutralino $\tilde{\chi}_2^0$ can be a typical decay product of squarks and gluinos, the cross section for this kind of processes is among the largest in a large part of the SUSY parameter space. The final state contains at least two b-tagged jets, multiple hard jets, and a large value of $M_{T2}$.

For the $M_{T2}$-Higgs search, b-tagged jets are required to have $p_T > 20$ GeV. The event selection requires at least two b-tagged jets, along with $N_j \geq 4$. The two b-tagged jets stemming from the $h$ boson decay are generally expected to appear within the same pseudojet, as they originate from the same decay chain. Using b-tagged jets within the same pseudojet, a b-tagged jet pair is selected if it has $\Delta R(b_1, b_2) < 1.5$. If multiple pairs are found in one or both pseudojets, the pair with the smallest $\Delta R(b_1, b_2)$ is chosen. If no pair is found within the same pseudojet, pairs with b-tagged jets in different pseudojets are considered. If none of the pairs has $\Delta R(b_1, b_2) < 1.5$, the event is rejected. For signal events containing b quarks from the $h$ boson decay, the efficiency to find the correct pair of b-tagged jets is about 70%.

Using the known $h$ boson mass of 125 GeV [54, 55], 12 signal regions are defined as 15 GeV-wide bins in the $20 < M_{bb} < 200$ GeV range. Each of these signal regions is further divided into two sub-regions as follows: a low-$H_T$ selection requiring $450 < H_T \leq 750$ GeV, $E_T^{miss} > 200$ GeV, and $M_{T2} > 200$ GeV; and a high-$H_T$ selection requiring $H_T > 750$ GeV and $M_{T2} > 125$ GeV.

The overall yields of the main SM backgrounds ($t\bar{t} +$jets, $W(\ell\nu) +$jets, and $Z(\nu\nu) +$jets) are estimated using the same methods as for the inclusive-$M_{T2}$ analysis. The contribution of the SM production of the Higgs boson is negligible in the search regions of this analysis. The shapes of the $M_{bb}$ distributions for signal and the various backgrounds are obtained from simulation. Since in simulation we observe no appreciable correlation between $M_{T2}$ and $M_{bb}$ in either the signal or background sample, the shape of the $M_{bb}$ distribution is obtained from large simulated samples with relaxed $M_{T2}$ requirements. An uncertainty due to the looser $M_{T2}$ selection is taken into account. Further uncertainties in the shapes are assessed by varying several modelling parameters of the simulation.

6 Background estimation

This section describes the procedures used to estimate the main backgrounds: multijet events, $Z+$jets events where the $Z$ boson decays to neutrinos, and $W+$jets and $t\bar{t}+$jets events where one $W$ boson decays leptonically but the corresponding charged lepton lies outside the acceptance of the analysis, is not reconstructed, or is not isolated. The same background estimation procedures are used for both the inclusive-$M_{T2}$ and $M_{T2}$-Higgs searches.

6.1 Determination of the multijet background

The multijet background consists of direct multijet production, but also of events with $t\bar{t}$ pairs or vector bosons that decay hadronically. From figure 2, the multijet background
is expected to be negligible at large values of $M_{T2}$. This background, arising from difficult-to-model jet energy mismeasurements, is nonetheless subject to considerable uncertainty. A method based on data control samples is used to predict this background. The method relies on $M_{T2}$ and the variable $\Delta \phi_{\text{min}}$, described in section 4. In general terms, the multijet background entering each of the signal regions, for which a selection requirement is $\Delta \phi_{\text{min}} > 0.3$, is estimated from a corresponding control region defined by the same criteria as the signal regions except for $\Delta \phi_{\text{min}}$, which is required to be less than 0.2. The control regions are dominated by multijet event production.

The transfer factor between control and signal regions, and our parameterization thereof, are given by

$$r(M_{T2}) \equiv \frac{N(\Delta \phi_{\text{min}} > 0.3)}{N(\Delta \phi_{\text{min}} < 0.2)} = \exp(a - b M_{T2}) + c \quad \text{for } M_{T2} > 50 \text{ GeV}. \quad (6.1)$$

The parameters $a$ and $b$ are obtained from a fit to data in the region $50 < M_{T2} < 80 \text{ GeV}$, where the contributions of electroweak and top-quark (mainly $t\bar{t} + \text{jets}$ events) production are small. The constant term $c$ is only measurable in control samples requiring high-$M_{T2}$ values. For these events, however, the non-multijet contribution is dominant, and so $c$ cannot be obtained from a fit to data. Therefore, the parameterization of $r(M_{T2})$ is fixed to a constant for $M_{T2} > 200 \text{ GeV}$. This constant is chosen as the value of the exponential fit to $r(M_{T2})$ at $M_{T2} = 200 \text{ GeV}$.

The parameterization is validated by fitting $r(M_{T2})$ to a sample of simulated multijet events, and multiplying this ratio by the number of events found in data with $\Delta \phi_{\text{min}} < 0.2$. The result is compared to the number of events in data with $\Delta \phi_{\text{min}} > 0.3$, after subtraction of the non-multijet contribution using simulation. An example is shown in figure 3. The prediction is seen to provide a conservative estimate of the expected multijet background.

The robustness of the method is further validated by varying the range of $M_{T2}$ in which the exponential term is fitted, and by changing the $\Delta \phi_{\text{min}}$ requirement used to define the control regions.

In the low-$H_T$ regions, the $E_T^{\text{miss}}$ requirement of the triggers distorts $r(M_{T2})$ for low values of $M_{T2}$. Therefore, the data selected by the standard triggers cannot be used to obtain $r(M_{T2})$. Other triggers, based on $H_T$ only, are used instead. These triggers accept only a small fraction of the events that satisfy the trigger criteria (“prescaled”), allowing access to the low-$H_T$ region without a $E_T^{\text{miss}}$ requirement.

The dominant sources of uncertainty for this method include the statistical uncertainty of the fit, the stability of the fit under variations of the fit conditions, the statistical uncertainty of the control region with $\Delta \phi_{\text{min}} < 0.2$ used for the extrapolation, and a 50% uncertainty assigned to the choice of the $M_{T2}$ value used to define the constant term in the functional form of $r(M_{T2})$. In signal regions with low $M_{T2}$, where the exponential term of eq. (6.1) dominates the constant term, this method provides a relatively accurate estimate of the background, with uncertainties as small as 10% that increase to around 50% for signal regions with less statistical precision. For signal regions with large $M_{T2}$, the constant term dominates and the uncertainty increases to 50–100%. Note that at large $M_{T2}$, the estimate of the multijet background provided by this method, while conservative, is nonetheless negligible compared to the contributions of the other backgrounds.
Figure 3. The ratio \( r(M_{T2}) \), described in the text, as a function of \( M_{T2} \) for events satisfying the medium-\( H_T \) and the \( (N_j = 3-5, N_b = 0) \) requirements of the inclusive-\( M_{T2} \) search. The solid circle points correspond to simple data yields, while the points with open circles correspond to data after the subtraction of the non-multijet backgrounds, as estimated from simulation. Two different functions, whose exponential components are fitted to the data in the region \( 50 < M_{T2} < 80 \) GeV, are shown. The green dashed line presents an exponential function, while the blue solid line is the parameterization used in the estimation method.

6.2 Determination of the \( W(\ell\nu) + \text{jets} \) and leptonic top-quark background

The background from \( W(\ell\nu) + \text{jets} \) and top-quark production (mainly \( t\bar{t} + \text{jets} \) events, but also single top-quark production) stems from events with a leptonically decaying \( W \) boson in which the charged lepton either lies outside the detector acceptance, or lies within the acceptance but fails to satisfy the lepton reconstruction, identification, or isolation criteria. Since these events arise from a lepton (e, \( \mu \), or \( \tau \) lepton) that is not found, we call them “lost-lepton” events. For both sources of lost leptons, the contribution from \( \tau \) leptons is slightly higher than from electrons or muons since the reconstruction efficiency for \( \tau \) leptons is smaller and the acceptance criteria are more stringent than for the other two types of leptons. According to simulation, around 40% of this background can be attributed to events containing a lost \( \tau \) lepton. The contribution of electron and muon events is of equal size.

For each signal region, the lost-lepton background is estimated in a corresponding data control sample for which the full event selection is applied, with the exception of the lepton veto, i.e. exactly one charged lepton (e, \( \mu \), or \( \tau \) lepton) is required instead of zero. To reduce the potential contribution of signal events to the control samples, the transverse mass of the lepton-\( E_T^{\text{miss}} \) system is required to satisfy \( M_T < 100 \) GeV. The \( M_{T2} \) distributions of events satisfying the selection as outlined in section 4, but after requiring one reconstructed and identified lepton, are shown in figure 4 for both data and simulation.

After subtracting the number of events expected due to the misidentification of hadrons as leptons and due to leptons from hadron decays, the numbers of events in the one-lepton control samples are scaled by a lost-lepton factor \( R_{\ell\ell} = [1 - \varepsilon(\ell)]/[\varepsilon(\ell)\varepsilon(M_T)] \), where \( \varepsilon(\ell) \)
is the combined lepton efficiency and acceptance, and \( \varepsilon(M_T) \) is the efficiency of the \( M_T \) selection. This factor \( R_{\ell\ell} \) is therefore the transfer factor from the control region to the signal region, obtained in simulation.

For large values of \( M_{T2} \), we expect very few events with a single reconstructed charged lepton. Therefore, the estimation of the lost-lepton background is performed in data for all topological regions in \((N_j, N_b)\) and for the different \( H_T \) selections, but integrating over all \( M_{T2} \) bins. The factor \( R_{\ell\ell} \) is recalculated for each topological signal region and for the different selections in \( H_T \). The estimated number of background events is divided among the different \( M_{T2} \) bins using the shape of the \( M_{T2} \) distribution as predicted by simulation.

The systematic uncertainty in the integrated lost-lepton background estimate includes the uncertainties in the lepton efficiencies, acceptance, and the subtraction of the lepton events associated with misidentification and hadron decays. These uncertainties are obtained by studying the differences between data and simulation using so-called tag-and-probe [56] and tight-to-loose [57] methods. These uncertainties amount to about 10–20%. Including the statistical uncertainties from the data control regions, the total uncertainty of the lost-lepton background ranges from 10 to 65%. The uncertainty in the shape of the \( M_{T2} \) distribution is estimated by varying parameters in the simulation. The most important of these uncertainties are the recoil modelling [52] (20%), the matching scale, the renormalization and factorization scales (10–20%), and the jet energy scale [44] (10%).
numbers in parentheses correspond to maximal variations in the $M_{T2}$ shape, but the overall normalization is not affected since it is predicted using the aforementioned method. The differences in shape between the distributions in data and simulation, shown in figure 4, lie within these uncertainties.

The effect of signal contributions to the lost-lepton control samples can be significant and is taken into account before the interpretations presented in sections 9 and 10 are performed. Specifically, the predicted yield in the signal regions is corrected by subtracting the additional signal contribution caused by the possible presence of the signal in the lost-lepton control sample.

6.3 Determination of the $Z(\nu\bar{\nu})+\text{jets}$ background

The $Z(\nu\bar{\nu})+\text{jets}$ background is estimated by selecting a control sample of $\gamma+\text{jets}$ events and then subtracting the photon momentum in the computation of all the relevant event quantities, such as $M_{T2}$, in order to replicate the decay of a $Z$ boson into undetected neutrinos. After the subtraction of the photon momentum, the $\vec{p}_{T}^{\text{miss}}$ and the $M_{T2}$ variables are recalculated and the event selections corresponding to the different signal regions are applied. The number of selected events, which is rescaled as described below, provides the background estimate for the $Z(\nu\bar{\nu})+\text{jets}$ process.

As discussed in ref. [58], the $Z+\text{jets}$ and $\gamma+\text{jets}$ processes differ because of the different electroweak couplings and the non-zero $Z$-boson mass $m_Z$. For vector boson $p_T \gg m_Z$, however, the ratio of cross sections for prompt-photon to $Z$-boson production is determined by the ratio of the couplings of the respective boson to quarks, and thus approaches a constant value. In this range of the boson $p_T$, the distributions of $H_T$ and other kinematic observables are very similar for the $Z+\text{jets}$ and $\gamma+\text{jets}$ processes. The $\gamma+\text{jets}$ process, with its relatively large event yield, is thus well suited to provide an estimate of the $Z(\nu\bar{\nu})+\text{jets}$ background.

Figure 5 shows a comparison between data and simulation for the $M_{T2}$ distribution in $\gamma+\text{jets}$ control samples, for which $N_b = 0$ is required. The photon $\vec{p}_T$ is added to $\vec{p}_{T}^{\text{miss}}$ vector and all event variables are recalculated. To reduce the potential contribution of signal events to these control samples, we require the reconstructed $E_T^{\text{miss}}$ to be less than 100 GeV prior to including the reconstructed photon momentum. For the low-$H_T$ signal regions, the $\gamma+\text{jets}$ events are selected with a single-photon trigger, which requires the photon $p_T$ to exceed 150 GeV. The single-photon trigger is used because the triggers discussed in section 3 are unable to select events with low enough $E_T^{\text{miss}}$. For the medium- and high-$H_T$ signal regions, the triggers discussed in section 3 are used.

The selected photon control samples contain both genuine prompt-photon events and events with collinear pairs of photons that stem from neutral-meson decays within jets and are reconstructed as single photons. The prompt-photon fraction in the control samples is obtained by means of a maximum likelihood fit of templates from simulation to a photon shower shape variable in data. The shower shape variable that we use is $\sigma_{\eta\eta}$, which is a measure of the lateral extent in $\eta$ of the photon energy cluster in the calorimeter [51]. The fit is performed separately in the electromagnetic calorimeter barrel and endcap detectors, for events with $N_b = 0$ and with no requirement on $M_{T2}$. This sample of events is dominated by
Figure 5. Distribution of the $M_{T2}$ variable for data and simulation after requiring the presence of one photon, $N_b = 0$, and the remainder of the inclusive-$M_{T2}$ selection criteria. Events satisfying the low-$H_T$ selection (left), and the medium- and high-$H_T$ selections (right) are shown. For these results, $M_{T2}$ is calculated after adding the photon $p_T$ to the $E_T^{miss}$ vector.

...low-$p_T$ photons, for which the shower shape variable provides high discrimination between prompt photons and photons from neutral-meson decays. Starting from the overall prompt-photon fraction observed in data, we use simulation to extrapolate the contributions of the two types of photon events in $M_{T2}$. For each signal region with $N_b = 0$, the final $Z (\nu\nu)+$jets background estimate is obtained from the number of prompt-photon events, rescaled by the $M_{T2}$-dependent ratio of $Z (\nu\nu)+$jets to $\gamma+$jets events from simulation. The $Z(\nu\nu)/\gamma$ ratio increases as a function of the photon $p_T$ and reaches a constant value above 350 GeV, as shown in figure 6.

The accuracy of the Z-boson $p_T$ distribution in simulation is validated using a control sample of dileptonic Z-boson events, i.e. $Z \rightarrow e^+e^-$ or $\mu^+\mu^-$, selected with dilepton triggers. Here, analogously to the photon control sample, the dilepton momentum is subtracted in the computation of all relevant event quantities, such as $M_{T2}$, in order to model the $Z \rightarrow \nu\nu$ decay. From the data-to-simulation comparison of the $Z(\ell^+\ell^-)/\gamma$ ratio as a function of the search variables, a systematic uncertainty of 20% is assigned to the $Z(\nu\nu)/\gamma$ ratio. For the signal region bins corresponding to $M_{T2} > 350$ GeV, this uncertainty increases to 30% because of large statistical uncertainty in the ratio for events with large $M_{T2}$. Compared to these uncertainties, the normalization uncertainty associated with the shower shape fit is negligible.

The $Z(\nu\nu)/\gamma$ ratio may not be well modelled in simulation for $N_b \geq 1$ as the coupling of Z bosons and photons differs for b quarks. If the b-quark content in simulation is mismodelled (for example the modelling of gluon splitting $g \rightarrow b\bar{b}$), the $Z(\nu\nu)/\gamma$ ratio might be biased in b-quark enriched events. Another biasing effect might be the treatment of the b-quark mass in simulation, which affects the coupling of b quarks to Z bosons and photons. Therefore, the previous procedure is only applied in signal regions with $N_b = 0$. For the $N_b = 1$ case, the results obtained from the $N_b = 0$ control samples are scaled...
Figure 6. Ratio $Z(\nu\nu)/\gamma$ of events satisfying the event selection of the $(N_j \geq 2, N_b = 0)$ signal region as a function of the boson $p_T$. The events are summed inclusively in all $H_T$ sub-regions with $H_T \geq 450$ GeV. The ratio is obtained in simulated events after the photon momentum is included in the $E_T^{\text{miss}}$ calculation.

by $Z_{\ell\ell}(1b)/Z_{\ell\ell}(0b)$, the ratio of the numbers of events containing dileptonic decays of the $Z$ boson and $N_b = 1$ or $N_b = 0$, respectively. This ratio is obtained using data from the dilepton control sample for different values of $N_j$. As the ratio is found to depend neither on $M_{T2}$ nor $H_T$, its value is measured without any requirement on these two variables, in order to increase the statistical precision of the control samples.

Uncertainties in the $Z_{\ell\ell}(1b)/Z_{\ell\ell}(0b)$ ratio are evaluated by varying the kinematic selections to test the stability of the ratio. The resulting uncertainties are mostly determined by the statistical limitations of the control samples. The size of the uncertainty is 10–30% for the regions with $N_j \leq 5$, while it is 50–75% for regions with $N_j \geq 6$.

For the signal regions with $N_b \geq 2$, the $Z(\nu\nu) +$jets background is estimated from simulation and is assigned an uncertainty of 100%. We verified that using an uncertainty twice as large, or twice as small, has a negligible impact on the final results. The explanation for this is that for $N_b \geq 2$, the $Z(\nu\nu) +$jets background is very small compared to the $t\bar{t} +$jets background.

7 Results

This section reports the number of events observed in the signal regions. The yields are compared with the estimated number of background events as predicted by the methods described in section 6.

7.1 Results for the inclusive-$M_{T2}$ analysis

For the inclusive-$M_{T2}$ search, the final event yields in all signal regions are shown in figures 7–9. The comparison between observed and predicted yields is shown separately for
Figure 7. Distributions of the $M_{T^2}$ variable for the estimated background processes and for data. Plots are shown for events satisfying the low-$H_T$ (left), the medium-$H_T$ (middle), and the high-$H_T$ (right) selections, and for different topological signal regions ($N_j$, $N_b$) of the inclusive-$M_{T^2}$ event selection. From top to bottom, these are ($N_j = 0, N_b = 0$), ($N_j = 2, N_b \geq 1$), and ($3 \leq N_j \leq 5, N_b = 0$). The uncertainties in each plot are drawn as the shaded band and do not include the uncertainty in the shape of the lost-lepton background.

different topological regions and for the different $H_T$ selections. The total uncertainty of the background estimates is the quadratic sum of the statistical and systematic uncertainties from the three categories of background. The results are tabulated in table 2. The shape uncertainty in the estimation of the lost-lepton background is not included either for the figures or table.

The level of compatibility between the data and the SM predictions is assessed by computing the pull value for all signal regions, where the pull value is defined for each
<table>
<thead>
<tr>
<th>Signal region</th>
<th>Low-$H_T$ region</th>
<th>Medium-$H_T$ region</th>
<th>High-$H_T$ region</th>
</tr>
</thead>
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<td></td>
<td>$M_{T2}$ [GeV]</td>
<td>Prediction</td>
<td>Data</td>
</tr>
<tr>
<td>$N_b = 2$, $N_h = 0$</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>200–250</td>
<td>553±70</td>
<td>588</td>
<td></td>
</tr>
<tr>
<td>240–290</td>
<td>395±54</td>
<td>451</td>
<td></td>
</tr>
<tr>
<td>290–350</td>
<td>288±40</td>
<td>318</td>
<td></td>
</tr>
<tr>
<td>350–420</td>
<td>236±52</td>
<td>232</td>
<td></td>
</tr>
<tr>
<td>420–490</td>
<td>165±36</td>
<td>162</td>
<td></td>
</tr>
<tr>
<td>490–570</td>
<td>68.9±15.5</td>
<td>61</td>
<td></td>
</tr>
<tr>
<td>570–650</td>
<td>17.3±4.3</td>
<td>19</td>
<td></td>
</tr>
<tr>
<td>&gt;650</td>
<td>4.1±1.6</td>
<td>1</td>
<td></td>
</tr>
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<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>200–250</td>
<td>564±12.8</td>
<td>56</td>
<td></td>
</tr>
<tr>
<td>250–310</td>
<td>342±8.1</td>
<td>44</td>
<td></td>
</tr>
<tr>
<td>310–380</td>
<td>259±7.4</td>
<td>29</td>
<td></td>
</tr>
<tr>
<td>380–450</td>
<td>199±5.8</td>
<td>13</td>
<td></td>
</tr>
<tr>
<td>450–550</td>
<td>12.6±3.8</td>
<td>15</td>
<td></td>
</tr>
<tr>
<td>&gt;550</td>
<td>2.6±0.8</td>
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<td></td>
<td></td>
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<tr>
<td>200–250</td>
<td>979±108</td>
<td>1041</td>
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<td>240–290</td>
<td>711±86</td>
<td>827</td>
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<tr>
<td>290–350</td>
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<td>522</td>
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<tr>
<td>350–420</td>
<td>280±57</td>
<td>333</td>
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<tr>
<td>420–490</td>
<td>138±29</td>
<td>145</td>
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</tr>
<tr>
<td>490–570</td>
<td>60.0±13.6</td>
<td>66</td>
<td></td>
</tr>
<tr>
<td>570–650</td>
<td>13.8±3.9</td>
<td>21</td>
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<td>&gt;650</td>
<td>3.6±1.5</td>
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<td>&gt;800</td>
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<td></td>
</tr>
<tr>
<td>200–250</td>
<td>305±34</td>
<td>300</td>
<td></td>
</tr>
<tr>
<td>250–310</td>
<td>167±21</td>
<td>172</td>
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<tr>
<td>310–380</td>
<td>103±16</td>
<td>98</td>
<td></td>
</tr>
<tr>
<td>380–460</td>
<td>43.6±8.7</td>
<td>47</td>
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<td>460–550</td>
<td>17.9±4.1</td>
<td>19</td>
<td></td>
</tr>
<tr>
<td>&gt;550</td>
<td>4.0±1.1</td>
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</tr>
<tr>
<td>&gt;600</td>
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<td></td>
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<tr>
<td>200–250</td>
<td>91.1±22.0</td>
<td>97</td>
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<tr>
<td>250–325</td>
<td>52.7±13.7</td>
<td>39</td>
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<tr>
<td>325–425</td>
<td>18.6±5.8</td>
<td>16</td>
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<tr>
<td>&gt;425</td>
<td>4.5±1.9</td>
<td>11</td>
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</tr>
<tr>
<td>&gt;550</td>
<td>4.0±1.1</td>
<td>4</td>
<td></td>
</tr>
<tr>
<td>$N_b = 6$, $N_h = 1$</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>200–250</td>
<td>50.8±8.9</td>
<td>56</td>
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<tr>
<td>280–380</td>
<td>14.7±3.1</td>
<td>16</td>
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<tr>
<td>&gt;380</td>
<td>7.3±2.3</td>
<td>8</td>
<td></td>
</tr>
<tr>
<td>&gt;425</td>
<td>3.6±1.9</td>
<td>9</td>
<td></td>
</tr>
<tr>
<td>&gt;550</td>
<td>3.0±0.8</td>
<td>4</td>
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<tr>
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<tr>
<td>200–250</td>
<td>32.0±6.7</td>
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<td>14.7±3.1</td>
<td>23</td>
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<tr>
<td>&gt;325</td>
<td>4.8±1.5</td>
<td>11</td>
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</tr>
<tr>
<td>&gt;550</td>
<td>3.0±0.8</td>
<td>4</td>
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<tr>
<td>200–250</td>
<td>12.0±4.3</td>
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<td>250–300</td>
<td>4.6±1.6</td>
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<tr>
<td>&gt;300</td>
<td>2.8±1.0</td>
<td>6</td>
<td></td>
</tr>
<tr>
<td>&gt;550</td>
<td>4.3±0.8</td>
<td>6</td>
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</tr>
<tr>
<td>$N_b = 3$, $N_h = 3$</td>
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<td></td>
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</tr>
<tr>
<td>200–280</td>
<td>16.1±6.2</td>
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<td>&gt;280</td>
<td>4.6±1.7</td>
<td>7</td>
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<tr>
<td>&gt;550</td>
<td>6.1±2.4</td>
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<td></td>
</tr>
</tbody>
</table>

Table 2. Event yields, for estimated background and data, in the signal regions of the inclusive-$M_{T2}$ search. The uncertainties are the quadratic sum of statistical and systematic uncertainties.
signal region bin as:

$$\text{Pull} = \frac{N_{\text{obs}} - N_{\text{bkg}}}{\sqrt{\sigma_{\text{obs}}^2 + \sigma_{\text{bkg}}^2}}, \quad (7.1)$$

where $N_{\text{obs}}$ is the observed number of events, $\sigma_{\text{obs}}$ is its statistical uncertainty, and $N_{\text{bkg}}$ is the background estimate with a total uncertainty of $\sigma_{\text{bkg}}$. After the average pull over all the signal regions is calculated, pseudo-experiments are used to evaluate the probability to observe an average at least as large as the average observed in data. The probability is found to be 11%. Thus, the data are found to be in agreement with the SM predictions within the uncertainties.
In order to present the results in a compact manner, the yields of all $M_{T2}$ bins that belong to the same topological region and that satisfy the same $H_T$ selection are summed. The resulting sums are presented in figure 10.

### 7.2 Results for the $M_{T2}$ Higgs analysis

For the $M_{T2}$-Higgs analysis, the observed numbers of events in data and the predicted background yields are summarized in table 3 for the two different selections in $H_T$. The background predictions and the data yields are shown for the different $M_{bb}$ bins in figure 11.
Figure 10. Event yields, for both estimated backgrounds and data, for the three $H_T$ selections and all the topological signal regions of the inclusive-$M_{T2}$ search. The uncertainties are drawn as the shaded band and do not include the uncertainty in the shape of the lost-lepton background.

<table>
<thead>
<tr>
<th>Channel</th>
<th>Lost lepton</th>
<th>$Z(\nu\bar{\nu})$+jets</th>
<th>Total background</th>
<th>Data</th>
</tr>
</thead>
<tbody>
<tr>
<td>Low-$H_T$</td>
<td>37.1 ± 9.0</td>
<td>6.9 ± 6.9</td>
<td>44.0 ± 11.3</td>
<td>55</td>
</tr>
<tr>
<td>High-$H_T$</td>
<td>64.8 ± 16.4</td>
<td>4.4 ± 4.4</td>
<td>69.2 ± 17.0</td>
<td>81</td>
</tr>
</tbody>
</table>

Table 3. Event yields for the $W(\ell\nu)$+jets and $t\bar{t}$+jets processes (i.e. the lost-lepton background), the $Z(\nu\bar{\nu})$+jets background, and data. Yields are shown for both the low- and the high-$H_T$ selections of the $M_{T2}$-Higgs search. The lost-lepton background is estimated from data control samples, while the $Z(\nu\bar{\nu})$+jets is evaluated using simulation.

along with the distribution of events for a possible SUSY scenario. This scenario is based on gluino pair production in which one of the gluinos produces one $h$ boson in its decay chain. More details about this signal scenario are provided in section 10.1.

8 Systematic uncertainties

A summary of the range-of-effect for each source of uncertainty relevant for the background prediction or signal efficiency is presented in table 4. While the systematic uncertainties in the background predictions have already been discussed in section 6, the dominant sources of systematic uncertainties in the selection efficiencies of signal events are described here.

The corrections for the differences observed between the signal simulation and data due to the jet energy scale and b-tagging efficiencies yield uncertainties in the signal yield
Figure 11. Distributions of the $M_{bb}$ variable for the $W(\ell\nu)+$jets and $t\bar{t}+\text{jets}$ processes (i.e. the lost-lepton background), the $Z(\nu\bar{\nu})+\text{jets}$ background, data, and a possible SUSY signal. The distributions are shown for both the low- (left) and the high-$H_T$ (right) selections of the $M_{T2}$-Higgs search. The lost-lepton background is estimated from data control samples, while the $Z(\nu\bar{\nu})+\text{jets}$ is evaluated using simulation. The uncertainties in each plot are drawn as the shaded band and do not include the uncertainty in the shape of the lost-lepton background. The signal model consists of gluino pair production events with one of the two gluinos containing an $h$ boson in its decay chain. For this model it is assumed $m_{\tilde{g}} = 750$ GeV and $m_{\tilde{\chi}_1^0} = 350$ GeV.

of around 5%, but these uncertainties can become as large as 40% in kinematically extreme regions. The uncertainty associated with the corresponding correction to account for the $p_T$ spectrum of the recoil system reaches a maximum of 20% for $p_T > 250$ GeV. The systematic uncertainty associated with the parton distribution functions is evaluated following the prescription of the PDF4LHC group [59–63], and is found to have an effect of about 5%, increasing to a maximum of 15% for small splittings between the parent particle mass and the LSP mass. Additionally, uncertainties associated with the luminosity determination [64] and the trigger efficiency are included.

9 Statistical interpretation of the results

This section describes the statistical procedure used to interpret the observed event yields in order to set upper limits on the cross sections of potential signal processes. A test of the background-only and signal+background hypotheses is performed using a modified frequentist approach, often referred to as CL$_s$ [65].

Signal regions are combined through a joint likelihood function. This function is constructed as the product of Poisson probabilities for each bin of $N_j$, $N_h$, $H_T$, and $M_{T2}$. The Poisson probabilities are functions of the number of observed events in each bin, $n_i$, and the predictions in each bin, $\lambda_i$, where $i$ ranges from 1 to the number of bins, $N_{bins}$. The
<table>
<thead>
<tr>
<th>Process</th>
<th>Source/Region</th>
<th>Effect</th>
<th>Shape</th>
</tr>
</thead>
<tbody>
<tr>
<td>Multijet</td>
<td>$M_{T2} &lt; 200$ GeV</td>
<td>10–50%</td>
<td>—</td>
</tr>
<tr>
<td></td>
<td>$M_{T2} \geq 200$ GeV</td>
<td>50–100%</td>
<td>—</td>
</tr>
<tr>
<td></td>
<td>Lost-lepton method (sys $\oplus$ stat)</td>
<td>10–65%</td>
<td>—</td>
</tr>
<tr>
<td></td>
<td>b-tagging scale factor</td>
<td>—</td>
<td>x</td>
</tr>
<tr>
<td></td>
<td>Jet energy scale</td>
<td>—</td>
<td>x</td>
</tr>
<tr>
<td></td>
<td>Matching scale</td>
<td>—</td>
<td>x</td>
</tr>
<tr>
<td></td>
<td>Renormalization and factorization scales</td>
<td>—</td>
<td>x</td>
</tr>
<tr>
<td></td>
<td>System recoil modelling</td>
<td>—</td>
<td>x</td>
</tr>
<tr>
<td>W($\ell\nu$)+jets and Top</td>
<td>Systematics on $Z(\nu\bar{\nu})/\gamma$ ratio ($N_b = 0$–1)</td>
<td>20–30%</td>
<td>—</td>
</tr>
<tr>
<td></td>
<td>Systematics on 1b/0b ratio from $Z_{\ell\ell}$ ($N_b = 1$)</td>
<td>10–75%</td>
<td>—</td>
</tr>
<tr>
<td></td>
<td>Statistics from $\gamma$+jets data ($N_b = 0$–1)</td>
<td>5–100%</td>
<td>—</td>
</tr>
<tr>
<td></td>
<td>Simulation ($N_b \geq 2$)</td>
<td>100%</td>
<td>—</td>
</tr>
<tr>
<td>Z ($\nu\bar{\nu}$)+jets</td>
<td>Integrated luminosity</td>
<td>2.6%</td>
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<td></td>
<td>Trigger efficiency</td>
<td>1%</td>
<td>—</td>
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<td>Parton distribution functions</td>
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<td></td>
<td>Jet energy scale</td>
<td>5–40%</td>
<td>x</td>
</tr>
<tr>
<td></td>
<td>System recoil modelling</td>
<td>10–20%</td>
<td>x</td>
</tr>
</tbody>
</table>

Table 4. Summary of the different systematic uncertainties of the SM background predictions and of the signal efficiency. A given source of uncertainty can contribute differently depending on the search region, and the typical ranges of effect are shown. Sources of uncertainty that change the shape of the $M_{T2}$ distributions in the inclusive-$M_{T2}$ analysis or the shape of the $M_{bb}$ distributions in the $M_{T2}$-Higgs search are marked with a cross in the last column.

The likelihood function is given by

$$L = \prod_{i=1}^{N_{\text{bins}}} \frac{\lambda_i^{n_i} e^{-\lambda_i}}{n_i!}. \quad (9.1)$$

The prediction in each bin is a sum over the signal and background contributions:

$$\lambda_i = \mu s_i + \sum_{j=1}^{N_{\text{bkg}}} b_{ij}, \quad (9.2)$$

where $b_{ij}$ is the background prediction in bin $i$ for background source $j$, and $s_i$ is the signal prediction in bin $i$, scaled by the signal-strength modifier $\mu$ to test other values of the signal production cross section, $\sigma = \mu \sigma_{\text{sig}}$, with $\sigma_{\text{sig}}$ the nominal cross section for the signal model under consideration.

The uncertainties are handled by introducing nuisance parameters $\theta$. The signal and background expectations, therefore, become dependent on $N_{\text{sys}}$ nuisance parameters $\theta_m$, where $m = 1 \ldots N_{\text{sys}}$, i.e. $s = s(\theta_m)$ and $b = b(\theta_m)$. All sources of uncertainties are
taken to be either 100%-correlated (positively or negatively) or uncorrelated (independent), whichever is found to be appropriate. Incorporating the nuisance parameters, the likelihood function becomes:

\[ L(\text{data}|\mu, \theta) = \text{Poisson}(\text{data}|\mu s(\theta) + b(\theta)) p(\theta), \]  

(9.3)

where \( p(\theta) \) is the probability density function associated with the given systematic uncertainty. In this equation, \( L(\text{data}|\mu, \theta) \) is the likelihood function for data for a given value of \( \mu \) and \( \theta \).

In order to test the compatibility of the data with the background-only and signal+background hypotheses, a test statistic \( q_\mu \) [66] is constructed starting from the profile-likelihood ratio:

\[ q_\mu = -2 \ln \frac{L(\text{data}|\mu, \hat{\theta}_\mu)}{L(\text{data}|\hat{\mu}, \hat{\theta})}, \quad \text{with } 0 \leq \hat{\mu} \leq \mu, \]  

(9.4)

where “data” can be the actual data or the output of a pseudo-experiment. Both the denominator and numerator are maximized. In the numerator, the signal parameter strength \( \mu \) remains fixed and the likelihood is maximized for only the nuisance parameters, whose values after the maximization are denoted \( \hat{\theta}_\mu \). In the denominator, the likelihood is maximized with respect to both \( \mu \) and \( \theta \), and \( \hat{\mu} \) and \( \hat{\theta} \) are the values for which \( L \) is maximal. The lower constraint \( 0 \leq \hat{\mu} \) is imposed as the signal strength cannot be negative, while the upper constraint guarantees a one-sided confidence interval (this means that upward fluctuations of data are not considered as evidence against the signal hypothesis). The value of the test statistic for the actual observation is denoted as \( q_\mu^{\text{obs}} \). This test statistic was chosen by the LHC Higgs Combination Group [67].

To set limits, probabilities to observe an outcome at least as signal-like as the one observed are calculated for the null (background-only) hypothesis \( H_0 \) and for the test (signal+background) hypothesis \( H_1 \), for a given value of the signal-strength modifier \( \mu \), as:

\[ \text{CL}_{s+b}(\mu) = P(q_\mu \geq q_\mu^{\text{obs}} | H_1), \]  

\[ \text{CL}_{b}(\mu) = P(q_\mu \geq q_\mu^{\text{obs}} | H_0). \]  

(9.5)

The CL_{s} quantity is then defined as the ratio of these probabilities:

\[ \text{CL}_{s}(\mu) = \frac{\text{CL}_{s+b}(\mu)}{\text{CL}_{b}(\mu)}. \]  

(9.6)

In the modified frequentist approach, the value of \( \text{CL}_{s}(\mu) \) is required to be less than or equal to \( \alpha \) in order to declare a \( (1-\alpha) \) CL exclusion. We set 95% CL limits on the signal production cross section by finding the value of \( \mu \) for which \( \text{CL}_{s}(\mu) = 0.05 \).

In practice, the probability distributions of the background-only and the signal+background hypotheses are determined from distributions of the test statistic constructed from pseudo-experiments. Once the ensembles of pseudo-experiments for the two hypotheses are generated, the observed \( \text{CL}_{s} \) limit is calculated from these distributions and the actual observation of the test statistic \( q_\mu^{\text{obs}} \). The expected \( \text{CL}_{s} \) limit is calculated by replacing \( q_\mu^{\text{obs}} \) by the expected median from the distribution of the background-only hypothesis. Further details on the procedure employed to compute the limits on the signal production cross section are given in ref. [67].

- 23 –
10 Exclusion limits

The 95% CL upper limits on signal production cross sections are computed following the CLs formulation described in section 9, using the results presented in section 7 and the systematic uncertainties summarized in section 8.

10.1 Exclusion limits on simplified models

In this section, we interpret the results of our search in terms of simplified models [26], which allow the exclusion potential of the data to be examined in the context of a large variety of models.

The following list describes the simplified models that are probed and the corresponding subsets of signal regions from the inclusive-\(M_{T2}\) search that are used to set the limits:

- **direct pair production of squarks with \(\tilde{q} \rightarrow q\tilde{\chi}^0_1\)**. The topological regions that are used to probe this model are those defined by the selections \((N_j = 2, N_b = 0)\), \((N_j = 2, N_b \geq 1)\), \((3 \leq N_j \leq 5, N_b = 0)\), \((3 \leq N_j \leq 5, N_b = 1)\), and \((N_j \geq 6, N_b = 0)\). Exclusions limits are shown in figure 12 (upper left) for two scenarios: one assumes that the first two generations of squarks \((\tilde{u}_L, \tilde{u}_R, \tilde{d}_L, \tilde{d}_R, \tilde{c}_L, \tilde{c}_R, \tilde{s}_L, \tilde{s}_R)\) are degenerate and light; the other requires that only one light-flavour squark be kinematically accessible.

- **direct pair production of bottom squarks with \(\tilde{b} \rightarrow b\tilde{\chi}^0_1\)**. The signal regions that are used are those defined by \((N_j = 2, N_b \geq 1)\), \((3 \leq N_j \leq 5, N_b = 1)\), and \((3 \leq N_j \leq 5, N_b = 2)\). The corresponding exclusion limits are shown in figure 12 (upper right).

- **direct pair production of top squarks with \(\tilde{t} \rightarrow t\tilde{\chi}^0_1\)**. The topological regions used to probe this model are those defined by \((3 \leq N_j \leq 5, N_b = 1)\), \((3 \leq N_j \leq 5, N_b = 2)\), \((N_j \geq 6, N_b = 1)\), \((N_j \geq 6, N_b = 2)\), and \((N_j \geq 3, N_b \geq 3)\). The corresponding exclusion limits are shown in figure 12 (bottom).

- **gluino pair production with \(\tilde{g} \rightarrow q\tilde{\chi}^0_1\)**. The topological regions used to probe this model are those defined by \((3 \leq N_j \leq 5, N_b = 0)\), \((3 \leq N_j \leq 5, N_b = 1)\), \((N_j \geq 6, N_b = 0)\), and \((N_j \geq 6, N_b = 1)\). The corresponding exclusion limits are shown in figure 13 (upper left).

- **gluino pair production, with \(\tilde{g} \rightarrow b\tilde{\chi}^0_1\)**. The topological regions used to probe this model are those defined by \((3 \leq N_j \leq 5, N_b = 0)\), \((3 \leq N_j \leq 5, N_b = 1)\), \((N_j \geq 6, N_b = 0)\), \((N_j \geq 6, N_b = 2)\), and \((N_j \geq 3, N_b \geq 3)\). The corresponding exclusion limits are shown in figure 13 (upper right).

- **gluino pair production, with \(\tilde{g} \rightarrow t\tilde{\chi}^0_1\)**. The topological regions used to probe this model are those defined by \((N_j \geq 6, N_b = 1)\), \((N_j \geq 6, N_b = 2)\), and \((N_j \geq 3, N_b \geq 3)\). The corresponding exclusion limits are shown in figure 13 (bottom).

All exclusion limits are obtained at NLO + next-to-the-leading-logarithm (NLL) order in \(\alpha_s\).
For the direct pair production of top squarks, the analysis is not sensitive to model points with $m_{\tilde{t}} - m_{\tilde{\chi}_1^0} = m_t$, because the $\tilde{\chi}_1^0$ is produced at rest in the top-squark frame.

For all the considered models, the observed limits are compatible within one standard deviation with the expected limits, with the exception of the limits on the direct pair production of top squarks with $\tilde{t} \rightarrow t\tilde{\chi}_1^0$, which are shown in figure 12 (bottom). A comparison between the background estimates obtained directly from simulation and those calculated from the data control samples suggests that the weaker-than-expected limits are not caused by an excess in the signal region, but rather by a downward fluctuation in the lost-lepton control sample, leading to a possible underestimate of the lost-lepton background. Considering the large number of data control samples (there are 81 lost-lepton control regions), the probability to observe a fluctuation as large as the one observed is $\sim 65\%$.

The results of the $M_{T2}$-Higgs search are used to probe the following model: gluino pair production with one gluino decaying via $\tilde{g} \rightarrow q\tilde{\chi}_2^0$, $\tilde{\chi}_2^0 \rightarrow h\tilde{\chi}_1^0$, and the other gluino decaying via $\tilde{g} \rightarrow q\tilde{\chi}_1^\pm$, $\tilde{\chi}_1^\pm \rightarrow W^\pm \tilde{\chi}_1^0$. In this scenario, the neutralino $\tilde{\chi}_2^0$ and chargino $\tilde{\chi}_1^\pm$ are assumed to be degenerate, with mass $m_{\tilde{\chi}_2^0} = m_{\tilde{\chi}_1^\pm} = m_{\tilde{\chi}_1^0} + 200$ GeV. The corresponding exclusion limits are shown in figure 14.

### 10.2 Exclusion limit in the cMSSM/mSUGRA model

We also provide an interpretation of our results in terms of the cMSSM/mSUGRA model. The model has five free parameters: $m_0$, $m_{1/2}$, $A_0$, $\tan \beta$, and sign $\mu$. In order to obtain an $h$ boson mass of about 125 GeV, the value $A_0 = -2 \max(m_0, m_{1/2})$ is chosen, as proposed in ref. [68]. Furthermore, we choose $\mu > 0$ and $\tan \beta = 30$. Exclusion limits as a function of $m_\tilde{g}$ and $m_{\tilde{q}}$, where $m_{\tilde{q}}$ is the average mass of the first-generation squarks, are shown in figure 15. These limits are presented in figure 16 as a function of $m_\tilde{g}$ and $m_{\tilde{q}}$, where $m_{\tilde{q}}$ is the average mass of the first-generation squarks.

In table 5, we summarize the exclusion limits from figures 12–15.

### 11 Summary

A search for supersymmetry (SUSY) in hadronic final states characterized by large values of unbalanced transverse momentum has been carried out using a sample of $\sqrt{s} = 8$ TeV pp collisions. The data were collected by the CMS experiment at the CERN LHC and correspond to an integrated luminosity of 19.5 fb$^{-1}$. An event selection based on the kinematic mass variable $M_{T2}$ has been employed to reduce the background from standard model processes and to enhance the sensitivity of the search to a wide range of SUSY signatures.

Two related searches have been implemented. The first is an inclusive search based on several signal regions defined by the number of jets and b-tagged jets, the hadronic energy in the event, and the value of the $M_{T2}$ variable. The second is a search for events that contain a Higgs boson in the decay chain of a heavy SUSY particle. Assuming that this boson decays to a bottom quark-antiquark pair in accordance with the branching fraction of the standard model Higgs boson, this category of events has been investigated to seek an excess at 125 GeV in the invariant mass distribution of the selected b-tagged jet pairs.
No significant excess over the expected number of background events has been observed, and 95% confidence level exclusion limits on several SUSY simplified models and on the cMSSM/mSUGRA model have been derived. Mass limits have been conservatively derived using the theoretical signal cross sections reduced by one times their uncertainty (−1\(\sigma\)_theory). In the context of simplified models based on pair-produced gluinos, each decaying into a quark-antiquark pair and a lightest SUSY particle (LSP) via an off-shell squark, gluino masses have been probed up to 1225−1300 GeV depending on the squark flavour. For the direct pair production of the first- and second-generation squarks, each assumed to decay to a quark of the same flavour and a light LSP, masses below 875 GeV have been probed.
under the assumption of eight degenerate light squarks. If only a single squark is assumed to be light, this limit decreases to 520 GeV. For the direct production of third-generation squark pairs, each assumed to decay to a quark of the same flavour and a light LSP, masses up to 640 GeV for bottom squarks and 450 GeV for top squarks have been probed. In the cMSSM/mSUGRA scenario corresponding to \( \tan \beta = 30, \ A_0 = -2 \max(m_0, m_{1/2}) \), and \( \mu > 0 \), absolute mass limits have been found to be: \( m_{\tilde{q}} > 1450 \text{ GeV}, \ m_{\tilde{g}} > 1150 \text{ GeV}, \) and \( m_{\tilde{q}} = m_{\tilde{g}} > 1550 \text{ GeV} \) when equal squark and gluino masses are assumed.

Acknowledgments

We congratulate our colleagues in the CERN accelerator departments for the excellent performance of the LHC and thank the technical and administrative staffs at CERN and at other CMS institutes for their contributions to the success of the CMS effort. In ad-
**Figure 14.** Exclusion limits at 95% CL for gluino pair production with one gluino decaying via \( \tilde{g} \rightarrow q\tilde{\chi}_2^0; \tilde{\chi}_2^0 \rightarrow h\tilde{\chi}_1^0 \), while the other gluino decays via \( \tilde{g} \rightarrow q\tilde{\chi}_1^\pm; \tilde{\chi}_1^\pm \rightarrow W^\pm \tilde{\chi}_1^0 \). For convenience, diagonal lines have been drawn corresponding to \( m_{\chi_1^0} = m_{\tilde{g}} \) and \( m_{\chi_1^0} = m_{\tilde{g}} - 200 \text{ GeV} \).

**Figure 15.** Exclusion limits at 95% CL as a function of \( m_0 \) and \( m_{1/2} \) for the cMSSM/mSUGRA model with \( \tan \beta = 30, A_0 = -2 \max(m_0, m_{1/2}) \) and \( \mu > 0 \). Here, \( m_{\tilde{q}} \) is the average mass of the first-generation squarks.

In addition, we gratefully acknowledge the computing centres and personnel of the Worldwide LHC Computing Grid for delivering so effectively the computing infrastructure essential to our analyses. Finally, we acknowledge the enduring support for the construction and operation of the LHC and the CMS detector provided by the following funding agencies: BMWFW and FWF (Austria); FNRS and FWO (Belgium); CNPq, CAPES, FAPERJ, and FAPESP (Brazil); MES (Bulgaria); CERN; CAS, MoST, and NSFC (China); COL-
Figure 16. Exclusion limits at 95% CL as a function of $m_{\tilde{g}}$ and $m_{\tilde{q}}$ for the cMSSM/mSUGRA model with $\tan \beta = 30$, $A_0 = -2 \max(m_0, m_{1/2})$, and $\mu > 0$. Here, $m_{\tilde{q}}$ is the average mass of the first-generation squarks.
Table 5. Summary of observed mass limits (at 95% CL) for different SUSY simplified models and for the cMSSM/mSUGRA model. The limits quoted are the observed limits using the signal cross section minus one standard deviation ($\sigma_{\text{theory}}$) of its uncertainty. For the simplified models, the limit on the mass of the parent particle is quoted for $m_{\chi_1^0} = 0$, while for the LSP the best limit on its mass is quoted. The best limit on the mass splitting between the parent particle mass and the LSP mass is also given. Finally, the absolute limits on the squark and gluino masses are quoted for the cMSSM/mSUGRA model.

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References


<table>
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<tr>
<th>Simplified model</th>
<th>Limit on parent particle mass at $m_{\tilde{q}_1} = 0$</th>
<th>Best limit on LSP mass</th>
<th>Limit on mass splitting</th>
</tr>
</thead>
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<td>Direct squark production</td>
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<td></td>
<td></td>
</tr>
<tr>
<td>Single light squark</td>
<td>$m_{\tilde{q}} &gt; 520$ GeV</td>
<td>$m_{\chi_1^0} &gt; 120$ GeV</td>
<td>$\Delta m(g, \chi_1^0) &lt; 200$ GeV</td>
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<tr>
<td>8 degenerate light squarks</td>
<td>$m_{\tilde{q}} &gt; 875$ GeV</td>
<td>$m_{\chi_1^0} &gt; 325$ GeV</td>
<td>$\Delta m(g, \chi_1^0) &lt; 50$ GeV</td>
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<td>Bottom squark</td>
<td>$m_{\tilde{b}} &gt; 640$ GeV</td>
<td>$m_{\chi_1^0} &gt; 275$ GeV</td>
<td>$\Delta m(b, \chi_1^0) &lt; 10$ GeV</td>
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<tr>
<td>Top squark</td>
<td></td>
<td></td>
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<tr>
<td>$m_\ell &gt; m_t + m_{\chi_1^0}$</td>
<td>$m_\ell &gt; 450$ GeV</td>
<td>$m_{\chi_1^0} &gt; 60$ GeV</td>
<td>$\Delta m(\ell, \chi_1^0) &lt; 230$ GeV</td>
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<tr>
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<tr>
<td>Direct gluino production</td>
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<tr>
<td>$\tilde{g} \rightarrow q\bar{q}\chi_1^0$</td>
<td>$m_{\tilde{g}} &gt; 1225$ GeV</td>
<td>$m_{\chi_1^0} &gt; 510$ GeV</td>
<td>$\Delta m(\tilde{g}, \chi_1^0) &lt; 25$ GeV</td>
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<tr>
<td>$\tilde{g} \rightarrow b\bar{b}\chi_1^0$</td>
<td>$m_{\tilde{g}} &gt; 1300$ GeV</td>
<td>$m_{\chi_1^0} &gt; 740$ GeV</td>
<td>$\Delta m(\tilde{g}, \chi_1^0) &lt; 50$ GeV</td>
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<tr>
<td>$\tilde{b} \rightarrow \bar{t}\chi_1^0$</td>
<td>$m_{\tilde{b}} &gt; 1225$ GeV</td>
<td>$m_{\chi_1^0} &gt; 450$ GeV</td>
<td>$\Delta m(\tilde{b}, \chi_1^0) &lt; 225$ GeV</td>
</tr>
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</table>

| cMSSM/mSUGRA model                      |                                                        |                        |                         |
| Mass limit for $m_{\tilde{g}} = m_{\tilde{g}}$ | Gluino mass limit | Squark mass limit |                         |
| $m_{\tilde{g}} > 1550$ GeV               | $m_{\tilde{g}} > 1150$ GeV                            | $m_{\tilde{q}} > 1450$ GeV |                         |


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