Visualizing Gravitational Lensing Phenomena in Real-time using GPU shaders in celestia.Sci

Individual Project Final Report

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Abstract

This report describes an Individual Project (IPR) undertaken at the International Space University (ISU) Master of Space Studies program between 2013-2014. The project aimed to create an interactive tool for users with no background in physics to be able to play with 3d simulations of astronomical gravitational lensing. Existing work in the field has focused on the use of gravitational lensing as a tool for mapping dark matter and detecting exoplanets, but no software exist that are able to simulate gravitational lensing interactively within a complete 3d stellar/galactic environment. We demonstrate the implementation of a general framework for interactive 3d visualization of gravitational lensing, using the open-source software celestia.Sci as a base. Masses of lensing bodies such as stars and galaxies were computed from luminosities using empirical mass-to-light relations. We take advantage of the programmable graphics processing unit (GPU) in commodity computer hardware to efficiently compute the lensing equation and magnification factor in a fragment shader. The fragment shader is executed for all pixels in a texture where stars and deep sky objects have been previously rendered. Finally, the code was tested for visual accuracy in known astronomical scenarios, and the performance was verified to satisfy our requirement for interactivity.

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I dedicate this report to my loving wife Sarah, who has patiently supported me for the duration of this project.

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Nomenclature

- Λ cosmological constant
- Ω density parameter
- Ω_m contribution of matter to density parameter
- Ω_{Λ} contribution of Λ to density parameter
- z redshift
- $\hat{\alpha}$ angle of deflection
- ξ impact parameter
- $oldsymbol{A}$ magnification
- c speed of light in vacuum
- G gravitational constant
- H_0 Hubble constant
- h dimensionless Hubble constant $H_0/100$
- L luminosity
- L_{\odot} luminosity of Sun
- M lensing mass
- M_{\odot} mass of Sun

Abbreviations

3d	Three-dimensional
API	Application Programming Interface
au	Astronomical Unit
BCG	Brightest Cluster Galaxy
CMB	Cosmic Microwave Background
CPU	Central Processing Unit
DSO	Deep Sky Object
ESA	European Space Agency
FBO	Framebuffer Object
FLRW	Friedmann-Lemaître-Robertson-Walker
FRW	Friedmann-Robertson-Walker
FOV	Field Of View
fps	Frames Per Second
GL	Gravitational Lensing
GPU	Graphics Processing Unit
GR	General Relativity
IAC	International Astronautical Congress
IPR	Individual Project
LTM	Light Traces Mass
MSS	Master of Space Studies
NASA	National Aeronautics and Space Administration
NDC	Normalized Device Coordinates
ODE	Ordinary Differential Equation
OpenGL	Open Graphics Library
SDSS	Sloan Digital Sky Survey
SSP	Space Studies Program
WMAP3	Three-Year Wilkinson Microwave Anisotropy Probe observations
WMAP9	Nine-Year Wilkinson Microwave Anisotropy Probe observations

1 Introduction

1.1 Gravitational Lensing

Gravitational lensing (GL) is a phenomenon by which light rays are bent by gravitational sources due to General Relativity. Gravitational lensing was predicted by Albert Einstein (1879 - 1955), and confirmed by Sir Arthur Eddington (1882 - 1944) by observing the apparent displacement of stars during a total solar eclipse in 1919. More recently, GL has become an indispensable technique used to image distant quasars (Walsh et al., 1979; Figure 1), faint galaxies (Bradač et al., 2009), to map dark matter (Clowe et al., 2006), and to detect exoplanets (Mao and Paczynski, 1991).



Figure 1: Four images of the same quasar are produced by lensing in the Einstein Cross

Gravitational lensing can be classed into several types based on the amount of distortion seen in the image (Narayan and Bartelmann, 1997):

- 1. Strong lensing: Multiple images or large arcs are produced
- 2. Weak lensing: Arclets and some shearing are seen
- 3. Microlensing: Brightness varies over time due to relative movement of multiple bodies (e.g., an orbiting exoplanet)

More massive bodies lead to more pronounced lensing due to stronger gravitational forces; for example giant arcs and multiple images have been observed in Hubble observations of the Abell 2218 galaxy cluster (Kneib et al., 1996). However even relatively light bodies such as our Sun can cause visible lensing at Earth distances and beyond as demonstrated by Eddington. More recently, Maccone proposed a mission called FOCAL that would place a spacecraft >550 au from our Sun at the gravitational lensing *focal point* to magnify the Galactic Center (1999).

1.2 Motivation for this Project

We have listed here so far, several of many studies to observe the effects of gravitational lensing in astronomical observations. But as we will see later in Section 2, less has been done in the field to visually simulate gravitational lensing on the computer. In particular, there is a need for software that can allow the user to interact with the simulation in real-time, and to view the simulation from arbitrary viewpoints within a realistic cosmic environment populated with known stars, exoplanets and galaxies. By providing interactivity, the simulation can not only provide additional insight that might otherwise be overlooked in a static model, but it can also appeal to educators and students. In this project, we will attempt to construct a general gravitational lensing framework that can be used from stellar to cosmological scales, while at the same time limiting ourselves to what can be *displayed* by commodity computer hardware in real-time.

1.3 The Software

celestia.Sci is a real-time, three-dimensional, interactive simulation of space extending over a huge range of scales, from spacecraft around Earth and the Solar System, into deep space and the cosmological regime (Figure 2). It aims to be easily usable by the general public, while delivering an astrophysically-accurate rendering of space. The software is based on Celestia, a mature open-source program. Celestia has been used by NASA (2004) and ESA (2004) thanks to its high visualization accuracy and extensive astronomical database using peer-reviewed scientific data exclusively. The author has been a regular developer on the Celestia team for about six years, and the co-advisor is a theoretical astro-particle physicist who has been one of the core developers for over ten years and is now project lead of celestia.Sci.



Figure 2: celestia.Sci user interface.

The goals of celestia.Sci are to expand its extragalactic and cosmological visualization capabilities (Schrempp, 2013). In this respect, the ability to simulate gravitational lensing will become an important addition. Also, the rendering engine, stellar, galactic, and exoplanetary database, and high usability of celestia.Sci will provide a strong foundation for this project.

This report describes a general visualization framework for gravitational lensing, implemented within the celestia. Sci software.

1.4 Project Aim

To add the capability to view and interact with astronomical gravitational lensing effects in realtime to celestia.Sci running on commodity computer hardware, as a means for scientists to verify and visualize lensing observations, to educate the general public on the phenomenon of gravitational lensing, and to further advance the goals of celestia.Sci by expanding its extragalactic and cosmological visualization capabilities to encompass gravitational lensing.

1.5 What this project is and isn't

What this project is: Producing interactive, accurate renderings of gravitational lensing What this project is not: Producing an algorithm for computing masses from astronomical images, or computing lensing probabilities

1.6 A Note on Interactivity and Frame Rates

This report uses the term *interactive*, as being able to manipulate various aspects of a simulation such as the viewpoint, field of view (FOV), time, etc., while receiving feedback of those manipulations.

Interactive frame rates, measured in frames per second (fps), describe a rate of refresh of the computer-generated image that is sufficient to feel responsive. This is clearly a subjective measure, but we will use 10 fps as the minimum and 30 fps as closer to ideal.

1.7 Objectives

The following are the IPR project objectives, as proposed in the IPR Project Plan and agreed upon by Dr. Hugh Hill (advisor) and Dr. Fridger Schrempp (co-advisor).

- 1. Perform a literature review of gravitational lensing
- 2. Design a strategy for implementing a general three-dimensional gravitational lensing framework in celestia.Sci
- 3. Implement the strategy and perform performance tests and optimizations with an aim to providing interactive frame rates
- 4. Using the functionality implemented, demonstrate lensing around our own Sun, strong lensing due to a galaxy and weak lensing around a galaxy cluster with a known dark matter distribution. Compare with actual astronomical observations

5. Identify directions for further enhancements and research

The following extended objectives could also be considered upon completion of the above:

- 1. Design an International Space University workshop on using gravitational microlensing to discover exoplanets, targeting SSP or MSS participants;
- 2. Submit a peer-reviewed journal article based on the Project Report. Due to the project's pedagogical content, *The Physics Teacher*, published by the American Association of Physics Teachers, could be a suitable target journal. An IAC paper could also be written and presented.

1.8 Outline of this Report

Section 2 gives a brief review of the relevant literature. Section 3 introduces the underlying theory necessary to understand the methodology of this project, including a brief overview of the relevant aspects of general relativity and equations used to describe key GL phenomena. Section 4 explains the strategy used in this report to integrate GL into celestia.Sci, the software requirements and the methods and optimizations used to implement lensing within celestia.Sci. Section 5 presents the results of this report in the form of case studies, and also gives performance measurements. Section 6 summarizes the content of this report.

Appendices are provided at the back of this report for selected source code listings.

2 Review of Related Work

Eddington's measurement of starlight deflection by the Sun was consistent with the expected radial deflection of 1.75" near the Sun's limb due to gravitational lensing (Dyson et al., 1920). Later we will attempt to reproduce this result visually in celestia.Sci.

Lefor et al. (2013) give a review of many strong gravitational lens modeling software available for general and research use. The software are categorized according to LTM (light traces mass, e.g., assuming a cosmic mass-to-light (M/L) ratio) or non-LTM (not assuming any model to compute mass). Most software are found to be non-interactive, requiring substantial off-line processing time, and better suited to recovering mass distributions from astronomical images. For example LensPerfect takes data files as input and produces static mass maps as output, taking as much as two weeks of processing time for 30+ galactic sources on a MacBook Pro computer (Coe et al., 2010). Some software do exist that visually simulate strong lensing interactively (e.g., Magallón and Páez, 2002) but none exist that are able to simulate GL interactively within a complete three-dimensional stellar/galactic environment.

The challenge of interactively simulating GR phenomena visually is due to the fact that light rays follow curved paths (null geodesics) in general relativistic spacetime. Geodesics are represented by solutions to second-order ODEs, which are expensive to compute. The problem is compounded by the fact that null geodesics passing through every pixel must be computed, in a technique known in computer graphics as ray tracing. Attempts have been made to accelerate the computation of ray tracing curved rays using graphics processing unit (GPU) shaders (e.g., Weiskopf et al., 2004), but the rendering time (time to produce an image) is on the order of seconds for an 800x600 image. This is not sufficient for interactivity, which requires rendering times on the order of microseconds.

To increase the visualization performance, Weiskopf et al. (2005) recognized that for GL in a Schwarzschild spacetime, the geometry is essentially cylindrically symmetric and that the problem reduces to that of image warping, or computing the deflections of one-dimensional rays in a two-dimensional domain. GPU fragment shaders were used to efficiently compute the GL solution of a Schwarzschild black hole at interactive frame rates. In this report we follow a similar approach, but generalize it to encompass stars and galaxies as lensing sources within a realistic three-dimensional cosmic environment offered by celestia.Sci.

One of the challenges of constructing a general simulation framework for GL is to calculate the masses of every potential lensing body represented within the simulation, from stars to galaxies. Only magnitudes are guaranteed to be known for stars and deep sky objects (DSOs) in celestia.Sci. Fortunately, a recent paper by Bahcall and Kulier (2014) is very useful, in that it suggests us a way to estimate masses of cosmic bodies given their luminosities only.

3 Theory

3.1 General Relativity

This section has been adapted from Natário (2012) to give a brief overview of the aspects of General Relativity (GR) that are relevant to GL.

Equivalence Principle

The gravitational redshift formula is:

$$T' = (1 + \Delta\phi)T \quad \text{for } |\Delta\phi| \ll 1 \tag{1}$$

where T is the period of a light signal at a lower gravitational potential ϕ , and T' is the period at a higher potential. The implication is: Time is slowed down at higher gravitational potentials.

A geodesic represents the maximum length casual curve followed by free-falling bodies.

A null geodesic represents the curve followed by a light ray in a free-falling frame.

Schwarzschild Solution

Given a spherically symmetric body (mass M), the Schwarzschild metric (r > 2M) in the equatorial plane is (here we have set G = 1, c = 1):

$$\Delta \tau^2 = \left(1 - \frac{2M}{r}\right) \Delta t^2 - \left(1 - \frac{2M}{r}\right)^{-1} \Delta r^2 - r^2 \Delta \theta^2 \tag{2}$$

where (t, r, θ) represent time and polar space coordinates, and τ is the proper time. For light, $\Delta \tau^2 = 0$ and $E \gg 1$. If M > 0, travel time is delayed by the *Shapiro effect*:

$$\frac{\Delta t}{\Delta \lambda} = \left(1 - \frac{2M}{r}\right)^{-1} \sqrt{2E} \tag{3}$$

where λ is the equivalent of proper time on a geodesic. The trajectory is curved due to gravitational lensing because M > 0 makes the absolute value of $\frac{\Delta r}{\Delta \lambda}$ larger than in Minkowski space-time:

$$\frac{\Delta r}{\Delta \lambda} = \pm \sqrt{2E - \left(1 - \frac{2M}{r}\right)\frac{L^2}{r^2}} \tag{4}$$

where L is angular momentum. As noted previously in Section 2, for GL in a Schwarzschild spacetime, the geometry is cylindrically symmetric and that the problem reduces to that of computing the deflections of one-dimensional light rays in a two-dimensional domain.

Cosmology

The Friedmann-Lemaître-Robertson-Walker (FLRW) metric (often referred to simply as the FRW metric) describes a solution to the GR field equations with the following properties describing our universe: 1) Homogeneous spectrum, 2) Isotropic. These properties have been confirmed by CMB observations.

There exist only three possible FLRW topologies: 1) Hypersphere (closed), 2) Euclidean and 3) Hyperbolic (open).

Redshift z measures how much the Universe has expanded since light was emitted, and does not directly correspond to the receding velocity v:

$$1 + z = \frac{T'}{T} = \frac{R'}{R} \tag{5}$$

where R' is the radius of the Universe at time of reception. For small $z, z \simeq v$.

The Hubble constant H_0 is related to R by:

$$H_0 = \left(\frac{\dot{R}}{R}\right)_0 \tag{6}$$

R(t) is determined by the Friedmann equations:

$$\frac{\Delta R}{\Delta t} = \pm \sqrt{\frac{2E}{R} + \frac{\Lambda R^2}{3} - k} \tag{7}$$

$$E = \frac{4\pi R^3}{3}\rho\tag{8}$$

where ρ is the time-dependent average density, Λ is the cosmological constant, and k is the curvature where k = 1: hypersphere, 0: Euclidean and -1: hyperbolic.

It is usual to define the critical density ρ_c where Λ and k are set to zero, and the density parameter Ω :

$$\rho_c = \frac{3H^2}{8\pi G} \tag{9}$$

$$\Omega = \frac{\rho}{\rho_c} \tag{10}$$

By convention, we write $\Omega = \Omega_m + \Omega_\Lambda$ where Ω_Λ is the contribution due to the cosmological constant Λ , and Ω_m is the contribution due to matter. Most of the contribution to Ω_m is believed to be from dark matter. There are indications that most of the dark matter in the universe is concentrated in halos surrounding galaxies (Bahcall and Kulier, 2014). This is demonstrated in the Bullet Cluster (Figure 3).

Cosmological Model in celestia.Sci

celestia.Sci DSO data assumes FLRW space with $\Omega_m = 0.27$, $\Omega_{\Lambda} = 0.73$ and thus $\Omega = 1$, or a flat universe, as per current consensus in the cosmology community. H_0 is set to 73.2 km s⁻¹ Mpc⁻¹ from WMAP3 (Spergel et al., 2007) and correspondingly we also use h = 0.73. These values have not yet been updated for recent WMAP9 or Planck values of 69.7 km s⁻¹ Mpc⁻¹ and 67.4 km s⁻¹ Mpc⁻¹, but as there is considerable discrepancy (Planck Collaboration, 2014) between these values and that of other measurements of H_0 we choose not to use the WMAP9 or Planck H_0 values for now.



Figure 3: Lensing map of the Bullet Cluster 1E0657-56 (Clowe et al., 2006). Green contours: weak lensing map; White: hot X-ray gas; Blue: dark matter.

3.2 GL Formulae

Several important approximations are usually made in deriving the formulae for GL. They are the following (Schneider et al., 1992):

- 1. Weak field: Gravitational fields are weak (this excludes "compact" objects such as black holes).
- 2. Slowly moving: Lensing mass is slowly moving and frame dragging is negligible.
- 3. Thin lens: The extent of the lensing mass in the direction of the light ray is much smaller than compared to the distances to the source and observer.

Moreover, there are only two typical lensing situations that are encountered in practice:

- 1. Both lens and source are at cosmological distances;
- 2. The lens is much closer than the distance to the source.

For both situations, if the matter distribution is spherically symmetric, then it can be approximated as a point mass (Schneider et al., 1992).

The null geodesic traced by a light ray is normally curved due to the smoothly varying gravitational potential; however the deflection angle can be calculated at closest approach (impact parameter is a minimum) to a lensing mass by deriving the change in angle $\Delta\theta/\Delta r$ from (4), and integrating it over the trajectory.

The lensing deflection angle $\hat{\alpha}$ in radians for a single mass is then shown to be the following (ξ : impact parameter):

$$\hat{\alpha} = \frac{4GM}{c^2\xi} \tag{11}$$

For multiple masses (e.g., galaxy clusters), it is useful to define $\boldsymbol{\xi}$ as the vector in the direction of deflection. Then the total deflection angle $\hat{\boldsymbol{\alpha}}(\boldsymbol{\xi})$ from the center of mass can be calculated as due to the projection of all masses onto a plane perpendicular to the viewing direction (Schneider et al., 1992):

$$\hat{\boldsymbol{\alpha}}(\boldsymbol{\xi}) = \frac{4G}{c^2} \int_{\mathbb{R}^2} \frac{(\boldsymbol{\xi} - \boldsymbol{\xi}') \sum(\boldsymbol{\xi}')}{|\boldsymbol{\xi} - \boldsymbol{\xi}'|^2} d^2 \boldsymbol{\xi}'$$
(12)

In computer simulation, we are usually interested in discrete summations approximating the integral. Fortunately it is straightforward to replace the integral in Equation 12 with a summation (Schneider et al., 1992):

$$\hat{\boldsymbol{\alpha}}(\boldsymbol{\xi}) = \sum_{i} \frac{4Gm_i}{c^2} \frac{\boldsymbol{\xi} - \boldsymbol{\xi}_i}{|\boldsymbol{\xi} - \boldsymbol{\xi}_i|^2}$$
(13)

where $\boldsymbol{\xi}_i$ is a vector within the lens plane in the direction of a mass component m_i .

Based on the geometry in Figure 4, the lens equation can be derived:

$$\theta_s = \theta - 2R_s \frac{D_{ds}}{D_d D_s} \frac{1}{\theta} \tag{14}$$

where the Schwarzschild radius $R_s = 2GM/c^2$ (Schneider et al., 1992). Note that cosmological distances in celestia. Sci are represented as comoving distances according to the assumptions outlined previously in Section 3.1. This simplifies the lens equations since comoving distances simply add (Schneider et al., 2006). Thus $D_s = D_d + D_{ds}$ in Equation 14.

Comparison with Optics

It may be tempting to make a direct comparison of GL with conventional optics, but there are important differences. The deflection angle for GL is not dependent on wavelength. Also, diffraction effects can usually be neglected because the wavelengths involved are much smaller than the sizes of lenses (Saha, 2000).

Wave Optics

We have used lens equations derived from geometric optics arguments throughout this report. However, for very long wavelengths or in regions of high magnification called caustics, a wave optics treatment may also be considered. In principle, the wave nature of light may lead to fringing effects due to diffraction around GL masses, especially near caustics (see Section 3.3.3 for a more detailed treatment of caustics). However, the lensing mass has to be very compact and/or the wavelength has to be in the radio regime for an effect to be observed (Schneider et al., 1992).



Figure 4: Typical lensing geometry for a collection of point masses m_i at a distance D_d from an observer O. θ represents the apparent angular displacement of the source due to lensing, and θ_s is the actual angular displacement.

3.3 Important GL Phenomena

In this section we discuss the most important GL phenomena and related formulae.

3.3.1 Multiple Images

The lens equation (14) has two angles θ_s and θ , both of which can be positive or negative. This implies that multiple images are possible (Schneider et al., 1992) and we have already seen the example of an Einstein cross in Figure 1.

3.3.2 Einstein Rings

If the source is directly in line with the lensing mass ($\theta_s = 0$), then the Einstein radius $\theta = \theta_E$ at which a *ring of light* is observed is defined as follows (Saha, 2000):

$$\theta_E^2 = 2R_s \frac{D_{ds}}{D_d D_s} \tag{15}$$

The size R_E of the Einstein ring is just $\theta_E D_d$:

$$R_E = 2R_s \frac{D_{ds}}{D_s} \tag{16}$$

The Einstein ring is only visible if R_E >radius of the lensing mass. This can only happen if the Schwarzschild radius R_s is large. For our Sun, R_s is only about 2950 km, or $4 \times 10^{-6} R_{\odot}$. Rings have been observed for more massive objects (e.g., King et al., 1998; Gavazzi et al., 2008; Figure 5) and thus could be considered as a way to benchmark our software.



Figure 5: Double Einstein ring SDSSJ0946+1006 (NASA et al., 2008)

3.3.3 Magnification/Shearing

Magnification is defined as the derivative of the image position with respect to the source (Saha, 2000). More completely, magnification is related to the Jacobian:

$$A_{ij} = \frac{\partial \theta_s}{\partial \theta} = \frac{\partial^2}{\partial \theta^2} T(\theta) \tag{17}$$

$$\boldsymbol{A} = (1-\kappa) \begin{bmatrix} 1 & 0\\ 0 & 1 \end{bmatrix} - \gamma \begin{pmatrix} \cos 2\phi & \sin 2\phi\\ \sin 2\phi & -\cos 2\phi \end{pmatrix}$$
(18)

where $T(\theta)$ is the arrival time, and ϕ is the angle around the axis through the lensing mass.

The κ term describes a uniform *scaling*, and the γ term describes a *shearing* transform (Saha, 2000). The shearing transform is responsible for the characteristic arclets often seen in GL images. Figure 6 illustrates the two transforms.



Figure 6: Scaling and shearing transforms in GL

The points where the Jacobian (17) vanish are called critical curves, and in the context of

GL (and optics) they are called *caustics* (Schneider et al., 2006). Very high magnifications can result near caustic curves, enabling detection of objects that are too small or distant to observe directly.

If lensing results in multiple images that are too small to resolve, we call this *microlens-ing*. What we can observe is a brightening in the light curve, corresponding to the combined magnification of multiple lensed images (Saha, 2000). Peaks in the light curve corresponds to caustics.

The absolute magnification for a single point mass is:

$$|\mathbf{A}| = \left(1 - \frac{\theta_E^4}{\theta^4}\right)^{-1} \tag{19}$$

Figure 7 illustrates the magnification around the Solar lens at distance 1 au.



Figure 7: Magnification A calculated for points around the Sun (see Listing B1 for the code)

We add the magnifications for positive and negative θ to obtain the total brightening A_{tot} (Saha, 2000):

$$A_{tot} = \frac{u^2 + 2}{u\sqrt{u^2 + 4}} \quad u = \frac{|\theta_s|}{\theta_E} \tag{20}$$

Often the source and the lensing mass have a relative velocity. Then the time-dependent u(t) is:

$$u(t) = \sqrt{u_0^2 + \left(\frac{t - t_0}{t_E}\right)^2}$$
(21)

where u_0 is the minimum value of u at time t_0 , and $t_E = D_d \theta_E / v_{\perp}$ is the time scale for the lens to cross the Einstein radius (Schneider et al., 2006). Figure 8 illustrates a typical light curve.

Exoplanetary systems are more complex; exoplanets act as additional lensing sources and the magnifications of each lens (star, planet1, ...) do not simply sum (Schneider et al., 2006). What



Figure 8: Light curve for a point mass lens and source, plotted for different $u_0 = 0.01, 0.02, ..., 0.1$ (see Listing B2 for the code)

can be said is, if a lens in a lens system moves such that it crosses a caustic curve, a new image pair may be created and these new images can become very bright. Amplifications of more than five magnitudes have been observed (Schneider et al., 2006).

3.4 Advantages and Disadvantages of GL

Here we will discuss the advantages and disadvantages of using GL in astronomy and astrophysics.

The general advantage of using GL for astronomy and astrophysics is that it can act as a natural telescope and is able to provide larger magnifications than any man-made telescope, especially in the case of microlensing and high-redshift surveys. For example, Bradač et al. was able to image galaxies with redshifts on the order of 5-6 by exploiting the Bullet Cluster as a gravitational lens (2009).

In general however, GL is reliant on precise optical alignment of the observer, lens and source and thus does not provide great flexibility in choosing what source to observe. A notable exception to this is the case of statistical weak lensing surveys, which are wide-field and observe the entire sky. An important aspect is the ability of GL to reveal dark matter, which makes the technique indispensable in surveys measuring the matter density of the universe.

Microlensing to detect exoplanets has disadvantages that alignments typically last only on the order of hours or days making follow up difficult or impossible, and the mass and distance to the exoplanet cannot be measured directly (Schneider et al., 2006). However, it has important advantages such as theoretical sensitivity to Earth-mass planets even with ground-based telescopes (Schneider et al., 2006).

4 Methodology

4.1 Analysis of Existing Structure of celestia.Sci

The pseudocode in Algorithm 1 represents the existing celestia. Sci program flow. It is based on the file render.cpp. The portion of the program that needs to be modified to implement GL is indicated with arrows.

Algorithm 1 main draw procedure
set up perspective projection with for FOV ; aspect ratio $= windowwidth/windowheight$
orient camera
create list of large, visible objects to render (e.g., planets)
if inside a planetary atmosphere then
adjust sky color and reduce visible magnitude
end if
render sky grids
$\leftarrow \{ \mathbf{set up lensing} \}$
render DSOs
render stars
$\leftarrow \{ \textbf{apply lensing} \}$
render asterisms
render star and DSO labels
render markers

4.2 Software Requirements

The software requirements define the engineering requirements for the GL feature to be added to celestia.Sci. They are divided into Mandatory and Optional requirements.

4.2.1 Mandatory Requirements

The mandatory software requirements are identified as follows:

- M1. Shall display strong lensing for stars
- M2. Shall display weak and strong lensing for DSOs within the software
- M3. Shall use the thin lens approximation
- M4. Shall display lensing for visible wavelengths
- M5. Lensing display shall be qualitatively accurate

- M5.1. Shall display lensing from arbitrary orientations
- M5.2. Shall lens light from stars and deep sky objects
- M5.3. Shall magnify brightness
- M5.4. Shall be able to display Einstein rings
- M5.5. Shall be able to display multiple images
- M5.6. Shall be able to display distorted (sheared/rotated) arclets
- M5.7. Shall be able to display lensing contributions from multiple neighboring masses

M6. Shall not affect existing functionality

M7. Shall not decrease frame rates below interactive levels (at least 10 fps)

4.2.2 Optional Requirements

The optional, or extended software requirements (to be implemented as time permits) are identified as follows:

- O1. Shall be able to toggle on/off easily during program operation
- O2. Shall be able to observe lensing from within a planetary atmosphere
- O3. Shall plot the microlensing light curve for exoplanets as a graph in real time

4.3 Software Specifications

4.3.1 A Brief Overview of the OpenGL Pipeline

The graphics engine of celestia.Sci is implemented using OpenGL, a popular 3d API (application programming interface). More specifically, repetitive graphical functionality which incurs high computational cost such as rendering stars and galaxies is implemented as OpenGL GPU shaders. An extensive discussion of OpenGL and GPU shaders is beyond the scope of this report, and here we will only explain the aspects salient to our implementation of GL.

Figure 9 illustrates the OpenGL *pipeline*, or how 3d geometric data and images (textures) are processed by computer graphics hardware into frames, or images in an animated sequence.

Here, we are mainly interested in GPU shaders. Shaders are specialized programs that run highly parallelized, either on the vertex processor or the fragment processor to process vertices (geometry) or fragments (roughly equivalent to pixels).

4.3.2 Why Exploit the Fragment Processor?

The fragment processor is particularly interesting to us, because each fragment in essence represents a light ray originating from within the simulation, regardless of whether the light source



Figure 9: OpenGL shader pipeline (figure adapted from Rost et al., 2006)

is a star or a galaxy. Thus a fragment shader will process all sources of light in the scene democratically, and at the resolution of the final image. This is equivalent to computing the lensing deflection angle (Equation 11) on a grid of dimensions equivalent to the rendered image.

Additionally, we use a two-pass approach shown in Figure 10 where we first render stars and DSOs to a texture in memory using a framebuffer object (FBO). Then we draw the texture as a quad covering the entire window. We apply a lensing fragment shader during this second step.

4.3.3 Coordinate Transforms

A major challenge in this strategy is to correctly transform coordinates between *texture space*, where the lensing effect is calculated in the fragment shader, and *world space*. Distances in the lens equation 14 must be computed in world units (km), and the angular deflection (11) must be converted to a displacement in texture units. Table 1 summarizes the transform requirements:

Quantity	Source Coordinate Space \rightarrow	Destination Space
impact parameter $ \pmb{\xi} $	Texture	World
displacement amount $D_{ds}\hat{\alpha}$	World	Texture
center of lensing mass	World	Texture

Table 1: Coordinate transform requirements

One issue with computing the displacement amount $D_{ds}\hat{\alpha}$ is that D_{ds} is unknown inside the fragment shader; in fact at this stage we do not know the specific coordinates of the stars and DSOs that were rendered to the texture any more. This is the reason why one of the inputs to



Figure 10: Two-pass render strategy using a FBO

the fragment shader must be the center of the lensing mass in texture coordinates; D_{ds} on the other hand is expensive to store or recompute for each source star/DSO. We choose instead to use a similar approximation as Weiskopf et al. (2005), and set $D_{ds} =$ a constant large value (light years) as D_{ds} is already a large value for most sources and thus any distance variation between sources will have a vanishing impact on $D_{ds}\hat{\alpha}$.

To implement the coordinate transforms, it is necessary to keep in mind that OpenGL implements three intermediate coordinate spaces between world (object) space and texture space. These are the eye, clip and normalized device coordinate (NDC) spaces. Figure 11 illustrates the standard OpenGL coordinate transform flow from geometry to the window. In our case, we require a final, additional transform from texture space to window space. As texture space is square (0,0) - (1,1) while window space is generally not, we must render to horizontally or vertically distorted coordinates depending on the aspect ratio of the window, then "undistort" when rendering to the full-screen quad in window space.

4.3.4 Rejected Approach

Another approach that was considered and rejected, was to notice that space is usually sparsely populated with objects such as stars and galaxies; thus a geometric lensing deformation might be applied to individual objects at the vertex processor level to potentially reduce the number of lensing computations required. However, this method requires that each object be composed of enough vertices to allow realistic deformation into arcs and rings; with thousands of stars and galaxies within the simulation, the large number of vertices required could swamp the vertex processor. Also, this method will fail to work when lensing becomes sufficiently strong to produce



Figure 11: OpenGL coordinate transforms (figure adapted from Rost et al., 2006)

multiple images. This is because it is impossible for a vertex shader to generate new vertices. More recent versions of the OpenGL API are able to access additional programmable processors that can generate new vertices, but celestia.Sci is not compatible yet with these API versions.

4.3.5 Calculating Mass

In Section 2, we briefly touched on calculating masses from luminosities using the mass-to-light ratio (M/L). At scales smaller than clusters, M/L varies according to the scale of the object. We thus describe the relations used in this report for each class of object.

Stars

Stellar mass can be estimated from luminosity using data compiled by Torres et al. (2009). Figure 12 shows a plot of all of the data, where we have performed piecewise linear fits.

Based on the fitted powers n, the relations we use to derive mass M from luminosity L are given in Table 2.

$L < 0.006 \ L_{\odot}$	$L/L_{\odot} = (M/M_{\odot})^4$
$L < 0.016 ~L_{\odot}$	$L/L_{\odot} = (M/M_{\odot})^{3.76}$
$L < 51 L_{\odot}$	$L/L_{\odot} = (M/M_{\odot})^{4.46}$
$L < 1.5 \times 10^5 L_{\odot}$	$L/L_{\odot} = (M/M_{\odot})^{3.32}$
$L \ge 1.5 \times 10^5 L_{\odot}$	$M/L \sim 1$

Table 2: Stellar mass-to-light ratios



Figure 12: Mass-to-light ratios for stars (Torres et al., 2009). Fits have been added.

Galaxies

For galaxies, M/L depends on the galaxy type (spiral, irregular, elliptical). We use the approximate values adopted by Bahcall and Kulier (2014) that are based on the Milky Way M/L for spiral and elliptical types, and the typical value for irregular types quoted by Carroll and Ostlie (2007). The following table summarizes the M/L values used in this project:

Spiral	Elliptical $(E/S0)^*$	Irregular
100	200	1

Table 3: Mass-to-light ratios per galaxy type in M_{\odot}/L_{\odot} units

*For elliptical types, mass and luminosity are not linear but rather are related to the radius R and velocity dispersion σ by a power law $(M/L)^{0.8} \propto (R\sigma^2/L)$ (Bernardi et al., 2003). For simplicity, we assume linearity but a more rigorous treatment should keep this in mind.

Galaxy Clusters

At the scale of clusters (> 300 h^{-1} Mpc), the mass-to-light (M/L) ratio was shown to be $409 \pm 29 h M_{\odot}/L_{\odot}$ where h = 0.7 (Bahcall and Kulier, 2014).

Exoplanets

Exoplanet masses are already given in the celestia. Sci exoplanet database and do not need to be computed.

4.3.6 General Lensing Framework

A general lensing framework for celestia.Sci must be able to handle all possible type of stars and DSOs regardless of luminosities, ellipticities and presence of orbiting objects as well as the viewing orientation and FOV.

Stellar Lensing

Algorithm 2 represents the pseudocode for a stellar lensing shader (refer to Appendix A for the full shader code listing). Algorithm 3 describes how the shader functions within celestia.Sci.

Algorithm 2 Stellar lensing fragment shader
$massPosTexCoord \leftarrow$ lensing mass position in texture space
$massDist \leftarrow D_d$
$massRadius \leftarrow$ radius of lensing mass in world units
for all fragments do
$\boldsymbol{n} \leftarrow (massPosTexCoord - \langle s,t \rangle) \ \{s, t \text{ are texture coordinates sampled at the current} \$
$fragment\}$
$p \leftarrow \boldsymbol{n} $ in texture units
$r \leftarrow max(massRadius, 2 * massDist * p)$
$ A \leftarrow 1/(1 - \theta_E^4/\theta^4)$
$\hat{\alpha} \leftarrow 4 * G * M / (c^2 * r)$
$\boldsymbol{d}_{eyespace} \leftarrow \langle \boldsymbol{n} * \hat{\alpha} * large_distance, -large_distance, 1.0 \rangle$
$d_{NDC} \leftarrow d_{eyespace}$ projected into NDC space
fragment color $\leftarrow A $ *sample from texture at d_{NDC}
end for

Galactic Lensing

There are two major galactic lensing scenarios: galaxy-galaxy and cluster lensing. Galaxy lensing can be modeled very similarly to stellar lensing. However, cluster lensing requires summing at every fragment the contribution to the amount of deflection from each galaxy inside the cluster. For this project, we implemented a naïve $O(n^2)$ algorithm where the summation is repeated for every fragment and restricted to 50 galaxies at a time. A more efficient scheme might cache the lensing contributions of each galaxy and potentially cull contributions based on distance.

Microlensing

Microlensing requires an entirely different approach. Not only is there no perceptible geometric deflection of light, but also there must be a way to sample the light curve and display it in a separate graph. Additionally, there are two (or more) lensing masses and the superposition must be modeled.

Algorithm 3 Use of shader within celestia.Sci

Require: [new] FBO created with texture attached (but not active)
set up perspective projection with for FOV ; aspect ratio $= windowwidth/windowheight$
orient camera
render sky grids
[new] make FBO the active target for rendering
[new] distort coordinates to compensate for square texture vs rectangular window
render DSOs
render stars
[new] FBO is no longer active render target
[new] restore coordinate distortion
\mathbf{if} [new] a lensing mass is within field of view and not too far away \mathbf{then}
[new] make lensing shader active
else
[new] make pass-through shader active
end if
[new] render FBO texture to full-screen quad
[new] deactivate shaders
render asterisms

If the light curve was to be sampled from pixels in the rendered image, then one potential difficulty is the lack of numerical precision of the FBO texture. We are currently using a standard integer format texture with eight bits per color component; each component can thus only hold $2^8 = 256$ distinct values. If the precision proves to be insufficient, it maybe advantageous to instead use a floating point texture with up to 32 bits of precision per color component.

The mouse cursor could be used to point to a specific point (or small averaged area) in the window from which to sample the light curve.

To present the sampled light curve in a plot, we can use the Qwt widget library (http://qwt.sourceforge.net/).

5 Results and Analysis

5.1 Exercise: Our Sun

Figure 13 is a frame capture from celestia. Sci, illustrating the lensing code.



Figure 13: Lensing of a star as seen near our Sun, simulated using celestia.Sci. The corona has been hidden for clarity. The lensed image is superimposed over the unlensed source.

The frame rate of celestia.Sci (Figure 14) in this sparsely populated scene is approximately 60 fps, which satisfies our criteria for interactivity. The hardware is an Apple MacBook Air laptop with 1.6 GHz CPU, 4 GB RAM and Intel HD Graphics 3000 integrated GPU (information given in "About This Mac"). The operating system is Mac OS X 10.9.2.

As an independent confirmation of the frame rate, Apple's OpenGL Driver Monitor software was used to monitor buffer swap count per second (Figure 14).



Figure 14: Buffer swap count per second (equivalent to frame rate×2). Cel url: cel://Follow/Sol/2014-01-21T16:43:48.45332?x=AICxE/dvzJ8D&y=AIDovwJclyEM&z= AIDFnLyE+5cJ&ow=-0.335985&ox=-0.276615&oy=-0.345776&oz=-0.831286&select=Sol& fAM45=5.1&fov=0.0207504&ts=1<d=0&p=0&rf=135806977&lm=2068&ig=0&tsrc=0&ver=3

Buffer swap count is normally equal to the frame rate, but the OpenGL implementation used by celestia.Sci (QGLWidget, part of the Qt 4.8 software framework) uses *double buffering* and this artificially causes the number of buffer swaps to be $60 \times 2 = 120$. During the test, the celestia.Sci window was made full screen (1366×768 resolution), and interactions such as zooming, panning and rotating were performed. The buffer swap count is seen to dip briefly to 60 (30 fps); this corresponds to a galaxy becoming visible due to view rotation.

We now proceed to reproduce the eclipse of 1919 in celestia.Sci. Figure 15 shows our result.



(c) Schematic of Eddington's eclipse observations (Dyson et al., 1920). Arrow indicates zoomed portion in celestia.Sci

Figure 15: Simulating the 1919 solar eclipse in celestia.Sci

celestia.Sci has an eclipse finding feature that allows us to go back in time and precisely simulate the eclipse. The arrangement of stars in the simulation can be seen to match those observed by Eddington. Figure 15b magnifies a star very close to the corona; again we have superimposed the lensed image over the unlensed star. The diameter in pixels of the Sun in Figure 15b is 1100 pixels. Given the known angular diameter of the Sun = 1914", and the expected angular separation between the lensed and unlensed images ~ 1.75 ", we can expect the separation between the images to be $= 1914/1.75/1100 \sim 0.99$ pixels. The actual separation between the two simulated images, as measured by visual inspection, is 1 pixel as expected.

5.2 Exercise: Coma Galaxy Cluster

We now turn our attention to GL at the cosmological scale of a galaxy cluster. While catalog data for distant clusters such as the Bullet Cluster is not available in celestia.Sci, the Coma Cluster (Abell 1656) is another good candidate for testing our lensing code due to the presence of two very massive BCGs (brightest central galaxies) NGC 4874 and NGC 4889. Additionally, the cluster is near our own galaxy and thus reliable catalog data is available within celestia.Sci. Figure 16 shows the result of our lensing simulation in celestia.Sci.



(a) Simulated lensing in the Coma Cluster

(b) Zoomed view



(c) Coma Cluster (Sloan Digital Sky Survey, n.d)

Figure 16: The Coma Cluster.

Several characteristics of strong and weak lensing can be seen near NGC 4874 (large galaxy in the upper right of Figure 16a and magnified in Figure 16b): arclets, multiple images and the

emergence of a ring-like structure. In fact the feature is an Einstein ring, as can be seen in Figure 17 where the apparent magnitudes in the scene have been artificially boosted. Note that the center of the distortion is not located at the centers of any of the BCGs or the midpoints between them; qualitatively, this is to be expected due to the asymmetric mass distribution.



Figure 17: Einstein ring in the Coma Cluster, generated by our code.

Figure 18 represents the buffer swap count measured for the Coma Cluster scene (as usual, we have to divide by 2 to obtain the frame rate). The minimum frame rate in this complex scene is ~ 10 fps, which is the absolute minimum that we require for interactivity.



Figure 18: Buffer swap count per second measured for lensing in the Coma Cluster. Cel url for reproduction: cel://Follow/NGC%204889/2014-04-10T23:26:44.68594?x=AAAAAAAAAAAAA+tbox cel://www.second.com/second/secon

5.3 Compliance with Requirements

Table 4 summarizes the project's compliance with the requirements given in Section 4.2.

ID.	Requirement	Compliance
M1.	Shall display strong lensing for stars	С
M2.	Shall display weak and strong lensing for DSOs within the	\mathbf{C}
	software	
M3.	Shall use the thin lens approximation	\mathbf{C}
M4.	Shall display lensing for visible wavelengths	\mathbf{C}
M5.	Lensing display shall be qualitatively accurate	\mathbf{C}
M5.1.	Shall display lensing from arbitrary orientations	\mathbf{C}
M5.2.	Shall lens light from stars and deep sky objects	\mathbf{C}
M5.3.	Shall magnify brightness	\mathbf{C}
M5.4.	Shall be able to display Einstein rings	\mathbf{C}
M5.5.	Shall be able to display multiple images	\mathbf{C}
M5.6.	Shall be able to display distorted (sheared/rotated) arclets	\mathbf{C}
M5.7.	Shall be able to display lensing contributions from multi-	\mathbf{C}
	ple neighboring masses	
M6.	Shall not affect existing functionality	\mathbf{PC}
M7.	Shall not decrease frame rates below interactive levels	\mathbf{C}

Table 4: Project compliance matrix: Requirements

We were only partially compliant with Requirement M6, because the lensing feature reduced the frame rate (although still within interactive levels) when viewing galaxy clusters.

Due to lack of time, we have not been able to achieve any of the optional requirements. We plan to visit these in forthcoming studies.

6 Conclusions and Recommendations

We have demonstrated the implementation of a general framework for interactive 3d visualization of gravitational lensing, using the open-source software celestia. Sci as a base. Masses of lensing bodies such as stars and galaxies were computed from luminosity and empirical M/L relations. We take advantage of the GPU fragment processor to efficiently compute the lensing equation and magnification factor in a fragment shader. The fragment shader is executed for all pixels in an offscreen FBO where stars and DSOs have been previously rendered. A major challenge was faced in transforming coordinates between the texture space of the fragment shader and the world space of the simulation, but this was successfully overcome. Finally, the code was tested for visual accuracy in known GL scenarios (1919 solar eclipse, Coma Cluster), and the performance was verified to satisfy our requirement for interactivity.

6.1 Compliance with Objectives

Here is the final matrix of compliance with our objectives set out in Section 1.7. C indicates full compliance, and PC indicates partial compliance.

No.	Objective	Compliance
1.	Perform a literature review of gravitational lensing	С
2.	Design a strategy for implementing a general three-	\mathbf{C}
	dimensional gravitational lensing framework in celestia. Sci	
3.	Implement the strategy and perform performance tests	\mathbf{C}
	and optimizations with an aim to providing interactive	
	frame rates	
4.	Using the functionality implemented, demonstrate lensing	\mathbf{PC}
	around our own Sun, strong lensing due to a galaxy and	
	weak lensing around a galaxy cluster with a known dark	
	matter distribution. Compare with actual astronomical	
	observations	
5.	Identify directions for further enhancements and research	\mathbf{C}

Table 5: Project compliance matrix: Objectives

Only Objective 3 is not fully compliant; in our project we have not been able to demonstrate weak lensing due to dark matter. This was due primarily to the lack of suitable catalog data within celestia.Sci for such targets as the Bullet Cluster. It is not enough to manually add these objects; three-dimensional position and orientation data for a sufficient number of interesting background sources are also required. However, while angular positions and magnitudes for some background sources have been published (e.g., Bradač et al., 2009), there have been no accurate determinations of redshifts and orientations crucial that are crucial for 3d positioning.

6.2 Recommendations for Further Research

Here is a list of recommendations for further research.

- 1. Pursue the optional objectives given in Section 1.7, and fulfill the optional requirements listed in Section 4.2.2. These include, for example, implementing microlensing of exoplanets and developing a companion workshop;
- 2. Refine the mass estimation method for elliptical galaxies;
- 3. Improve the performance of computing GL systems with multiple components (e.g., galaxy clusters) via memory caching techniques;
- 4. Test with more extensive DSO catalog data as they become available.

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Appendix A Shader Code

Listing	A1:	Stellar	lensing	fragment	shader
		Nº COLLORI	101101110	magniton	oncor

```
uniform sampler2D tex;
uniform float mass[10];
uniform vec2 massPosTexCoord[10];
uniform float massDist[10];
uniform float massRadius[10];
uniform vec2 windowSize;
uniform float pixelSize;
uniform float LARGE_DISTANCE;
void main(void) {
    vec2 texCoordFinal = gl_TexCoord[0].st;
    vec2 normal = (massPosTexCoord[0] - texCoordFinal);
    vec2 normalizedNormal = normalize(normal);
    float p = windowSize.y*length(normal);
    float r = max(massRadius[0], 2.0*massDist[0]*p*pixelSize);
    float alpha = 5.91 \times mass[0]/r; //5.91 = 4GM_sun/c^2 in km
    float thetaE2 = 5.91 \times mass[0]/massDist[0];
    float magnification = 1.0/(1.0-\text{thetaE2*thetaE2/pow}(r/\text{massDist}[0], 4.0));
    float amountEye = alpha * LARGE_DISTANCE;
    vec4 deflectEye = vec4(normalizedNormal*amountEye, -5.0, 1.0);
    vec4 deflectNDC = gl_ProjectionMatrix*deflectEye;
    deflectNDC /= deflectNDC.w;
    texCoordFinal += deflectNDC.xy;
    gl_FragColor = magnification * texture2D(tex, texCoordFinal);
}
```

Appendix B Plot Code

```
Listing B1: GNU Octave code for Figure 7
```

```
%colormapRGBmatrices function taken from:
%http://cresspahl.blogspot.fr/2012/03/expanded-control-of-octaves-colormap.html
function mymap = colormapRGBmatrices(N, rm, gm, bm)
 x = linspace(0,1, N);
  rv = interp1(rm(:,1), rm(:,2), x);
 gv = interp1(gm(:,1), gm(:,2), x);
  mv = interp1(bm(:,1), bm(:,2), x);
  mymap = [rv', gv', mv'];
  % exclude invalid values that could appear
  mymap(isnan(mymap)) = 0;
 mymap( (mymap>1) ) = 1;
  mymap((mymap<0)) = 0;
end
M = [0,1;0.05,1;1,0];
invgray = colormapRGBmatrices(256, M, M, M);
Dd=1.5.*10^8;
Dds=9.5.*10<sup>13</sup>;
Ds=Dds+Dd;
thetaE2=5.91.*Dds./(Dd.*Ds);
f = @(x,y) 1./(1 - \text{thetaE2.^2} ./ (\text{sqrt}((x.*69600).^2 + (y.*69600).^2) ./ Dd).^4);
d = -2.5:0.01:2.5;
[X,Y] = meshgrid(d,d);
Z = f(X,Y);
Z((X.^2+Y.^2)<1.0) = 1.0;
hold on
[c,h] = contourf(X,Y,Z,100);
set(h,'EdgeColor','none');
set (gca, 'Position', [0.14 0.14 0.75 0.8]);
xlabel('x/R_{sun});
ylabel ('y/R_{sun});
colormap(invgray);
colorbar:
hold off
```

	Listing B2:	GNU	Octave	code for	Figure 8
0)/t_E');					

```
xlabel ('(t-t_0)/t_E');
ylabel ('Magnification ');
t=-1.0:0.001:1.0;
global u0;
for u0=0.01:0.01:0.1;
A=(t.^2+u0^2+2)./((t.^2+u0^2).^0.5.*(t.^2+u0^2+4).^0.5);
Aq=interp1(t,A,t,'spline ');
plot(t,Aq);
end;
hold off
```

hold on