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# Chapter 15 Structure Analysis of Biological Macromolecules by Small-Angle X-ray Scattering

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**Abstract** Small-angle X-ray scattering (SAXS) is a low resolution (1–2 nm) structural method, which is applicable to macromolecules in solution providing information about the overall structure and structural transitions. The method covers an extremely broad range of sizes (from a few kDa to hundreds MDa) and experimental conditions (temperature, pH, salinity, ligand addition *etc.*). Recent progress in instrumentation and novel data analysis methods significantly enhanced resolution and reliability of structural models provided by the technique and made SAXS a useful complementary tool to high resolution methods. In particular, SAXS allows for rapid validation of high resolution crystallographic or theoretically predicted models, identification of biologically active oligomers and visualization of missing fragments. Quaternary structure of complexes can be analyzed by rigid body movements/rotations of high resolution models of the individual subunits of domains. The basics of SAXS will be presented and illustrated by advanced applications to macromolecular solutions.

**Keywords** Solution structure • *Ab initio* methods • Rigid body modeling • Oligomeric mixtures • Flexible proteins

## 15.1 Introduction

The structural genomics initiatives aiming at large-scale expression and purification for subsequent structure determination using X-ray crystallography (MX) and NMR spectroscopy [10, 12] have already yielded unprecedented numbers of high

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resolution models for isolated proteins and/or their domains These numbers are expected to grow rapidly in the coming years [24]. The focus of modern structural biology has largely shifted towards the study of macromolecular machines accomplishing most important cellular functions [1]. The macromolecular complexes are usually too large for the structural NMR studies, and they often possess inherent structural flexibility making them difficult to crystallize.

The structural analysis approach to macromolecular complexes includes new crystallographic initiatives complemented by the use of methods yielding structural information in solution at lower resolution. In particular, Cryo-EM allows one to obtain excellent results in many cases [39], but it is usually limited to relatively large macromolecular aggregates (starting from 200–300 kDa).

Small-angle X-ray scattering (SAXS) is a rapid method to characterize low resolution structures of individual macromolecules and complexes in solution and to analyse structural changes in response to variation of external conditions. For establishing the three-dimensional structural models this technique needs monodisperse solutions of purified macromolecules but does not require special sample treatment (growth of crystals, isotopic labelling, cryo-freezing *etc.*). SAXS is applicable to a broad range of conditions and sizes (from a few kDa to hundreds MDa). Unlike most other structural methods, SAXS is able to quantitatively characterize equilibrium and non-equilibrium mixtures and monitor kinetic processes such as (dis)assembly and (un)folding.

Recently, the power of SAXS has been boosted by the significant improvements in instrumentation (most notably, by the high brilliance synchrotron radiation sources) accompanied by the development of novel data analysis methods. These developments made it possible to significantly improve resolution and reliability of the structural models constructed from the SAXS data. Here, the main aspects of SAXS including data processing and interpretation procedures and some applications will be briefly reviewed.

#### **15.2 Basics of a SAXS Experiment**

This section will briefly describe the basic theoretical and experimental aspects of SAXS to understand the main principles of the technique as applied to solutions of biological macromolecules. The reader is referred to textbooks [11, 14] or to recent reviews [22, 37, 44] for more detailed description information.

Conceptually, a SAXS experiment is rather simple, as illustrated in Fig. 15.1. The samples are exposed to a collimated monochromatic X-ray or neutron beam with the wave vector  $k = 2\pi/\lambda$  where  $\lambda$  is the radiation wavelength (Fig. 15.1). The isotropic scattered intensity *I* is recorded as a function of the momentum transfer  $s = 4\pi \sin\theta/\lambda$ , where  $2\theta$  is the angle between the incident and scattered beam. The scattering from the solvent is measured separately and subtracted to remove the solvent and parasitic background signals.



**Fig. 15.1** A general scheme of a SAXS/SANS experiment, structural tasks addressed by the technique and its synergistic use with other methods. The nominal resolution of the data in the scattering pattern is indicated as  $d = 2\pi/s$ . *MS* mass spectroscopy, *AUC* analytical untracentrifugation, *FRET* fluorescence resonance energy transfer, *EM* electron microscopy, *NMR* nuclear magnetic resonance, *EPR* electron paramagnetic resonance

The SAXS experiments are usually performed at synchrotrons, and the experimental stations offering biological SAXS are available at all synchrotron major sites. Laboratory SAXS cameras, (available from various producers) yield much lower beam brilliance but may be useful at least for preliminary analysis. For structure analysis (shape, quaternary structure), the samples with monodispersity better than 90% are required, which must be verified by other methods (native gel filtration, dynamic light scattering, analytical ultracentrifugation) prior to the synchrotron SAXS experiment. Typical concentrations required are in the range of 0.5–10 mg/ml, and a concentration series is usually measured to get rid of interparticle interference effects. The sample volume per measurement on modern stations is about 10–50  $\mu$ l so that about 1–2 mg of purified material is usually required for a complete study. The upcoming microfluidic devices [48] will allow one to work on high brilliance sources with nanoliter volumes and  $\mu$ g sample amounts.

One should also mention that neutrons are also employed for small-angle scattering (SANS) analysis of biological macromolecules. SANS (which is performed on research reactors or spallation sources) is sensitive to isotopic H/D exchange. This property is exploited for contrast variation involving measurements in different  $H_2O/D_2O$  mixtures and/or specific perdeuteration of subunits, providing unique information about complex particles [51]. The basic equations and the analysis methods are similar for SAXS and SANS.

#### 15.3 Basics of SAXS Data Analysis

The net SAXS intensity after solvent subtraction contains, generally speaking, two contributions. The so-called form factor I(s) emerges from the scattering from individual particles in solution and is employed to extract the structural information. The "structure factor" S(s) is due to interference effects between the different particles and yields information about the interparticle interactions (see e.g. [22] for a review).

Purified dilute solutions of macromolecules at concentrations in mM range are usually employed in SAXS to get rid of the interference effects and perform the structural studies assuming that I(s) contains only "form-factor" contrinution. Two important cases are distinguished: (1) monodisperse systems, when all the particles are identical and (2) polydisperse systems, when they are different in size and/or shape.

#### 15.4 Monodisperse Systems

For monodisperse solutions, the net intensity I(s) is proportional to the scattering from a single particle averaged over all orientations. This allows one to immediately determine the overall geometrical and weight parameters e.g. radius of gyration R<sub>g</sub> [16], volume of the hydrated particle V<sub>p</sub> [36], and the molecular mass of the particle MM [27]. The Fourier transformation of the scattering intensity provides a characteristic function (averaged Patterson function), which also yields the maximum particle diameter D<sub>max</sub> [13, 25, 41], Moreover, the low resolution macromolecular shape can be obtained *ab initio* (i.e. without information from other methods). Several approaches have been proposed [2, 7, 8, 18, 43, 46], and *ab initio* shape determination belongs nowadays to routine analysis of the SAXS data. Usually, the shape analysis programs are ran several times and analysed to obtain the most probable and an averaged model [50].

Calculation of the SAXS profiles from atomic models [45] is used to validate theoretically predicted models and verify the structural similarity between macromolecules in crystals and in solution. Moreover, if high resolution models of individual fragments or subunits in a complex are available from crystallography or NMR, rigid body refinement can be employed to model the quaternary structure of the complex. Automated and semi-automated procedures based on screening randomly or systematically generated models were employed by different authors [19, 21, 28]. A comprehensive rigid body modelling program suite is based on the use of spherical harmonics formalism [31, 32, 40, 42].

SAXS is also very useful for the cases when loops or entire domains are missing in high resolution models (e.g. because of flexibility). The missing portions are



**Fig. 15.2** The models of free and DNA-bound tumour suppressor p53 generated by combining SAXS with MX, NMR and EM data. The available high resolution structures of the domains employed in the modelling are displayed as ribbons, the flexible portions of p53 as semi-transparent beads (dummy residues). In the free form (*left panel*) the four domains that recognize DNA (peripheral domains) are arranged in two separate dimers, forming a relatively "flat" structure, with the tetramerization domains in the center. Upon binding to DNA (*right panel*) these core domains wrap around the latter trapping it into a cleft. The models are obtained by rigid body modeling against the SAXS curves displayed as intensity versus momentum transfer in the bottom panel. The data from the free and bound p53 are appropriately displaced along the abscissa axis for better visualization (*dots*, experimental data; *solid lines*, computed patterns from the models)

represented as chains of the so-called dummy residues [34], and the known domains/ subunits can be translated and rotated as rigid bodies while simultaneously changing the local conformation of the chains representing the unknown fragments [23]. Numerous applications of rigid body modelling are reported to build structural models of complicated objects in solution (see e.g. references in [33, 37]).

The structural modeling based on the SAXS data is especially effective if one simultaneously incorporates information provided by other methods. An example of such a successful multipronge approach is given by a study of a human tumour suppressor p53 [47], which is a homotetrameric transcription factor (four times 393 residues) playing a central role in the cell cycle. The protein contains a folded core and tetramerization domains, linked and flanked by intrinsically disordered segments. *Ab initio* and rigid body SAXS modelling accounting for NMR-derived interfaces revealed an extended cross-shaped structure with tetrameric contacts and a pair of loosely coupled core domain dimers at the ends (Fig. 15.2). In contrast, the calculated

scattering from a previously published rather compact cryo-EM structure of murine p53 [29] showing dissociated tetramerization domains did not fit the experimental SAXS data. The structure of the complex of p53 with 24 bp DNA independently determined by SAXS and negative stain EM displays a compact complex with the core domains closing around DNA (Fig. 15.2). Interestingly, negatively stained EM analysis of the conformationally mobile, unbound p53 selected a minor compact conformation (less than 20% of the adsorbed particles). The study underlines the significance of the synergistic use of different techniques together with SAXS, in particular, for the structural characterization of a rapidly growing number of proteins with inherent disorder.

#### 15.5 Poyldisperse Systems and Mixtures

For polydisperse systems consisting of different types of non-interacting particles, the measured scattering pattern can be written as a linear combination

$$I(s) = \sum_{k=1}^{K} v_k I_k(s)$$
(15.1)

where  $v_k > 0$  and  $I_k(s)$  are the volume fraction and the scattering intensity from the *k*-th type of particle (component), respectively, and *K* is the number of components.

When neither the number nor intensities of the components are known *a priori*, but multiple data sets are recorded from the system with varying volume fractions of the components, the number of components can be determined extracted by model-independent analysis using singular value decomposition (SVD [15]). If the number of components and their scattering intensities are known, the volume fractions can be readily found by a linear least-squares fit to the experimental data. Numerous applications of these approaches encompass e.g. the analysis of intermediates during folding and assembly processes and quantitative description of oligomeric equilibria [9, 17, 49, 52].

SAXS belongs to very few structural methods able to quantitatively characterize flexible macromolecules, and the method was traditionally used to monitor the processes of protein folding/unfolding [30]. For flexible systems, SAXS data reflect conformational average over the entire ensemble and the scattering patterns are to be interpreted accounting for this average instead of searching for a single model. This has recently become possible with a general approach called 'ensemble optimization method' (EOM) allowing for coexistence of multiple conformations [4]. Given a pool of (random) conformers, EOM selects sub-ensembles from them, which, taken as mixtures, fit the experimental profile using Eq. 15.1. The EOM is already actively used to characterize flexible proteins and complexes [5, 26] and it is expected to find broad applications, in particular, in combination with NMR to provide information about both structure and dynamics of the system [3, 6].

## 15.6 Conclusions

During the last decade, biological SAXS has become increasingly popular in molecular biology revealing low resolution structures of macromolecule in close to native conditions. SAXS can be readily and usefully combined with other computational and experimental techniques to yield comprehensive description of complex objects and processes. The advanced analysis methods are well established by now and are publicly available e.g. in the program package ATSAS (http://www.embl-hamburg.de/biosaxs/). Automated sample changers and pipelines are being developed for high throughput SAXS on synchrotrons [20, 35, 38]. All these developments taken together make the technique readily available for a broad scope of tasks and a broad community of scientists in structural biology.

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- 15 Structure Analysis of Biological Macromolecules by Small-Angle X-ray Scattering 171
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